

KENTUCKY HORSE RACING COMMISSION

August 2, 2016

AGENDA

**Location: United States Dressage Federation
4051 Iron Works Pkwy.
Lexington, KY 40511**

Time: 1:30 pm

I. Swearing in of New Commission Members

II. Call to Order and Roll Call

III. New Business

1. Minutes from May 17, 2016 and Special Meeting June 15, 2016..... (pgs. 1-10)
2. Ratify Red Mile’s Proposed 2016 Race Meet Officials..... (pgs. 11-13)
3. Kentucky Downs’ Proposed 2016 Race Meet Officials..... (pgs. 14- 16)
4. Churchill Downs’ Proposed 2016 September & November Race Meet Officials..... (pgs. 17-22)
5. Ellis Park’s Backside Improvement Fund Proposal..... (pgs. 23-24)
6. Reports
 - a. Thoroughbred Rulings..... (pgs. 25-39)
 - b. Standardbred Rulings..... (pg. 40)
 - c. Veterinary Division..... (pg. 41)
 - d. Pari-Mutuel Wagering Division..... (pgs. 42-54)
 - e. Litigation and Regulations..... (pgs. N/A)

IV. Other Business

1. Presentation – Kentucky Racing Health and Welfare Fund..... (pgs. 55-57)

V. Adjournment

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: May 17, 2016 at 1:00 PM

Place: Capitol Annex Building, Room 129, Frankfort, KY

Members Present: Chairman Franklin S. Kling, Jr.; Vice Chairman John C. Roach; Commissioner J. David Richardson, M.D.; Commissioner Stuart E. Brown, II; Commissioner Kerry T. Cauthen; Commissioner Patrick A. Day; Commissioner Douglas A. Hendrickson; Commissioner Brereton C. Jones, Jr.; Commissioner Foster Northrop, DVM; Commissioner Mark Simendinger; Commissioner Lesley Ann May Howard; Commissioner Kenneth A. Jackson; and Commissioner Gerald W. Holt

Ex-officio Members Present: Secretary David Dickerson, representing the Public Protection Cabinet; and Deputy Secretary Erik Dunnigan, representing the Cabinet of Economic Development; and Secretary Don Parkinson, representing the Tourism, Arts & Heritage Cabinet

KHRC Staff Participating: Marc A. Guilfoil, Executive Director; John L. Forgy, General Counsel; Mary Scollay, DVM, Equine Medical Director; Barbara Borden, Chief State Steward; Jamie Eads, Director of Incentives and Development; Rich Williams, Standardbred Presiding Judge; Bruce Howard, DVM, Chief Veterinarian; Chris Clark, Director of Licensing and Enforcement; and Steve May, Director of Pari-Mutuel Wagering and Compliance

Others Present/Participating: Michelle Fisher, Vice President of Sales and Business Development, Americas for Sportech; and Brad Cummings, Chief Executive Officer, EquiLottery

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
I. Swearing in of New Commission Members	2 – 5	Honorable Laurance B. VanMeter, Kentucky Court of Appeals Judge, administered the Constitutional oath of office to the following new commission members: Chairman Franklin S. Kling, Jr.; Vice Chairman John C. Roach; Stuart E. Brown, II; Kerry T. Cauthen; Patrick A. Day; Douglas A. Hendrickson; Gerald W. Holt; Lesley Ann May Howard; Kenneth A. Jackson; Brereton C. Jones, Jr.; J. David Richardson, M.D.; and Mark Simendinger.	Informational item only. No action taken.
II. Call to Order and Roll Call	5 – 6	Meeting called to order and roll call performed by Chairman Kling. A quorum was recognized.	No action taken.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
III. New Business Tab 1 Welcome and Overview of Agency	6 – 46 6 - 7 7 – 8 8 – 9 9 – 10 10 – 11 11 11 – 12 12 – 13 13 – 14	Mr. Guilfoil welcomed the new commission members and commended the Governor on their appointments. He stated that staff team members would follow with introductions and overviews of their responsibilities. Mary Scollay, D.V.M., Equine Medical Director, presented. Bruce Howard, D.V.M., Chief Racing Veterinarian, presented. Chris Clark, Director of Licensing and Director of Enforcement, presented. Barbara Borden, Chief State Steward, presented. Rich Williams, Chief Judge, presented. Steve May, Director of Pari-Mutuel Wagering and Compliance, presented. John Forgy, General Counsel, presented. Jamie Eads, Director for the Division of Incentives and Development, presented.	Informational item only. No action taken.
Tab 2 Minutes from March 22, 2016 Meeting	14	Chairman Kling requested a motion to approve the minutes of the March 22, 2016 meeting.	Motion to approve by Vice Chairman Roach. Second by Commissioner Richardson. Motion carried with no objections

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
			or abstentions.
Tab 3 Ethical Guidelines for Members of Executive Branch Boards and Commissions	15 – 17	Mr. Forgy presented a summary of certain ethical standards for members of the policymaking and regulatory boards and commissions in state government as established by executive order by Governor Beshear in 2008.	Informational items only. No action taken.
Tab 4 Proposed Amendments to Kentucky Quarter Horse, Paint Horse, Appaloosa, and Arabian Development Fund: 811 KAR 2:190	17 – 19	<p>Ms. Eads presented the proposed amendment to 811 KAR 2:190, the Kentucky Quarter Horse, Paint Horse, Appaloosa, and Arabian Development.</p> <p>Ms. Eads noted that the regulation had just previously been through a lengthy amendment process and following becoming effective a discrepancy in the yearling nomination deadline date was discovered. Two different dates (December 15 and December 31) were referenced as the deadline dates. The proposed amendment would reconcile that discrepancy and amend the December 15 date to December 31 as was the original intention.</p> <p>Staff recommends approval.</p>	Motion to approve by Commissioner Vice Chairman Roach. Second by Commissioner Simendinger. Motion carried with no objections or abstentions.
Tab 5 Proposed Amendments to Jockey Mount Fees: 810 KAR 1:009 Section 8	19 – 21	<p>Mr. Guilfoil presented the proposed amendment to 810 KAR 1:009, the Jockeys and apprentices regulation in connection with jockey mount fee schedule.</p> <p>Mr. Guilfoil stated that the proposed amendments were the consensus of several industry representatives over multiple meetings. He noted a special thank you to former Commissioner Frank Jones; Marty Maline and Rick Hiles representing the Kentucky Horsemen’s Benevolent and Protective Association (KyHBPA); Chauncey Morris representing the Kentucky Thoroughbred Association (KTA) and Kentucky Thoroughbred</p>	Motion to approve by Commissioner Simendinger. Second by Commissioner Richardson. Motion carried with no objections or abstentions.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>Owners and Breeders Association (KTOBA); and Ms. Joqskill, Terry Meeks, Mindy Coleman and Jeff Johnston representing the Jockey's Guild.</p> <p>Staff recommends approval.</p>	
Tab 6 Application to Provide Totalizator Services Sportech Racing	21 – 28	<p>Mr. May presented the application of Sportech Racing to provide totalizator services and introduced Michelle Fisher, Vice President of Sales and Business Development for the Americas for Sportech.</p> <p>Mr. May and Ms. Fisher answered questions from and addressed concerns expressed by the Commissioners.</p> <p>Vice Chairman Roach offered a motion to approve granting of a probationary license until the end of the year and then to provide Sportech with the opportunity to apply for a full license during that probationary period before the expiration of the end of the year of the probationary license.</p>	<p>Motion to approve as discussed by Vice Chairman Roach. Second by Commissioner Richardson. Motion carried with no objections or abstentions.</p>
Tab 7 Ellis Park's Proposed 2016 Race Meet Officials	28 – 29	<p>Ms. Borden presented Ellis Park's request dated May 10, 2016 for approval of its roster of racing officials and dates preference system for the 2016 meet. All stewards are ROAP accredited and all officials have previously performed the duties for which they are listed and will be licensed before the meet opening. KyHBPA and KTA approval of the requested dates preference system has been confirmed.</p> <p>Staff recommends approval.</p>	<p>Motion to approve by Commissioner Hendrickson. Second by Commissioner Day. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
Tab 8 Bluegrass Downs' Proposed 2016 Race Meet Officials	29 – 31	<p>Mr. Williams presented Bluegrass Downs' request dated April 12, 2016 for approval of its roster of racing officials for the 2016 harness meet. All judges, with the exception of Jim Mitchell who is attending ROAP classes in June to obtain accreditation, are ROAP accredited, and all officials have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Staff recommends approval.</p>	Motion to approve as presented by Commissioner Jackson. Second by Commissioner Richardson. Motion carried with no objections or abstentions.
Tab 9 Reports a. Thoroughbred Rulings b. Standardbred Rulings c. Veterinary Division d. Pari-Mutuel Wagering Division e. Litigation and Regulations	<p>31</p> <p>31 – 32</p> <p>32 – 34</p> <p>34 – 36</p> <p>36 – 44</p>	<p>a. Ms. Borden presented the thoroughbred ruling report. She noted updates that Ruling Nos. 16-0018, 16-0019 and 16-0039 have been paid.</p> <p>b. Mr. Williams presented the standardbred ruling report. There were no updates to the Standardbred ruling report.</p> <p>c. Dr. Scollay presented the veterinary report for the 2016 Kentucky Derby and Kentucky Oaks and commended the veterinary staff for having done an excellent job.</p> <p>Dr. Howard presented the veterinary report for Turfway Park's Spring meet and answered questions from Commissioners.</p> <p>d. Mr. May presented the pari-mutuel wagering reports for live racing for April 2016 for Thunder Ridge and Keeneland. Also presented were informational reports for historical horse racing for April 2016. Mr. May answered questions from Commissioners.</p>	No action taken. Informational items only.

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	44 – 46	<p>Commissioner Simendinger requested a breakdown of the total handle, such as live racing handle and ADW handle, etc. Mr. May stated that information could be incorporated into the pari-mutuel wagering report and suggested that the Pari-Mutuel Wagering Committee consider the matter.</p> <p>e. Mr. Forgy presented the Status Report on Litigation and Regulations – For Informational Purposes Only.</p>	
<p>IV. Other Business 1. EquiLottery Presentation</p>	46 – 67	<p>Mr. Brad Cummings, founder and CEO of EquiLottery presented an overview of the basic structure of EquiLottery, a lottery game that combines live horse racing with the ease and convenience of the lottery terminal. Following the presentation, Mr. Cummings addressed questions from the Commissioners.</p>	<p>No action taken. Informational item only.</p>
<p>V. Executive Session</p>	67	<p>Chairman Kling requested a motion to go into executive session to: 1) deliberate in a role as a judicial or quasi-judicial body regarding individual adjudications as permitted by KRS 61.810(1)(j); and 2) to discuss proposed or pending litigation against or on behalf of the public agency as permitted by KRS 61.810(1)(c).</p> <p style="text-align: center;"><u>EXECUTIVE SESSION</u></p> <p>Return to public session.</p>	<p>Motion to go into executive session by Vice Chairman Roach. Second by Mr. Dickerson. Motion carried with no objections or abstentions.</p> <p>Motion to return to public session by Commissioner Simendinger. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>Upon return to public session: 1) In re: <u>Veitch v. KHRC</u>, Vice Chairman Roach offered a motion to adopt the Revised Final Order as presented and discussed during the Executive Session; 2) In re: <u>Frederick v. KHRC</u>, Vice Chairman Roach offered a motion to adopt the proposed Final Order as presented and discussed during the Executive Session; and 3) In re: <u>KHRC v. McCauley</u>, Vice Chairman Roach offered a motion to adopt the material terms of the settlement agreement as presented and discussed during the Executive Session.</p>	<p>1) Motion by Vice Chairman Roach. Second by Mr. Dunnigan. Motion carried with no objections or abstentions. 2) Motion by Vice Chairman Roach. Second by Mr. Dickerson. Motion carried with no objections or abstentions. 3) Motion by Vice Chairman Roach. Second by Mr. Dickerson. Motion carried with no objections and abstentions by Commissioner Jones and Commissioner Cauthen.</p>
VI. Adjournment		Motion for adjournment.	Motion to adjourn by Chairman Kling. Second by Mr. Dunnigan. Meeting adjourned.

NOTE: The Agenda materials and any amendments thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE SPECIAL MEETING

Date: June 15, 2016 at 1:30 PM

Place: United States Dressage Foundation, 4051 Iron Works Parkway, Lexington, KY

Members Present: Chairman Franklin S. Kling, Jr.; Vice Chairman John C. Roach; Commissioner Stuart E. Brown, II; Commissioner Kerry T. Cauthen; Commissioner Patrick A. Day; Commissioner Douglas A. Hendrickson; Commissioner Brereton C. Jones, Jr.; Commissioner Foster Northrop, DVM; Commissioner Mark Simendinger; Commissioner Kenneth A. Jackson; and Commissioner Gerald W. Holt

Ex-officio Members Present: Secretary David Dickerson, representing the Public Protection Cabinet; and Caroline Baesler by proxy on behalf of Deputy Secretary Erik Dunnigan, representing the Cabinet of Economic Development

KHRC Staff Participating: Marc A. Guilfoil, Executive Director; John L. Forgy, General Counsel; Mary Scollay, DVM, Equine Medical Director; Barbara Borden, Chief State Steward; Bruce Howard, DVM, Chief Veterinarian; and Chris Clark, Director of Licensing and Enforcement

Others Present/Participating: Justin Fowles, Frost Brown Todd, as legal counsel for Otabek Umarov; and Mr. Otabek Umarov

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
I. Swearing in of New Commission Members	4	Honorable Laurance B. VanMeter, Kentucky Court of Appeals Judge, administered the Constitutional oath of office to new commission member, Foster Northrop, DVM.	Informational item only. No action taken.
II. Call to Order and Roll Call	4 – 5	Meeting called to order and roll call performed by Chairman Kling. A quorum was recognized.	No action taken.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>III. New Business</p> <p>Tab 1 Consideration of Mr. Otabek Umarov’s petition to overrule the Executive Director’s denial of Mr. Umarov’s request for stay of the Stewards Rulings pending action on appeal</p>	<p>5 – 22</p> <p>5</p> <p>5 – 15</p> <p>15 – 22</p>	<p>Chairman Kling announced that the only business on the agenda was the consideration of Mr. Umarov’s petition to overrule the Executive Director’s denial of Mr. Umarov’s request for stay of the stewards’ ruling pending action on appeal. He noted that Justin Fowles of Frost Brown Todd, was representing Mr. Umarov. Mr. Fowles and Mr. Forgy would be allowed 10 minutes to present their arguments and the Commission would go into executive session to discuss.</p> <p>Mr. Fowles presented his argument in favor of overruling the Executive Director’s denial of a stay to Mr. Umarov during the pendency of his appeal of the stewards’ ruling.</p> <p>Mr. Forgy presented the Executive Director’s argument in favor of affirming the Executive Director’s denial of a stay to Mr. Umarov during the pendency of his appeal of the stewards’ ruling.</p>	<p>Informational item only. Action to be taken following executive session and return to public session.</p>
<p>IV. Executive Session</p>	<p>22 – 23</p> <p>23 – 24</p>	<p>Chairman Kling requested a motion to go into executive session to: 1) deliberate in a role as a judicial or quasi-judicial body regarding individual adjudications as permitted by KRS 61.810(1)(j); and 2) to discuss proposed or pending litigation against or on behalf of the public agency as permitted by KRS 61.810(1)(c).</p> <p style="text-align: center;"><u>EXECUTIVE SESSION</u></p> <p>Chairman Kling requested a motion to return to public session.</p>	<p>Motion to go into executive session by Commissioner Brown. Second by Commissioner Cauthen. Motion carried with no objections or abstentions.</p> <p>Motion to return to public session by Commissioner</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	24	<p>Upon return to public session: 1) In re: <u>Umarov v. KHRC</u>, Chairman Kling stated that the question before the Commission was whether to affirm or overrule the Executive Director's denial of Mr. Umarov's request for a stay on the penalty imposed in this matter and requested a motion.</p> <p>Chairman Kling called for a vote of all in favor of affirming the Executive Director's denial of the request for stay to indicate by saying yes.</p> <p>Chairman Kling then called for a vote of all in favor of overruling the Executive Director's denial of the request for stay to indicate by saying no.</p>	<p>Hendrickson. Second by Commissioner Day. Motion carried with no objections or abstentions.</p> <p>Motion by Commissioner Brown. Second by Commissioner Day.</p> <p>Unanimous "yes" with no objections or abstentions.</p> <p>There were no "no" responses.</p> <p>By unanimous vote, the Executive Director's denial of the request for stay was affirmed.</p>
V. Adjournment	24	Chairman Kling requested a motion to adjourn.	Motion to adjourn by Commissioner Cauthen. Second by Commissioner Jackson. Motion carried with no objections or abstentions.

NOTE: The Agenda materials and any amendments thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.



MATTHEW G. BEVIN
GOVERNOR

DAVID A. DICKERSON
SECRETARY

PUBLIC PROTECTION CABINET

FRANKLIN S. KLING, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

MARC A. GUILFOIL, EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

To: Kentucky Horse Racing Commission

From: Barb Borden, Chief State Steward

Date: July 25, 2016

Re: Ratify The Red Mile's Request for Approval of their Racing Officials Roster & Wagering Format for their 2016 Meet

By a letter dated June 16, 2016, Julie Sorrell, the Director of Mutuels & Simulcasting at The Red Mile, requested the Kentucky Horse Racing Commission's approval of the attached Roster of Racing Officials scheduled to preside over the conduct of racing, as well as their Wagering Format during the 2016 Meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials were to be licensed by the meet opening.

KHRC staff members reviewed the request and confirmed that the list of race officials and wagering format was appropriate. Because The Red Mile opened prior to the Commission meeting, the Executive Director approved the request pursuant to the authority granted in KRS 230.230. The Commission is being asked to ratify this action.

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied





June 16, 2016

Mr. Marc Guilfoil
Kentucky Horse Racing Commission
Executive Director
4063 Iron Works Parkway
Lexington, Kentucky 40511

Dear Mr. Guilfoil:

The Red Mile respectfully requests approval from the Kentucky Horse Racing Commission for the following Standardbred Race Officials for our upcoming meet.

Mike Davis	Associate Judge (Grand Circuit)
Lou Haskell	Associate Judge (Early Meet)
Jim Mitchell	Associate Judge (Both Grand Circuit and Early Meet)
Billy Perkins	Race Secretary (Grand Circuit)
Tom Agosti	Race Secretary (Early Meet)
Curby Stillings	Assistant Race Secretary
Connie Hochstetler	Assistant Race Secretary
Greg Coon	Starter
Dan Coon	Starter
Mike Moss	Starter
Tom Gray	Paddock Judge & Horse Identifier
Donna Blauser	Timer & Photo Finish
Tom Agosti	Charter/Program Coordinator/Clerk of Course
Julie Sorrell	Director of Mutuels
Harvey Taylor	Director of Security
Greg Coon	Track Superintendent
Marion Pryor	Paddock Blacksmith

As always, The Red Mile shall be in compliance with all applicable state and federal laws. Thank you for your time concerning this matter.

Sincerely,

Julie Sorrell
Director of Mutuels & Simulcasting
The Red Mile

RECEIVED

JUN 20 2016

KENTUCKY HORSE
RACING COMMISSION



June 16, 2016

Mr. Marc Guilfoil
Kentucky Horse Racing Commission
Executive Director
4063 Iron Works Parkway
Lexington, Kentucky 40511

The Red Mile respectfully requests approval from the Kentucky Horse Racing Commission for the following wagering format for our upcoming meet.

Wagering Format

Win, Place, Show, Exacta, Trifecta, in Every Race
Superfecta in approximately 9 races daily
Super High Five (with carryover) in one race daily
Daily Double in First and Last two Races
Pick three in 2- 3 races daily
Pick 4 once daily (with guaranteed pools and carryover)
Pick 5 once daily (with carryover)

All wagering minimums will be \$1.00 except:
Superfecta- \$0.20 minimum
Super High Five- \$0.20 minimum
Pick 5- \$0.50 minimum

Additionally, The Red Mile requests to again utilize method (2) of the ARCI model pari-mutuel rules for calculation of payment for both our Pick 4 and Pick 5 pools, which allows for 100% of the pool to be applied to the carryover. This method was well received by our wagering patrons last year. The specified distribution date for both pools would be the final day of our meet, October 9, 2016.

We also request permission to lower the takeout rate on our Pick 5 wager to 15% from 25%. As always, The Red Mile shall be in compliance with all applicable state and federal laws. Thank you for your time concerning this matter.

Sincerely,

Julie Sorrell
Director of Mutuels & Simulcasting

The Red Mile
1200 Red Mile Road • Lexington, Kentucky 40504 • (859) 255-0752 • Fax (859) 231-0217

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JUN 20 2016

KENTUCKY HORSE
RACING COMMISSION



MATTHEW G. BEVIN
GOVERNOR

DAVID A. DICKERSON
SECRETARY

PUBLIC PROTECTION CABINET

FRANKLIN S. KLING, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

MARC A. GUILFOIL, EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

To: Kentucky Horse Racing Commission

From: Barb Borden, Chief State Steward

Date: July 25, 2016

Re: Request for Approval of Roster of Racing Officials & Date Preference System for Kentucky Downs' 2016 Meet

By a letter dated June 21, 2016, Ted Nicholson, Senior Vice President at Kentucky Downs requests the Kentucky Horse Racing Commission's approval of the attached Roster of Racing Officials scheduled to preside over the conduct of racing, as well as their Date Preference System during their 2016 Meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied





KENTUCKY DOWNS

P.O. Box 405
Franklin, Kentucky 42135
270.586.7778
www.kentuckydowns.com

June 21, 2016

Marc Guilfoil, Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511

Dear Marc,

Kentucky Downs would like to inform you of our amended list for nominees to serve as racing officials for the upcoming meet. This letter amends the one sent back in September 2015 and which was part of our 2016 Racing Application:

- Richard S. Leigh(*) – Association Steward
- Brooks A. Becraft(*) – Association Steward
- Tyler B. Picklesimer(*) – Racing Secretary
- Randy Wehrmen – Asst. Racing Secretary / Placing Judge
- Rick Albright – Stakes Coordinator / Placing Judge
- Jeff Ewalt(*) – Placing Judge / Entry Clerk
- Melinda Vest - Placing Judge / Entry Clerk
- Carl Kloentrup – Horse Identifier / Entry Clerk
- Beth Witherspoon – Program Coordinator / Entry Clerk
- William Pettingill – Timer / Clocker and Paddock Judge
- Mike June – Clerk of Scales
- Robert Alexander - Starter
- Arthur J. Zeis – Stall Superintendent
- Greg Blasi – Outrider and Paddock Blacksmith
- John Wells – Outrider
- Lee Russell – Outrider
- Joe Kristufek – Morning Line Maker
- Frank Smothers – Jockey Room Custodian
- Susie Perry – Horsemen's Bookkeeper
- John Lies – Announcer
- John Stegmeier – Security Manager
- Chris Sanderfur – Mutuels Manager
- CJ Johnsen – Live Racing Manager

(*) - Accredited Racing Officials Accreditation Program

All nominees are currently in good standing and have previously served as a licensed racing official in this capacity.

Additionally, please find a copy of the preference system enclosed.

Thank you for your consideration of this matter.

Sincerely,

Ted Nicholson
Senior Vice President – General Manager
Kentucky Downs

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JUN 24 2016

KENTUCKY HORSE
RACING COMMISSION

CONDITION ELIGIBILITY

Horses are preferred by their available conditions in all allowance races beginning with Graded Stakes winners (Grade I-II-III), open stakes winners through their allowance conditions providing the horse has finished 1st, 2nd, 3rd, or 4th for \$25,000 or more since starting for less than \$25,000. In allowance/optional claiming races a horse's eligibility cannot exceed the condition of the race. Starter races for less than \$16,000 will be considered as claiming races for condition eligibility.

PREFERENCE SYSTEM

Preference for entering will be the star preference. Horses which have been entered into a book race (excluding stakes, substitute races and extras) that fails to fill, as well as those which have been excluded from races that that are carded shall be given preference the next time that they are entered, provided that they are entered in a race of similar distance (under a mile or over) and within a price range of \$5,000 either way.

- No Star Only entries will be accepted.
- No star will be assigned to the second choice of an un-split same owner entry.
- No star will be assigned to an in-today horse.
- Stars must be re-established after starting in a race at Kentucky Downs.
- Horses starting at another racetrack will forfeit any star (s) they may have accumulated.
- A horse on the also-eligible list for a race on the present day that has been drawn into the body of a race on the succeeding race day, shall not be permitted to run in the race

on the present day for which it had been listed as also-eligible. This shall not include stakes and handicaps

- Preferences shall not be given to a horse otherwise eligible for a race if it is also entered for a race on the succeeding race day. This shall not include stakes and handicaps.
- Winners are preferred in all non-maiden races.
- Stars are condition specific (e.g. a maiden star is not a preference in a winner's race and a 1XOT star is not a preference in a 2XOT, etc.).
- A star obtained in a filly and mare race is not preference in a colt and gelding race.
- If a horse is scratched it shall lose its preference (star (s)).
- No star shall be assigned to a horse on a list. Additionally, any horse going on a list shall lose its star (s).
- Horses on the also-eligible list who have an opportunity to draw in the body of the race at scratch time and elect not to run shall lose their star (s).
- Stakes and handicap races are not subject to star preference.
- Stars are transferred through change of ownership and changes in trainer after the proper transfer procedures have been completed through the Steward's office and delivered to the Racing Secretary's Office.
- Star preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
- A horse that is a scheduled starter in a canceled race will retain its previously earned star (s).

REGISTRATION PAPERS

Foal certificates are no longer required to be on file in the Racing Office to enter or to start at Kentucky Downs; however claimed horses and horses who win will have 72 hours to present foal certificates to the horse identifier.

KENTUCKY HORSE
RACING COMMISSION

JUN 24 2016

RECEIVED



MATTHEW G. BEVIN
GOVERNOR

DAVID A. DICKERSON
SECRETARY

PUBLIC PROTECTION CABINET

FRANKLIN S. KLING, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

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LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

To: Kentucky Horse Racing Commission

From: Barb Borden, Chief State Steward

Date: July 25, 2016

Re: Request for Approval of Roster of Racing Officials & Date Preference System for Churchill Downs' 2016 September and November Fall Meets

By a letter dated July 21, 2016, D. Ben Huffman, Racing Secretary at Churchill Downs Race Track, requests the Kentucky Horse Racing Commission's approval of the attached Roster of Racing Officials scheduled to preside over the conduct of racing, as well as their Date Preference System during the 2016 September and November Fall Meets. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied





CHURCHILL DOWNS

July 21, 2016

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

Dear Mr. Guilfoil,

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials that will work the 2016 Churchill Downs September and Fall Meets and our date preferences information.

Respectfully submitted,

D. Ben Huffman
Racing Secretary

Attachments



CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2016 SEPTEMBER MEET

ASSOCIATION STEWARD:	Richard Leigh
RACING SECRETARY:	Ben Huffman
ASST. RACING SECRETARY/STAKES COORDINATOR	Dan Bork
HORSE IDENTIFIER:	Melinda Vest
CLERK OF SALES:	Javier Torres
JOCKEY ROOM CUSTODIAN:	Mike June
CLAIMS CLERK:	Catherine Dimino
PROGRAM COORDINATOR:	Tiffany Bourque
HORSEMEN'S BOOKKEEPER:	Trish Rankin
STARTER:	Scott Jordan
OUTRIDERS:	Greg Blasi Lee Lockwood Albert Russell
DIRECTOR OF SECURITY:	Rob King
DIRECTOR OF MUTUELS:	Rick Smith
TIMER:	American Teletimer
CLOCKER:	Peter Vestal
ENTRY CLERKS, PADDOCK AND PLACING JUDGES:	Steve Obrekaitis Steve Penrod John Veitch Baley Hare Allison DeLuca Sue Brewster
ANNOUNCER:	Travis Stone
STALL MANAGER:	Steve Hargrave

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KENTUCKY HORSE
RACING COMMISSION

CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2016 FALL MEET

ASSOCIATION STEWARD:	Richard Leigh
RACING SECRETARY:	Ben Huffman
ASST. RACING SECRETARY/STAKES COORDINATOR	Dan Bork
HORSE IDENTIFIER:	Melinda Vest
ASSISTANT IDENTIFIER:	Baley Hare
CLERK OF SALES:	Javier Torres
JOCKEY ROOM CUSTODIAN:	Mike June
CLAIMS CLERK:	Steve Penrod
PROGRAM COORDINATOR:	Tiffany Bourque
HORSEMEN'S BOOKKEEPER:	Trish Rankin
STARTER:	Scott Jordan
OUTRIDERS:	Greg Blasi Lee Lockwood Albert Russell
DIRECTOR OF SECURITY:	Rob King
DIRECTOR OF MUTUELS:	Rick Smith
TIMER:	American Teletimer
CLOCKER:	Peter Vestal
ENTRY CLERKS, PADDOCK AND PLACING JUDGES:	Steve Obrekaitis Tyler Picklesimer Ron Tomlinson Tia Murphy Sue Brewster Jeff Ewalt
ANNOUNCER:	Travis Stone
STALL MANAGER:	Steve Hargrave

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KENTUCKY HORSE
RACING COMMISSION

RACING SECRETARY'S OFFICE & THE CLAIMS CLERK

The Racing Secretary's Office and Claims Clerk Office are located behind the Paddock, adjacent to the Horsemen's Service Center. The Claims Box is located in the Racing Secretary's Office.

DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

Foal Certificates are no longer required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates must be on file to obtain a preference date and to get a horse tattooed.

Preference by condition eligibility then by:

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:

E-Date

This date will correspond to:

- a) the day on which a horse's foal papers and name tag are submitted to the Identifier (the earliest date being the day when entries are first taken for the meeting).
- b) the day on which a horse is first entered if his papers are not on file with the Identifier.

To qualify for the latter E-Date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

R-Date

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers are removed from the Identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Churchill Downs.
4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
5. A horse that runs in a race, which has been taken off the Turf will retain its Turf date and establish a Dirt R-Date. A scratched horse will receive an R-Date from the date scratched for both Turf and Dirt.
6. When a race is taken off the Turf, Main Track Only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the Turf, MTO horses will retain their previous date.

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**KENTUCKY HORSE
RACING COMMISSION**

7. Horses on the Also-Eligible list shall be permitted to draw into races in order listed. A horse entered as MTO shall be listed as an Also-Eligible. If the race remains on the Turf, a horse listed as MTO shall not be permitted to draw into the race.
8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
10. A horse which is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain its previous date.
11. Stakes and handicap races are not subject to date preference.
12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's Office.
13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
14. Winners will be preferred in all non- maiden races.

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KENTUCKY HORSE
RACING COMMISSION

MATTHEW G. BEVIN
GOVERNOR



DAVID A. DICKERSON
SECRETARY

PUBLIC PROTECTION CABINET

FRANKLIN S. KLING, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

MARC A. GUILFOIL, EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: HTTP://KHRC.KY.GOV

TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, director, division of incentives & development
DATE: July 28, 2016
SUBJECT: Backside Improvement Fund: Ellis Park

On July 27, 2016, the Commission received the enclosed letter from Ellis Park seeking permission to use funds in the Backside Improvement Fund in order to repair and/or replace several air conditioning units in the dorms located on the backside. Ellis Park does not have bids at this time but assures the Commission estimates will be turned over to the Commission as soon as available and total cost will not exceed available funds. Ellis Park confirms the Kentucky HBPA is in favor of this backside improvement project.

KHRC staff confirms Ellis Park currently has a positive balance of \$26,695.51. The purpose of the Backside Improvement Fund is to promote, enhance and improve the conditions of the backside of eligible racing associations in Kentucky. A backside facility is defined as those facilities located at thoroughbred horse racing associations in Kentucky where horses are stabled and quartered and where stable employees work and live [810 KAR 1:021].

Approval is recommended.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied





July 27, 2016

Mr. Guilfoil,

Ellis Park would like to request funds from the backside improvement fund in order to repair/replace several air conditioning units in the dorm rooms located on the backside. Ellis Park is working with local contractors on the pricing and as our estimates come in we will keep the commission up to date.

Respectfully submitted,
Dan Bork



2015 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)			
					PENALTY CLASS	WRITTEN WARNING	STATUS			
15-0029	3/8/2015	2/2/2015	Carlos A. Lopez	Total Carbon Dioxide (TOC2) 41.9 mmol/L (LGC Sample #0059479)		\$ 1,000	Not Paid		Suspended 30 days (March 14, 2015-April 12, 2015, inclusive) Horse disqualified and all purse money forfeited.	
15-0031	3/8/2015	2/6/2015	Carlos A. Lopez	Total Carbon Dioxide (TCO2) 42 mmol/L (LGC Sample #0059213) (second offense in 365 days)		\$ 1,500	Not Paid		Suspended 120 days (April 13, 2015-August 10, 2015, inclusive). Horse disqualified and all purse money forfeited.	
15-0032	3/8/2015	2/6/2015	Carlos A. Lopez	Total Carbon Dioxide (TCO2) 42 mmol/L (LGC Sample #0059213)					Horse disqualified and all purse money forfeited. All purse monies must be returned to the association.	
15-0033	3/13/2015	2/15/2015	Carlos A. Lopez	Methocarbamol 1.7 ng/ml (LGC Sample #0060854) (second offense in 365 days)	C	\$ 1,500	Not Paid		Suspended 30 days (August 11, 2015-September 9, 2015, inclusive). Horse disqualified and all purse money forfeited.	
15-0034	3/13/2015	2/15/2015	Carlos A. Lopez	Methocarbamol 1.7 ng/ml (LGC Sample #0060854)					Horse disqualified and all purse money forfeited. All purse monies must be returned to the association.	

2015 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING			
15-0037	3/13/2015	1/2/2015	GLADHANDER	Total Carbon Dioxide (TCO2) 41.9 mmol/L (LGC Sample #0059479)				Horse disqualified and all purse money forfeited. All purse monies must be returned to the association.	
15-0038	3/13/2015	2/6/2015	FOUR AND FIVE RED	Total Carbon Dioxide (TCO2) 42 mmol/L (LGC Sample #0059213)				Horse disqualified and all purse money forfeited. All purse monies must be returned to the association.	
15-0039	3/13/2015	2/15/2015	FOUR AND FIVE RED	Methocarbamol 1.7 ng/ml (LGC Sample #0060854)	C			Horse disqualified and all purse money forfeited. All purse monies must be returned to the association.	
15-0043	4/3/2015	3/8/2015	Brian K. Cook	Phenylbutazone 4.7 ug/ml (LGC Sample #0061665)	C	\$ 250	Not Paid		
15-0044	4/4/2015		Carlos A. Lopez	Participating while under suspension.				Suspended the remainder of the calendar year (September 10-December 31, 2015, inclusive). Must come before KHRC License Review Committee for any future licensing conderation in Kentucky.	

2015 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)			
					PENALTY CLASS	WRITTEN WARNING	STATUS			
15-0048	4/16/2015		Michael D. Haehn	Failure to comply with stewards' order concerning participation in the course of treatment ordered.					Suspended for disorderly conduct, reinstatement contingent upon compliance with course of treatment ordered and ability to provide a negative drug test.	
15-0050	4/18/2015	11/22/2014	Kellyn Gorder	Methamphetamine (LGC Sample #0067294)	A	\$ 5,000	Not Paid		Suspended 365 days, (May 1, 2015-April 29, 2016, inclusive). Horse disqualified and all purse money forfeited.	APPEALED
15-0051	4/18/2015	12/27/2014	Kellyn Gorder	Possession of injectable medication, hypodermic syringes and needle by person other than a veterinarian licensed to practice veterinary medicine and oral medication not properly labeled or validly prescribed by a licensed veterinarian.					Suspended 60 days, (April 30, 2016 -June 28, 2016, inclusive)	APPEALED
15-0059	4/30/2015	2/22/2015	Edward H. Frederick	Stanozolol (LGC Sample #0060932)	B	\$ 500	Not Paid		Suspended 45 days (May 15-June 28, 2015, inclusive). Horse disqualified and all purse money forfeited.	APPEALED

2015 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING			
15-0060	4/30/2015	3/11/2015	Edward H. Frederick	Possession of injectable medication, hypodermic syringes and needle by person other than a veterinarian licensed to practice veterinary medicine and oral medication not properly labeled or validly prescribed by a licensed veterinarian.				Suspended 90 days (June 29-September 25, 2015, inclusive)	APPEALED
15-0061	5/1/2015	2/22/2015	Stephen R. Baker	Stanozolol (LGC Sample #0060932)				Horse disqualified and all purse money forfeited. All purse monies must be returned to the association.	APPEALED
15-0084	6/12/2015		William Mize	Engaging in conduct that is against the best interest of horse racing.				Suspended pending your appearance before the Board of Stewards.	
15-0092	6/26/2015		Brian K. Cook	Failure to pay outstanding \$250 fine on Ruling #15-0043.				Suspended until fine is paid.	
15-0121	9/11/2015	9/11/2015	George S. McCarty, Sr.	Suspended by the Board of Stewards for having been formally ejected and excluded from racing association grounds at a location under the jurisdiction of the KHRC.				Referred to the License Review Committee	

2015 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)				
					PENALTY CLASS	WRITTEN WARNING	STATUS				
15-0129	9/18/2015	9/18/2015	Gregorio Mota	License REVOKED						Referred to the License Review Committee for any future license consideration.	
15-0132	9/27/2015	9/27/2015	Mike Willis	Ejected and excluded from racing grounds at a location under the jurisdiction of the KHRC						Suspended and referred to the License Review Committee for any future license consideration.	
15-0133	9/27/2015	9/27/2015	Thomas D. Callahan	Ejected and excluded from racing grounds at a location under the jurisdiction of the KHRC						Suspended and referred to the License Review Committee for any future license consideration.	
15-0134	10/3/2015	4/24/2015	H. Graham Motion	Methocarbamol 2.9 mg/ml (LGC Sample #0067782), (UCD Sample #EACL-150603-2)	C	\$ 500	Not Paid			Suspended 5 days (October 13 - October 17, 2015, inclusive) KITTEN'S POINT is disqualified and all purse money forfeited.	APPEALED
15-0135	10/3/2015	4/24/2015	George Strawbridge, Jr.	Methocarbamol 2.9 mg/ml (LGC Sample #0067782), (UCD Sample #EACL-150603-2)	C					KITTEN'S POINT is disqualified and all purse money forfeited. All purse monies to be returned to the association.	APPEALED
15-0145	11/16/2015	11/16/2015	Donald R. Parker	Formally ejected and excluded from racing association ground under the jurisdiction of the KHRC						Suspended and referred to License Review Committee for any future license consideration.	

2015 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)	
15-0154	12/31/2015	12/31/2015	Jerry McNeece	Failure to comply with stewards' order concerning participation in the course of treatment ordered, disorderly conduct on association grounds and formal ejection from Turfway Park.				Suspended.	

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING			
16-0001	1/1/2016	12/27/2015	Carlos Villasana	Careless riding				Suspended 3 racing days (January 2, January 3 and January 7, 2016)	
16-0002	1/7/2016	1/2/2016 & 1/3/2016	Robert Joe Woodard	Failure to conduct business in a proper manner		\$ 500	Paid		
16-0003	1/8/2016	12/11/2015	Charlie Lawson	Flunixin 23.3 ng/ml (Sample #0086280)	C	\$ 250	Paid		
16-0004	1/9/2016	1/7/2016	Dick Cardenas	Careless riding				Suspended 3 days (January 15 -January 17, 2016, inclusive)	
16-0005	1/17/2016	11/28/2015	Neil J. Howard	Phenylbutazone 13 ug/ml (Sample #0086018)	C	\$ 1,000	Paid	Suspended 5 days (January 25 -January 29, 2016, inclusive). DREAM DANCE disqualified and all purse money forfeited.	
16-0006	1/22/2016	11/28/2015	James L. Stone (Owner)	Phenylbutazone 13 ug/ml (Sample #0086018)	C			DREAM DANCE disqualified and purse redistributed. All purse monies must be returned to the association.	
16-0007	1/24/2016	11/2/2015	Dr. John M. Watt	Treatment Error		\$ 1,000	Paid	Suspended 5 days (January 27 -January 31, 2016, inclusive).	
16-0008	1/29/2016	1/24/2016	Julie Burke	Careless riding				Suspended 3 days (February 4 -February 6, 2016, inclusive)	
16-0009	1/29/2016	12/31/2015	Henry D. Miller, Jr.	Hydrocortisone Succinate (Sample #0082599)	C	\$ 750	Paid	Suspended 5 days (January 30 -February 3, 2016, inclusive). ZENZELDA disqualified and all purse money forfeited.	

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)			
					PENALTY CLASS	WRITTEN WARNING	STATUS			
16-0010	1/29/2016	12/31/2015	Henry D. Miller, Jr. (Owner)	Hydrocortisone Succinate (Sample #0082599)	C				ZENZELDA disqualified and purse redistributed. All purse monies must be returned to the association.	
16-0011	2/5/2016	1/31/2016	Albin Jimenez	Disorderly conduct and lapse in good judgement		\$ 500	Paid		Fined for an incident that occurred in the winners' circle at Turfway Park	
16-0012	2/6/2016	1/14/2016	Olivo I. Inirio	Multiple NSAIDS (Sample #81888)	NSAIDS	\$ 250	Paid		Suspended 5 days (February 13-February 17, 2016, inclusive)	
16-0013	2/12/2016	1/1/2016	Tammi S. Faber	Hydrocortisone Succinate (Sample #0082632)	C	\$ 500	Paid		Suspended 5 days (February 13 -February 17, 2016, inclusive). ALREADY RED disqualified and all purse money forfeited.	
16-0014	2/12/2016	1/1/2016	Tammi S. Faber (Owner)	Hydrocortisone Succinate (Sample #0082632)	C				ALREADY RED disqualified and purse redistributed. All purse monies must be returned to the association.	
16-0015	2/20/2016	1/28/2016	David K. Cook	Multiple NSAIDS (Sample #83621)	NSAIDS	\$ 250	Paid		Suspended 5 days (February 27-March 2, 2016, inclusive)	
16-0016	2/20/2016	1/23/2016	Olivo I. Inirio	Phenylbutazone 3.1 ug/ml (Sample #0083557) (2nd offense in 365 days)	C	\$ 500	Paid			
16-0017	8/1/1998	2/21/2016	Alvin Alex	Restored to good standing after hearing before the Board of Stewards and Ellis Park ejection lifted						

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)			
					PENALTY CLASS	WRITTEN WARNING	STATUS			
16-0018	3/4/2016	2/7/2016	Vickie L. Foley	Phenylbutazone 2.6 ug/ml (Sample #0096382)	C	\$ 250	Paid			
16-0019	3/6/2016	2/5/2016	William R. Helmbrecht	Methylprednisolone 244 pg/ml (Sample #96313)	C	\$ 500	Paid			Suspended 5 days (March 14 -March 18, 2016, inclusive). THE RIGHT THING disqualified and all purse money forfeited.
16-0020	3/6/2016	2/5/2016	Jon Jazdzewski (Owner)	Methylprednisolone 244 pg/ml (Sample #96313)	C					THE RIGHT THING disqualified and purse monies must be returned to the association.
16-0021	3/17/2016	3/12/2016	Gabriel Lagunes	Failure to fulfill riding engagement		\$ 100	Paid			
16-0022	3/17/2016	3/11/2016	Azael De Leon	Failure to fulfill riding engagements		\$ 200	Paid			Failed to ride at Turfway Park on 3/11/2016 and 3/12/2016
16-0023	3/17/2016	3/12/2016	Carson Sullivan	Failure to fulfill riding engagements		\$ 100	Paid			
16-0024	3/18/2016	2/26/2016	Manny Perez	Dexamethasone 39 pg/ml (Sample #0078373)	C	\$ 500	Paid			Suspended 5 days (March 20-March 24, 2016, inclusive). ALYCZARA disqualified and all purse money forfeited.
16-0025	3/18/2016	2/26/2016	Antonio Meraz(Owner)	Dexamethasone 39 pg/ml (Sample #0078373)	C					ALYCZARA disqualified and purse redistributed. All purse monies must be returned to the association.

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)			
					PENALTY CLASS	WRITTEN WARNING	STATUS			
16-0026	3/18/2016	2/19/2016	Christi M. Flores	Clenbuterol (Sample #0078259)	B	\$ 500	Not Paid		Suspended 30 days (March 19 -April 17, 2016, inclusive). RANGER ANNIE disqualified and all purse money forfeited.	
16-0027	3/18/2016	2/19/2016	Christi M. Flores (Owner)	Clenbuterol (Sample #0078259)	B				RANGER ANNIE disqualified and purse redistributed. All purse monies must be returned to the association.	
16-0028	3/20/2016	3/19/2016	Orlando Mojica	Failure to fulfill riding engagement		\$ 100	Paid			
16-0029	3/25/2016	1/21/2016	Olivo I. Inirio	Dexamethasone 64 pg/ml (Sample #0083517)	C	\$ 1,000	Paid		Suspended 10 days (March 27 -April 5, 2016, inclusive). WILD CARD HIT disqualified and all purse money forfeited.	
16-0030	3/25/2016	1/21/2016	Olivo I. Inirio (Owner)	Dexamethasone 64 pg/ml (Sample #0083517)	C				WILD CARD HIT disqualified and purse redistributed. All purse monies must be returned to the association.	
16-0031	3/26/2016	3/24/2016	Raquel Aguilar	Suspended pending appearance					Formally ejected from Churchill Downs for drug trafficking and gun possession.	
16-0032	3/31/2016	3/5/2016	Charlie G. Livers	Dexamethasone 44 pg/ml (Sample #0079502)	C	\$ 500	Not Paid		Suspended 5 days (April 4 - April 8, 2016, inclusive). TAKIDDM disqualified and all purse money forfeited.	

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)			
					PENALTY CLASS	WRITTEN WARNING	STATUS			
16-0033	3/31/2016	3/5/2016	Kevin J. Bowman (Owner)	Dexamethasone 44 pg/ml (Sample #0079502)	C					NYQUIST disqualified and purse redistributed. All purse monies must be returned to the association.
16-0034	4/6/2016	4/2/2016	Corey J. Nakatani	Failure to conduct business in a proper manner		\$ 500	Not Paid			Did not report overweight in a timely manner
16-0035	4/6/2016	4/2/2016	Robby J. Albarado	Failure to conduct business in a proper manner		\$ 500	Paid			Did not report overweight in a timely manner
16-0036	4/8/2016	4/8/2016	Hugo Andrade	Void Trainer license						Does not qualify for Trainer license at this time - Owner license remains valid
16-0037	4/13/2016	1/9/2016	Michael J. Maker	Dextrorphan (Sample #0081813)	B	\$ 750				Suspended 40 days (April 24 - June 2, 2016, inclusive). HARLAN'S HOWLING disqualified and all purse money forfeited.
16-0038	4/13/2016	1/9/2016	Mark D. Breen (Owner)	Dextrorphan (Sample #0081813)	B					HARLAN'S HOWLING disqualified and purse redistributed. All purse monies must be returned to the association.
16-0039	4/14/2016	4/8/2016	Jose L. Lopez	Arrived late		\$ 100	Paid			Was not on Keeneland grounds at the time designated.
16-0040	4/18/2016	4/16/2016	Tyler S. Gaffalione	Careless riding						Suspended 3 racing days (April 24, April 27 and April 28, 2016)

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING			
16-0041	4/19/2016	11/19/2015	Sebastian Saez	Apprentice allowance extension granted				An extension of 56 days granted (June 14, 2016 through and including August 8, 2016) due to an injury sustained at Churchill Downs November 19, 2015	
16-0042	4/28/2016	4/8/2016	Wayne W. Rice	Multiple NSAIDS (Sample #0080507)	NSAIDS	\$ 250	Paid	Suspended 5 days (May 9 through May 13, 2016, inclusive)	
16-0043	5/3/2016	5/2/2016	Otabek Umarov	Suspended by the Board of Stewards for having been formally ejected and excluded from racing association grounds at a location under the jurisdiction of the KHRC.				Ejected and excluded from Churchill Downs on May 2, 2016, a location under the jurisdiction of the KHRC.	
16-0044	5/11/2016	4/30/2016	Yuliia Burdeniuk	Suspended by the Board of Stewards pending her appearance.				Suspended by the Board of Stewards pending her appearance to answer questions regarding the removal of "Looks to Spare" from CD grounds on April 30, 2016	
16-0045	5/14/2016	4/16/2016	Mark E. Casse	Phenylbutazone 3.8 ug/ml (Sample #0080746)	C	\$ 250	Paid		
16-0046	5/20/2016	4/27/2016	John Ennis	Methocarbamol 1.5 ng/ml (Sample #0079930)	C	\$ 500	Paid	Suspended 5 days (May 22-May 26, 2016, inclusive). NIGHT LEAGUE disqualified and all purse money forfeited.	

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)			
					PENALTY CLASS	WRITTEN WARNING	STATUS			
16-0047	5/20/2016	4/27/2016	Pedro Pecheco (Owner)	Methocarbamol 1.5 ng/ml (Sample #0079930)	C				NIGHT LEAGUE disqualified and purse redistributed. All purse monies must be returned to the association.	
16-0048	5/22/2016	5/20/2016	Francisco C. Torres	Careless riding					Suspended 4 racing days (May 29, May 30, June 2 and June 3, 2016)	
16-0049	5/27/2016	4/30/2016	Otabek Umarov	Prevented an Out of Competition sample to be drawn from "Looks to Spare" and removed horse from CD grounds on April 30, 2016, failed to answer truthfully questions asked by the commission or its representatives, engaged in conduct of a disorderly nature by failing to obey the stewards' orders, engaged in conduct that is against the best interests of horse racing, possessed hypodermic needles, syringes and injectable medications on association grounds at CD on April 30, 2016, made a material misrepresentation, falsification, or omission in an application for a license.		\$ 10,000			Owner/Trainer license of Otabek Umarov is REVOKED for a period of TEN (10) YEARS.	

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)	
16-0050	6/18/2016	6/17/2016	Ricardo Santana, Jr.	careless riding				Suspended 3 racing days (June 23-June 25, 2016, inclusive)	
16-0051	7/6/2016	7/1/2016	Sebastian Saez	failure to be present for the viewing of the films		\$ 100	Not Paid		
16-0052	7/6/2016	7/1/2016	Ezequiel Rivera	failure to be present for the viewing of the films		\$ 100	Not Paid		
16-0053	7/7/2016	6/16/2016	Kenneth L. Ramsey	violated scratch regulation		\$ 500	Not Paid	The scratch of AMEN KITTEN on June 16, 2016 violated the regulation that governs scratches.	
16-0054	7/7/2016	7/24/2008	Donald Crosser	restored to good standing				restored to good standing after a hearing before Board of Stewards and lifting of ejection from Ellis Park	
16-0055	7/10/2016	7/9/2016	Leandro Goncalves	failure to fulfill riding engagement		\$ 100	Paid		
16-0056	7/10/2016	7/9/2016	David R. Flores	failure to fulfill riding engagements		\$ 100	Not Paid		
16-0057	7/14/2016	6/3/2016	Curry S. Edwards	suspended and must appear before the LRC of the KHRC for any future license consideration				pending criminal charges, alleged falsification of license application and formal ejection from Keeneland	
16-0058	7/14/2016	7/1/2016	Shyun Parker	suspended pending appearance				disorderly conduct on association grounds and formal ejection from Ellis Park	
16-0059	7/14/2016	6/27/2016	(Unlicensed) Morales Wilson	suspended pending appearance				intoxication, alleged sexual assault, pending criminal charges, outstanding warrants and formal ejection from Ellis Park.	

2016 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING			
16-0060	7/28/2016	7/17/2016	Marlon St. Julien	careless riding				suspended 3 racing days (July 30, 31 and August 5, 2016)	
16-0061	7/28/2016	7/23/2016	Channing Hill	careless riding				suspended 3 racing days (July 30, 31 and August 5, 2016)	
16-0062	7/28/2016	7/23/2016	Arturo Aparicio	careless riding				suspended 3 racing days (July 29 through July 31, 2016, inclusive)	

2016 STANDARDBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)	Stewards Notes
					PENALTY CLASS	WRITTEN WARNING			
J2016-001	01/07/16	01/07/16	Arthur E. Gregory, Jr	Restored to good standing as of 1/7/2016					
J2016-002	01/14/16	01/14/16	Daniel N. Noble	Restored to good standing as of 1/14/2016					
J2016-003	04/08/16	04/04/16	Jonda Smith	Restored to good standing as of 04/04/2016					
J2016-004	02/22/16	02/15/16	Kandace K Scholey	Restored to good standing as of 02/15/16					
J2016-005	05/20/16	04/20/16	Calvin L Harris		B	\$500		Suspended 30 days (5/20/16-6/18/16)	Horse DQd; purse redistribution
J2016-006	05/26/16	04/15/16	Jeremy C. Bobbitt	Methylprednisolone	4	\$500		Suspended 5 days (5/28/16-6/1/16)	Horse DQd; purse redistribution
J2016-007	05/26/16	04/14/16	James Edward Stiltner II	Dexamethasone	4	\$1,500		Suspended 10 days (5/28/16-6/6/16)	Horse DQd; purse redistribution
J2016-008	05/26/16	05/04/16	Jimmy Viars	Phenylbutazone	4	\$100			
J2016-009	05/26/16	05/04/16	Devernon K Wilson	Phenylbutazone	4	\$100			
J2016-010	06/09/16	05/13/16	Calvin L Harris	Caffeine	2	\$1,000		Suspended Full--60 days (6/19/16-8/17/16)	Horse DQd; purse redistribution
J2016-011	06/19/16	06/19/16	Timothy Demond Williams	Restored to good standing as of 06/19/16					RES for return of purse to PBD.
J2016-012	07/02/16	07/02/16	Kirby Grimes	Falsification of License Application				License Revoked	

KHRC Veterinary Report

Churchill Downs 2016 Spring Meet

Total Starts	3004
<hr style="border-top: 1px dashed black;"/>	
Post Race Samples	799
tCO ₂	693
<hr style="border-top: 1px dashed black;"/>	

Veterinary Scratches	14
<hr style="border-top: 1px dashed black;"/>	
Pre-Race	9
On-Track	5
<hr style="border-top: 1px dashed black;"/>	
Soundness related	2
Gate/paddock Injury	3
<hr style="border-top: 1px dashed black;"/>	

KHRC Veterinary List	30
<hr style="border-top: 1px dashed black;"/>	
Scratches	14
Race Inj/post race lame/Test barn lame	10
EIPH	2
Heat Distress	4
<hr style="border-top: 1px dashed black;"/>	

Ambulance Runs	20
<hr style="border-top: 1px dashed black;"/>	

Racing Fatalities	10
<hr style="border-top: 1px dashed black;"/>	
3 sudden death/EIPH, 7 musculo-skeletal	

Training Fatalities	2
<hr style="border-top: 1px dashed black;"/>	
1 musculo-skeletal	
1 EIPH	
<hr style="border-top: 1px dashed black;"/>	

Monthly Track Report - Churchill Downs

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates	17	15	13.3%	68	74	-8.1%
On Track Handle	\$ 6,951,008	\$ 7,872,910	-11.7%	\$ 64,757,703	\$ 68,634,932	-5.6%
Less: Return to the Public	\$ 5,526,965	\$ 6,255,530	-11.6%	\$ 51,510,460	\$ 54,625,314	-5.7%
Less: Breakage	\$ 45,433	\$ 49,679	-8.5%	\$ 441,686	\$ 410,499	7.6%
Gross Commission	<u>\$ 1,378,610</u>	<u>\$ 1,567,701</u>	<u>-12.1%</u>	<u>\$ 12,805,557</u>	<u>\$ 13,599,119</u>	<u>-5.8%</u>
TB Development Fund	\$ 52,133	\$ 59,047	-11.7%	\$ 485,683	\$ 514,762	-5.6%
Equine Industry Program	\$ 13,902	\$ 15,746	-11.7%	\$ 129,515	\$ 137,270	-5.6%
Equine Drug Research	\$ 6,951	\$ 7,873	-11.7%	\$ 64,758	\$ 68,635	-5.6%
Higher Education Fund	\$ 6,951	\$ 7,873	-11.7%	\$ 64,758	\$ 68,635	-5.6%
Ky General Fund	\$ 24,329	\$ 27,555	-11.7%	\$ 226,652	\$ 240,222	-5.6%
Total Kentucky Excise Tax (1.5%)	<u>\$ 104,265</u>	<u>\$ 118,094</u>	<u>-11.7%</u>	<u>\$ 971,366</u>	<u>\$ 1,029,524</u>	<u>-5.6%</u>
Net Commission	\$ 1,274,345	\$ 1,449,607	-12.1%	\$ 11,834,191	\$ 12,569,595	-5.9%
Off Track Handle From:						
Other Kentucky Tracks	\$ 1,404,336	\$ 1,002,839	40.0%	\$ 12,626,014	\$ 13,385,394	-5.7%
Kentucky ADW's	\$ 5,422,930	\$ 5,833,878	-7.0%	\$ 44,223,605	\$ 51,655,097	-14.4%
Other Sources (Non-Ky Tracks, OTB's, Other ADW's)	\$ 44,857,771	\$ 39,110,613	14.7%	\$ 395,247,250	\$ 378,216,314	4.5%
All Sources Handle	<u>\$ 58,636,045</u>	<u>\$ 53,820,240</u>	<u>8.9%</u>	<u>\$ 516,854,572</u>	<u>\$ 511,891,737</u>	<u>1.0%</u>
Average Daily Handle - On Track	\$ 408,883	\$ 524,861	-22.1%	\$ 952,319	\$ 927,499	2.7%
Average Daily Handle - All Sources	\$ 3,449,179	\$ 3,588,016	-3.9%	\$ 7,600,803	\$ 6,917,456	9.9%
Simulcast						
Handle by Breed						
Thoroughbred	\$ 3,089,080	\$ 3,517,116	-12.2%	\$ 42,171,937	\$ 41,430,949	1.8%
Standardbred	\$ -	\$ -		\$ 125,993	\$ 93,119	35.3%
Qtr/Arabian	\$ 24,410	\$ 23,230	5.1%	\$ 189,385	\$ 281,742	-32.8%
Total Handle	<u>\$ 3,113,490</u>	<u>\$ 3,540,346</u>	<u>-12.1%</u>	<u>\$ 42,487,315</u>	<u>\$ 41,805,810</u>	<u>1.6%</u>
TB Development Fund	\$ 61,782	\$ 70,342	-12.2%	\$ 843,439	\$ 828,619	1.8%
SB Development Fund	\$ -	\$ -		\$ 2,520	\$ 1,862	35.3%
QH Development Fund	\$ 488	\$ 465	5.1%	\$ 3,788	\$ 5,635	-32.8%
Equine Industry Program*	\$ 1,557	\$ 1,770	-12.1%	\$ 21,244	\$ 20,903	1.6%
Equine Drug Research	\$ 3,113	\$ 3,540	-12.1%	\$ 42,487	\$ 41,806	1.6%
Higher Education Fund	\$ 3,113	\$ 3,540	-12.1%	\$ 42,487	\$ 41,806	1.6%
Ky General Fund	\$ 23,351	\$ 26,553	-12.1%	\$ 318,655	\$ 313,544	1.6%
Total Kentucky Excise Tax (3%)	<u>\$ 93,405</u>	<u>\$ 106,210</u>	<u>-12.1%</u>	<u>\$ 1,274,619</u>	<u>\$ 1,254,174</u>	<u>1.6%</u>

* Rate is .0005 for Simulcast only

Monthly Track Report - Ellis Park

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates				31	28	10.7%
On Track Handle				\$ 5,008,862	\$ 4,402,975	13.8%
Less: Return to the Public				\$ 3,985,839	\$ 3,502,940	13.8%
Less: Breakage				\$ 38,055	\$ 34,179	11.3%
Gross Commission				\$ 984,968	\$ 865,856	13.8%
TB Development Fund				\$ 37,566	\$ 33,022	13.8%
Equine Industry Program				\$ 10,018	\$ 8,806	13.8%
Equine Drug Research				\$ 5,009	\$ 4,403	13.8%
Higher Education Fund				\$ 5,009	\$ 4,403	13.8%
Ky General Fund				\$ 17,531	\$ 15,410	13.8%
Total Kentucky Excise Tax (1.5%)				\$ 75,133	\$ 66,045	13.8%
Net Commission				\$ 909,835	\$ 799,811	13.8%
Off Track Handle From:						
Other Kentucky Tracks				\$ 2,081,077	\$ 1,830,832	13.7%
Kentucky ADW's				\$ 3,005,962	\$ 2,457,439	22.3%
Other Sources				\$ 22,967,879	\$ 23,855,794	-3.7%
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle				\$ 33,063,780	\$ 32,547,040	1.6%
Average Daily Handle - On Track				\$ 161,576	\$ 157,249	2.8%
Average Daily Handle - All Sources				\$ 1,066,574	\$ 1,162,394	-8.2%

Simulcast						
Handle by Breed						
Thoroughbred	\$ 1,513,911	\$ 1,552,764	-2.5%	\$ 23,022,846	\$ 23,335,364	-1.3%
Standardbred	\$ 113,621	\$ 101,052	12.4%	\$ 985,388	\$ 1,374,025	-28.3%
Qtr/Arabian	\$ 9,585	\$ 7,579	26.5%	\$ 67,590	\$ 70,930	-4.7%
Total Handle	\$ 1,637,117	\$ 1,661,395	-1.5%	\$ 24,075,824	\$ 24,780,319	-2.8%
TB Development Fund	\$ 30,278	\$ 31,055	-2.5%	\$ 460,457	\$ 466,707	-1.3%
SB Development Fund	\$ 2,272	\$ 2,021	12.4%	\$ 19,708	\$ 27,481	-28.3%
QH Development Fund	\$ 192	\$ 152	26.5%	\$ 1,352	\$ 1,419	-4.7%
Equine Industry Program*	\$ 819	\$ 831	-1.5%	\$ 12,038	\$ 12,390	-2.8%
Equine Drug Research	\$ 1,637	\$ 1,661	-1.5%	\$ 24,076	\$ 24,780	-2.8%
Higher Education Fund	\$ 1,637	\$ 1,661	-1.5%	\$ 24,076	\$ 24,780	-2.8%
Ky General Fund	\$ 12,278	\$ 12,460	-1.5%	\$ 180,569	\$ 185,852	-2.8%
Total Kentucky Excise Tax (3%)	\$ 49,114	\$ 49,842	-1.5%	\$ 722,275	\$ 743,410	-2.8%

* Rate is .0005 for Simulcast only

Monthly Track Report - Kentucky Downs

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates				5	5	0.0%
On Track Handle				\$ 628,146	\$ 744,543	-15.6%
Less: Return to the Public				\$ 515,172	\$ 609,707	-15.5%
Less: Breakage				\$ 3,541	\$ 4,814	-26.4%
Gross Commission				\$ 109,433	\$ 130,022	-15.8%
TB Development Fund				\$ 4,711	\$ 5,584	-15.6%
Equine Industry Program				\$ 1,256	\$ 1,489	-15.6%
Equine Drug Research				\$ 628	\$ 745	-15.6%
Higher Education Fund				\$ 628	\$ 745	-15.6%
Ky General Fund				\$ 2,199	\$ 2,606	-15.6%
Total Kentucky Excise Tax (1.5%)				\$ 9,422	\$ 11,168	-15.6%
Net Commission				\$ 100,011	\$ 118,854	-15.9%
Off Track Handle From:						
Other Kentucky Tracks				\$ 590,300	\$ 755,220	-21.8%
Kentucky ADW's				\$ 2,504,182	\$ 2,327,048	7.6%
Other Sources				\$ 13,164,506	\$ 12,052,589	9.2%
<small>(Non-Ky Tracks, OTB's, Other ADW's)</small>						
All Sources Handle				\$ 16,887,134	\$ 15,879,400	6.3%
Average Daily Handle - On Track				\$ 125,629	\$ 148,909	-15.6%
Average Daily Handle - All Sources				\$ 3,377,427	\$ 3,175,880	6.3%

Simulcast						
Handle by Breed						
Thoroughbred	\$ 922,339	\$ 1,045,337	-11.8%	\$ 12,885,639	\$ 13,746,899	-6.3%
Standardbred	\$ 42,907	\$ 53,855	-20.3%	\$ 610,063	\$ 666,376	-8.5%
Qtr/Arabian	\$ 20,326	\$ 16,293	24.8%	\$ 355,767	\$ 332,373	7.0%
Total Handle	\$ 985,572	\$ 1,115,485	-11.6%	\$ 13,851,469	\$ 14,745,648	-6.1%
TB Development Fund	\$ 18,447	\$ 20,907	-11.8%	\$ 257,713	\$ 274,938	-6.3%
SB Development Fund	\$ 858	\$ 1,077	-20.3%	\$ 12,201	\$ 13,328	-8.5%
QH Development Fund	\$ 407	\$ 326	24.8%	\$ 7,115	\$ 6,647	7.0%
Equine Industry Program*	\$ 493	\$ 558	-11.6%	\$ 6,926	\$ 7,373	-6.1%
Equine Drug Research	\$ 986	\$ 1,115	-11.6%	\$ 13,851	\$ 14,746	-6.1%
Higher Education Fund	\$ 986	\$ 1,115	-11.6%	\$ 13,851	\$ 14,746	-6.1%
Ky General Fund	\$ 7,392	\$ 8,366	-11.6%	\$ 103,886	\$ 110,592	-6.1%
Total Kentucky Excise Tax (3%)	\$ 29,567	\$ 33,465	-11.6%	\$ 415,544	\$ 442,369	-6.1%

* Rate is .0005 for Simulcast only

Monthly Track Report - Keeneland

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates				33	33	0.0%
On Track Handle				\$ 33,771,750 ⁽¹⁾	\$ 33,768,158	0.0%
Less: Return to the Public				\$ 27,601,410	\$ 27,588,663	0.0%
Less: Breakage				\$ 232,993	\$ 237,342	-1.8%
Gross Commission				\$ 5,937,347	\$ 5,942,153	-0.1%
TB Development Fund				\$ 253,288	\$ 253,261	0.0%
Equine Industry Program				\$ 67,544	\$ 67,536	0.0%
Equine Drug Research				\$ 33,772	\$ 33,768	0.0%
Higher Education Fund				\$ 33,772	\$ 33,768	0.0%
Ky General Fund				\$ 118,201	\$ 118,189	0.0%
Total Kentucky Excise Tax (1.5%)				\$ 506,576	\$ 506,522	0.0%
Net Commission				\$ 5,430,771	\$ 5,435,631	-0.1%
Off Track Handle From:						
Other Kentucky Tracks				\$ 5,898,726	\$ 5,457,414	8.1%
Kentucky ADW's				\$ 37,775,403	\$ 32,804,327	15.2%
Other Sources				\$ 186,779,113	\$ 168,437,480	10.9%
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle				\$ 264,224,992	\$ 240,467,379	9.9%
Average Daily Handle - On Track				\$ 1,023,386	\$ 1,023,278	0.0%
Average Daily Handle - All Sources				\$ 8,006,818	\$ 7,286,890	9.9%

Simulcast						
Handle by Breed						
Thoroughbred	\$ 3,215,966	\$ 3,666,460	-12.3%	\$ 43,066,680	\$ 46,153,883	-6.7%
Standardbred						
Qtr/Arabian	\$ 13,100	\$ 20,170	-35.1%	\$ 141,548	\$ 157,952	-10.4%
Total Handle	\$ 3,229,066	\$ 3,686,630	-12.4%	\$ 43,208,228	\$ 46,311,835	-6.7%
TB Development Fund	\$ 64,319	\$ 73,329	-12.3%	\$ 861,334	\$ 923,078	-6.7%
SB Development Fund	\$ -	\$ -		\$ -	\$ -	
QH Development Fund	\$ 262	\$ 403	-35.1%	\$ 2,831	\$ 3,159	-10.4%
Equine Industry Program*	\$ 1,615	\$ 1,843	-12.4%	\$ 21,604	\$ 23,156	-6.7%
Equine Drug Research	\$ 3,229	\$ 3,687	-12.4%	\$ 43,208	\$ 46,312	-6.7%
Higher Education Fund	\$ 3,229	\$ 3,687	-12.4%	\$ 43,208	\$ 46,312	-6.7%
Ky General Fund	\$ 24,218	\$ 27,650	-12.4%	\$ 324,062	\$ 347,339	-6.7%
Total Kentucky Excise Tax (3%)	\$ 96,872	\$ 110,599	-12.4%	\$ 1,296,247	\$ 1,389,355	-6.7%

* Rate is .0005 for Simulcast only

(1) Excludes Breeders Cup run
in October 2015

Monthly Track Report - Turfway Park

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates				62	53	17.0%
On Track Handle				\$ 5,089,913	\$ 4,780,524	6.5%
Less: Return to the Public				\$ 4,052,825	\$ 3,809,574	6.4%
Less: Breakage				\$ 30,712	\$ 28,258	8.7%
Gross Commission				\$ 1,006,376	\$ 942,692	6.8%
TB Development Fund				\$ 38,174	\$ 35,854	6.5%
Equine Industry Program				\$ 10,180	\$ 9,561	6.5%
Equine Drug Research				\$ 5,090	\$ 4,781	6.5%
Higher Education Fund				\$ 5,090	\$ 4,781	6.5%
Ky General Fund				\$ 17,815	\$ 16,732	6.5%
Total Kentucky Excise Tax (1.5%)				\$ 76,349	\$ 71,708	6.5%
Net Commission				\$ 930,027	\$ 870,984	6.8%
Off Track Handle From:						
Other Kentucky Tracks				\$ 2,832,933	\$ 2,898,017	-2.2%
Kentucky ADW's				\$ 13,195,679	\$ 12,809,311	3.0%
Other Sources				\$ 72,144,272	\$ 74,114,951	-2.7%
<small>(Non-Ky Tracks, OTB's, Other ADW's)</small>						
All Sources Handle				\$ 93,262,797	\$ 94,602,803	-1.4%
Average Daily Handle - On Track				\$ 82,095	\$ 90,199	-9.0%
Average Daily Handle - All Sources				\$ 1,504,239	\$ 1,784,959	-15.7%

Simulcast						
Handle by Breed						
Thoroughbred	\$ 1,874,406	\$ 2,261,662	-17.1%	\$ 32,102,309	\$ 34,907,438	-8.0%
Standardbred	\$ 55,619	\$ 76,397	-27.2%	\$ 835,516	\$ 1,070,722	-22.0%
Qtr/Arabian	\$ 12,189	\$ 15,055	-19.0%	\$ 199,323	\$ 232,566	-14.3%
Total Handle	\$ 1,942,214	\$ 2,353,114	-17.5%	\$ 33,137,148	\$ 36,210,726	-8.5%
TB Development Fund	\$ 37,488	\$ 45,233	-17.1%	\$ 642,046	\$ 698,149	-8.0%
SB Development Fund	\$ 1,112	\$ 1,528	-27.2%	\$ 16,710	\$ 21,414	-22.0%
QH Development Fund	\$ 244	\$ 301	-19.0%	\$ 3,986	\$ 4,651	-14.3%
Equine Industry Program*	\$ 971	\$ 1,177	-17.5%	\$ 16,569	\$ 18,105	-8.5%
Equine Drug Research	\$ 1,942	\$ 2,353	-17.5%	\$ 33,137	\$ 36,211	-8.5%
Higher Education Fund	\$ 1,942	\$ 2,353	-17.5%	\$ 33,137	\$ 36,211	-8.5%
Ky General Fund	\$ 14,567	\$ 17,648	-17.5%	\$ 248,529	\$ 271,580	-8.5%
Total Kentucky Excise Tax (3%)	\$ 58,266	\$ 70,593	-17.5%	\$ 994,114	\$ 1,086,322	-8.5%

* Rate is .0005 for Simulcast only

Monthly Track Report - Bluegrass Downs

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates	9	9	0.0%	15	18	-16.7%
On Track Handle	\$ 8,843	\$ 9,437	-6.3%	\$ 15,768	\$ 20,470	-23.0%
Less: Return to the Public	\$ 6,948	\$ 7,390	-6.0%	\$ 12,381	\$ 16,006	-22.6%
Less: Breakage	\$ 57	\$ 55	3.6%	\$ 94	\$ 141	-33.3%
Gross Commission	<u>\$ 1,838</u>	<u>\$ 1,992</u>	<u>-7.7%</u>	<u>\$ 3,293</u>	<u>\$ 4,323</u>	<u>-23.8%</u>
SB Development Fund	\$ 111	\$ 118	-6.3%	\$ 197	\$ 256	-23.0%
Equine Industry Program	\$ 18	\$ 19	-6.3%	\$ 32	\$ 41	-23.0%
Equine Drug Research	\$ 9	\$ 9	-6.3%	\$ 16	\$ 20	-23.0%
Higher Education Fund	\$ 9	\$ 9	-6.3%	\$ 16	\$ 20	-23.0%
Ky General Fund	\$ 9	\$ 9	-6.3%	\$ 16	\$ 20	-23.0%
Total Kentucky Excise Tax (1.75%)	<u>\$ 155</u>	<u>\$ 165</u>	<u>-6.3%</u>	<u>\$ 276</u>	<u>\$ 358</u>	<u>-23.0%</u>
Net Commission	\$ 1,683	\$ 1,827	-7.9%	\$ 3,017	\$ 3,965	-23.9%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
<small>(Non-Ky Tracks, OTB's, Other ADW's)</small>						
All Sources Handle	<u>\$ 8,843</u>	<u>\$ 9,437</u>	<u>-6.3%</u>	<u>\$ 15,768</u>	<u>\$ 20,470</u>	<u>-23.0%</u>
Average Daily Handle - On Track	\$ 983	\$ 1,049	-6.3%	\$ 1,051	\$ 1,137	-7.6%
Average Daily Handle - All Sources	\$ 983	\$ 1,049	-6.3%	\$ 1,051	\$ 1,137	-7.6%
Simulcast						
Handle by Breed						
Thoroughbred	\$ 378,112	\$ 320,329	18.0%	\$ 4,368,212	\$ 4,233,981	3.2%
Standardbred	\$ 27,886	\$ 26,032		\$ 206,898	\$ 250,355	-17.4%
Qtr/Arabian	\$ -	\$ 1,223	-100.0%	\$ 19,604	\$ 21,323	-8.1%
Total Handle	<u>\$ 405,998</u>	<u>\$ 347,584</u>	<u>16.8%</u>	<u>\$ 4,594,714</u>	<u>\$ 4,505,659</u>	<u>2.0%</u>
TB Development Fund						
SB Development Fund						
QH Development Fund						
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax	<u>_____</u>	<u>_____</u>		<u>_____</u>	<u>_____</u>	

ONLY TAXED ON LIVE RACING

Monthly Track Report - The Red Mile

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates				32	22	45.5%
On Track Handle				\$ 1,533,217	\$ 1,304,463	17.5%
Less: Return to the Public				\$ 1,189,608	\$ 1,013,981	17.3%
Less: Breakage				\$ (8,421)	\$ (7,363)	14.4%
Gross Commission				\$ 352,030	\$ 297,845	18.2%
SB Development Fund				\$ 19,165	\$ 16,306	17.5%
Equine Industry Program				\$ 3,066	\$ 2,609	17.5%
Equine Drug Research				\$ 1,533	\$ 1,304	17.5%
Higher Education Fund				\$ 1,533	\$ 1,304	17.5%
Ky General Fund				\$ 1,533	\$ 1,304	17.5%
Total Kentucky Excise Tax (1.75%)				\$ 26,831	\$ 22,828	17.5%
Net Commission				\$ 325,199	\$ 275,017	18.2%
Off Track Handle From:						
Other Kentucky Tracks				\$ 148,077	\$ 209,631	-29.4%
Kentucky ADW's				\$ 1,086,808	\$ 517,368	110.1%
Other Sources				\$ 7,914,636	\$ 6,341,377	24.8%
<small>(Non-Ky Tracks, OTB's, Other ADW's)</small>						
All Sources Handle				\$ 10,682,738	\$ 8,372,839	27.6%
Average Daily Handle - On Track				\$ 47,913	\$ 59,294	-19.2%
Average Daily Handle - All Sources				\$ 333,836	\$ 380,584	-12.3%
Simulcast						
Handle by Breed						
Thoroughbred		\$ 7	-100.0%	\$ 9,750	\$ 18,830	-48.2%
Standardbred	\$ 2,140,335	\$ 1,818,122	17.7%	\$ 26,085,216	\$ 27,795,052	-6.2%
Qtr/Arabian	\$ 45,734	\$ 5,622	713.5%	\$ 483,249	\$ 357,854	35.0%
Total Handle	\$ 2,186,069	\$ 1,823,751	19.9%	\$ 26,578,215	\$ 28,171,736	-5.7%
TB Development Fund						
SB Development Fund						
QH Development Fund						
Equine Industry Program						ONLY TAXED ON LIVE RACING
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax						

Monthly Track Report - Thunder Ridge

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates				21	21	0.0%
On Track Handle				\$ 632	\$ 1,451	-56.4%
Less: Return to the Public				\$ 552	\$ 1,226	-55.0%
Less: Breakage				\$ (38)	\$ (62)	-38.7%
Gross Commission				<u>\$ 118</u>	<u>\$ 287</u>	<u>-58.9%</u>
SB Development Fund				\$ 8	\$ 18	-56.4%
Equine Industry Program				\$ 1	\$ 3	-56.4%
Equine Drug Research				\$ 1	\$ 1	-56.4%
Higher Education Fund				\$ 1	\$ 1	-56.4%
Ky General Fund				\$ 1	\$ 1	-56.4%
Total Kentucky Excise Tax (1.75%)				<u>\$ 11</u>	<u>\$ 25</u>	<u>-56.4%</u>
Net Commission				\$ 107	\$ 262	-59.1%
Off Track Handle From:						
Other Kentucky Tracks				\$ -	\$ -	
Kentucky ADW's				\$ -	\$ -	
Other Sources				\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle				<u>\$ 632</u>	<u>\$ 1,451</u>	<u>-56.4%</u>
Average Daily Handle - On Track				\$ 30	\$ 69	-56.4%
Average Daily Handle - All Sources				\$ 30	\$ 69	-56.4%

Simulcast						
Handle by Breed						
Thoroughbred	\$ 187,885	\$ 322,533	-41.7%	\$ 4,003,234	\$ 3,735,193	7.2%
Standardbred	\$ 35,779	\$ 66,921	-46.5%	\$ 588,478	\$ 614,332	-4.2%
Qtr/Arabian	\$ 757	\$ 1,958	-61.3%	\$ 8,314	\$ 11,020	-24.6%
Total Handle	<u>\$ 224,421</u>	<u>\$ 391,412</u>	<u>-42.7%</u>	<u>\$ 4,600,026</u>	<u>\$ 4,360,545</u>	<u>5.5%</u>
TB Development Fund						
SB Development Fund						
QH Development Fund						
Equine Industry Program						ONLY TAXED ON LIVE RACING
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax	<u>_____</u>	<u>_____</u>		<u>_____</u>	<u>_____</u>	<u>_____</u>

Monthly Track Report - All 8 Kentucky Tracks

Live Racing						
	Jun-16	Jun-15	% Change	FYTD - 2016	FYTD - 2015	% Change
Race Dates	26	24	8.3%	267	254	5.1%
On Track Handle	\$ 6,959,851	\$ 7,882,347	-11.7%	\$ 110,805,991	\$ 113,657,516	-2.5%
Less: Return to the Public	\$ 5,533,913	\$ 6,262,920	-11.6%	\$ 88,868,247	\$ 91,167,411	-2.5%
Less: Breakage	\$ 45,490	\$ 49,734	-8.5%	\$ 738,622	\$ 707,808	4.4%
Gross Commission	<u>\$ 1,380,448</u>	<u>\$ 1,569,693</u>	<u>-12.1%</u>	<u>\$ 21,199,122</u>	<u>\$ 21,782,297</u>	<u>-2.7%</u>
TB Development Fund	\$ 52,199	\$ 59,118	-11.7%	\$ 831,045	\$ 852,431	-2.5%
Equine Industry Program	\$ 13,920	\$ 15,765	-11.7%	\$ 221,612	\$ 227,315	-2.5%
Equine Drug Research	\$ 6,960	\$ 7,882	-11.7%	\$ 110,806	\$ 113,658	-2.5%
Higher Education Fund	\$ 6,960	\$ 7,882	-11.7%	\$ 110,806	\$ 113,658	-2.5%
Ky General Fund	\$ 24,359	\$ 27,588	-11.7%	\$ 387,821	\$ 397,801	-2.5%
Total Kentucky Excise Tax (1.5%)	<u>\$ 104,398</u>	<u>\$ 118,235</u>	<u>-11.7%</u>	<u>\$ 1,662,090</u>	<u>\$ 1,704,863</u>	<u>-2.5%</u>
Net Commission	\$ 1,276,050	\$ 1,451,458	-12.1%	\$ 19,537,032	\$ 20,077,434	-2.7%
Off Track Handle From:						
Other Kentucky Tracks	\$ 1,404,336	\$ 1,002,839	40.0%	\$ 24,177,127	\$ 24,536,508	-1.5%
Kentucky ADW's	\$ 5,422,930	\$ 5,833,878	-7.0%	\$ 101,791,639	\$ 102,570,590	-0.8%
Other Sources	\$ 44,857,771	\$ 39,110,613	14.7%	\$ 698,217,656	\$ 663,018,505	5.3%
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	<u>\$ 58,644,888</u>	<u>\$ 53,829,677</u>	<u>8.9%</u>	<u>\$ 934,992,413</u>	<u>\$ 903,783,119</u>	<u>3.5%</u>
Average Daily Handle - On Track	\$ 267,687	\$ 328,431	-18.5%	\$ 415,004	\$ 447,471	-7.3%
Average Daily Handle - All Sources	\$ 2,255,573	\$ 2,242,903	0.6%	\$ 3,501,844	\$ 3,558,201	-1.6%
Simulcast						
Handle by Breed						
Thoroughbred	\$ 11,181,699	\$ 12,686,208	-11.9%	\$ 161,630,607	\$ 167,562,537	-3.5%
Standardbred	\$ 2,416,147	\$ 2,142,379	12.8%	\$ 29,437,552	\$ 31,863,981	-7.6%
Qtr/Arabian	\$ 126,101	\$ 91,130	38.4%	\$ 1,464,780	\$ 1,465,760	-0.1%
Total Handle	<u>\$ 13,723,947</u>	<u>\$ 14,919,717</u>	<u>-8.0%</u>	<u>\$ 192,532,939</u>	<u>\$ 200,892,278</u>	<u>-4.2%</u>
TB Development Fund	\$ 223,634	\$ 253,724	-11.9%	\$ 3,232,612	\$ 3,351,251	-3.5%
SB Development Fund	\$ 48,323	\$ 42,848	12.8%	\$ 588,751	\$ 637,280	-7.6%
QH Development Fund	\$ 2,522	\$ 1,823	38.4%	\$ 29,296	\$ 29,315	-0.1%
Equine Industry Program*	\$ 6,862	\$ 7,460	-8.0%	\$ 96,266	\$ 100,446	-4.2%
Equine Drug Research	\$ 13,724	\$ 14,920	-8.0%	\$ 192,533	\$ 200,892	-4.2%
Higher Education Fund	\$ 13,724	\$ 14,920	-8.0%	\$ 192,533	\$ 200,892	-4.2%
Ky General Fund	\$ 102,930	\$ 111,898	-8.0%	\$ 1,443,997	\$ 1,506,692	-4.2%
Total Kentucky Excise Tax (3%)	<u>\$ 411,718</u>	<u>\$ 447,592</u>	<u>-8.0%</u>	<u>\$ 5,775,988</u>	<u>\$ 6,026,768</u>	<u>-4.2%</u>

* Rate is .0005 for Simulcast only

Statewide Historical Horse Racing Report for June, 2016

	June-16	June-15	% Change	FYTD-2016	FYTD-2015	% Change
Total Handle	\$ 61,149,484	\$ 28,912,627	111.5%	\$ 641,488,829	\$ 372,670,250	72.1%
<i>Less: Returned to Public</i>	\$ 56,354,609	\$ 26,448,863	113.1%	\$ 590,417,343	\$ 343,321,256	72.0%
<i>Less: Breakage</i>	\$ 20,198	\$ 5,000		\$ 194,096	\$ 192,840	
<i>Less: Settlement</i>	\$ (19,990)	\$ (22,609)		\$ 104,243	\$ 32,387	
Gross Commission	\$ 4,794,667	\$ 2,481,373	93.2%	\$ 50,773,146	\$ 29,123,767	74.3%
TB Development Fund (See Note 1)	\$ 390,491	\$ 216,845	80.1%	\$ 4,228,276	\$ 2,795,027	51.3%
SB Development Fund (See Note 2)	\$ 90,840	\$ -	-	\$ 777,155	\$ -	-
Equine Industry Program (See Note 3)	\$ -	\$ -	-	\$ 650,000	\$ 650,000	0.0%
Equine Drug Research (See Note 4)	\$ -	\$ -	-	\$ 320,000	\$ 320,000	0.0%
Higher Education Fund (See Note 4)	\$ -	\$ -	-	\$ 320,000	\$ 320,000	0.0%
KY General Fund (Note 5)	\$ 435,911	\$ 216,845	101.0%	\$ 3,326,901	\$ 1,505,027	121.1%
Total Kentucky Excise Tax (1.5%)	\$ 917,242	\$ 433,689	111.5%	\$ 9,622,332	\$ 5,590,054	72.1%
% of Gross Commission	19.1%	17.5%	9.5%	19.0%	19.2%	-1.3%
Net Commission	\$ 3,877,425	\$ 2,047,684	89.4%	\$ 41,150,814	\$ 23,533,714	74.9%
% of Total Handle	6.34%	7.08%	-10.5%	6.41%	6.31%	1.6%
Number of HHR Terminals	1,706	679	151.3%	1,706	679	151.3%
Average Daily Handle	\$ 2,038,316	\$ 963,754	111.5%	\$ 1,752,702	\$ 1,021,014	71.7%
Handle / Day / Machine	\$ 1,195	\$ 1,419	-15.8%	\$ 1,027	\$ 1,504	-31.7%
Gross Commission / Day / Machine	\$ 94	\$ 122	-23.1%	\$ 81	\$ 118	-30.8%
	June-16	May-16	% Change	Since Inception		
Total Handle	\$ 61,149,484	\$ 67,520,548	-9.4%	Total Handle	\$1,738,543,732	
Total Kentucky Excise Tax	\$ 917,242	\$ 1,012,808	-9.4%	Total Kentucky Excise Tax	\$26,078,156	
Average Daily Handle	\$ 2,038,316	\$ 2,178,082	-6.4%	Total KY General Fund	\$7,367,274	

Note 1: Thoroughbred Development Fund receives .75% of total handle at Kentucky Downs and Ellis Park. The rate applies to HALF of the total handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of HALF of the total handle at KRM.

Note 3: EIP receives .2% of Total Handle with a fiscal year cap of \$650,000. Cap was reached on February 4.

Note 4: EDR and HEF each receive .1% of Total Handle with a fiscal year cap of \$320,000. Cap was reached on February 1.

Note 5: KY General Fund receives 1.5% of Total Handle less Notes 1, 2, 3 and 4.

Ellis Park Historical Horse Racing Report for June, 2016

	June-16	June-15	% Change	FYTD-2016	FYTD-2015	% Change
Total Handle	\$ 6,753,177	\$ 4,817,214	40.2%	\$ 73,053,814	\$ 50,405,310	44.9%
<i>Less: Returned to Public</i>	\$ 6,269,929	\$ 4,439,890	41.2%	\$ 67,658,419	\$ 46,567,484	45.3%
<i>Less: Breakage</i>	\$ 4,376	\$ 3,103		\$ 50,097	\$ 37,236	
<i>Less: Settlement</i>	\$ (47,046)	\$ 4,713		\$ (371,903)	\$ (39,577)	
Gross Commission	\$ 525,918	\$ 369,508	42.3%	\$ 5,717,201	\$ 3,840,166	48.9%
TB Development Fund (See Note 1)	\$ 50,649	\$ 36,129	40.2%	\$ 547,880	\$ 378,040	44.9%
SB Development Fund (See Note 2)	-	\$ -	-	\$ -	\$ -	-
Equine Industry Program (See Note 3)	-	\$ -	-	\$ 78,467	\$ 84,423	-7.1%
Equine Drug Research (See Note 4)	-	\$ -	-	\$ 38,503	\$ 41,312	-6.8%
Higher Education Fund (See Note 4)	-	\$ -	-	\$ 38,503	\$ 41,312	-6.8%
KY General Fund	\$ 50,649	\$ 36,129	40.2%	\$ 392,454	\$ 210,993	86.0%
Total Kentucky Excise Tax (1.5%)	\$ 101,298	\$ 72,258	40.2%	\$ 1,095,807	\$ 756,080	44.9%
% of Gross Commission	19.3%	19.6%	-1.5%	19.2%	19.7%	-2.7%
Net Commission	\$ 424,621	\$ 297,250	42.8%	\$ 4,621,394	\$ 3,084,087	49.8%
% of Total Handle	6.29%	6.17%	1.9%	6.33%	6.12%	3.4%
Number of HHR Terminals	179	179	0.0%	179	179	0.0%
Average Daily Handle	\$ 225,106	\$ 160,574	40.2%	\$ 199,601	\$ 138,097	44.5%
Handle / Day / Machine	\$ 1,258	\$ 897	28.7%	\$ 1,115	\$ 771	44.5%
Gross Commission / Day / Machine	\$ 98	\$ 69	29.7%	\$ 87	\$ 59	48.5%

	June-16	May-16	% Change	Since Inception (August 31, 2012)	
Total Handle	\$ 6,753,177	\$ 7,228,773	-7.0%	Total Handle	\$175,824,202
Total Kentucky Excise Tax	\$ 101,298	\$ 108,432	-7.0%	Total Kentucky Excise Tax	\$2,637,363
Average Daily Handle	\$ 225,106	\$ 233,186	-3.6%	Total KY General Fund	\$786,724

Note 1: Thoroughbred Development Fund receives .75% of total handle at Kentucky Downs and Ellis Park. The rate applies to HALF of the total handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of HALF of the total handle at KRM.

Note 3: EIP receives .2% of Total Handle with a fiscal year cap of \$650,000. Cap was reached on February 4.

Note 4: EDR and HEF each receive .1% of Total Handle with a fiscal year cap of \$320,000. Cap was reached on February 1.

Note 5: KY General Fund receives 1.5% of Total Handle less Notes 1, 2, 3 and 4.

Keeneland/Red Mile Historical Horse Racing Report for June, 2016

	June-16	June-15	% Change	FYTD-2016	FYTD-2015	% Change
Total Handle	\$ 18,167,905	-	-	\$ 155,431,885	-	-
<i>Less: Returned to Public</i>	\$ 16,735,845	-	-	\$ 143,133,018	-	-
<i>Less: Breakage</i>	\$ 11,469	-	-	\$ 104,776	-	-
<i>Less: Settlement</i>	\$ 65,596	-	-	\$ 367,658	-	-
Gross Commission	\$ 1,354,995	-	-	\$ 11,826,434	-	-
TB Development Fund (See Note 1)	\$ 68,130	-	-	\$ 582,873	-	-
SB Development Fund (See Note 2)	\$ 90,840	-	-	\$ 777,155	-	-
Equine Industry Program (See Note 3)	-	-	-	\$ 115,678	-	-
Equine Drug Research (See Note 4)	-	-	-	\$ 56,335	-	-
Higher Education Fund (See Note 4)	-	-	-	\$ 56,335	-	-
KY General Fund	\$ 113,549	-	-	\$ 743,104	-	-
Total Kentucky Excise Tax (1.5%)	\$ 272,519	-	-	\$ 2,331,478	-	-
% of Gross Commission	20.1%	-	-	19.7%	-	-
Net Commission	\$ 1,082,476	-	-	\$ 9,494,956	-	-
% of Total Handle	5.96%	-	-	6.11%	-	-
Number of HHR Terminals	902	-	-	902	-	-
Average Daily Handle	\$ 605,597	-	-	\$ 511,289	-	-
Handle / Day / Machine	\$ 671	-	-	\$ 567	-	-
Gross Commission / Day / Machine	\$ 50	-	-	\$ 36	-	-

	June-16	May-16	% Change	Since Inception (September 8, 2015)	
Total Handle	\$ 18,167,905	\$ 21,745,441	-19.7%	Total Handle	\$155,431,885
Total Kentucky Excise Tax	\$ 272,519	\$ 326,182	-19.7%	Total Kentucky Excise Tax	\$2,331,478
Average Daily Handle	\$ 605,597	\$ 701,466	-15.8%	Total KY General Fund	\$743,104

Note 1: Thoroughbred Development Fund receives .75% of total handle at Kentucky Downs and Ellis Park. The rate applies to HALF of the total handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of HALF of the total handle at KRM.

Note 3: EIP receives .2% of Total Handle with a fiscal year cap of \$650,000. Cap was reached on February 4.

Note 4: EDR and HEF each receive .1% of Total Handle with a fiscal year cap of \$320,000. Cap was reached on February 1.

Note 5: KY General Fund receives 1.5% of Total Handle less Notes 1, 2, 3 and 4.

Kentucky Downs Historical Horse Racing Report for June, 2016

	June-16	June-15	% Change	FYTD-2016	FYTD-2015	% Change
Total Handle	\$ 36,228,402	\$ 24,095,412	50.4%	\$ 413,003,129	\$ 322,264,939	28.2%
<i>Less: Returned to Public</i>	\$ 33,348,835	\$ 22,008,973	51.5%	\$ 379,625,907	\$ 296,753,772	27.9%
<i>Less: Breakage</i>	\$ 4,353	\$ 1,897		\$ 39,223	\$ 155,603	
<i>Less: Settlement</i>	\$ (38,540)	\$ (27,322)		\$ 108,488	\$ 71,963	
Gross Commission	\$ 2,913,754	\$ 2,111,865	38.0%	\$ 33,229,511	\$ 25,283,601	31.4%
TB Development Fund (See Note 1)	\$ 271,713	\$ 180,716	50.4%	\$ 3,097,523	\$ 2,416,987	28.2%
SB Development Fund (See Note 2)	-	\$ -	-	\$ -	\$ -	-
Equine Industry Program (See Note 3)	-	\$ -	-	\$ 455,855	\$ 565,577	-19.4%
Equine Drug Research (See Note 4)	-	\$ -	-	\$ 225,162	\$ 278,688	-19.2%
Higher Education Fund (See Note 4)	-	\$ -	-	\$ 225,162	\$ 278,688	-19.2%
KY General Fund	\$ 271,713	\$ 180,716	50.4%	\$ 2,191,344	\$ 1,294,034	69.3%
Total Kentucky Excise Tax (1.5%)	\$ 543,426	\$ 361,431	50.4%	\$ 6,195,047	\$ 4,833,974	28.2%
% of Gross Commission	18.7%	17.1%	9.0%	18.6%	19.1%	-2.5%
Net Commission	\$ 2,370,328	\$ 1,750,434	35.4%	\$ 27,034,464	\$ 20,449,627	32.2%
% of Total Handle	6.54%	7.26%	-9.9%	6.55%	6.35%	3.2%
Number of HHR Terminals	625	500	20.0%	625	500	20.0%
Average Daily Handle	\$ 1,207,613	\$ 803,180	50.4%	\$ 1,128,424	\$ 882,918	27.8%
Handle / Day / Machine	\$ 1,932	\$ 1,606	16.9%	\$ 1,805	\$ 1,766	2.2%
Gross Commission / Day / Machine	\$ 155	\$ 141	9.4%	\$ 145	\$ 139	4.9%

	June-16	May-16	% Change	Since Inception (September 1, 2011)	
Total Handle	\$ 36,228,402	\$ 38,546,334	-6.4%	Total Handle	\$1,407,287,644
Total Kentucky Excise Tax	\$ 543,426	\$ 578,195	-6.4%	Total Kentucky Excise Tax	\$21,109,315
Average Daily Handle	\$ 1,207,613	\$ 1,243,430	-3.0%	Total KY General Fund	\$5,837,446

Note 1: Thoroughbred Development Fund receives .75% of total handle at Kentucky Downs and Ellis Park. The rate applies to HALF of the total handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of HALF of the total handle at KRM.

Note 3: EIP receives .2% of Total Handle with a fiscal year cap of \$650,000. Cap was reached on February 4.

Note 4: EDR and HEF each receive .1% of Total Handle with a fiscal year cap of \$320,000. Cap was reached on February 1.

Note 5: KY General Fund receives 1.5% of Total Handle less Notes 1, 2, 3 and 4.

Kentucky Racing Health and Welfare Fund, Inc.

Current Contact Information:

Kentucky Racing Health and Welfare Fund, Inc.
422 Heywood Avenue
Louisville, Kentucky 40208
Phone – 502 -636-2900
Fax – 502 – 636-2955
Website – kyracinghealth.com
Email – krhwf@kyracinghealth.org
Facebook

Creation/Funding – Funding established by the Kentucky General Assembly in 1978 through uncashed pari-mutuel tickets from Kentucky’s thoroughbred race tracks.

Enabling Statute -230.374 Payments to Kentucky Racing Health and Welfare Fund, Inc. All sums reported and paid to the racing commission under the provisions of KRS 230.361 to 230.373, with the exception of funds paid under KRS 230.398, shall be paid by the racing commission to the Kentucky Racing Health and Welfare Fund, Inc., a nonprofit charitable corporation, organized for the benefit, aid, assistance, and relief of Thoroughbred owners, trainers, jockeys, valets, exercise riders, grooms, stable attendants, pari-mutuel clerks, and other Thoroughbred racing personnel employed in connection with racing, and their spouses and children, who can demonstrate their need for financial assistance connected with death, illness, or off-the-job injury and are not otherwise covered by union health and welfare plans, workers' compensation, Social Security, public welfare, or any type of health, medical, death, or accident insurance. These sums shall be paid on or before December 31 in each year, however, no payments shall be made by the racing commission to the Kentucky Racing Health and Welfare Fund, Inc., unless the racing commission and the Auditor of Public Accounts are satisfied that the fund is in all respects being operated for the charitable and benevolent purposes as set forth in this section and that no part of the funds paid to the fund by the racing commission or any net earnings of the fund inure to the benefit of any private individual, director, officer, or member of the fund or any of the persons who turned over sums to the racing commission representing unclaimed pari-mutuel tickets.

Corporate Status – Incorporated as a Kentucky non-profit charitable 501 (c) (3).

Board Composition -

1. Mr. Don Ball, Chairman
2. Mr. Rick Hiles, Vice Chairman
3. Dr. Randy Scheen, Treasurer
4. Mrs. Carol Hebel, Secretary
5. Mr. Peter Salmen, Member

Fiscal Year – January – December

Board Meetings – Quarterly (occasionally more frequently) usually held in April, June, September and December (Meeting locations vary)

Office Staff –

Richard Riedel – Executive Director
Karen Pehlke – Director of Operation and Retirement Plan Coordinator
Erika Acosta – Bi-lingual Director of Client Services
Elizabeth Alarcon – Bi-lingual Service Coordinator (part time Lou. office)
Donna Davis – Service Coordinator (part time Turfway Park and Ellis Park)
Diana Varon – Bi-lingual Service Coordinator (part time Keeneland and The Thoroughbred Ctr.)

Benefits Provided for Eligible Individuals

Health - Since 1978 through 2015 – Over \$40 million

Grant to Retirement Plan – Since 2001 through 2015 - \$5.5 million

Continuity of Care provided by the Fund for clients

Assistance with enrollment, registration and orientation
Appointment scheduling (often same day)
Referrals, plan of care and protected health information management
Subsequent referrals
Billing and coding
Follow-up and closure

Health Benefits Provided in the following areas

Hospital and Diagnostic Center	Nursing Home
Doctor	Lab Fee
Dentist	Orthodontia
Acupuncture	Vision
Prescription Medications	Periodontia
Chiropractor	Medical Transport
Financial Assistance	Hearing Aid
Funeral	Mental Health
Boots for Diabetics	Substance Abuse Counseling

Projects in Addition to Providing Health Benefits

1. The Old School – Temporary living facility for low income older race track workers and others. Includes The Old School LLC and Back Track Apartments Inc. (Opened November 2002)
2. The Kentucky Race Track Retirement Plan – Established by the Kentucky General Assembly in 2000. Defined contribution plan for current working stable employees, exercise riders, assistant trainers and trainers. (Started 2001)

3. Kentucky Racing Health Services Center – Primary Care Provider for KHRC licensees. Partnership with U of L School of Nursing at Louisville location. (Opened April 2005) Partnership with Northern Kentucky University at Turfway Park location. (Opened March 2012).
4. Special Fund – Established through private donations in 2012. Provides reimbursements for food during periods of short term disability, reimbursement for bereavement travel and assistance with pregnancy charges in certain circumstances.