

KENTUCKY HORSE RACING COMMISSION

APRIL 2, 2014

AGENDA

**Location: Rolex Hospitality Room
4089 Iron Works Parkway
Lexington, KY 40511**

Time: 1:30pm

I. Call to order and roll call

II. New Business

- 1. Approve minutes of September 23, 2013, October 22, 2013 & December 11, 2013 Commission Meetings.**
- 2. Ratify Keeneland's 2014 Spring Meet Racing Officials.**
- 3. Ratify Thunder Ridge's Racing Officials for their upcoming meet beginning April 23, 2014.**
- 4. Approve Payment to Kentucky Colt Association.**
- 5. Approve KTDF Purse Structure/Keeneland.**
- 6. Approve KTDF Purse Structure/Churchill Downs.**
- 7. Approve Red Mile's Race Date change.**
- 8. Ratify moving IRM Terminals to Kentucky Downs and Kentucky Downs' request to swap out three terminals.**
- 9. Approve Kentucky Downs' request to add three games as a supplement to their application to offer wagering on historical horse races.**
- 10. Ratify Churchill Downs Backside Improvement Projects.**
- 11. Ratify Reimbursement to Turfway Park for Backside Improvement Projects.**
- 12. Approve Contracts with HFL for corticosteroid analysis in samples from clinical administrations to racehorses.**
- 13. Approve Keeneland's amendments to legal site description to offer drive-through betting on Oaks and Derby days at the Horse Park and the Training Center.**
- 14. Reports**
 - a. Thoroughbred Rulings**
 - b. Standardbred Rulings**
 - c. Veterinary Division**
 - d. Pari-Mutuel Wagering Division**
- 15. Regulations and Litigation**
- 16. Approve revised Conditions to Conduct Historical Horse Races for Thoroughbred and Standardbred tracks.**
- 17. Keeneland's & Red Mile's requests to offer wagering on Historical Horse Races.**

III. Other Business

- 1. Racing2Day's Changes in Ownership and 2014 ADW License.**

IV. Executive Session

V. Adjournment

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: September 23, 2013 at 1:30 PM

Place: Grand Prix Seminar Room, US Dressage Federation, 4051 Iron Works Parkway, Lexington, KY

Members Present: Chairman Robert M. Beck, Jr.; Vice-Chairman Tracy Farmer; Commissioner Edward S. "Ned" Bonnie; Commissioner Burr Travis, Jr.; Commissioner Wade Houston, Jr.; Commissioner Alan J. Leavitt; Commissioner Neil Howard; Commissioner Elizabeth Lavin; Commissioner Frank Jones, Jr.; Commissioner John Phillips; Commissioner Thomas Conway; Commissioner Jerry Yon, M.D.; Commissioner D. Wayne Lucas; and Commissioner J. David Richardson, M.D.

Ex-officio Members Present: Mr. Robert D. Vance, representing the Public Protection Cabinet; and Mr. William Dexter, representing the Tourism, Arts and Heritage Cabinet

Kentucky Horse Racing Commission ("KHRC" or "Commission") Staff Participating: John T. Ward, Jr., Executive Director; Marc A. Guilfoil, Director of Racing; Susan B. Speckert, General Counsel; Mary Scollay, DVM, Equine Medical Director; Jamie Eads, Director of Incentives and Development; Greg Lamb, Supervisor of Pari-Mutuel Wagering; Barbara Borden, Acting Chief State Steward; Will Farmer, DVM, Chief Racing Veterinarian

Others Participating: E. M. Lysonge and Rick Smith, Churchill Downs; Andrew Archibald, United Tote Company ("United Tote"); and Matthew Askew, AmTote International ("AmTote")

Meeting called to order and roll call performed by Chairman Beck. A quorum was recognized.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
Not applicable	4 – 5	Prior to Chairman Beck performing the roll call, Justice Larry VanMeter, Kentucky Court of Appeals, swore in two newly appointed Commissioners, D. Wayne Lucas and Dr. J. David Richardson, and three reappointed Commissioners, Burr Travis, Jr., Jerry Yon, M.D. and Frank Jones, Jr.	No action taken.
Tab 1 Approve minutes of July 17, 2013 Commission Meeting	6	Chairman Beck presented the minutes of the meeting of July 17, 2013 for approval.	Motion to approve the July 17, 2013 minutes, as presented, by Commissioner Travis.

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			Second by Commissioner Lavin. Motion carried with no objections or abstentions.
Tab 2 Ratify Kentucky Downs' Roster of Racing Officials for the 2013 Race Meet	6 – 7	<p>Ms. Borden stated that by letter dated September 5, 2013, Kentucky Downs requested approval of a revised roster of racing officials for their 2013 race meet. All stewards are ROAP accredited and all officials have previously performed the duties for which they were listed and were licensed at the start of the meet.</p> <p>Due to the timing of the request, the Executive Director granted approval of the request pursuant to KRS 230.230</p> <p>Staff recommended ratification of the Executive Director's action.</p>	<p>Motion to ratify action of Executive Director by Commissioner Jones. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>
Tab 3 Ratify Churchill Downs' Roster of Racing Officials for the 2013 September Meet	7 – 8	<p>Ms. Borden stated that by letter dated September 5, 2013, Churchill Downs requested approval of a revised roster of racing officials for their September 2013 race meet. All stewards are ROAP accredited and all officials have previously performed the duties for which they were listed and were licensed at the start of the meet.</p> <p>Due to the timing of the request, the Executive Director granted approval of the request pursuant to KRS 230.230</p> <p>Staff recommended ratification of the Executive Director's action.</p>	<p>Motion by Commissioner Jones. Second by Commissioner Houston. Motion carried with no objections or abstentions.</p>
Tab 4 Approve Roster of Racing Officials for Churchill Downs' 2013 Fall Meet	8 – 9	<p>Ms. Borden stated that by letter dated September 17, 2013, Churchill Downs requested approval of its roster of racing officials for the 2013 Fall meet and its date preference system. All stewards are ROAP accredited and all officials have previously performed the duties for which they were listed and will be licensed by the meet opening.</p> <p>Staff recommends approval.</p>	<p>Motion by Commissioner Jones. Second by Commissioner Houston. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>Tab 5 Approve Keeneland's KTDF Fall Allotment request</p>	<p>9 – 10</p>	<p>Ms. Eads stated that by letter dated July 11, 2013, Keeneland requested approval of its KTDF purse structure for its 2013 Fall meet and the ability to payout KTDF purses on eligible horses first through last place. Keeneland proposed KTDF purses in the amount of \$510,382 with an expected KTDF payout percentage of 78. KHRC staff has confirmed that at the end of the 2013 spring meet, Keeneland had a surplus of \$17,630.</p> <p>The KTDF Advisory Committee approved recommendation of Keeneland's request at its July 15, 2013 meeting.</p>	<p>Motion to approve the recommendation by Commissioner Howard. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>
<p>Tab 6 Approve Turfway Park's Backside Improvement request</p>	<p>10 – 13</p>	<p>Ms. Eads stated that by letter dated September 11, 2013, Turfway Park requested approval for the following backside improvement projects:</p> <ol style="list-style-type: none"> 1. New horse path - \$29,444; and 2. Paving of barn area - \$31,070. <p>Upon completion of each project, a request for reimbursement from the Backside Improvement Fund will be submitted to the Commission for approval.</p> <p>Turfway Park has received bids for and approval of the Kentucky Horsemen's Benevolent and Protective Association (KyHBPA) for each proposed project. Upon completion of each project, KHRC staff will inspect the repair work and confirm completion. Written documentation of the completed projects, final photographs and copies of invoices will be maintained on file at the KHRC office. Reimbursement requests will be presented to the Commission for approval prior to the release of any fund money.</p> <p>Staff has confirmed that Turfway Park has surplus of \$136,477.81 in available backside improvement funds. Staff recommended approval.</p>	<p>Motion to approve recommendation by Commissioner Lavin. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>

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		<p>In response to an inquiry by Commissioner Bonnie, Mr. Bach stated that Turfway Park had determined that responsibility for the repair of the leaking barn roofs was internal and; therefore, Turfway Park would not seek approval for use of backside improvements funds for those repairs.</p>	
<p>Tab 7 Ratify Kentucky Downs' request to change post-times and card additional races on September 18 and September 25</p>	<p>13 – 14</p>	<p>Ms. Speckert stated that by email dated September 12, 2013, Kentucky Downs requested approval to change post times and add up to an additional three races on both September 18 and 25, 2013 in order to make up six races that were cancelled on September 11, 2013 due to inclement weather.</p> <p>Due to the need for an immediate response, the Executive Director granted approval of the request pursuant to KRS 230.230</p> <p>Staff recommended ratification of the Executive Director's action.</p>	<p>Motion to ratify action of Executive Director by Commissioner Yon. Second by Commissioner Jones. Motion carried with no objections or abstentions.</p>
<p>Tab 8 Ratify Kentucky Downs' request to amend its 2013 race track application to add simulcast sites</p>	<p>14 – 16</p>	<p>Ms. Speckert stated that by letter dated August 28, 2013, Kentucky Downs requested approval to amend its 2013 race track application to add and delete simulcast sites. She stated that the staff had reviewed and confirmed that the list of sites was appropriate and the approval had been received from the KyHBPA and the Kentucky Thoroughbred Owners and Breeders, Inc. (KTOB).</p> <p>Due to the timing of the request, the Executive Director granted approval of the request pursuant to KRS 230.230.</p> <p>Staff recommended ratification of the Executive Director's action.</p>	<p>Motion to ratify action of Executive Director by Commissioner Jones. Second by Commissioner Houston. Motion carried with no objections or abstentions.</p>
<p>Tab 9 Approve contract with Kentucky Equine Research to fund research herd for medication studies</p>	<p>16 – 24</p>	<p>Dr. Scollay presented the proposed contract between the KHRC and Kentucky Equine Research (KER) for funding of a thoroughbred research herd to perform medication administration studies. KER will provide a research herd consisting of 9 treadmill-exercised thoroughbreds between 3-7 years old for a period of five months</p>	<p>Motion to approve recommendation by Commissioner Phillips. Second by Commissioner Bonnie. Motion carried</p>

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		<p>(November 15, 2013 to April 15, 2013). KER will be paid a \$100 per day per horse fee for a total cost of \$135,800. The \$100 per day per horse cost includes all expenses associated with care and management costs, administration of study medications and the collection of blood and urine samples.</p> <p>The Racing Medication and Testing Consortium (RMTC) will develop a list of proposed substances to be studied and submitted to the Equine Drug Research Council (EDRC) for approval of each proposed study prior to the commencement of any study. Following approval by the EDRC of the list of substances to be studied, the RMTC's Chemist Committee will design the studies, including dosing protocols and sample collection schedules, which will be presented to the EDRC for approval. The RMTC will be responsible for the funding of the analysis of the samples generated from the research herd.</p> <p>In response to an inquiry from Commissioner Leavitt, Dr. Scollay stated that in consultation with Dr. Rick Sams, HFL Sport Science, Inc. (HFL), and the RMTC Scientific Advisory Committee, the consensus was that the breed was not a critical component to the administration studies of the substances and the conclusions drawn from the studies would apply to all racing breeds, including standardbred, quarter horse and arabian.</p> <p>In response to an inquiry from Chairman Beck, Dr. Scollay stated that the end goal was that the results would be published in peer review scientific journals and be available globally.</p> <p>The EDRC, at its immediately preceding meeting, unanimously approved the proposed contract for recommendation to the Commission for approval.</p>	<p>with no objections or abstentions.</p>

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<p>Tab 10 Approve contract with HFL for Rapid Response Substance Testing Program</p>	<p>24 – 36</p>	<p>Ms. Speckert presented the proposed contract between the KHRC and HFL for substance testing and/or other research in connection with the Rapid Response Substance Testing Program (the "Program") that was approved by the Commission at its July 17, 2013 meeting. She noted that the EDRC, at its immediately preceding meeting, unanimously approved the proposed contract for recommendation to the Commission for approval. If the contract is approved by the Commission, it will go before the Government Contract Review Committee (GCRC) at its October meeting. If approved by the GCRC, the contract will go into effect immediately.</p> <p>As a reminder, she presented a summary of the Program. She also explained that the majority of the contract terms are boilerplate state contract provisions and the negotiated terms are included in Exhibit A and Exhibit B to the contract. Exhibit A sets out the Program protocol that the KHRC will follow in order to release funds to HFL. Exhibit B sets out the Program protocol that HFL will follow, that includes a requirement that for each individual testing and/or research project, HFL shall submit a detailed proposal outlining the testing and/or research to be performed along with the cost for each project. The maximum amount that can be expended under the contract is \$250,000, but funds will only be released on a per project basis. The term of the initial contract will expire June 30, 2014, the end of the current biennium. Upon expiration of the contract, if the Commission desires to continue the Program, it will be necessary for the EDRC and Commission to approve a new contract to be executed.</p> <p>Following a discussion during which Mr. Ward and Dr. Scollay answered questions, Chairman Beck requested a motion to approve the recommendation of the EDRC for approval of the contract.</p>	<p>Motion to approve recommendation by Commissioner Howard. Second by Commissioner Leavitt. Motion carried with no objections or abstentions.</p>

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<p>Tab 11 Approve 2014 License Applications to Provide Totalizator Services</p> <p>a. United Tote b. AmTote</p>	<p>36 – 43</p> <p>36 – 41</p> <p>41 – 43</p>	<p>a. Mr. Lysonge introduced Mr. Archibald, senior director of operations for United Tote. Mr. Archibald gave a presentation on behalf of United Tote and responded to questions from the Commissioners. Mr. Archibald stated the racetracks in Kentucky that United Tote serviced were Churchill Downs, Keeneland, Turfway Park, Thunder Ridge and The Red Mile. The presentation included an overview of the audit process of their security and operation systems. Ms. Speckert noted that United Tote had been licensed in Kentucky since the licensing requirement had become effective.</p> <p>b. Mr. Askew gave a brief presentation on behalf of AmTote. He stated that the racetracks in Kentucky that AmTote serviced were Kentucky Downs and Ellis Park. AmTote also has been licensed in Kentucky since the licensing requirement had become effective.</p> <p>Ms. Speckert stated that KHRC staff had reviewed both United Tote and AmTote's applications and that both applicants were cooperative and forthcoming with requests for additional information. She also stated that both companies have been and agreed to continue to stay in compliance with the totalizator standards. Approval is recommended.</p>	<p>a. Motion to approve 2014 license application of United Tote by Commissioner Jones. Second by Commissioner Richardson. Motion carried with no objections or abstentions.</p> <p>b. Motion to approve 2014 license application of AmTote by Commissioner Leavitt. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>
<p>Tab 12 Approve Keeneland Association's Roster of Racing Officials for the 2013 Fall Meet</p>	<p>43 – 44</p>	<p>Ms. Borden stated that by letter dated September 4, 2013, Keeneland requested approval of its roster of racing officials for the 2013 Fall meet and its date preference system. All stewards are ROAP accredited and all officials have previously performed the duties for which they were listed and will be licensed by the meet opening.</p> <p>Staff recommends approval.</p>	<p>Motion to approve recommendation by Commissioner Yon. Second by Commissioner Houston. Motion carried with no objections or abstentions.</p>
<p>Tab 13 Reports</p> <p>a. Thoroughbred Rulings</p>	<p>45 – 48</p>	<p>a. Ms. Borden presented the thoroughbred ruling report. She noted the following updates to the report as provided: Rulings 13-0047 through and including 13-0091 – paid. Ms. Borden answered</p>	<p>No action taken. Informational items only.</p>

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<p>b. Standardbred Rulings (Deferred until next meeting)</p> <p>c. Veterinary Division</p> <p>d. Pari-Mutuel Wagering Division</p> <p>e. Regulations and Litigation</p>	<p>49</p> <p>49 – 60</p> <p>60 – 61</p> <p>62</p>	<p>questions from Commissioners.</p> <p>b. Standardbred Ruling Report deferred until next meeting.</p> <p>c. Dr. Farmer presented the veterinary report for the 2013 meet at Ellis Park as provided and answered questions from the Commissioners.</p> <p>In response to an inquiry by Chairman Beck, there was a discussion concerning the safety of racetrack surfaces. Chairman Beck requested that Commissioner Lavin include the issue on the agenda for the next meeting of the Safety and Welfare Committee. Commissioner Lavin responded that the issue was already on the agenda for the next meeting.</p> <p>d. Mr. Lamb presented the reports for historical horse racing at Kentucky Downs and Ellis Park for July and August 2013, and live handle for Ellis Park for July 4 – September 2, 2013 and The Red Mile for August 4 – August 31, 2013. He noted a record combined total for Kentucky Downs and Ellis Park on September 14, 2103 of over \$2 million a day for historical horse racing. He also noted that purses at Kentucky Downs had increased from \$750,000 to \$4.5 million for the meet over the two-year period since wagering on historical horse racing was initiated. Percentages for historical horse racing at both Kentucky Downs and Ellis Park were up in July and August 2013.</p> <p>e. Status Report on Regulations and Litigation – For Informational Purposes Only.</p>	

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<p>Other Business</p> <p>1. E.M. Lysonge, Vice President, Legal Affairs, Churchill Downs Incorporated</p>	62 – 73	<p>Mr. Lysonge gave a presentation on the new Jackpot 6 wager that Churchill Downs was seeking approval from the Commission to implement during its upcoming Fall meet. Mr. Lamb stated that there were no concerns about the wager itself. However, because if one single person ended up with 2 winning bets, they would not win the jackpot the concern was to make sure that the betting public understood that facet of the wager. Mr. Smith, Director of Pari-Mutuel Wagering at Churchill Downs, explained how and what the wager would payout and answered questions.</p> <p>Following a discussion, Mr. Lysonge responded to an inquiry by Mr. Ward, that Churchill Downs would be agreeable to working with KHRC staff to address the concerns and bring the matter before the Commission again at its next scheduled meeting.</p>	No action taken. Deferred to next scheduled meeting.
<p>Executive Session</p>	<p>73</p> <p>74</p> <p>74 – 75</p>	<p>1) Chairman Beck requested a motion for the Commission to go into executive session to deliberate in its role as a quasi-judicial body as permitted by KRS 61.810(1)(j) and to discuss proposed or pending litigation against or on behalf of the agency as permitted by KRS 61.810(1)(c).</p> <p style="text-align: center;"><u>EXECUTIVE SESSION</u></p> <p>2) Chairman Beck requested a motion for the Commission to return to open session.</p> <p>3) In the matter of <i>John R. Neal v. KHRC</i>, Administrative Action No. KHRC-12-TB-008, issuance of the Final Order as prepared by the staff adopting and incorporating the Findings of Fact, Conclusions of Law and Recommended Order (“Recommended Order”) of the Hearing Officer affirming the decision of the License Review Committee was recommended.</p>	<p>1) Motion to go into executive session by Commissioner Jones. Second by Commissioner Travis. Motion carried with no objections or abstentions.</p> <p>2) Motion to return to open session by Commissioner Lavin. Second by Commissioner Houston. Motion carried with no objections or abstentions.</p>

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			3) Motion to adopt the Hearing Officer's Recommended Order and approve the Commission's Final Order incorporating the Recommended Order by Commissioner Travis. Second by Commissioner Bonnie. Motion carried with no objections or abstentions.
Adjournment	75	Chairman Beck requested a motion to adjourn.	Motion to adjourn by Commissioner Yon. Second by Commissioner Houston. Motion carried with no objections or abstentions.

NOTE: The Agenda materials and any amendments thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: October 22, 2013

Place: Rolex Hospitality Room, Kentucky Horse Park, Lexington, KY

Members Present: Chairman Robert M. Beck, Jr.; Vice-Chairman Tracy Farmer; Commissioner Allan Wade Houston, Sr.; Commissioner Frank Jones, Jr.; Commissioner; Commissioner Alan J. Leavitt; Commissioner Burr Travis, Jr.; Commissioner Jerry Yon, M.D.; Commissioner Foster Northrop, D.V.M.; Commissioner John Phillips; and Commissioner Neil Howard

Ex-officio Members Present: Mr. William Dexter, representing the Tourism, Arts and Heritage Cabinet; and Ms. Hollie Spade, representing the Cabinet of Economic Development

KHRC Staff Participating: John T. Ward, Jr., Executive Director; Marc A. Guilfoil, Director of Racing; Susan B. Speckert, General Counsel; Mary Scollay, DVM, Equine Medical Director; William Farmer, DVM, Chief State Veterinarian; Jamie Eads, Director of Incentives and Development; Greg Lamb, Supervisor of Pari-Mutuel Wagering; Mr. Rich Williams, Chief Judge; and Barbara Borden, Chief State Steward

Others Participating: Mr. Corey Johnsen, Kentucky Downs; Mr. Kevin Flanery and Mr. E. Lysonge, Churchill Downs; Mr. Chip Bach, Turfway Park; and Mr. Matthew Stephens, Director of the Kentucky Harness Horsemen’s Association (“KHHA”)

Meeting called to order and roll call performed by Chairman Beck. A quorum was recognized.

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
<p>Tab 1 Approve Turfway Park’s Roster of Racing Officials for 2013 Holiday Meet</p>	<p>5 – 6</p>	<p>Mr. Guilfoil stated that by letter dated October 14, 2013, Turfway Park requested approval of its roster of race officials and date preference system for its 2013 Holiday meet. All stewards are ROAP accredited and all officials, with the exception of David England, have previously performed the duties for which they are listed. Ms. Borden has approved Mr. England to serve as stall superintendent. The Kentucky Horsemen’s Benevolent and Protective Association (“KyHBPA”) has also approved the roster.</p> <p>Staff recommended approval.</p>	<p>Motion to approve recommendation by Commissioner Jones. Second by Commissioner Leavitt. Motion carried with no objections or abstentions.</p>

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Tab 2 Approve KTDF Purse Structure request – Turfway Park 2013 Holiday Meet	6 – 7	<p>Ms. Eads stated that by letter dated October 14, 2013, Turfway Park requested approval of its KTDF purse structure for its 2013 Holiday meet and the ability to payout KTDF purses on eligible horses first through last place. Turfway Park proposed KTDF purses in the amount of \$464,000. KHRC staff has confirmed that at the end of the 2013 winter meet, Turfway Park had a surplus of \$478,903.51.</p> <p>The KTDF Advisory Committee approved recommendation of Turfway Park’s request at its October 14, 2013 meeting.</p>	<p>Motion to approve recommendation by Commissioner Travis. Second by Commissioner Houston. Motion carried with no objections or abstentions.</p>
Tab 3 Approve KTDF Purse Structure request – Churchill Downs 2013 November Meet	7 – 8	<p>Ms. Eads stated that by letter dated October 14, 2013, Churchill Downs requested approval of its KTDF purse structure for its 2013 November meet and the ability to payout KTDF purses on eligible horses first through last place. Churchill Downs proposed KTDF purses in the range of \$625,000 to \$675,000. KHRC staff has confirmed that at the end of the 2013 September meet, Churchill Downs had a surplus of \$71,863.</p> <p>The KTDF Advisory Committee approved recommendation of Churchill Downs’ request at its October 14, 2013 meeting.</p>	<p>Motion to approve recommendation by Commissioner Jones. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>
Tab 4 Approve 2014 Sire Stakes purse distribution	8 – 9	<p>Ms. Eads presented the purse distributions for the 2014 Kentucky Sire Stakes as approved by the Kentucky Standardbred Development Fund Advisory Committee (“Advisory Committee”) at its September 19, 2013 meeting. The Advisory Committee recommends the following:</p> <p style="padding-left: 40px;">Legs: \$15,000; \$10,000 split Finals: \$175,000</p> <p>The Advisory Committee also approved to recommend \$140,000 to be transferred to the Kentucky County Fairs to be used for purse allocations on Fairs in Kentucky.</p>	<p>Motion to approve recommendation by Commissioner Leavitt. Second by Commissioner Northrop. Motion carried with no objections or abstentions.</p>

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	9 – 14	<p>Mr. Stephens addressed the Commission and noted that because breeders, owners and trainers had relied on the website advertisement of \$250,000, the KHHA requested that the change be implemented gradually over the next few years instead of in 2014. Commissioner Leavitt responded that the proposed change was made in accordance with the statute. Representatives for the five aspects of harness racing were present at the Advisory Committee meeting and the proposed change passed by a 3 to 1 vote.</p>	
<p>Tab 5 Approve Churchill Downs request to offer Pick 6 with Unique Carryover</p>	14 – 29	<p>Mr. Lamb presented Churchill Downs' request to offer a new Pick-6 with Unique Carryover wager. Mr. Lamb noted that Churchill Downs had brought this request to the Commission at its September 23, 2013 meeting. During discussions at the September 23, 2013 meeting, concerns were raised and the Commission requested that Churchill Downs defer their request; work with KHRC staff to address the concerns identified, and bring the request back before the Commission at its next scheduled meeting.</p> <p>Mr. Lamb stated that as a result of discussions with Churchill Downs, a notification to patrons as provided in the agenda materials was agreed upon and is to be provided by Churchill Downs to patrons in compliance with Churchill Downs' conditions of licensure. Subject to Churchill Downs' compliance with their conditions of licensure and assurances of dissemination of the agreed notice, staff recommends approval.</p> <p>Mr. Lysonge stated that Churchill Downs had various plans to circulate the rules specific to this particular wager to patrons, including posting notices on their website and TwinSpires' website, posting notices at various strategic locations throughout</p>	<p>Motion to approve made by Commissioner Travis. Second by Commissioner Jones. Motion carried with no objections or abstentions.</p>

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	38 – 39	<p>program had been running without any further incidents. He commended Mr. Guilfoil, Dr. Farmer, Greg Berry, Ms. Borden and the rest of the staff for their efforts in making the program successful.</p> <p>d. Mr. Lamb presented the reports for historical horse racing at Kentucky Downs and Ellis Park for September 2013, and live handle for Churchill Downs for September 6 – 30, 2013; Kentucky Downs for September 7 – 25, 2013; and The Red Mile for September 1 – 30, 2013.</p>	
	39	<p>e. Status Report on Regulations and Litigation – For Informational Purposes Only.</p>	

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AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
2014 Race Track and OTB Licensing Tab 11 a. Approve 2014 Conditions of Racing Associations and OTBs Licensing for 2014	39 – 40	a. Chairman Beck presented the proposed 2014 Conditions of Racing Associations and OTB Licensing. He noted the changes that were redlined. The proposed 2014 Conditions of Racing Association and OTB Licensing were approved by the Race Dates Committee on October 7, 2013 and are recommended.	a. Motion to approve the 2014 Conditions of Racing Associations and OTB Licensing as presented by Commissioner Jones. Second by Commissioner Phillips. Motion carried with no objections or abstentions.
Tab 12 b. Calendar of requests for 2014 race dates and host status	40 – 41	b. Mr. Ward presented a month-by-month calendar illustrating the proposed 2014 race dates and host status requests as approved by the Race Dates Committee at its October 7 and October 21, 2013 meetings for recommendation for approval to the Commission. He noted that a second meeting was held in order to reach a resolution of a few conflicts. In response to an inquiry from Chairman Beck, Mr. Ward stated that the 2014 race dates and host status as presented were essentially the same footprint as 2013, with a few tweaks.	b. No action taken. Informational item only.
Tab 13 c. Race dates comparison – 2012, 2013, 2014			c. No action taken. Informational item only.
Tab 14 d. Applicable statutes and regulations: KRS 230.300; KRS 230.377; KRS 230.3771; 810 KAR 1:037			d. No action taken. Informational item only.
N/A e. Approve 2014 License Applications of Racing Associations and OTBs		2014 License applications for Keeneland, Turfway Park, Players Bluegrass Downs, Thunder Ridge and the Red Mile were recommended for approval to the Commission at the October 7, 2013 meeting. 2014 License applications for Churchill Downs, Ellis Park and Kentucky Downs were recommended for approval to the Commission at the October 21, 2013 meeting.	d. No action taken. Informational item only.
Tab 15 i. Churchill Downs Application			e. Motion to approve the 2014 license applications, live
Tab 16 ii. Ellis Park Application			
Tab 17 iii. Keeneland Application	N/A	c. Three Year Race Dates Comparison – 2012, 2013, 2014 – For informational purposes only.	
Tab 18 iv. Kentucky Downs Application	N/A	d. Copies of applicable statues and regulations – For informational purposes only.	
Tab 19 v. Turfway Park Application	N/A		
Tab 20 vi. Players Bluegrass Downs Application	42 – 43	e. Mr. Bach stated that Turfway Park learned that they had probably requested too few race dates in February 2013. For	

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>Tab 21 vii. The Red Mile Application</p> <p>Tab 22 viii. Thunder Ridge and Ubet (OTB) Application</p>	<p>43 – 49</p>	<p>2014, Turfway Park requested an additional third race date for the second, third and fourth weekends in February. One additional optional race date for March 2014 was also requested.</p> <p>Mr. Flanery noted the differences between the calendar of requests as presented, the footprint from 2013 and as approved by the Race Dates Committee on October 21, 2013. He explained that after discussions with the KHRC staff and Kentucky Downs, Churchill Downs amended its request to provide Kentucky Downs host status on September 8, 9, 10, 15, 16 and 17, 2013. He stated that Churchill Downs did not believe that it would be wise for Kentucky Downs to also race on Saturday, September 20, 2014, the additional Saturday from 2013 that they had requested.</p> <p>Chairman Beck clarified that the calendar as presented did not accurately reflect the agreements reached and the calendar that was approved by the Race Dates Committee. The approved calendar included the following amendments: 1) Kentucky Downs would serve as the host track on September 8, 9, 10, 15, 16 and 17, 2014 and Kentucky Downs has withdrawn its request to race on Saturday, September 20, 2014.</p> <p>In response to an inquiry by Chairman Beck, Mr. Johnsen stated that Kentucky Downs was in agreement with the amended calendar as approved by the Race Dates Committee. Mr. Johnsen further stated for the record that Kentucky Downs was committed to doing what was right for the industry and wanted to race more days.</p>	<p>race dates and simulcast dates as recommended by the Race Dates Committee for Keeneland, Turfway Park, Churchill Downs, Ellis Park, Kentucky Downs, The Red Mile, Players Bluegrass Downs and Thunder Ridge by Commissioner Travis. The motion was seconded. Motion carried with no objections or abstentions.</p> <p>Motion to approve the 2014 license of Hazard Ubet as recommended by the Race Dates Committee by Commissioner</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	53 – 54	<p>Chairman Beck requested a motion to approve the recommendations of the Race Dates Committee to: 1) approve the 2014 license applications submitted, and the live racing dates and simulcast dates requested, subject to the receipt of any outstanding information and subject to compliance with the conditions of licensure approved by the Commission for those racing associations, by Keeneland, Turfway Park, Churchill Downs, Ellis Park, Kentucky Downs, The Red Mile, Players Bluegrass Downs and Thunder Ridge.</p> <p>Ms. Speckert noted that as the owner/operator of the simulcast facility, Hazard Ubet, Thunder Ridge’s 2014 license application included the request for a 2014 license for Hazard Ubet. Mr. Prewitt, on behalf of Thunder Ridge, stated that the schedule requested is the same as requested for 2013. The Race Dates Committee approved Hazard Ubet’s application at its October 7, 2013 meeting.</p>	Jones. Second by Commissioner Travis. Motion carried with no objections or abstentions.
Other business	51 – 53	Commissioner Travis asked Mr. Bach to explain a recent licensing issue involving Caesar’s. According to a <i>Bloodhorse</i> article, the Massachusetts Gaming Commission issued a report finding Caesar’s unsuitable for receipt of a gaming license as a partner in the proposed Sterling Suffolk Racecourse, LLC. Mr. Bach stated that he had no information relative to what the article alleged. Ms. Speckert stated that KHRC staff was in contact with Caesar’s compliance officer who was in the process of preparing a letter to be provided to the Commission that would contain details of the matter. Upon receipt of that letter, staff will look into the matter.	No action taken.
Executive Session a. Ramsey v. KHRC	54 – 55	1) Chairman Beck requested a motion for the Commission to go into executive session to deliberate in its role as a quasi judicial body regarding any individual adjudications as permitted by KRS 61.810(1)(j) and to discuss proposed or pending litigation against	1) Commissioner Yon offered a motion to go into executive session. Second by

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	55	<p>or on behalf of the agency as permitted by KRS 61.810(1)(c).</p> <p>Prior to the motion to go into executive session, Commissioner Travis recused himself from participating in the executive session.</p> <p style="text-align: center;">EXECUTIVE SESSION</p>	<p>Commissioner Houston. Motion carried with no objections or abstentions.</p>
	55 – 56	<p>2) Chairman Beck requested a motion for the Commission to return to open session.</p>	<p>2) Commissioner Jones offered a motion to return to open session. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>
	56	<p>3) Upon returning to open session, Chairman Beck requested a motion to adopt the Findings of Fact, Conclusions of Law and Recommended Order of the Hearing Officer to dismiss the appeal and affirm the Stewards' ruling in the matter of <i>Ramsey v. KHRC</i>, Administrative Action No. KHRC-13-TB-009.</p>	<p>3) Commissioner Jones offered a motion to adopt the Findings of Fact, Conclusions of Law and Recommended Order of the Hearing Officer as presented. Second by Commissioner Leavitt. Motion carried with no objections or abstentions.</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
Adjournment	56 – 57	Chairman Beck requested a motion for adjournment.	Motion to adjourn by Commissioner Yon. Second by Commissioner Houston. Motion carried with no objections or abstentions.

NOTE: The Agenda materials and any amendments thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

DRAFT

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: December 11, 2013

Place: Rolex Hospitality Room, 4089 Iron Works Parkway, Kentucky Horse Park, Lexington, KY

Members Present: Chairman Robert M. Beck, Jr.; Vice-Chairman Tracy Farmer; Commissioner Tom Conway, Jr.; Commissioner Allan Wade Houston, Sr.; Commissioner Frank Jones, Jr.; Commissioner Elizabeth S. Lavin; Commissioner Alan J. Leavitt; Commissioner J. David Richardson; Commissioner Jerry Yon, M.D.; Commissioner John Phillips; and Commissioner Neil Howard

Ex-officio Members Present: Mr. Robert D. Vance, representing the Public Protection Cabinet; Mr. William Dexter, representing the Tourism, Arts and Heritage Cabinet; and Ms. Hollie Spade, representing the Cabinet of Economic Development

KHRC Staff Participating: John T. Ward, Jr., Executive Director; Marc A. Guilfoil, Director of Racing; Susan B. Speckert, General Counsel; Mary Scollay, DVM, Equine Medical Director; Jamie Eads, Director of Incentives and Development; Greg Lamb, Supervisor of Pari-Mutuel Wagering; and Barbara Borden, Chief State Steward

Others Participating/Present: Mr. David Switzer, Kentucky Thoroughbred Association ("KTA") and Kentucky Thoroughbred Owners and Breeders ("KTOB"); Mr. Nick Hughes, Kentucky Downs; Ms. Brittany Goodman, AmWest Entertainment; Ms. Audrey Kauffman, eBet Technologies; Mr. Brad Blackwell, TwinSpires; Ms. Ann Goodman, TVG Network; Ms. Alison Thompson, Premier Turf Club; Mr. Bruce Soulsby, Avatar Ventures; Mr. Dustin Fabian, XpressBet; Mr. Adam Humphrey, Lien Games Racing; and Mr. Nick Hughes, Kentucky Downs

Meeting called to order and roll call performed by Chairman Beck. A quorum was recognized.

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
Tab 1 Approve minutes of October 22, 2013 Commission Meeting	5	Chairman Beck noted that approval of the October 22, 2013 minutes would be deferred until the next meeting.	No action taken.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
Tab 2 Approve Kentucky Racing Health and Welfare Fund Request for payment of unclaimed pari-mutuel tickets	5 – 6	<p>Ms. Eads presented a request from the Kentucky Racing Health and Welfare Fund (“KyRHWF”) for payment of unclaimed pari-mutuel tickets in the amount of \$2,252,106.12, less any claims received and advertising expenses, pursuant to KRS 230.374. The amount requested is the total of tickets sold to bettors between July 1, 2011 and June 30, 2012 that remained unclaimed as of July 1, 2013. The KyRHWF has submitted the required documents and staff recommends approval to release the funds.</p>	<p>Motion to approve recommendation by Commissioner Lavin. Second by Commissioner Leavitt. Motion carried with no objections or abstentions.</p>
Tab 3 Approve Kentucky Sire Stakes and County Fair Race Dates	7 – 8	<p>Ms. Eads presented the proposed race dates for the 2014 Kentucky Sire Stakes to be held at The Red Mile. For two and three-year olds, Leg 1 will be held on August 10, 2014 and Leg 2 on August 21, 2014. The Finals will be held on August 31, 2014. She noted that the funding for the Sire Stakes was previously approved at the Commission’s October 22, 2013 meeting. Staff recommends approval.</p> <p>Ms. Eads presented the proposed races dates for the 2014 Kentucky County Fairs as requested by the Kentucky Colt Association. She noted that the dates requested are the usual and customary dates for the County Fair races and there will be no pari-mutuel wagering. Staff recommends approval.</p>	<p>Motion to approve recommendation by Commissioner Leavitt. Second by Commissioner Houston. Motion carried with no objections or abstentions.</p>
Tab 4 Approve Turfway Park’s 2014 Winter/Spring Meet KTDF allotment request	8 – 10	<p>Ms. Eads stated that by letter dated December 5, 2013, Turfway Park requested approval of its Kentucky Thoroughbred Development Fund (“KTDF”) purse structure for its 2014 Winter/Spring meet and the ability to pay out KTDF purses on eligible horses first through last place. She noted that during discussions at the KTDF Advisory Committee (“Advisory Committee”) meeting on December</p>	<p>Motion to approve recommendation by Commissioner Richardson. Second by Commissioner Lavin. Motion carried with no objections or abstentions.</p>

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		<p>9, 2013, it was determined that Turfway Park had more available funds than requested in their December 5, 2013 request, so the amount of proposed KTDF purses was adjusted from \$692,000 to the range of \$800,000 to \$950,000.</p> <p>The Advisory Committee approved recommendation of Turfway Park's request at its December 9, 2013 meeting.</p>	
Tab 5 Approve Turfway Park's Roster Addendum for Holiday 2013-14 Winter/Spring Meet	10 – 11	<p>Mr. Guilfoil stated that by letter dated December 3, 2013, Turfway requested approval to add John Veitch to its roster of racing officials as paddock judge and entry clerk in the absence of Don Bork during the Holiday and Winter/Spring meet. Staff recommends approval.</p>	<p>Motion to approve made by Commissioner Phillips. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>
N/A	11	<p>Chairman Beck noted the arrival of Commissioners Jones and Conway.</p>	<p>No action taken.</p>
Tab 6 Approve Keeneland's Backside Improvement Request	11 – 13	<p>Mr. Guilfoil stated that by letter dated November 6, 2013, Keeneland requested approval for the following backside improvement projects:</p> <ol style="list-style-type: none"> 1. Barn shed row replacement for Barn 14; 2. 30 electrical heaters for barn tack rooms; and 3. Sprinkler pipe systems upgrade and fire alarm upgrades for barn area. <p>Staff has confirmed that Keeneland has \$185,253.01 in available backside improvement funds for fiscal year 2013. Keeneland has received bids for the proposed projects. Upon completion of the projects, KHRC staff will inspect the repair work and confirm completion. Written documentation of the completed projects, final photographs and copies of invoices will be maintained on file at the KHRC office. Reimbursement requests will be</p>	<p>Motion to approve recommendation by Commissioner Lavin. Second by Commissioner Yon. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		presented to the Commission for approval prior to the release of any fund money.	
N/A	13	Chairman Beck noted the arrival of Ms. Spade.	No action taken.
Tab 7 Approve 2014 ADW License Conditions to Licensure	13 – 15	<p>Ms. Speckert presented the 2014 Advance Deposit Account Wagering (“ADW”) Conditions for Licensure (the “Conditions”). She noted typographical errors where the year “2013” would be corrected to read “2014.” She stated the Conditions were substantially the same as in the past with the exception of inclusion of a request for additional information. She noted that although this additional information has not been included as a part of the Conditions in the past, it has been requested of and provided by the applicants.</p> <p>The ADW’s have been in compliance with the Conditions. Staff has reviewed the Conditions and approval is recommended.</p>	<p>Motion to approve recommendation by Commissioner Jones. Second by Commissioner Richardson. Motion carried with no objections or abstentions.</p>
Tab 8 Approve 2014 ADW License Applications	15 – 19	<p>Ms. Speckert requested that each of the representatives present on behalf of the ADW applicants introduce themselves to the Commission. There are eight (8) renewal applicants for 2014: AmWest Entertainment; Ebet Technologies; Premier Turf Club; TVG Network; TwinSpires and Xpressbet. In response to an inquiry by Chairman Beck, Ms. Speckert stated that thorough background checks and an analysis of financial information such as tax returns is performed for each renewal applicant to determine the applicant’s financial viability. She noted that all the applicants had been compliant and forthcoming with the requested information. The staff recommends approval of renewal of each of the eight (8) renewal applicant’s license.</p>	<p>Motion to approve 2014 ADW license applications, subject to compliance with the ADW conditions of licensure, for Amwest Entertainment; Avatar Ventures; eBet Technologies; Lien Games Racing; Premier Turf Club; TVG Network; TwinSpires; and XpressBet made by Commissioner Richardson. Second by Commissioner Phillips.</p>

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			Motion carried with no objections or abstentions.
<p>Tab 9 Racing2Day's Changes in Ownership and 2014 ADW License Application</p>	19 – 22	<p>Ms. Speckert explained that during the review process of Racing2Day's 2014 ADW renewal application, it was discovered that it appeared a substantial change in ownership (more than 5%) had occurred without obtaining the required approval and that several nominal changes in ownership (less than 5%) had occurred without providing the required notice to the Commission. Mr. Denis Kelly, Manager, from Racing2Day was scheduled to be present at today's meeting to conduct a preliminary hearing; however, due to a death in his family was unable to attend. A proper continuance of the preliminary hearing was requested.</p> <p>Currently no Kentucky residents are customers of Racing2Day and they have agreed to accept none until the issue is resolved. Racing2Day has also agreed to defer consideration of their 2014 renewal application until the next meeting of the Commission and waive the December 15 approval deadline.</p> <p>Chairman Beck requested a motion to continue the preliminary hearing and consideration of Racing2Day's 2014 renewal application until the next regularly scheduled Commission meeting.</p>	Motion made by Commissioner Phillips. Second by Commissioner Howard. Motion carried with no objections or abstentions.
<p>Tab 10 Uniform Medication Proposal a. Proposed amendments to 810 KAR 1:040. Thoroughbred Drug,</p>	22 – 23	Chairman Beck explained that the Racing Medication and Testing Consortium ("RMTC") had established the Uniform Medication Proposal (the "RMTC Proposal") in an effort to promote uniformity across the country in medication regulations. He noted that prior to opening the floor for	1) Motion to approve by Commissioner Yon. Second by Commissioner Phillips. Motion carried with no

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>brought before the Commission at a later date, today's discussion would focus on the third prong.</p> <p>The RMTC Schedule currently lists 24 therapeutic substances along with the regulatory threshold and corresponding withdrawal guideline for each substance. Dr. Scollay explained that the withdrawal guidelines are voluntary and advisory, and noted that the heading of the second column of the RMTC Schedule captioned "Restricted Administration Time" should be corrected to read "Withdrawal Guidelines." The regulatory thresholds and withdrawal guidelines established by the RMTC are science-based on administration and elimination studies. An annual review of the RMTC Schedule by a committee of stakeholders comprised of practicing veterinarians, regulators, scientist and chemists would be conducted and additions made based on the identification of need and the existence of the necessary scientific data. In response to an inquiry from Commissioner Jones, she stated that the process established by the RMTC to add to or eliminate a substance from the RMTC Schedule would require a majority vote of the RMTC board based upon recommendations from its scientific advisory committee.</p> <p>Because the focus of the RMTC Proposal is uniformity on medications among racing jurisdictions that engage in pari-mutuel horse racing in the United States, Dr. Scollay provided a PowerPoint presentation to demonstrate the status of the RMTC Proposal in other states. She stated that the RMTC Proposal has been adopted by 10 states, is under consideration by 10 states (including Kentucky), and</p>	<p>5) Motion to approve by Commissioner Yon. Second by Commissioner Lavin. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	42 – 47	<p>is not currently being contemplated by 3 states.</p> <p>In response to an inquiry by Chairman Beck, Dr. Scollay confirmed that if the proposed amendments of the Withdrawal Guidelines/Substance Classification Schedule Regulations to incorporate the RMTTC Schedule are approved by the Commission and become effective in compliance with Kentucky’s standard legislative process, any subsequent changes to the RMTTC Schedule by the RMTTC would also require subsequent amendment of the Withdrawal Guidelines/Substance Classification Schedule Regulations to incorporate those changes through the same approval and legislative processes. In response to an inquiry by Commissioner Lavin, Ms. Speckert explained that from the time the amendments are filed with the Legislative Research Commission (the “LRC”), the minimum timeframe for completion of the legislative process would generally be 4 to 6 months.</p> <p>Dr. Scollay explained that Kentucky already very similarly regulates a vast majority of the substances included in the RMTTC Schedule. The proposed changes would include: 1) interarticular corticosteroids – withdrawal time would change from 3 days to 7 days; and 2) clenbuterol - withdrawal time would change from 72 hours to 14 days. There would also be a corresponding change in threshold for both categories of substances.</p> <p><u>d. and e.:</u> Dr. Scollay explained that it would also be necessary to amend the standardbred medication regulation (811 KAR</p>	

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	47 – 50	<p>1:090) in order for it to be consistent with the proposed amendments to the standardbred Withdrawal Guidelines/Substance Classification Schedule Regulation (811 KAR 1:093) and the currently effective Thoroughbred, Quarter horse, appaloosa and Arabian regulations. The proposed amendments to 811 KAR 1:093 are: 1) change the threshold for pheylnbutazone from 5 micrograms per ml in serum to 2 micrograms per ml in serum; 2) prohibit the oral administration of pheylnbutazone; and 3) change the dosage of furosemide from a dose range of 100 mg - 250 mg to a dose range of 150 mg - 500 mg.</p> <p>Ms. Speckert explained that the standardbred penalty regulation (811 KAR 1:095) with regard to Class C drugs (flunixin, ketoprofen and phenylbutazone) also required amendment in order to make it consistent with the currently effective Thoroughbred and Quarter horse, appaloosa and Arabian regulations.</p> <p>Commissioner Yon reported that the EDRC had spent several months reviewing and discussing the RMTC Schedule and comparing the RMTC's recommendations for each drug to what Kentucky's current regulations provided. He stated that at the EDRC's December 3, 2013 meeting, by a 4-3 vote the EDRC approved recommendation of the proposed amendments to the Withdrawal Guidelines/Substance Classification Schedule Regulations for the Thoroughbred and Quarter horse, appaloosa and Arabian breeds (810 KAR 1:040 and 811 KAR 2:093); however, no recommendation was made with regard to the standardbred regulations. In response to an inquiry by</p>	

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	50 – 55	<p>Chairman Beck, Commissioner Yon noted that the EDRC's decision to not make any recommendation on the standardbred regulation was in part because Mr. Art Zubrod, EDRC standardbred representative member, was unable to attend the meeting and participate in the discussions due to a recent surgery.</p> <p>Commissioner Leavitt noted The Hambletonian Society, United States Trotting Association and the Kentucky Harness Horseman Association strongly believe that there should be separate regulations for standardbreds as opposed to Thoroughbreds. He expressed his objection to the proposed amendments to the withdrawal times and corresponding thresholds of clenbuterol and interarticular corticosteroids for standardbreds and noted there was no evidence of abuse of either substance in standardbred racing. In response to an inquiry by Commissioner Conway, Dr. Scollay stated that the RMTTC Proposal is across all racing breeds and if the Commission elected to exclude standardbreds from the proposed amendments for these substances, Kentucky would not be considered part of the RMTTC Proposal.</p>	
	55 – 70	<p>A lengthy discussion followed during which comments were expressed and questions addressed.</p>	
	70 – 71	<p>1) Chairman Beck requested a motion to approve the proposed amendments to 810 KAR 1:040 – Thoroughbred drug, medication, and substance classification schedule and withdrawal guidelines.</p>	

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	71 – 72	2) Chairman Beck requested a motion to approve the proposed amendments to 811 KAR 2:093 – Quarter horse, appaloosa and Arabian drug, medication, and substance classification schedule and withdrawal guidelines regulation.	
	72 – 73	3) Chairman Beck requested a motion to approve the proposed amendments to 811 KAR 1:093 – Standardbred drug, medication, and substance classification schedule and withdrawal guidelines.	
	73 – 74	4) Chairman Beck requested a motion to approve the proposed amendments to 811 KAR 1:090 – Standardbred medication, testing procedures, and prohibited practices.	
	74 – 75	5) Chairman Beck requested a motion to approve the proposed amendments to 811 KAR 1:095 – Standardbred disciplinary measures and penalties.	
Tab 11 Reports a. Thoroughbred Rulings b. Standardbred Rulings c. Veterinary Division d. Pari-Mutuel Wagering Division e. Regulations and Litigation	75	a. Ms. Borden presented the thoroughbred ruling report. She noted there were no updates to the report as provided.	No action taken. Informational items only.
76	b. Mr. Guilfoil presented the standardbred ruling report. He noted that Rulings J2013-010, 011 and 012 had resulted in suspensions for nonpayment.		
76 – 78	c. Dr. Scollay presented the 3 rd quarter (7/1-9/30/2013) drug testing summary and veterinary report for the 2013 Fall meet at Churchill Downs.		

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	78 – 79	d. Mr. Lamb presented the reports for historical horse racing at Kentucky Downs and Ellis Park for October and November 2013, and live handle for Churchill Downs for October 27 – November 30, 2013; Keeneland for October 4 - 26, 2013; and The Red Mile for October 3 – 6, 2013.	
	79	e. Status Report on Regulations and Litigation – For Informational Purposes Only.	
<p>Other business</p> <p>1. Presentation of Kentucky Downs' check to KBIF</p>	79 – 83	<p>Prior to presentation of Kentucky Downs' check to the Kentucky Breeders' Incentive Fund (KBIF), Chairman Beck asked Commissioner Richardson to provide an update on the Advisory Committee December 9, 2013 meeting. Commissioner Richardson noted that the Advisory Committee voted unanimously to adopt a proposal from the KTA/KTOB that proposes amending the statute(s) to allow adding higher level claiming horses to KTDF eligibility on KTDF allowance optional claiming races.</p> <p>Mr. Switzer explained the proposed amendment would set a minimum claiming price of \$25,000 and is supported by the Kentucky Horseman's Benevolent and Protective Association, Ellis Park, Turfway Park, Churchill Downs, Keeneland and Kentucky Downs. It is believed that the proposed amendment will help with entries as well as keeping horses in Kentucky. Senator Schickel will sponsor the bill.</p> <p>Chairman Beck requested a motion for the Commission to express its approval along with the other parties indicated by Mr. Switzer.</p>	<p>Motion by Commissioner Phillips. Second by Commissioner Richardson. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		1) Mr. Hughes presented a check from Kentucky Downs in the amount of \$202,171.52 to the Kentucky Breeders' Incentive Fund. He noted since September 2011, wagering on historical horse racing has generated \$8.4 million in pari-mutuel tax revenue that has gone to purses, and the Thoroughbred development fund and breeders' incentive fund.	1) No action taken.
Adjournment	85	Chairman Beck requested a motion for adjournment.	Motion to adjourn by Commissioner Yon. Second by Commissioner Lavin. Motion carried with no objections or abstentions.

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STEVE BESHEAR
GOVERNOR

ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: HTTP://KHRC.KY.GOV

To: Kentucky Horse Racing Commission

From: Marc A. Guilfoil, Director of Racing

Date: February 17, 2014

Re: Request for Approval of Roster of Racing Officials for Keeneland Association’s 2014 Spring Meet

By the attached letter dated February 13, 2014, Mr. Rogers Beasley, Vice President of Racing for Keeneland Association, requests approval of the attached Roster of Racing Officials scheduled to preside over the conduct of racing during their 2014 Spring Meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening. Also included in the request for approval is Keeneland Association’s date preference system.

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied



Keeneland Association, Inc.

4201 Versailles Road
Lexington, KY 40510
P.O. Box 1690
Lexington, KY 40588-1690
859 254-3412 Tel.
800 456-3412
859 288-4347 Fax
www.keeneland.com

February 13, 2014

Mr. John T. Ward
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Pkwy., Bldg. B
Lexington, KY 40511

Dear John:

With the approval of the Kentucky Horse Racing Commission, the officials for the 2014 Spring Meeting at Keeneland will be as follows:

Stewards - Ronald Herbstreit and Richard S. Leigh
Vice President of Racing – W.B. Rogers Beasley
Racing Secretary - David Ben Huffman
Assistant Racing Secretary – Tia Murphy
Starter - Robert Alexander
Paddock Judge – David Wedlake
Assistant Paddock Judge – John Veitch
Patrol Judges – Steve Obrekaitis, James F. Ewalt, Tiffany Bourque, Ron Tomlinson, Steve Worsley
Placing Judges - Steve Obrekaitis, James F. Ewalt, Tiffany Bourque, Ron Tomlinson, Steve Worsley
Entry Clerks – Steve Obrekaitis, James F. Ewalt, Tiffany Bourque, Ron Tomlinson, John Veitch
Clerk of Scales – Javier Torres
Horse Identifier – Brooks Beacraft
Assistant Identifier – Melinda Vest
Timer – American Teletimer (Mark Skaggs)
Program Coordinator - Sue Brewster
Jockey Room Custodian – Mike June
Clocker – Tom Bridges
Claims Clerk – Ron Tomlinson
Outriders - Gene Voss, Stacy Pate, Dale Mallory
Horsemen's Bookkeeper - Pam Barker
Track Superintendent – Fred Manning
Director of Mutuels – Jim Goodman
Director of Security – Ed Blaser
Paddock Blacksmith – Tracey Plowman, Leroy Thomas
Announcer – Kurt Becker
Stall Superintendent – Dewey Harper
Stakes Coordinator – Scott L. Jones

2.

Post time for the first race is 1:05 p.m. daily except on Saturday, April 12 (Toyota Blue Grass Stakes Day) when the first post will be 12:35 p.m. We will hold eight to twelve live races daily.

Horses which have been excluded from races shall be given preference the next time they are entered, provided they are in a race of similar distance (under a mile or a mile or over) and with a price range of \$500 either way up to \$8,000; above that \$1,000 either way up to \$15,000; above that \$2,500 either way up to \$25,000, and above that \$5,000. Fillies and mares will be kept separated.

Seventy-two (72) hour entry rule (exceptions: Wednesday's entries on the preceding Saturday; Thursday's entries on the preceding Sunday)

Star only entries will be accepted in two-year-old maiden races only. Trainers may enter up to three star only entries. However, should the race not overfill no stars will be allotted.

Thank you for your consideration, if you need any additional information, we will be happy to furnish it upon request.

Sincerely,



W. B. Rogers Beasley
Vice President of Racing



STEVE BESHEAR
GOVERNOR

ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

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WEB SITE: HTTP://KHRC.KY.GOV

To: Kentucky Horse Racing Commission

From: Marc A. Guilfoil, Director of Racing

Date: February 17, 2014

Re: Request for Approval of Roster of Racing Officials for Thunder Ridge’s 2014 Meet

By letter dated February 13, 2014, Anita Ratliff, General Manager of Thunder Ridge Race Track, requests Kentucky Horse Racing Commission approval of the attached Roster of Racing Officials scheduled to preside over the conduct of racing during the 2014 harness meet. Please be advised these officials have worked in these capacities in the past and all will be licensed by the meet opening. The Judges have been accredited by Racing Officials Accreditation Program (ROAP).

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied



Appalachian Racing, LLC
164 Thunder Road
Prestonsburg, KY 41653

February 13, 2014

Marc A. Guilfoil
Director of Racing
Kentucky Horse Racing Authority
4063 Iron Works Parkway
Lexington, KY 40511

Dear Mr. Guilfoil:

Appalachian Racing, LLC, d.b.a. Thunder Ridge Racing respectfully requests your approval and acceptance of the following racing officials for our upcoming standardbred meet beginning April 23, 2014.

Billy Perkins	Race Secretary
Dan Ross	Associate Judge
Brent McLaren	Associate Judge
Mike Moss	Starting Judge
April Neafus	Program Director/Charter/Clerk of Course
Jeff Riedel	Paddock Judge
Pam Coleman	Identifier
Glenda Foley	Photo Finish
Jessica Harris	Timer
Billy Perkins	Announcer
Wilma Foley	Mutuels Manager
Lendell Coleman	Paddock Blacksmith
John Meek	Track Superintendent
Addrian Collins	Security

Appalachian Racing, LLC will conduct all live racing in compliance with all applicable state and federal laws.

Thank you for your time and consideration concerning this matter.

Sincerely,

Anita S. Ratliff

Anita S. Ratliff
General Manager

Thunder Ridge Racing
164 Thunder Road
Prestonsburg, KY 41653

U-Bet
100 Veterans Lane
Hazard, KY 41701

STEVE BESHEAR
GOVERNOR



ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

TO: ALL COMMISSION MEMBERS

FROM: Jamie H. Eads, director, Division of Incentives & Development

DATE: February 12, 2014

SUBJECT: APPROVAL OF PAYMENT TO KY COLT ASSOCIATION

On February 12, 2014, Janet Napier, Secretary and Treasurer of the Kentucky Colt Association, sent a letter to the Kentucky Horse Racing Commission, requesting payment of the 2013 Standardbred un-cashed ticket money and a portion of the tax taken in by the Kentucky Off Track Betting parlors that is allocated annually to the Kentucky Colt Association. This money, totaling \$83,655.86, is used for harness racing at county fairs in Kentucky and the Fair Finals as stipulated in KRS 230.398 and KRS 230.380 9(a) 5.

The KHRC confirms \$76,887.45 was collected for 2013 un-cashed tickets to be distributed to the Kentucky Colt Association and \$6,768.41 was collected in taxes paid by the off-track betting parlors to be distributed to the Kentucky Colt Association. The two amounts total \$83,655.86.

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied



KENTUCKY COLT ASSOCIATION
P.O. BOX 1539
VERSAILLES, KY. 40383
859-619-9384

Kentucky Horse Racing Commission
Attn: Jamie Eads
4063 Iron Works Parkway
Lexington, Ky. 40511
February 12, 2014



To Whom It May Concern:

The Kentucky Colt Association is requesting the Kentucky Fairs portion of the uncashed ticket money in the amount of \$76,887.45 and the Parimutuel tax in the amount of 6768.41, This will be used for the seven (7) county fair races and the eight (8) divisions of the Fair Finals for the 2014 racing season.

The total amount we are requesting is \$83,655.86.

Thank you.

Sincerely,

A handwritten signature in cursive script that reads "Janet Napier".

Janet Napier, Ex. Secretary
Kentucky Colt Association

Unclaimed Parimutuel Tickets for 2013

KY Colt Racing Association
Attn: Janet Napier
P.O. Box 1539
Versailles, KY 40383

<u>Racing Facility</u>	<u>Amount</u>	<u>Amount claimed</u>	<u>Advertising Paid</u>	<u>Check Received</u>	<u>Total to fund</u>
Players Bluegrass Downs	\$30,615.70	\$0.00	\$118.80	\$30,615.70	\$30,496.90
The Red Mile, Inc.	\$20,401.14	\$0.00	\$602.49	\$20,401.14	\$19,798.65
Thunder Ridge	\$15,778.25	\$0.00	\$23.94	\$15,778.25	\$15,754.31
U-Bet	\$11,785.22	\$0.00	\$947.63	\$11,785.22	\$10,837.59
Total	\$78,580.31	\$0.00	\$1,692.86	\$78,580.31	\$76,887.45

Monies to be turned over to the KY Colt Racing Association pursuant to KRS 230.398

230.398 Funds to be used for purses at harness racing events at county fairs.

All sums reported and paid to the racing commission under the provisions of KRS 230.361 to 230.373 by any licensee conducting a harness race meeting shall be used by it for purses at harness racing events at county fairs within the Commonwealth of Kentucky that have been licensed and approved by it. The racing commission shall have the authority to promulgate administrative regulations as may be necessary for the conduct of these races.

Effective: July 15, 2010

History: Amended 2010 Ky. Acts ch. 24, sec. 482, effective July 15, 2010. -- Amended 2004 Ky. Acts ch. 191, sec. 38, effective July 13, 2004. -- Amended 1992 Ky. Acts ch. 109, sec. 31, effective March 30, 1992. -- Created 1980 Ky. Acts ch. 84, sec. 14, effective July 15, 1980.

230.380 Simulcast facilities -- Restrictions and prohibitions -- Tax implications -- Percentage of wagers to local economic development -- Commissions -- Report on money expended.

- (1) Any track licensed by the racing commission to conduct horse racing and desiring to establish a simulcast facility shall apply for and may receive approval from the racing commission for each simulcast facility. Prior to considering an application for approval of a simulcast facility, the racing commission shall notify by regular mail, each state senator, state representative, county judge/executive, and mayor in the jurisdiction in which the proposed simulcast facility is located, at least ten (10) days in advance of the racing commission meeting at which the application is to be considered or voted upon. Consideration of an application shall be based on criteria contained in administrative regulations promulgated under KRS 230.300. Approval, if granted, shall be granted for a term of one (1) calendar year.
- (2) A track or tracks may proceed with the establishment of a simulcast facility unless, within sixty (60) days of the date on which the racing commission approved the facility, the governing body of the local government jurisdiction in which the facility is to be located votes, by simple majority of those voting, to disapprove the establishment of the simulcast facility. For the purposes of this section, "governing body" means, in an incorporated area, the board of aldermen, city council or board of commissioners; in a county, the fiscal court; in an urban-county government, the urban-county council, or in a charter county, the legislative body created in accordance with KRS 67.825 to 67.875.
- (3) The racing commission shall not approve the establishment of any simulcast facility within a radius of fifty (50) miles of a licensed track. The racing commission may approve the establishment of one (1) simulcast facility within a radius of greater than fifty (50) miles but less than seventy-five (75) miles of a licensed track, but the facility shall not be approved to operate without the prior written consent of the licensed track within whose seventy-five (75) mile radius the facility is located.
- (4) The racing commission may promulgate administrative regulations as it deems appropriate to protect the integrity of pari-mutuel wagering at any simulcast facility.
- (5) Licensed tracks conducting horse racing may enter into joint agreements to establish or operate one (1) or more simulcast facilities, on terms and conditions as the participating tracks may determine. Any agreements respecting these arrangements shall be filed with the racing commission, and applications for simulcast facilities shall be filed by and licenses may be issued to, these licensed tracks by the racing commission.
- (6) A simulcast facility may be established and operated on property that is owned or leased and which is not used solely for the operation of a simulcast facility; provided however, that a simulcast facility may not be established on the premises of a lottery vendor.
- (7) A simulcast facility shall not be subject to and shall not pay any excise tax imposed pursuant to KRS 138.510, any license tax imposed under KRS 137.170, or any admission tax imposed under KRS 138.480.
- (8) One percent (1%) of all moneys wagered at a simulcast facility shall be

- dedicated for local economic development and shall be allocated as follows:
- (a) If a simulcast facility is located in an incorporated area, seventy-five percent (75%) shall be allocated to the governing body of the city in which the facility is located, and twenty-five percent (25%) to the governing body of the county in which the facility is located.
 - (b) If a simulcast facility is located in an unincorporated area, all moneys shall be allocated to the governing body of the county or charter county in which the facility is located.
- (9) (a) After the deduction of moneys under subsection (8), simulcast facility shall deduct a commission allowed under KRS 230.3615 with respect to all wagers made at the simulcast facility. The commission, less moneys allocated in subsection (8) of this section, shall be split as follows:
- 1. Thirty percent (30%) shall be allocated to the host track;
 - 2. Forty-six and one-half percent (46.5%) to the purse program at the host track;
 - 3. Thirteen and one-half percent (13.5%) to be retained by the track or tracks owning the simulcast facility for the purpose of application to expenses incurred in connection therewith;
 - 4. Six percent (6%) to be allocated to the Kentucky Thoroughbred Owners and Breeders, Inc., to be expended as follows:
 - a. Up to three percent (3%) for capital improvements and promotion of off-track betting; and
 - b. The remainder for marketing and promoting the Kentucky Thoroughbred industry; and
 - 5. Four percent (4%) to be allocated to the racing commission to be used for purses at county fairs in Kentucky licensed and approved by the racing commission, and for the standardbred sires stakes program established under KRS 230.770.
- (b) The commission of a simulcast facility derived from interstate wagering shall be reduced by any amounts required to be paid by contract to the host track or track conducting the live race before it is divided as set forth in this section. No simulcast facility may receive any interstate simulcast except with the approval of the live Kentucky host track.
- (c) The Kentucky Thoroughbred Owners and Breeders, Inc., shall annually report to the racing commission on all money expended in accordance with subsection (9)(a)4. of this section. The report shall be in the form required, and provide all information required by the racing commission.
- (10) Subsections (1) and (2) of this section shall also apply to the establishment by a track of a noncontiguous facility in a county in which pari-mutuel racing and wagering is not being conducted. Subsection (8) of this section shall also apply to a noncontiguous race track facility referenced in this subsection, unless there is a written agreement to the contrary between the track establishing the facility and the governing body of the local government jurisdiction in which the facility is to be established.

Effective: July 15, 2010

History: Amended 2010 Ky. Acts ch. 24, sec. 481, effective July 15, 2010. --

Amended 2004 Ky. Acts ch. 191, sec. 37, effective July 13, 2004. -- Amended 2000 Ky. Acts ch. 447, sec. 1, effective July 14, 2000. -- Amended 1998 Ky. Acts ch. 237, sec. 1, effective July 15, 1998. -- Created 1992 Ky. Acts ch. 109, sec. 2, effective March 30, 1992.

Legislative Research Commission Note (7/15/98). Under KRS 7.136(1)(e), a reference to the former KRS 138.515 in subsection (9)(a) of this statute has been changed to KRS 230.3615 because of 1992 Ky. Acts ch. 109, sec. 8.

STEVE BESHEAR
GOVERNOR



ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: HTTP://KHRC.KY.GOV

TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, director, division of incentives & development
DATE: March 18, 2014
SUBJECT: KTDF PURSE STRUCTURE – KEENELAND SPRING MEET

On February 17, 2014 the KHRC received the attached letter from Keeneland Association with its upcoming 2014 spring meet KTDF purse structure request.

KHRC staff confirms that at the end of the 2013 fall meet, Keeneland had a deficit of \$22,817. Keeneland proposed KTDF purses in the range of \$468,200. Keeneland projections are based on a payout of approximately 72.5% and KTDF purses representing 10% of the purses. Additionally, Keeneland request the ability to pay KTDF purses on eligible horses first through last place.

The KTDF Advisory Committee met & reviewed the proposal on March 10, 2014. Approval is recommended.

COMMISSION ACTION

_____ Approved
_____ Deferred
_____ Denied





Keeneland Association, Inc.
4201 Versailles Road
Lexington, KY 40510
P.O. Box 1690
Lexington, KY 40588-1690
859 254-3412 Tel.
800 456-3412
859 288-4347 Fax
www.keeneland.com

February 17, 2014

KTDF Committee of the
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Lexington, KY 40511

Committee Members,

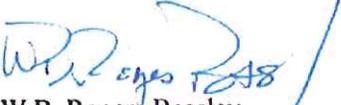
Keeneland has reviewed our KTDF data and would like to card KTDF money in the range of \$468,200 for our upcoming Spring meet. We have determined this number based on the following information:

1. We had a deficit of \$22,817 following the Fall 2013 meet.
2. We anticipate that KTDF purses will be approximately 10% of the purses for the races that are eligible for KTDF.
3. We assume a payout of approximately 72.5%.

Keeneland will pay out KTDF funds through last place. A table containing the payout percentage by field size is attached.

Should we determine that more or less funds will actually be available than our current estimates, we would like the latitude to adjust accordingly and so notify the Commission.

Respectfully submitted,


W.B. Rogers Beasley
Vice President of Racing



KTDF Splits

Finish	# of Starters									
	5	6	7	8	9	10	11	12	13	14
1st	62.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%
3rd	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%
4th	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
5th	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
6th		2.00%	1.00%	0.67%	0.50%	0.40%	0.34%	0.28%	0.25%	0.23%
7th			1.00%	0.67%	0.50%	0.40%	0.34%	0.28%	0.25%	0.23%
8th				0.56%	0.50%	0.40%	0.33%	0.28%	0.25%	0.22%
9th					0.50%	0.40%	0.33%	0.28%	0.25%	0.22%
10th						0.40%	0.33%	0.28%	0.25%	0.22%
11th							0.33%	0.28%	0.25%	0.22%
12th								0.28%	0.25%	0.22%
13th									0.25%	0.22%
14th										0.22%
	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

STEVE BESHEAR
GOVERNOR



ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: HTTP://KHRC.KY.GOV

TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, director, division of incentives & development
DATE: March 18, 2014
SUBJECT: KTDF PURSE STRUCTURE – CHURCHILL DOWNS SPRING MEET

On March 6, 2014 the KHRC received the attached letter from Churchill Downs with its upcoming 2014 spring meet KTDF purse structure request.

KHRC staff confirms that at the end of the 2013 fall meet, Churchill Downs had a deficit of \$19,975. Churchill Downs proposed KTDF purses in the range of \$1,375,000 - \$1,475,000. Churchill Downs projections are based on projected daily earnings of \$29,896 and an expected KTDF payout percentage of 77%. Additionally, Churchill Downs request the ability to pay KTDF purses on eligible horses first through last place.

The KTDF Advisory Committee met & reviewed the proposal on March 10, 2014. Approval is recommended.

COMMISSION ACTION

_____ Approved
_____ Deferred
_____ Denied





CHURCHILL DOWNS

RECEIVED

MAR 07 2014

KENTUCKY HORSE
RACING COMMISSION

January 29, 2014

KTDF Advisory Committee of the
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Building B
Lexington, Kentucky 40511

Committee Members:

Churchill Downs has reviewed our KTDF data and would like to card KTDF purses in the range of \$1,375,000 to \$1,475,000 for our upcoming spring meet. We have based the range on the following information:

1. Churchill Downs has a deficit balance of \$19,975 at the end of our 2013 fall meet.
2. In the 2013 spring meet, we offered \$1,633,800 in KTDF purses and paid \$1,258,130 in KTDF purses.
3. We are projecting daily earnings of \$29,896, which is 2.5% lower than the \$30,655 earned in 2013 because of anticipated declines in handle consistent with industry trends.
4. We are assuming a KTDF payout percentage of 77%, which is equal to our payout percentage from the 2013 spring meet.
5. To encourage participation, during the spring meet, we are planning to pay KTDF purses from first to last to all eligible horses instead of the traditional first to fourth.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman
Racing Secretary

Phil Milliner
Vice President, Finance

STEVE BESHEAR
GOVERNOR



ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION
JOHN T. WARD, JR., EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: HTTP://KHRC.KY.GOV

To: Kentucky Horse Racing Commission

From: Marc Guilfoil, Director of Racing

Date: March 13, 2014

Re: Request for Approval to change Red Mile race date

By attached letters The Red Mile requests to amend their race dates to move the Thursday, September 18, 2014 race date to Friday, September 19, 2014. The Kentucky Harness Horsemen's Association has approved this change.

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied





January 15, 2014

Mr. John Ward
Kentucky Horse Racing Commission
Executive Director
4063 Iron Works Parkway
Lexington, Kentucky 40511

Dear Mr. Ward:

The Red Mile requests the permission of the Kentucky Horse Racing Commission to amend our 2014 Standardbred requested race dates to move the Thursday, September 18 race date to Friday, September 19. The post time would remain at 7:00 pm. Please see the attached racing calendar with this change reflected.

The Red Mile has obtained the approval of the Kentucky Harness Horsemen's Association for these changes. Thank you for your time concerning this matter.

Sincerely,

Julie Sorrell
Director of Mutuels & Simulcasting
The Red Mile

THE RED MILE
2014 Standardbred Racing Calendar
August 3- October 5

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
AUGUST 3  Post: 7:00	4	5	6	7  Post: 7:00	8	9  (Optional)
10  Post: 7:00	11	12	13	14  Post: 7:00	15	16  (Optional)
17  Post: 7:00	18	19	20	21  Post: 7:00	22	23  (Optional)
24  Post: 7:00	25	26	27	28  Post: 7:00	29	30  (Optional)
31  Post: 7:00	SEPTEMBER 1	2	3	4  Post: 7:00	5	6  (Optional)
7  Post: 7:00	8	9	10	11  Post: 7:00	12	13  (Optional)
14  Post: 7:00	15	16	17	18	19  Post: 7:00	20  (Optional)
21	22	23	24	25  Post: 7:00	26  Post: 7:00	27  Post: 7:00
28  Post: 1:00	29	30	OCTOBER 1	2  Post: 1:00	3  Post: 1:00	4  Post: 1:00
5  Post: 1:00	6	7	8	9	10	11



**1700 Alexandria Drive
Suite 4
Lexington, KY 40504
(859) 585-0505**

March 13, 2014

To Whom It May Concern:

It should be noted that the Kentucky Harness Horsemen's Association approves The Red Mile date change from Thursday, September 18th to Friday, September 19th. If there are any further questions or concerns, please contact me directly.

Thanks,

Gabe Prewitt
Executive Secretary
Kentucky Harness Horsemen's Association
(859) 585-0505



STEVE BESHEAR
GOVERNOR

ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: HTTP://KHRC.KY.GOV

To: Kentucky Horse Racing Commission

From: Greg Lamb, Supervisor of Pari-Mutuel Wagering

Date: February 11, 2014

Re: Request for Approval to Move 50 Nitro model Historical Racing Terminals from Ellis Park Race Course, LLC to Kentucky Downs

By attached letters Ellis Park Race Course, LLC and Kentucky Downs, request approval to move 50 Nitro model historical horse racing terminals from Ellis Park to Kentucky Downs. Kentucky Downs plans to swap out some older model terminals and replace them with the Nitro model terminals from Ellis. This request will not result in any additional terminals being added at Kentucky Downs. Further, Kentucky Downs does not plan to change its floor plan or to offer any new wagers as a result of swapping terminals.

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied





February 10, 2014

**John T. Ward, Jr.
Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, Kentucky 40511**

Dear Mr. Ward,

As per our conversation with Greg Lamb, Supervisor of Pari-mutuel Wagering, Ellis Park Race Course, LLC, respectfully submits the following request to move 50 Nitro model Historical Racing Terminals to Kentucky Downs.

After discussions between Ellis Park and Kentucky Downs, Ellis has agreed to move 50 Nitro model terminals, which are not being utilized to their potential, to Kentucky Downs as soon as permission is granted.

Moving these terminals to Kentucky Downs will be beneficial for all parties. Increased purses for the horsemen, increased tax revenue for the state, increased revenue for Kentucky Downs and reduced operating expenses for Ellis Park.

We are in the planning stages of making changes in our terminal placement, positioning of the terminals and game types. We feel that once these changes are accomplished we will be able to increase our already 6.6% increase in handle over 2013.

Please let me know if this terminal move request is approved.

Should you require any additional information, please do not hesitate to contact me.

Respectfully yours,

**Robert A. Jackson
Director of Operations**



KENTUCKY DOWNS

**P.O. Box 405
Franklin, Kentucky 42135
270.586.7778
www.kentuckydowns.com**

February 11, 2014

**John Ward
Executive Director
KENTUCKY HORSE RACING COMMISSION
4063 Ironworks Parkway, Building B
Lexington, KY 40511**

Dear John:

Kentucky Downs is requesting permission to remove fifty of the Cole Kepro slim line terminals from our gaming floor and replace them with fifty Nitro terminals. New games will be installed on these terminals, all will be existing and authorized titles.

Once permission is granted Kentucky Downs will provide KHRC with the date of transfer and a conversion spreadsheet detailing specific changes to our current floor layout. Kentucky Downs will also provide KHRC with an updated floor plan depicting the location of the new Nitro terminals.

Sincerely,

**Nicholas Hughes
Senior Vice President and General Manager
Kentucky Downs, LLC**



STEVE BESHEAR
GOVERNOR

ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR
4063 IRONWORKS PKWY, BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEB SITE: HTTP://KHRC.KY.GOV

To: Kentucky Horse Racing Commission

From: Greg Lamb, Supervisor of Pari-Mutuel Wagering

Date: March 5, 2014

Re: Request for Approval to replace three Cole Kepro slim line terminals with the new Nitro terminals

By attached letter Kentucky Downs, requests approval to replace three Cole Kepro slim line terminals with the new Nitro terminals. New games will be installed on these terminals, all will be existing and authorized titles

Approval is recommended.

COMMISSION ACTION

_____ Approved

_____ Deferred

_____ Denied





KENTUCKY DOWNS

P.O. Box 405
Franklin, Kentucky 42135
270.586.7778
www.kentuckydowns.com

March 5, 2014

John Ward
Executive Director
KENTUCKY HORSE RACING COMMISSION
4063 Ironworks Parkway, Building B
Lexington, KY 40511

Dear John:

Kentucky Downs is requesting permission to remove three of the Cole Kepro slim line terminals from our gaming floor and replace them with three Nitro terminals. New games will be installed on these terminals, all will be existing and authorized titles.

Once permission is granted Kentucky Downs will provide KHRC with the date of transfer and a conversion spreadsheet detailing specific changes to our current floor layout. Kentucky Downs will also provide KHRC with an updated floor plan depicting the location of the new Nitro terminals.

Sincerely,

Nicholas Hughes
Senior Vice President and General Manager
Kentucky Downs, LLC





STEVE BESHEAR
GOVERNOR

ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR

4063 IRONWORKS PKWY, BLDG. B

LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039

WEB SITE: HTTP://KHRC.KY.GOV

TO: ALL COMMISSION MEMBERS

FROM: Marc A. Guilfoil, KHRC

DATE: February 17, 2014

SUBJECT: Backside Improvement Fund: Churchill Downs, Inc.

On February 17, 2014, the KHRC received the attached letter from Churchill Downs. Churchill Downs is seeking permission from the Commission to approve repairs to barn roofs at both Churchill Downs and Trackside. These updates are necessary to ensure the quality of the environment for horses and people on the backside. Churchill Downs has included photographs and one bid. The total cost of this project will exceed funds available to Churchill Downs for backside improvement projects. Churchill Downs will only be reimbursed for an amount equal to the amount available.

Churchill Downs has \$350,643.51 in the Backside Improvement Fund from FY13. Upon completion of the project, KHRC staff will inspect the repair work and confirm the improvement has been completed. All invoices, photographs and communication will be kept on file for Commission review.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied



February 16, 2014

Marc Guilfoil
Director of Racing
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511

Re: **Application for Approval of Backside Improvement Fund Projects**

Dear Marc,

Below please find an itemized list (in order of priority) of our 2014 projects eligible for Backside Improvements Funds:

1. Churchill Downs' Barn Roof Repair
2. Trackside Barn Roof Repair

Project #1 – Churchill Downs' Barn Roof Repair

Justification

The roofs of barns 2, 3, 7, 11, 15, 16, 17, 18, 21, 22, 24, 25, 26, 28, 29, 33, 34, 35, 36 and 38 require much needed repair to prevent leaking. This is a much needed upgrade to insure a quality environment for our horses.

Scope of Work

CDRT Barns

Barn #2	10	Some Damage / Repair as needed
Barn #3	50	Replace 1/2 Roof
Barn #7	50	Replace 1/2 Roof
Barn #11	50	Replace 1/2 Roof
Barn #15	15	Some Damage / Repair as Needed
Barn #16	50	Replace 1/2 Roof
Barb #17	50	Replace 1/2 Roof
Barn #18	10	Some Damage / Repair as Needed
Barn #21	15	Some Damage / Repair as Needed
Barn #22	15	Some Damage / Repair as Needed
Barn #24	50	Replace 1/2 Roof
Barn #25	10	Some Damage / Repair as Needed

Barn #26	15	Some Damage / Repair as Needed
Barn #28	10	Some Damage / Repair as Needed
Barn #29	50	Replace 1/2 Roof
Barn #33	50	Replace 1/2 Roof
Barn #34	50	Replace 1/2 Roof
Barn #35	50	Replace 1/2 Roof
Barn #36	50	Replace 1/2 Roof
Barn #38	50	Replace 1/2 Roof

Illustration

See photographs attached hereto.

Itemized Cost Estimates

See itemized budget attached hereto.

Project #2 – Churchill Downs’ Trackside Barn Roof Repair

Justification

The roofs of barns A, B, C, D, E, F, G, H, I, J, L and M require much needed repair to prevent leaking. This is a much needed upgrade to insure a quality environment for our horses.

Scope of Work

Barn A	100	Replace Lower Roof (2) Complete
Barn B	100	Replace Upper Roof (1) Complete
Barn C	100	Replace Upper Roof (1) Eastside
Barn D	15	Some Damage Replace / Repair as Needed
Barn E	15	Some Damage Replace / Repair as Needed
Barn F	10	Some Damage Replace / Repair as Needed
Barn G	10	Some Damage Replace / Repair as Needed
Barn H	15	Some Damage Replace / Repair as Needed
Barn I	100	Replace Complete
Barn J	15	Some Damage Replace / Repair as Needed
Barn L	100	Replace Complete
Barn M	50	North Half and Ridge / Replace Complete

Illustration

See photographs attached hereto.

Itemized Cost Estimates

See itemized bids attached hereto.

If you have any questions, comments or concerns about this application please do not hesitate to contact me.

We are committed to maintaining the best possible environment for our backside residents (both human and animal). Their safety and security mandates that we make these necessary repairs and updates. We look forward to the Commission's support.

With regards,

/s/ E.M. Lysonge (electronic signature)

E.M. Lysonge, Vice President
CHURCHILL DOWNS RACETRACK
600 N. Hurstbourne Parkway, Ste. 400
Louisville, KY 40222
Direct Dial: (502) 636-4505
Mobile: (502) 210-9958
Facsimile: (502) 636-4456

Churchill Downs Race Track
Hail Damage Remediation

			Backside Deferred Phase 1	Additional Scope Phase 2
Buildings	Barns	% Replace		
Partial				
	2	Some damage Replace/repair as needed	\$3,291.80	
	3	Replace 1/2 roof	\$18,000.00	
	7	Replace 1/2 roof	\$18,000.00	
	11	Replace 1/2 roof	\$16,000.00	
	15	Some damage Replace/repair as needed	\$4,937.70	
	16	Replace 1/2 roof	\$18,000.00	
	17	Replace 1/2 roof	\$18,000.00	
	18	Some damage Replace/repair as needed	\$3,291.80	
	21	Some damage Replace/repair as needed	\$3,211.50	
	22	Some damage Replace/repair as needed	\$3,211.50	
	24	Replace 1/2 roof	\$16,000.00	
	25	Some damage Replace/repair as needed	\$2,141.00	
	26	Some damage Replace/repair as needed	\$3,211.50	
	28	Some damage Replace/repair as needed	\$2,141.00	
	29	Replace 1/2 roof	\$18,000.00	
	33	Replace 1/2 roof	\$16,000.00	
	34	Replace 1/2 roof	\$18,000.00	
	35	Replace 1/2 roof	\$16,000.00	
	36	Replace 1/2 roof	\$16,000.00	
	38	Replace 1/2 roof	\$18,000.00	
Grand stand east parking				\$148,700.00
Trackside - all buildings except for Barn K				
Barn A		Replace lower roof (2) complete		\$22,897.00
Barn B		Replace upper roof (1) complete		\$22,897.00
Barn C		Replace upper roof (1) East side		\$28,621.00
Barn D		Some damage Replace/repair as needed		\$3,434.55
Barn E		Some damage Replace/repair as needed		\$3,434.55
Barn F		Some damage Replace/repair as needed		\$2,289.70
Barn G		Some damage Replace/repair as needed		\$2,289.70
Barn H		Some damage Replace/repair as needed		\$3,434.55
Barn I		Replace Complete		\$19,319.00
Barn J		Some damage Replace/repair as needed		\$3,434.55
Barn L		Replace Complete		\$44,362.00
Barn M		North half and ridge		\$12,430.00
Out Rider Building		Replace Complete		<u>\$460.00</u>
Total Trackside				\$169,303.60
Roof replacement		Total	\$231,437.80	\$318,003.60
Substructure Complete			\$35,000.00	
Roofing contingency			\$26,643.78	\$31,800.36
Roofing Labor & materials			\$293,081.58	\$349,803.96
Sub-total Repair Costs			\$293,081.58	\$349,803.96
Contractor fee			\$25,000.00	\$47,000.00
General conditions			\$ 24,000.00	\$44,000.00
Sub total			\$342,081.58	\$440,803.96
Contingency			\$0.00	\$25,000.00
Total			\$342,081.58	\$465,803.96













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STEVE BESHEAR
GOVERNOR

ROBERT D. VANCE
SECRETARY

PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.
CHAIRMAN

KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR

4063 IRONWORKS PKWY, BLDG. B

LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039

WEB SITE: HTTP://KHRC.KY.GOV

TO: ALL COMMISSION MEMBERS

FROM: Marc A. Guilfoil, Director of Racing

DATE: February 17, 2014

SUBJECT: Backside Improvement Fund: Turfway Park LLC

On February 18, 2014, Daniel “Chip” Bach, General Manager of Turfway Park LLC, sent a letter and payment receipt copies to the Kentucky Horse Racing Commission requesting to be reimbursed \$59,385 for previously approved Backside Improvement projects consisting of a new limestone walking path and paving of barn area.

KHRC staff has inspected the completed work and confirm that the improvements have been completed.

Approval is recommended.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied





December 20th, 2013

Mr. Marc Guilfoil
Kentucky Horse Racing Commission
4063 Iron Works Pike
Lexington, Ky. 40511

Re: Payment request for of projects to be funded from the Backside Improvement Fund

Dear Mr. Guilfoil:

Turfway Park is requesting the Kentucky Horse Racing Commission to approve the payment of the following projects for reimbursement from the Backside Improvement Fund:

1. \$28,315 of \$29,440 requested – Build new horse path to barn area. The current horse path does not meet the needs of our winter racing program during heavy rains and/or freezing weather.
2. \$31,070 – Paving or barn area. Includes full depth repairs to ensure surface permits large/commercial horse vans.

We would ask that this request be reviewed at the next Kentucky Horse Racing Commission meeting.

If you have any questions please feel free to contact us.

Very truly yours,

A handwritten signature in black ink, appearing to read "Daniel Bach", written in a cursive style.

Daniel "Chip" Bach
General Manager, Turfway Park LLC

TAYLOR BROS. INC.
 EXCAVATING & HAULING
 3769 OLD KY 17
 COVINGTON, KENTUCKY 41017
 859-356-5066
 FAX: 859-356-7612

INVOICE

No. 130842

TURFWAY PARK RACING ASSO.

DATE: 12/02/2013

P. O. BOX 8
 FLORENCE, KY 41022

JOB: TURFWAY RACE TRACK
WALKING PATH

P.O.#: _____

DATE	CHARGES AND CREDITS	QTY	UNIT	BALANCE
12-02-13	NEW LIMESTONE WALKING PATH			28,315.00
	<p><i>76281</i></p> <p><i>Horse Path</i></p> <p><i>Backside Improvement Fund</i></p> <p><i>Dan Beeh</i></p> <p><i>Dec</i> <i>00-00-10350</i></p> <p><i>RBK</i></p>			
	Amount Due for This Invoice:			28,315.00

ALL INVOICES PAST 30 DAYS ARE SUBJECT TO A SERVICE CHARGE OF 1-1/2% PER MONTH

Thank You!

LEN RIEGLER BLACKTOP, INC.

19 LENDALE DRIVE
FLORENCE, KY. 41042

Ph: (859) 371-8122 Fax: (859) 371-8147

INVOICE

DATE	INVOICE ...
11/22/2013	13-1238

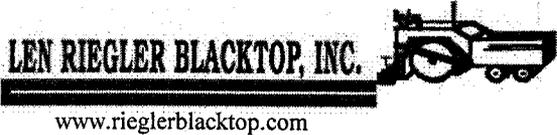
BILL TO	Location / Description:
<i>Turfway Park Race Course 7500 Turfway Road Florence, KY 41042</i>	Job 1065 Barn Area

P.O. NO.	TERMS	DUE DATE
	Net 10	12/2/2013

DESCRIPTION	QTY	U/M	UNIT	AMOUNT
Paving and Repairs as per Original Bid			31,070.00	31,070.00
Additional Milling and Paving on Taylor Bros Section			8,000.00	8,000.00

NOV 26 2013
76154
Dew Beck
Nov. 10-07-41370.00
LBK

TOTAL	\$39,070.00
Payments/Credits	\$0.00
Balance Due	<u>\$39,070.00</u>



2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)
					PENALTY CLASS	WRITTEN WARNING		
13-0001	1/12/2013		William Bingham	Disorderly conduct				Suspended pending appearance before Board of Stewards.
13-0002	1/13/2013	1/11/2013	Noberto Arroyo, Jr.	Careless riding aboard STRONG GOVERNMENT				Disqualified, suspended three racing days (1/19/2013, 1/20/2013, 1/25/2013).
13-0003	1/24/2013	12/27/2013 and 12/28/2013	Luis A. Jurado	Omeprazole Sulfide (samples 0021117 and 0021138)	D	Warning		
13-0004	1/24/2013		Sal Gonzalez, Jr.	See 12-153 and 12-154, withdrew request for stay			Stay Reinstated	Suspended 30 days (served 8 days from 1/24/2013-1/31/2013, remainder stayed).
13-0005	1/24/2013	1/18/2013	Bobby Crabtree	Violating claim rule for 6th race		\$500 fine	Paid	
13-0006	1/24/2013	12/30/2012	Adolfo Macias	Dexamethasone (sample 0021206)	C	\$250 fine	Paid	Disqualified and purse money forfeited.
13-0007	1/24/2013	12/30/2012	TREAY OF KADESH	Dexamethasone	C			Disqualified and purse money forfeited.
13-0008	2/2/2013	10/28/2012	Albert Stall, Jr.	Methocarbamol (sample 0019357)	C	\$250 fine	Appealed	Disqualified and purse money forfeited.
13-0009	2/2/2013	2/2/2013	Marlon St. Julien	Restored to good standing-negative drug screen				Continued participation in substance abuse program.
13-0010	2/9/2013	10/19/2012	Brian A. Lynch	Methocarbamol (sample 0018996)	C	\$250 fine	Appealed	Disqualified and purse money forfeited.
13-0011	2/9/2013	12/7/2012	Daniel J. Werre	Levamisole (sample 0020732)	A	\$5000 fine	Appealed	Suspended 365 days (stayed), disqualified and purse money forfeited.

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)
					PENALTY CLASS	WRITTEN WARNING		
13-0012	2/9/2013	1/19/2013	Melinda Winning	HEPS (sample 0021551)	B	\$500 fine	Paid	Suspended 15 days (2/11/2013 -2/25/2013), disqualified and all purse money forfeited.
13-0013	2/9/2013	1/19/2013	STELLA	HEPS (sample 0021551)	B			Disqualified and purse money forfeited.
13-0014	2/14/2013	2/7/2013	Michelle Sexton	Disorderly conduct (drugs and paraphernalia)				Suspended pending appearance before Board of Stewards.
13-0015	2/14/2013	2/7/2013	Samantha Sexton	Disorderly conduct (drugs and paraphernalia)				Suspended pending appearance before Board of Stewards.
13-0016	2/14/2013	2/7/2013	Robert Glasgow	Disorderly conduct (drugs and paraphernalia)				Suspended pending appearance before Board of Stewards.
13-0017	2/14/2013	2/7/2013	Rebecca Tye	Disorderly conduct (drugs and paraphernalia)				Suspended pending appearance before Board of Stewards.
13-0018	2/16/2013	12/15/2012	Tevis McCauley	Phenylbutazone and Flunixin (sample 0020898)	C	\$1,000	Paid	Suspended 10 days (2/18/2013 - 2/27/2013).
13-0019	2/16/2013	1/26/2013	Eric Reed	Failure to report alteration in the sex of the horse SON OF THE SUBLIME		\$500	Paid	
13-0020	2/16/2013	1/27/2013	Dennis Higgins	Phenylbutazone (sample 0021701)	C	Warning		
13-0021	2/23/2013	2/16/2013	Nelson Arroyo	Failure to conduct business in proper manner (failing to honor the call on COUNTESS CAUSEWAY)		\$200	Paid	
13-0022	2/23/2013	2/23/2013	Norberto Arroyo, Jr.	Failure to fulfill riding engagement		\$100	Paid	

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0023	3/6/2013	11/16/2012	Fergus Bogle	Suspended for failure to pay fine on 12-0149 (\$200)				Indefinite suspension. Reinstatement contingent on payment of fine (\$200 from 12-0149).
13-0024	3/16/2013	11/7/2012	Albert Stall, Jr.	Methocarbamol	C	\$500	Appealed	10 day suspension (stayed).
13-0025	3/23/2013	3/21/2013	Norberto Arroyo, Jr.	Careless riding			Appeal withdrawn (3/29/13)	3 day suspension (3/29/2013, 3/30/2013, 4/5/2013).
13-0026	3/30/2013	3/16/2013	Glen Thomason	Phenylbutazone (sample 0022211)	C	Warning		
13-0027	3/30/2013	3/22/2013	Donald R. Parker	Phenylbutazone (sample 0022808)	C	Warning		
13-0028	3/30/2013	3/29/2013	Marlon St. Julien	Failure to conduct business in a proper manner		\$100	Paid	
13-0029	3/30/2013	3/29/2013	IVY CONNECTION	Claim from 7th race on March 29th, 2013 is void				Horse is returned to original owner and all monies involved shall be credited to the proper accounts.
13-0030	4/2/2013		Brinda D. Robbins	Participating in racing without obtaining a license and failure to heed a directive of the Stewards				Suspending pending appearance before the Board of Stewards.
13-0031	4/11/2013	4/11/2013	Martin Chuan	License rescinded for discontinuance of activity for which license was issued				License rescinded.

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)
					PENALTY CLASS	WRITTEN WARNING		
13-0032	4/13/2013	2/23/2013	Thomas D. Callahan	Xylazine (sample 0022000)	B	\$500	Paid	Suspended fifteen days (4/13/2013 - 4/27/2013)
13-0033	4/13/2013	2/23/2013	THIMBLEBERRY	Xylazine (sample 0022000)				Disqualified and all purse money forfeited.
13-0034	4/13/2013	4/13/2013	Willie Jones	Disorderly conduct				Suspended pending appearance for positive drug test.
13-0035	4/17/2013	4/5/2013	William G. Gowan	Violating claim rule for 1st race		\$1,500	Paid	
13-0036	4/18/2013	4/24/2013	Corey Lanerie	Careless riding				Suspended three racing days (4/21/2013, 4/24/2013, 4/25/2013).
13-0037	4/19/2013	4/17/2013	Norberto Arroyo, Jr.	Careless riding				Suspended three racing days (4/24/2013, 4/25/2013, 4/26/2013).
13-0038	4/25/2013	4/26/2013	Robert B. Hess, Jr.	Failure to conduct business in a proper manner		\$250	Paid	
13-0039	4/26/2013	4/21/2013	Jesus L. Castanon	Striking another jockey during the 2nd race on 4/21/13				Suspended 10 racing days (4/27/2013, 4/29/2013, 5/1/2013 - 5/4/2013, 5/9/2013 - 5/12/2013) .
13-0040	4/26/2013	4/10/2013	Harry Noble Wilson, Jr.	Disorderly conduct (terroristic threatening)				Suspended 60 days (4/11/2013 - 6/9/2013).
13-0041	4/27/2013	4/27/2013	Brinda D. Robbins	Restored to good standing after completing the proper licensing procedure.				
13-0042	4/27/2013	4/25/2013	Alexander Castillo	Misjudging the finish line in the 9th race on 4/25/13		\$2,500	Paid	

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0043	4/27/2013	4/26/2013	Laura Bealmear	Failure to have BANDI'S GAL on the grounds in a timely manner		\$100	Paid	
13-0044	4/28/2013	4/27/2013	Tevis Q. McCauley	Failure to conduct business in a proper manner (necessitating the late scratch of the horses KIRI'S DINNER and ST. ARMANDS)		\$500	Paid	
13-0045	5/8/2013	5/4/2013	Gary L. Stevens	Failure to fulfill riding engagement		\$200	Paid	
13-0046	5/8/2013	4/19/2013	Kevin Rice	Phenylbutazone and Flunixin (sample 0024256)	C	\$250	Paid	
13-0047	5/8/2013	4/19/2013	Larry W. Demeritte	Phenylbutazone and Flunixin (sample 0023244)	C	\$250	Paid	
13-0048	5/8/2013	4/20/2013	Timothy E. Salzman	Phenylbutazone (sample 0024306)	C	\$250	Paid	
13-0049	5/8/2013	4/18/2013	Doug O'Neill	Omeprazole sulfide (sample 0023212)	D	\$250	Paid	
13-0050	5/10/2013	5/3/2013	Robby J. Albarado	Careless riding				Suspended three racing days (5/11/2013, 5/12/2013, 5/16/2013).
13-0051	5/11/2013	5/9/2013	Norberto Arroyo, Jr.	Careless riding				Suspended three racing days (5/16/2013 - 5/18/2013).
13-0052	5/24/2013	5/1/2013	Ian R. Wilkes	Phenylbutazone (sample 0024647)	C	\$1,000	Paid	

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0053	5/25/2013	5/24/2013	I'M A LUCKY LADY	Claim from 11th race on May 24th, 2013 is void				Horse is returned to original owner and all monies involved shall be credited to the proper accounts.
13-0054	5/27/2013	5/25/2013	Norberto Arroyo, Jr.	Careless riding			Appealed	Suspended three racing days (6/1/2013, 6/2/2013, 6/6/2013).
13-0055	5/31/2013	11/16/2012	Fergus Bogle	Restored to good standing - outstanding fine		\$250	Paid	
13-0056	6/7/2013		Ricardo Santana, Jr.	Failure to be present for viewing of films		\$100	Paid	
13-0057	6/9/2013	5/18/2013	William C. Chaudoin	Flunixin (sample 0026403)	C	\$250	Paid	
13-0058	6/13/2013	5/27/2013	Norberto Arroyo, Jr.	Withdrew appeal of ruling #13-0054				Suspended four racing days (6/16/2013, 6/20/2013 - 6/22/2013).
13-0059	6/13/2013		Reynaldo Abreu	Assistant trainer license is rescinded and is issued an owner/trainer license				
13-0060	6/16/2013		Chris Crowe	KHRC employee license is rescinded and is issued an owner/trainer license				
13-0061	6/19/2013	6/10/2013	Jose L. Fernandez	Disorderly conduct (assault) that occurred on the association grounds at Churchill Downs				Suspended pending appearance before the Board of Stewards to answer the charges.
13-0062	6/19/2013	6/17/2013	Oscar Herrarte	Disorderly conduct (assault) that occurred on the association grounds at Churchill Downs				Suspended pending appearance before the Board of Stewards to answer the charges.

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	STATUS	Suspension (days)
					PENALTY CLASS	WRITTEN WARNING		
13-0063	6/22/2013	6/20/2013	Eric T. Scherer	Disorderly conduct (drug possession) that occurred on the association grounds at Churchill Downs				Suspended pending appearance before the Board Stewards to answer the charges.
13-0064	6/28/2013	4/13/2013	Andrew McKeever	Clenbuterol (HFL sample: 0023017, LSU sample: SS01051)	B	\$500	Paid	Suspended 15 days (7/15/2013 - 7/29/2013). Horse disqualified and all purse money forfeited.
13-0065	6/28/2013	4/13/2013	DAISY DEVINE	Clenbuterol (HFL sample: 0023017)	B			Horse disqualified and all purse money forfeited.
13-0066	6/29/2013	6/28/2013	James P. McNerney	Failure to conduct business in a proper manner.		\$200	Paid	
13-0067	6/29/2013	6/28/2013	Michael J. Maker	Written protest involving horse claim was filed after the 48 hour deadline and denied				
13-0068	6/29/2013		Gary Borgemenke	Assistant trainer license is rescinded and is issued an owner/trainer license				
13-0069	7/7/2013		Kimberly Nesbitt	Owner/trainer license is rescinded and is issued a Racing Official license				
13-0070	7/7/2013	7/4/2013	Ramon A. Vazquez	Failure to fulfill riding engagement		\$200	Paid	
13-0071	7/7/2013	7/4/2013	Dylan Davis	Failure to fulfill riding engagement		\$300	Paid	

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0072	7/12/2013	7/12/2013	Joseph C. Paulley	Failure to conduct business in a proper manner.		\$200	Paid	
13-0073	7/13/2013	5/3/2013	John A. Booker, Jr.	Testosterone (sample 0026005)	B	\$500	Paid	Suspended 15 days (7/14/2013 - 7/28/2013). Horse disqualified and all purse money forfeited.
13-0074	7/13/2013	5/3/2013	FORTUNE PLAY	Testosterone (sample 0026005)	B			Horse disqualified and all purse money forfeited.
13-0075	7/20/2013	7/15/2013	Chris McCormick	Disorderly conduct				Suspended pending appearance before the Board of Stewards to answer the charges.
13-0076	7/20/2013	7/15/2013	Chris Gish	Disorderly conduct				Suspended pending appearance before the Board of Stewards to answer the charges.
13-0077	7/21/2013	7/4/2013	David Hunt	Phenylbutazone (sample 0028566)	C	Warning		
13-0078	7/26/2013	4/27/2013	Mark E. Casse	Methocarbamol (sample 0024555)	C	\$500	Paid	
13-0079	7/26/2013		Philip Bauer	Assistant trainer license is rescinded and is issued an owner/trainer license				
13-0080	7/26/2013	7/9/2013	Angelica Gutierrez	Disorderly conduct				Suspended pending appearance before the Board of Stewards.
13-0081	7/27/2013	7/5/2013	Jerry Joe Greenwell	Flunixin (sample 0028593)	C	\$250	Paid	

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0082	7/28/2013	7/26/2013	Santo Sanjur	Careless riding				Suspended three racing days (8/3/2013, 8/4/2013, 8/9/2013).
13-0083	8/1/2013	7/27/2013	Norberto Arroyo, Jr.	Careless riding				Suspended three racing days (8/9/2013 - 8/11/2013).
13-0084	8/3/2013		Melvin W. Smith	Failure to disclose criminal history				Suspended pending appearance before Board of Stewards.
13-0085	8/4/2013	8/2/2013	Jesus L. Castanon	Failure to fulfill riding engagement		\$100	Paid	
13-0086	8/4/2013	8/3/2013	Terry Miller	Failure to conduct business in a proper manner		\$200	Paid	
13-0087	8/9/2013	7/7/2013	John R. Dyer	Diphenhydramine (sample 0028664)	B	\$500	Paid	Suspended 15 racing days (8/20/2013 - 9/3/2013).
13-0088	8/9/2013	7/7/2013	DON SUAVE	Diphenhydramine (sample 0028664)	B			Horse disqualified and all purse money forfeited.
13-0089	8/9/2013	12/22/2012	Eric Vaughan	Restored to good standing				
13-0090	8/9/2013		Candy S. Loney	Disorderly conduct				Suspended pending appearance before Board of Stewards.
13-0091	8/18/2013	8/17/2013	Dylan Davis	Failure to fulfill riding engagement		\$200	Paid	
13-0092	8/18/2013	8/17/2013	Eddie Zuniga	Failure to fulfill riding engagement		\$200	Paid	
13-0093	8/18/2013	8/17/2013	Miguel Mena	Careless riding				Suspended three racing days (8/23/2013 - 8/25/2013).

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0094	8/24/2013	8/23/2013	Eric Vaughan	Disorderly conduct				Suspended pending appearance before Board of Stewards.
13-0095	8/24/2013	9/21/2008	Eric L. Roberts	Restored to good standing				
13-0096	8/31/2013	8/25/2013	Santo Sanjur	Careless riding		\$100	Paid	Suspended three racing days (9/6/2013 - 9/8/2013).
13-0097	8/31/2013	8/30/2013	Oriana Rossi	Failure to fulfill riding engagement		\$100	Paid	
13-0098	8/31/2013	8/31/2013	C.J. McMahon	Failure to fulfill riding engagement		\$200	Paid	
13-0099	8/31/2013	8/30/2013	Denic F. Black	Disorderly conduct				Suspended pending appearance before Board of Stewards.
13-0100	9/5/2013	8/11/2013	Chad Cook	Phenylbutazone (sample 0029896)	C	\$250	Paid	
13-0101	9/5/2013		Robert Desensi	Owner/trainer license is rescinded and is issued a Racing Official license				
13-0102	9/5/2013		Steven Penrod	Association employee license is rescinded and is issued a Racing Official license				
13-0103	9/6/2013		Eric Sherer	Restored to good standing after participation in a substance abuse program				
13-0104	9/12/2013	9/6/2013	Miguel Mena	Careless riding				Suspended three racing days (9/15/2013, 9/18/2013, 9/20/2013).

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING	STATUS	
13-0105	9/12/2013		Colleen Bush	Criminal charges				Suspended pending appearance before the Board of Stewards.
13-0106	9/13/2013	8/24/2013	Jack Hancock	Phenylbutazone (sample 0030057)	C	\$250	Paid	
13-0107	9/13/2013	8/10/2013	David Hunt	Phenylbutazone (sample 0029862)	C	\$250	Paid	
13-0108	9/13/2013	8/9/2013	Robert Winstead	Phenylbutazone (sample 0029842)	C	Warning		
13-0109	9/26/2013	8/30/2013	Aaron Shorter	Phenylbutazone (sampe 0030134)	C	\$250	Paid	
13-0110	9/26/2013	9/2/2013	Stephen V. Fosdick	Phenylbutazone (sample 0030218)	C	\$250	Paid	
13-0111	9/26/2013	9/20/2013	Mary M. McEwan	Disorderly conduct				Suspended pending appearance before the Board of Stewards.
13-0112	9/26/2013	8/10/2013	Kellyn Gorder	Clenbuterol (HFL sample: 0029873, LSU sample: SS01088)	B	\$500	Paid	Suspended 20 days (10/7/2013 - 10/26/2013, inclusive).
13-0113	9/26/2013	8/10/2013	BELLE NATALIE	Clenbuterol (HFL sample: 0029873, LSU sample: SS01088)	B			Disqualified, all purse money forfeited.
13-0114	10/13/2013	10/11/2013	Dylan Davis	Failure to fulfill riding engagements in two races		\$200	Paid	
13-0115	10/16/2013		Jacqueline R. Higgins	Assistant trainer license is rescinded and is issued an owner/trainer license				
13-0116	10/18/2013	9/21/2013	Carolyn S. Murphy	Hydrocortisone Sodium Succinate (sample 0034515)	C	\$500	Paid	

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING	STATUS	
13-0117	10/18/2013	9/21/2013	TOP SMOOCHER	Hydrocortisone Sodium Succinate (sample 0034515)	C			Horse disqualified and all purse money forfeited.
13-0118	10/18/2013	9/13/2013	Carolyn S. Murphy	Possession of hypodermic needles and syringes		\$500	Paid	
13-0119	10/23/2013	8/16/2013	Darrin Miller	Firocoxib (sample 0029926)	C	\$250	Paid	
13-0120	10/24/2013	10/5/2013	Nick J. Caruso	Omeprazole sulfide (sample 0034109)	D	\$250	Paid	
13-0121	10/25/2013	10/23/2013	Joseph Rocco, Jr.	Careless riding				Suspended four racing days (10/30/2013, 10/31/2013, 11/3/2013, 11/6/2013).
13-0122	10/25/2013		Miguel Mena	Waived right to a hearing before the Board of Stewards for failure to obey the order of a racing official by using offensive and profane language in the starting gate after being warned		\$200	Paid	
13-0123	10/25/2013	9/7/2013 and 9/14/2013	Eddie Kenneally	9/7/2013: Phenylbutazone and Flunixin (sample 0030332). 9/14/2013: Phenylbutazone and Flunixin (sample 00322077).	C or D	\$750	Paid	
13-0124	10/26/2013	9/13/2013	Seth Romero	Flunixin (sample 0032019)	C	\$1,500	Paid	Suspended 60 days (11/7/2013 - 1/5/2014, inclusive).

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0125	10/26/2013	9/13/2013	RYANS JOY	Ambroxol and Multiple NSAIDS (sample 0032019)	B and C			Disqualified and all purse money forfeited.
13-0126	10/26/2013		Seth Romero	Possession of hypodermic syringe, needles and numerous infectiable medications				Suspended 180 days (1/6/2014 - 7/4/2014).
13-0127	10/27/2013		Casey Lovell	Assistant trainer license is rescinded and is issued a Racing Official license				
13-0128	10/27/2013		David T. Stober	Waived right to a hearing before the Board of Stewards for participating in racing without first obtaining a license		\$500	Paid	
13-0129	10/30/2013	10/27/2013	Dylan Davis	Failure to fulfill riding engagement		\$200	Paid	
13-0130	11/1/2013		Anita Dawn Roe	Assistant trainer license is rescinded and is issued an owner/trainer license				
13-0131	11/8/2013	11/6/2013	Victor M. Lebron	Failure to fulfill riding engagement		\$100	Paid	
13-0132	11/8/2013	10/19/2013	Michael D. Sullivan	Detomidine (sample 0035680)	B	\$500	Paid	Suspended 30 days (11/11/2013 - 12/10/2013, inclusive).
13-0133	11/10/2013	11/8/2013	Thomas Pompell	Careless riding				Suspended 3 days (11/14/2013-11/16/2013, inclusive)
13-0134	11/10/2013	11/8/2013	James Cory Orm	Careless riding				Suspended 3 days (11/15/2013-11/17/2013, inclusive)

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0135	11/19/2013	10/19/2013	STEW BOY	Detomidine (sample 0035680)	B			Disqualified and all purse money forfeited.
13-0136	11/24/2013	11/2/2013	D. Kelly Ackerman	Dembrexine (sample 0036131)	C	\$500	Paid	
13-0137	11/24/2013	11/2/2013	Gary L. Gray	Ergonovine (sample 0036123)	C	\$500	Paid	
13-0138	11/24/2013	11/23/2013	FRISCO EXSECTIVE	Claim from 4th race on November 23, 2013 is void				Horse is delivered to the only valid claimant and all monies shall be credited to the proper accounts.
13-0139	11/27/2013	11/23/2013	FRISCO EXSECTIVE	Claim protest from 4th race on November 23, 2013 denied				
13-0140	11/29/2013	11/24/2013	Victor M. Lebron	Failure to fulfill riding engagement		\$200	Paid	
13-0141	12/5/2013	12/1/2013	Jose G. Castanon	Failure to have NICKEL PICKEL and ARCH OF TITUS on the grounds in a timely manner to receive Furosemide within time(s) designated		\$200	Paid	
13-0142	12/6/2013	12/5/2013	Oliva Inirio	Failure to have attendant present during Furosemide administration for NIMBLE TIGER		\$100	Paid	

2013 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
13-0143	12/15/2013	12/15/2013	Joe Woodard	Failure to have STEVE'S BIG MOE on the grounds in a timely manner to receive Furosemide within time(s) designated		\$100	Paid	
13-0144	12/19/2013	12/15/2013	Roberto Morales	Careless riding				Suspended 3 days (12/26/2013-12/28/2013, inclusive)
13-0145	12/27/2013	12/5/2013	Joe McSorley	Phenylbutazone (sample #0037327)	C	Warning		
13-0145	12/28/2013	12/12/2013	Norberto Arroyo, Jr.	Disorderly Conduct (fighting)		\$250	Not paid	
13-0147	12/28/2013	12/12/2013	Albin Jimenez	Disorderly Conduct (fighting)		\$250	Paid	
13-0148	12/30/2013	12/29/2013	Chuck F. Hamilton	Failure to have I'M STRAIGHT on the grounds by posted arrival deadline.		\$100	Not paid	
13-0149	12/30/2013	12/29/2013	Joseph Berrios	Careless riding				Suspended 3 days (1/5/2014, 1/10/2014, and 1/11/2014)

2014 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING	STATUS	
14-0001	1/5/2014	12/13/2013	Joe L Laugherty	Flunixin (sample #0037466)	C	\$200	Not Paid	
14-0002	1/5/2014	12/19/2014	Roberto Morales	Withdrew appeal of ruling #13-0144				Suspended five racing days (1/10/2014-1/18/2014, excluding 1/13/2014-1/16/2014)
14-0003	1/11/2014	1/11/2014	J Guadalupe Coronado	Failure to have attendant present during Furosemide administration for MENDOZA BURNS		\$100	Paid	
14-0004	1/12/2014	12/8/2014	Ronald Shane Burch	Omeprazole (sample #0037387)	D	Warning		
14-0005	1/19/2014	12/30/2013	William R Helmbrecht	Pheylbutazone (sample #0037857)	C	\$500	Paid	
14-0006	1/19/2014	1/3/2014	Larry W Holt	Flunixin (sample #0037976)	C	\$250	Paid	
14-0007	1/24/2014	11/16/2013	Wayne M Catalano	Methocarbamol (sample #0036805)	C	\$500	Paid	
14-0008	1/31/2014	12/14/2013	Lesalene Pompell	Diphenhydramine (sample #0037484)	B	\$500	Not Paid	Suspended 15 days (2/10/2014-2/24/2014, inclusive)
14-0009	2/6/2014	12/14/2013	GUMBO LIMBO	Diphenhydramine (sample #0037484)	B			Horse disqualified and all purse money forfeited.
14-0010	2/6/2014	2/26/2014	Anthony L Scott	Disorderly conduct		\$500	Not Paid	
14-0011	2/6/2014	2/6/2014	Tyler Woodley	Failure to conduct business in a proper manner (failed to report correct weight)		\$100	Not Paid	

2014 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG	FINE OR	Suspension (days)	
					PENALTY CLASS	WRITTEN WARNING	STATUS	
14-0012	2/23/2014	2/2/2014	Rodney C Faulkner	Flunixin (sample #0039134)	C	\$250	Not Paid	
14-0013	2/23/2014	2/1/2014	Robert Joe Woodard	Omeprazole Sulfide (sample #0039088)	D	Warning		
14-0014	2/28/2014	2/8/2014	James Patrick Bends	Phenylbutazone (sample #0039206)	C	Warning		
14-0015	3/13/2014	3/13/2014	Peggy Pate	Owner/Trainer license rescinded without prejudice, Jockey Agent license issued.				Discontinuance of activity for which original license was issued.
14-0016	3/22/2014	2/21/2014	Robert Joe Woodard	Omeprazole Sulfide (sample #0039245)	D	Warning		
14-0017	3/26/2014	3/26/2014	Siggy R Golibrzuch	Tested positive for a controlled substance (first offence)				Suspended beginning March 26, 2014. Eligibility to obtain a license contingent upon evaluation and compliance with the course of treatment ordered by an approved professional in the field of addictive or substance abuse disorders and ability to provide a negative drug test.

2013 STANDARD BRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
J2013-001	01/09/13	08/19/12	Joseph Carroll	Methamphetamine positive	A			Suspended 365 days (1/9/2013-1/8/2014). Purse of \$7500 to be returned.
J2013-002	01/09/13	08/20/12	Joseph Carroll	Methamphetamine positive	A			Suspended 1,095 days (1/9/2014-1/7/2017). Purse of \$3750 to be returned.
J2013-003	03/06/13	03/04/13	Michael Kravchak	Action against license: restored to good standing				
J2013-004	06/30/13	05/22/13	Christopher Loney	Careless driving				Suspended three days (5/29/2013-5/31/2013).
J2013-005	04/26/13	09/20/13	Ervin Miller	Minoxidil (sample 0017820)	B			Suspended 14 days (4/28/13 - 5/11/2013). Horse disqualified, purse of \$5,000 to be redistributed.

2013 STANDARD BRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
J2013-006	04/26/13	09/20/12	LeWayne Miller	Minoxidil				Suspended 14 days (4/28/2013-5/11/2013).
J2013-007	05/16/13	04/12/13	Morgan Hagerman	Sample collected contained excess Flunixin (sample 0023789)	C	\$250	Paid	
J2013-008	05/17/13	04/10/13	Tyler Shehan	Stanozolol (sample 0023774)	B			Suspended 14 days (5/18/2013-5/31/2013). Purse of \$800 to be returned.
J2013-009	05/17/13	04/10/13	Mark Murriel	Stanozolol (sample 0023774)	B			Suspended 14 days (5/18/2013-5/31/2013). Purse of \$800 to be returned.
J2013-010	06/16/13	05/16/13	Devernon Wilson	Flunixin (sample 0023875)	C	\$250	Not paid, Suspended	Horse disqualified, purse redistribution.
J2013-011	06/16/13	05/22/13	Devernon Wilson	Flunixin (sample 0023887)	C	\$250	Not paid, Suspended	

2013 STANDARDBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
J2013-012	06/30/13	05/02/13	Samuel Greer	Phenylbutazone (sample 0023834)	C	\$250	Not paid, Suspended	
J2013-013	06/30/13	05/01/13	Samuel Greer	Phenylbutazone (sample 0023803)	C			
J2013-014	06/30/13	05/15/13	Samuel Greer	Phenylbutazone (sample 0023869)	C			
J2013-015	06/30/13	05/01/13	Darrell Moore	Testosterone (sample 0023829)	B			Suspended 30 days (7/01/2013 - 7/30/2013). Horse disqualified, purse to be redistribution.
J2013-016	06/30/13	05/01/13	Darrell Moore	Testosterone (sample 0023833)	B			Suspended 60 days (7/31/2013 - 9/28/2013). Horse disqualified, purse redistribution.

2013 STANDARDBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
J2013-017	06/30/13	05/03/13	Darrell Moore	Testosterone (sample 0023846)	B			Suspended 30 days (9/29/2013-10/28/2013). Horse disqualified, purse redistribution.
J2013-018	07/24/13		Benny Christensen	Action against license: restored to good standing				
J2013-019	07/31/13	07/31/13	Darrell Moore	Penalties for the KHRC Judges Rulings 2013-015, 2013-016, 2013-017 have been replaced by Ruling J2013-019				
J2013-020	07/31/13	07/31/13	Darrell Moore	Penalties from the KHRC rulings J2013-015, J2013-016 and J2013-017 have been amended to 120 days total with 29 days having been already served. Remaining 91 days will be served 9/17/2013 - 12/16/2013.				Suspended 91 days (9/17/2013-12/16/2013).
J2013-021	08/22/13	08/04/13	Marna Shehan	Flunixin (sample 0031501)	C	\$200	Paid	
J2013-022	08/22/13	07/07/13	Robert J. Williams, Jr.	Flunixin (sample 0027226)	C	\$250	Not paid, Suspended	
J2013-023	08/25/13	08/22/13	Tyler Alan Smith	Failure to drive when programmed		\$50	Paid	
J2013-024	08/30/13	08/30/13	Misty L. Carey	Restored to good standing by the KHRC				
J2013-025	09/01/13	09/01/13	David L. Nelson	Late driver change		\$50	Paid	

2013 STANDARDBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
J2013-026	09/14/13	09/11/13	Thomas E. Horner, Jr.	Methocarbamol (sample 0031561)	C	\$250	Paid	Horse disqualified, purse redistribution.
J2013-027	09/02/13	06/30/13	Jeremy D. Cavitt	Levamisole (sample 0027195)	A			Suspended 365 days (7/8/2013 - 7/7/2014). Horse disqualified, purse redistribution.
J2013-028	10/10/13	08/22/13	Morgan Hagerman	Hypodermic needle was found in his vehicle. Sent to HFL Sports Science for analysis and was found to contain Furosemide.		\$500	Paid	Suspended 30 days (10/28/2013 - 11/26/2013).
J2013-029	10/10/13	06/30/13	Lawrence Cooper	Allowed himself to be listed as trainer on a horse not in his care.				Suspended 30 days (10/28/2013 - 11/26/2013).
J2013-030	09/30/13	09/27/13	Yannick Gingras	Failure to be properly dressed		\$100	Paid	
J2013-031	09/30/13	09/28/13	Symon Spicer	Failure to be properly dressed		\$100	Not paid, Suspended	
J2013-032	09/30/13	09/29/13	Jody C. Jamieson	Coming to the gate out of position		\$100	Paid	
J2013-033	09/30/13	09/28/13	Carl R. Jamieson	Late driver change		\$50	Paid	

2013 STANDARDBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
J2013-034	09/30/13	08/04/13	Barry L. Armstrong	Methocarbamol, Flunixin (sample 0031503)		\$500	Paid	Horse disqualified, purse redistribution.
J2013-035	10/02/13	09/19/13	Jeffrey S. Nisonger	Failure to drive when programmed		\$200	Paid	
J2013-036	10/09/13	10/05/13	Tim A. Tetrick	Kicking a horse		\$500	Paid	
J2013-037	10/09/13	10/05/13	Brian J. Sears	Kicking a horse		\$500	Paid	
J2013-038	10/16/13	10/04/13	Corey Callahan	Interference		\$150	Paid	
J2013-039	10/05/13	10/05/13	Edward A. Greeno	Interference				Suspended three days (10/6/13 - 10/8/13).
J2013-040	10/18/13	10/18/13	Devernon Wilson	Failure to pay fine				Suspended indefinitely for failure to pay fines (Ruling #2013-010: \$250; Ruling 2013-011: \$250).
J2013-041	10/18/13	10/18/13	Samuel Greer	Failure to pay fine				Suspended indefinitely for failure to pay fine (Ruling #2013-012: \$250).
J2013-042	10/24/13	10/03/13	Ryan R. Maxwell	Hydrocortisone Succinate (sample 0036259)	C	\$500	Not paid, Suspended	Horse disqualified, purse redistribution.

2014 STANDARDBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	Suspension (days)
J2014-01	01/16/14	09/28/13	Symon Spicer	Failure to pay fine				Suspended indefinitely for failure to pay fine (Ruling #J2013-031: \$100 from The Red Mile).
J2014-02	01/16/14	10/03/13	Ryan D Maxwell	Failure to pay fine and return purse				Suspended indefinitely for failure to pay fine and return of purse (Ruling #J2013-042: \$500 and return of purse \$5000 from The Red Mile).
J2014-03	01/18/14	07/07/13	Robert J Williams, Jr	Failure to pay fine				Suspended indefinitely for failure to pay fine (Ruling #J2013-022: \$250).

**Fourth Quarter (10/1-12/31) 2013
HFL Sport Science Testing Summary**

THOROUGHBRED RACING

SAMPLE ANALYSIS SUMMARY

Post Race Blood only			Cost per sample	Total
	Tested	17	\$ 108.00	\$ 1,836.00
	Untested/retained	1	20.00	20.00
Post Race Paired (blood/urine)	98.5 % paired sample collection rate			
	Tested	940	150.00	\$ 141,000.00
	Untested/retained	50	40.00	2,000.00
Racing Injuries (blood)		19	108.00	2,052.00
Post-work (blood)		33	55.00	1,815.00
Pre-race TCO₂ (blood)		1202	13.00	15,626.00
Out of Competition (blood)		33	50.00	1,650.00
TOTAL				\$ 165,999.00

REPORTS OF FINDING

Substance	Penalty Class	Frequency	Gold	Red
Detomidine	B	1		1
Diphenhydramine	B	1		1
Dembrexine	C	1	1	
Ergonovine	C	1	1	
Methocarbamol	C	1	1	
Omeprazole	D	2	2	
Flunixin	NSAID	1		1
PBZ >2 < 5	NSAID	2	1	1

STANDARD BRED RACING

Post Race Blood only			Cost per sample	Total
	Tested	2	\$ 108.00	\$ 216.00
	Untested/retained	0	20.00	00.00
Post Race Paired (blood/urine)				
	Tested	13	150.00	\$ 1,950.00
	Untested/retained	4	40.00	160.00
Racing Injuries (blood)		0	108.00	0.00
Post-work (blood)		0	55.00	0.00
TOTAL				\$ 2,326 .00

Substance	Penalty Class	Frequency
Hydrocortisone succinate	C	1

Total 4rd quarter testing expense: \$ 168,325.00

TESTING SUMMARY-2013

	Total # Race Starts 2013	# Horses Sampled Post-race	Post-race Sample number:	Post-race Sample number:	Pre-race TCO2 Tests	Out of Competition Tests	Post-Work Tests	Testing of injured horses	Total
Matrix			<i>Blood</i>	<i>Blood & Urine</i>	<i>Blood</i>	<i>Blood</i>	<i>Blood</i>	<i>Blood</i>	
Price per sample			\$ 108 \$ 20 per retained/untested sample	\$ 150 \$ 40 per retained/untested paired sample	TB: \$13 (HFL) STD:\$6.25(KHRC)	\$ 50	\$ 55	\$ 108	
TB	16,156 (Equibase)	4,021	\$ 5,508.00 (51 Tested) \$ 500.00 (25 R/U)	\$454,650.00 (3,031 Tested) \$36,560.00 (914 R/U)	\$ 44,213.00 (3,401)	\$ 4,300.00 (86)	\$ 5,115.00 (93)	\$ 6,372.00 (59)	
TB Total			\$ 6,008.00	\$491,210.00	\$ 42,072.00	\$4,300.00	\$ 5,115.00	\$ 6,372.00	\$ 555,077.00
STD	4,465 (USTA)	842	\$ 13,392.00 (124) \$ 460.00 (23 R/U)	\$ 93,600.00 (624 Tested) \$ 2,840.00 (71 R/U)	\$ 3,525.00 (564-KHRC)	\$ 850.00 (17)	\$ 00.00 (0)	\$ 00.00 (0)	
STD Total			\$ 13,852.00	\$96,440.00	\$ 3,525.00	\$ 850.00	\$.00	\$ 0.00	\$ 114,667.00
TOTAL			\$ 19,860.00	\$587,650 .00	\$ 45,597.00	\$ 5,150.00	\$ 5,115.00	\$ 6,372.00	\$ 669,744.00

KHRC Veterinary Report

Turfway Holiday 2013 Meet

Total Starts	1453
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Post Race Samples	325
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tCO ₂	286
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Veterinary Scratches	29
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Pre-Race	15
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On-Track	14
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KHRC Veterinary List	37
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Scratches	29
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Race Injuries	4
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Post-Race Lameness	3
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Heat Stress/Exhaustion	0
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EIPH	0
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Rhabdomyolysis (tied-up)	1
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Ambulance Runs	9
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Racing Fatalities	3
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Training Fatalities	0
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WAGERING ON HISTORICAL RACES TOTALS
KENTUCKY DOWNS-December 2013

Total Wagered.....	\$ 23,554,899.25
Total Commission.....	\$ 1,800,321.37
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 1,446,997.88
(Total Commission minus the pari-mutuel tax...Amount that goes to KY Downs)	
Purse Fund.....	\$ 202,579.70
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 14,469.98
(1% of the net track commission)	
State Commission.....	\$ 353,323.49
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 176,661.74
(.75% of the total wagered)	
Equine Industry Program.....	\$ 47,109.80
(.2% of the total wagered)	
Equine Drug Research.....	\$ 23,554.90
(.1% of the total wagered)	
Higher Education Fund.....	\$ 23,554.90
(.1% of the total wagered)	
General Fund.....	\$ 82,442.15
(.35% of the total wagered)	
Returned to the Public.....	\$ 21,721,628.26

WAGERING ON HISTORICAL RACES TOTALS
ELLIS PARK-December 2013

Total Wagered.....	\$	1,896,434.10
Total Commission.....	\$	149,306.48
(Total wagered minus takeout rates)		
Net Track Commission.....	\$	120,859.97
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)		
Purse Fund.....	\$	16,920.40
(14% of the net track commission)		
Breeder's Incentive Fund.....	\$	1,208.60
(1% of the net track commission)		
State Commission.....	\$	28,446.51
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	14,223.26
(.75% of the total wagered)		
Equine Industry Program.....	\$	3,792.87
(.2% of the total wagered)		
Equine Drug Research.....	\$	1,896.43
(.1% of the total wagered)		
Higher Education Fund.....	\$	1,896.43
(.1% of the total wagered)		
General Fund.....	\$	6,637.52
(.35% of the total wagered)		
Returned to the Public.....	\$	1,707,746.63

WAGERING ON HISTORICAL RACES TOTALS
COMBINED- December 2013

Total Wagered.....	\$ 25,451,333.35
Total Commission.....	\$ 1,949,627.86
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 1,567,857.86
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 219,500.10
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 15,678.58
(1% of the net track commission)	
State Commission.....	\$ 381,770.00
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 190,885.00
(.75% of the total wagered)	
Equine Industry Program.....	\$ 50,902.67
(.2% of the total wagered)	
Equine Drug Research.....	\$ 25,451.33
(.1% of the total wagered)	
Higher Education Fund.....	\$ 25,451.33
(.1% of the total wagered)	
General Fund.....	\$ 89,079.67
(.35% of the total wagered)	
Returned to the Public.....	\$ 23,429,374.89

WAGERING ON HISTORICAL RACES TOTALS
Combined- September 1, 2011 thru January 31, 2014

Total Wagered.....	\$ 573,240,488.15
Total Commission.....	\$ 45,268,889.07
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 36,670,281.75
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 5,133,839.44
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$ 366,670.34
(1% of the net track commission)	
State Commission.....	\$ 8,598,607.32
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 4,299,303.66
(.75% of the total wagered)	
Equine Industry Program.....	\$ 1,146,480.98
(.2% of the total wagered)	
Equine Drug Research.....	\$ 573,240.49
(.1% of the total wagered)	
Higher Education Fund.....	\$ 573,240.49
(.1% of the total wagered)	
General Fund.....	\$ 2,006,341.71
(.35% of the total wagered)	
Returned to the Public.....	\$ 527,570,572.93

WAGERING ON HISTORICAL RACES TOTALS
KY Downs- September 1, 2011 thru January 31, 2014

Total Wagered.....	\$ 534,809,490.15
Total Commission.....	\$ 42,235,588.53
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 34,213,446.18
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 4,789,882.46
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$ 342,105.53
(1% of the net track commission)	
State Commission.....	\$ 8,022,142.35
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 4,011,071.18
(.75% of the total wagered)	
Equine Industry Program.....	\$ 1,069,618.98
(.2% of the total wagered)	
Equine Drug Research.....	\$ 534,809.49
(.1% of the total wagered)	
Higher Education Fund.....	\$ 534,809.49
(.1% of the total wagered)	
General Fund.....	\$ 1,871,833.22
(.35% of the total wagered)	
Returned to the Public.....	\$ 492,519,997.34

WAGERING ON HISTORICAL RACES TOTALS
Ellis Park- August 31, 2012 thru January 31, 2014

Total Wagered.....	\$	38,430,998.00
 Total Commission.....	 \$	 3,033,300.54
(Total wagered minus takeout rates)		
 Net Track Commission.....	 \$	 2,456,835.57
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)		
Purse Fund.....	\$	343,956.98
(14% of the net track commission)		
Breeders' Incentive Fund.....	\$	24,564.80
(1% of the net track commission)		
 State Commission.....	 \$	 576,464.97
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	288,232.49
(.75% of the total wagered)		
Equine Industry Program.....	\$	76,862.00
(.2% of the total wagered)		
Equine Drug Research.....	\$	38,431.00
(.1% of the total wagered)		
Higher Education Fund.....	\$	38,431.00
(.1% of the total wagered)		
General Fund.....	\$	134,508.49
(.35% of the total wagered)		
 Returned to the Public.....	 \$	 35,050,575.59

Total Handle on "Live" Kentucky Races: Turfway Park

Race Date	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
01/01/14	\$1,430,128.36	\$292,721.30	(\$0.36)	\$8,588.68	(\$0.16)	\$1,128,818.90
01/03/14	\$1,818,074.60	\$369,957.43	\$2,150.59	\$9,467.83	(\$43.49)	\$1,436,542.24
01/04/14	\$1,266,977.64	\$258,334.57	(\$2,151.22)	\$6,247.54	(\$415.78)	\$1,004,962.52
01/05/14	\$1,356,897.91	\$276,845.26	\$4,431.53	\$7,881.23	(\$467.24)	\$1,068,207.13
Week 1 Total	\$ 5,872,078.51	\$ 1,197,858.56	\$ 4,430.54	\$ 32,185.28	\$ (926.67)	\$ 4,638,530.79
Cumulative	\$ 5,872,078.51	\$ 1,197,858.56	\$ 4,430.54	\$ 32,185.28	\$ (926.67)	\$ 4,638,530.79
01/10/14	\$1,492,304.61	\$303,531.90	(\$4,430.68)	\$10,665.76		\$1,182,537.63
01/11/14	\$1,573,402.25	\$322,154.06	\$4,116.54	\$6,176.92		\$1,240,954.73
01/12/14	\$1,275,618.65	\$261,464.54	(\$1,975.99)	\$6,866.86	(\$0.09)	\$1,009,263.33
Week 2 Total	\$ 4,341,325.51	\$ 887,150.50	\$ (2,290.13)	\$ 23,709.54	\$ (0.09)	\$ 3,432,755.69
Cumulative	\$ 10,213,404.02	\$ 2,085,009.06	\$ 2,140.41	\$ 55,894.82	\$ (926.76)	\$ 8,071,286.48
01/17/14	\$1,464,891.15	\$298,699.98	(\$2,140.64)	\$11,760.13	(\$98.66)	\$1,156,670.34
01/18/14	\$1,524,936.37	\$313,054.14	\$2,660.94	\$6,543.09	(\$0.07)	\$1,202,678.27
01/19/14	\$1,107,208.01	\$226,110.83	(\$2,660.72)	\$4,502.48	(\$0.03)	\$879,255.45
Week 3 Total	\$ 4,097,035.53	\$ 837,864.95	\$ (2,140.42)	\$ 22,805.70	\$ (98.76)	\$ 3,238,604.06
Cumulative	\$ 14,310,439.55	\$ 2,922,874.01	\$ (0.01)	\$ 78,700.52	\$ (1,025.52)	\$ 11,309,890.54
1/24/2014 **						
1/25/2014 **						
01/26/14	\$1,189,656.11	\$244,392.12	\$723.78	\$5,445.18		\$939,095.03
Week 4 Total	\$ 1,189,656.11	\$ 244,392.12	\$ 723.78	\$ 5,445.18	\$ -	\$ 939,095.03
Total Monthly	\$ 15,500,095.66	\$ 3,167,266.13	\$ 723.77	\$ 84,145.70	\$ (1,025.52)	\$ 12,248,985.57
01/31/14	\$1,756,761.87	\$356,765.92	\$4,376.81	\$5,584.97	(\$747.04)	\$1,390,781.21
Week 5 Total	\$ 1,756,761.87	\$ 356,765.92	\$ 4,376.81	\$ 5,584.97	\$ (747.04)	\$ 1,390,781.21
Total Monthly	\$ 17,256,857.53	\$ 3,524,032.05	\$ 5,100.58	\$ 89,730.67	\$ (1,772.56)	\$ 13,639,766.78

Race Date	Total Handle	Difference	Percentage
1/1/2013	\$2,515,832.02	(\$1,085,703.66)	-43.15%
1/4/2013	\$1,714,508.60	\$ 103,566.00	6.04%
1/5/2013	\$1,519,445.01	(\$252,467.37)	-16.62%
1/6/2013	\$1,607,887.50	(\$250,989.59)	-15.61%
	\$ 7,357,673.13	(\$1,485,594.62)	-20.19%
	\$ 7,357,673.13	(\$1,485,594.62)	-20.19%
1/11/2013	\$1,653,103.40	(\$160,798.79)	-9.73%
1/12/2013	\$1,757,494.18	(\$184,091.93)	-10.47%
1/13/2013	\$1,495,774.14	(\$220,155.49)	-14.72%
	\$ 4,906,371.72	(\$565,046.21)	-11.52%
	\$ 12,264,044.85	(\$2,050,640.83)	-16.72%
1/18/2013	\$1,794,856.53	(\$329,965.38)	-18.38%
1/19/2013	\$1,969,961.69	(\$445,025.32)	-22.59%
1/20/2013	\$1,462,085.61	(\$354,877.60)	-24.27%
	\$ 5,226,903.83	(\$1,129,868.30)	-21.62%
	\$ 17,490,948.68	(\$3,180,509.13)	-18.18%
1/25/2013	\$1,697,973.02	(\$1,697,973.02)	-100.00%
1/26/2013	\$1,793,969.19	(\$1,793,969.19)	-100.00%
1/27/2013	\$1,651,556.15	(\$461,900.04)	-27.97%
	\$ 5,143,498.36	(\$3,953,842.25)	-76.87%
	\$ 22,634,447.04	(\$7,134,351.38)	-31.52%
		\$ 1,756,761.87	
	\$ -	\$ 1,756,761.87	
	\$ 22,634,447.04	(\$5,377,589.51)	-23.76%

** Cancelled due to weather

Audit Check Report

Date Range: 1/01/2014 - 1/31/2014
 Race Type: All
 Locations: All Locations
 Tracks: All Tracks
 Report By: Track
 Host: All Hosts

Track	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
Aqueduct	\$1,349,216.60	\$262,910.49	\$44,793.97	\$5,180.44	(\$732.04)	\$1,037,063.74
Australia	\$10,673.20	\$2,527.56	(\$1,621.91)	\$23.78	(\$1.51)	\$9,745.28
Australia B	\$9,227.20	\$2,170.03	\$1,396.21	\$15.77	\$0.00	\$5,645.19
Australia C	\$8,193.40	\$1,929.98	\$1,799.51	\$12.44	\$0.00	\$4,451.47
Beulah Park	\$65,115.70	\$13,660.31	\$1,992.19	\$386.97	(\$139.36)	\$49,215.59
Buffalo Raceway	\$12,237.10	\$2,802.17	\$1,553.90	\$22.18	(\$4.16)	\$7,863.01
Calder Racecourse	\$275,648.50	\$60,865.48	\$14,783.40	\$1,489.43	(\$572.28)	\$199,082.47
Charles Town	\$103,520.60	\$20,086.24	\$1,044.64	\$538.23	(\$9.28)	\$81,860.77
Charlottetown	\$53.00	\$15.66	(\$81.51)	\$0.15	\$0.00	\$118.70
Delta Downs	\$294,176.90	\$62,286.03	\$11,077.04	\$1,354.85	(\$7.34)	\$219,466.32
Dover Downs Raceway	\$274,895.00	\$64,379.34	(\$40,010.42)	\$1,460.01	(\$124.93)	\$249,191.00
Dubai	\$435.20	\$107.45	(\$107.87)	\$0.92	\$0.00	\$434.70
Fair Grounds	\$1,171,188.30	\$241,958.52	\$47,277.78	\$7,321.90	(\$691.92)	\$875,322.02
Flamboro	\$25,563.40	\$5,951.76	\$516.31	\$40.41	(\$3.24)	\$19,058.16
Freehold Raceway	\$73,896.80	\$16,009.78	(\$8,146.91)	\$362.68	(\$113.82)	\$65,785.07
Golden Gate Fields	\$372,170.50	\$74,900.06	\$7,944.47	\$2,436.29	(\$211.74)	\$287,101.42
Gulfstream Park	\$2,611,477.80	\$534,926.13	(\$4,829.30)	\$14,617.44	(\$1,610.47)	\$2,068,374.00
Hialeah Park	\$34,466.10	\$6,675.16	(\$329.40)	\$126.30	(\$2.40)	\$27,996.44
Laurel Park	\$330,664.20	\$71,336.34	(\$9,086.43)	\$1,800.22	(\$393.87)	\$267,007.94
Los Alamitos Quarters	\$18,198.20	\$3,910.75	(\$95.11)	\$107.88	(\$1.82)	\$14,276.50
Louisiana Downs	\$11,565.50	\$2,511.85	\$192.09	\$43.58	(\$1.09)	\$8,819.07
Meadowlands Racecourse (Harness)	\$425,164.30	\$83,978.91	(\$32,814.99)	\$1,655.75	(\$41.17)	\$372,385.80
Monticello Raceway	\$263,660.30	\$58,455.73	(\$41,315.77)	\$595.56	(\$408.95)	\$246,333.73
Northfield Park	\$239,037.20	\$50,987.09	(\$19,738.15)	\$917.06	(\$23.55)	\$206,894.75

	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
Northlands Park Harness	\$6,793.80	\$1,593.61	(\$167.25)	\$14.26	(\$0.24)	\$5,353.42
Oaklawn Park	\$953,430.00	\$185,867.55	\$42,977.71	\$4,662.97	(\$87.83)	\$720,009.60
Penn National	\$155,610.30	\$35,148.35	\$4,753.04	\$863.08	(\$45.80)	\$114,891.63
Philadelphia Park/Parx	\$62,333.30	\$13,984.35	\$6,238.61	\$290.46	(\$129.05)	\$41,948.93
Pompano Park	\$83,584.70	\$16,796.49	\$5,551.41	\$259.55	(\$38.76)	\$61,016.01
Portland Meadows	\$5,763.10	\$1,199.06	(\$2,390.03)	\$24.58	\$0.00	\$6,929.49
Rideau Carlton Raceway	\$1,119.40	\$280.31	(\$222.04)	\$2.56	(\$0.53)	\$1,059.10
Sam Houston Race Park	\$56,773.00	\$11,474.78	\$1,798.50	\$457.68	(\$4.76)	\$43,046.80
Santa Anita Park	\$1,371,386.00	\$278,019.87	(\$77,015.52)	\$7,359.40	(\$465.78)	\$1,163,488.03
Sunland Park (Nuevo Sol)	\$114,288.50	\$25,047.23	\$6,746.70	\$621.80	(\$1.87)	\$81,874.64
Tampa Bay Downs	\$1,215,705.00	\$251,337.21	\$37,718.88	\$6,338.07	(\$344.10)	\$920,654.94
The Meadows	\$264,830.50	\$64,909.13	(\$19,219.49)	\$1,017.21	(\$25.60)	\$218,149.25
The Raceway @ Western Fair District	\$42,586.40	\$9,711.92	(\$1,149.55)	\$38.83	(\$9.40)	\$33,994.60
Turf Paradise	\$163,798.50	\$37,523.87	\$6,452.51	\$985.19	(\$376.41)	\$119,213.34
Watch and Wager Harness	\$156,286.60	\$35,400.24	(\$9,135.08)	\$417.60	(\$1.20)	\$129,605.04
Woodbine	\$163,053.40	\$36,483.69	(\$8,386.88)	\$408.91	(\$15.49)	\$134,563.17
Yonkers	\$151,529.40	\$33,750.17	(\$5,461.80)	\$580.57	(\$50.48)	\$122,710.94
Total:	\$12,949,316.90	\$2,683,870.65	(\$34,716.54)	\$64,852.96	(\$6,692.24)	\$10,242,002.07

WAGERING ON HISTORICAL RACES TOTALS
KENTUCKY DOWNS-January 2014

Total Wagered.....	\$ 23,886,616.75
Total Commission.....	\$ 1,814,800.32
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 1,456,501.07
(Total Commission minus the pari-mutuel tax...Amount that goes to KY Downs)	
Purse Fund.....	\$ 203,910.15
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$ 14,565.01
(1% of the net track commission)	
State Commission.....	\$ 358,299.25
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 179,149.63
(.75% of the total wagered)	
Equine Industry Program.....	\$ 47,773.23
(.2% of the total wagered)	
Equine Drug Research.....	\$ 23,886.62
(.1% of the total wagered)	
Higher Education Fund.....	\$ 23,886.62
(.1% of the total wagered)	
General Fund.....	\$ 83,603.16
(.35% of the total wagered)	
Returned to the Public.....	\$ 22,056,836.40

WAGERING ON HISTORICAL RACES TOTALS
ELLIS PARK-January 2014

Total Wagered.....	\$	2,243,634.35
 Total Commission.....	 \$	 175,590.79
(Total wagered minus takeout rates)		
 Net Track Commission.....	 \$	 141,936.28
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)		
Purse Fund.....	\$	19,871.08
(14% of the net track commission)		
Breeders' Incentive Fund.....	\$	1,419.36
(1% of the net track commission)		
 State Commission.....	 \$	 33,654.52
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	16,827.26
(.75% of the total wagered)		
Equine Industry Program.....	\$	4,487.27
(.2% of the total wagered)		
Equine Drug Research.....	\$	2,243.63
(.1% of the total wagered)		
Higher Education Fund.....	\$	2,243.63
(.1% of the total wagered)		
General Fund.....	\$	7,852.72
(.35% of the total wagered)		
 Returned to the Public.....	 \$	 2,087,965.24

WAGERING ON HISTORICAL RACES TOTALS
COMBINED- January 2014

Total Wagered.....	\$ 26,130,251.10
Total Commission.....	\$ 1,990,391.11
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 1,598,437.35
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 223,781.23
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$ 15,984.37
(1% of the net track commission)	
State Commission.....	\$ 391,953.77
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 195,976.88
(.75% of the total wagered)	
Equine Industry Program.....	\$ 52,260.50
(.2% of the total wagered)	
Equine Drug Research.....	\$ 26,130.25
(.1% of the total wagered)	
Higher Education Fund.....	\$ 26,130.25
(.1% of the total wagered)	
General Fund.....	\$ 91,455.88
(.35% of the total wagered)	
Returned to the Public.....	\$ 24,144,801.64

WAGERING ON HISTORICAL RACES TOTALS
Combined- September 1, 2011 thru December 31, 2013

Total Wagered.....	\$ 547,110,237.05
Total Commission.....	\$ 43,278,497.96
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 35,071,844.40
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 4,910,058.22
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 350,685.96
(1% of the net track commission)	
State Commission.....	\$ 8,206,653.56
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 4,103,326.78
(.75% of the total wagered)	
Equine Industry Program.....	\$ 1,094,220.47
(.2% of the total wagered)	
Equine Drug Research.....	\$ 547,110.24
(.1% of the total wagered)	
Higher Education Fund.....	\$ 547,110.24
(.1% of the total wagered)	
General Fund.....	\$ 1,914,885.83
(.35% of the total wagered)	
Returned to the Public.....	\$ 503,425,771.30

WAGERING ON HISTORICAL RACES TOTALS
KY Downs- September 1, 2011 thru December 31, 2013

Total Wagered.....	\$ 510,922,873.40
Total Commission.....	\$ 40,420,788.21
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 32,756,945.11
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 4,585,972.32
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 327,540.52
(1% of the net track commission)	
State Commission.....	\$ 7,663,843.10
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 3,831,921.55
(.75% of the total wagered)	
Equine Industry Program.....	\$ 1,021,845.75
(.2% of the total wagered)	
Equine Drug Research.....	\$ 510,922.87
(.1% of the total wagered)	
Higher Education Fund.....	\$ 510,922.87
(.1% of the total wagered)	
General Fund.....	\$ 1,788,230.06
(.35% of the total wagered)	
Returned to the Public.....	\$ 470,463,160.94

WAGERING ON HISTORICAL RACES TOTALS
Ellis Park- August 31, 2012 thru December 31, 2013

Total Wagered.....	\$	36,187,363.65
 Total Commission.....	 \$	 2,857,709.75
(Total wagered minus takeout rates)		
 Net Track Commission.....	 \$	 2,314,899.30
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)		
Purse Fund.....	\$	307,165.51
(14% of the net track commission)		
Breeder's Incentive Fund.....	\$	23,145.44
(1% of the net track commission)		
 State Commission.....	 \$	 542,810.45
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	271,405.23
(.75% of the total wagered)		
Equine Industry Program.....	\$	72,374.73
(.2% of the total wagered)		
Equine Drug Research.....	\$	36,187.36
(.1% of the total wagered)		
Higher Education Fund.....	\$	36,187.36
(.1% of the total wagered)		
General Fund.....	\$	126,655.77
(.35% of the total wagered)		
 Returned to the Public.....	 \$	 32,962,610.35

WAGERING ON HISTORICAL RACES TOTALS
Combined- Calendar Year 2013

Total Wagered.....	\$ 318,908,899.65
Total Commission.....	\$ 24,626,337.03
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 19,842,703.54
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 2,777,978.50
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 198,423.48
(1% of the net track commission)	
State Commission.....	\$ 4,783,633.49
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 2,391,816.75
(.75% of the total wagered)	
Equine Industry Program.....	\$ 637,817.80
(.2% of the total wagered)	
Equine Drug Research.....	\$ 318,908.90
(.1% of the total wagered)	
Higher Education Fund.....	\$ 318,908.90
(.1% of the total wagered)	
General Fund.....	\$ 1,116,181.15
(.35% of the total wagered)	
Returned to the Public.....	\$ 294,032,304.00

WAGERING ON HISTORICAL RACES TOTALS
KY Downs- Calendar Year 2013

Total Wagered.....	\$ 291,201,324.65
Total Commission.....	\$ 22,439,051.14
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 18,071,031.27
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 2,529,944.38
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 180,710.31
(1% of the net track commission)	
State Commission.....	\$ 4,368,019.87
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 2,184,009.93
(.75% of the total wagered)	
Equine Industry Program.....	\$ 582,402.65
(.2% of the total wagered)	
Equine Drug Research.....	\$ 291,201.32
(.1% of the total wagered)	
Higher Education Fund.....	\$ 309,810.87
(.1% of the total wagered)	
General Fund.....	\$ 1,019,204.64
(.35% of the total wagered)	
Returned to the Public.....	\$ 268,800,319.18

WAGERING ON HISTORICAL RACES TOTALS

Ellis Park- Calendar Year 2013

Total Wagered.....	\$	27,707,575.00
Total Commission.....	\$	2,187,285.90
(Total wagered minus takeout rates)		
Net Track Commission.....	\$	1,771,672.27
(Total Commission minus the pari-mutuel tax)		
Purse Fund.....	\$	248,034.12
(14% of the net track commission)		
Breeder's Incentive Fund.....	\$	17,713.17
(1% of the net track commission)		
State Commission.....	\$	415,613.63
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	207,806.81
(.75% of the total wagered)		
Equine Industry Program.....	\$	55,415.15
(.2% of the total wagered)		
Equine Drug Research.....	\$	27,707.58
(.1% of the total wagered)		
Higher Education Fund.....	\$	27,707.58
(.1% of the total wagered)		
General Fund.....	\$	96,976.51
(.35% of the total wagered)		
Returned to the Public.....	\$	25,231,984.82

Total Handle on "Live" Kentucky Races: Turfway Park

Race Date	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
12/01/13	\$ 1,502,218.58	\$ 307,470.05	\$ (0.48)	\$ 6,514.80	\$ (0.03)	\$ 1,188,234.24
Week 1 Total	\$ 1,502,218.58	\$ 307,470.05	\$ (0.48)	\$ 6,514.80	\$ (0.03)	\$ 1,188,234.24
Cumulative	\$ 1,502,218.58	\$ 307,470.05	\$ (0.48)	\$ 6,514.80	\$ (0.03)	\$ 1,188,234.24
12/05/13	\$ 1,148,338.85	\$ 233,130.47	\$ 4,608.16	\$ 4,119.56	\$ -	\$ 906,480.66
12/6/13*						
12/07/13	\$ 1,075,351.73	\$ 219,087.69	\$ 3,120.84	\$ 4,551.27	\$ -	\$ 848,591.93
12/08/13	\$ 1,058,456.03	\$ 215,045.86	\$ (7,248.28)	\$ 5,803.09	\$ (0.06)	\$ 844,855.42
Week 2 Total	\$ 3,282,146.61	\$ 667,264.02	\$ 480.72	\$ 14,473.92	\$ (0.06)	\$ 2,599,928.01
Cumulative	\$ 4,784,365.19	\$ 974,734.07	\$ 480.24	\$ 20,988.72	\$ (0.09)	\$ 3,788,162.25
12/12/13	\$ 1,348,138.43	\$ 275,367.23	\$ 1,160.81	\$ 5,838.38	\$ (0.04)	\$ 1,065,772.05
12/13/13	\$ 1,559,509.17	\$ 317,442.16	\$ 4,464.69	\$ 8,454.36	\$ (1.56)	\$ 1,229,149.52
12/14/13	\$ 1,649,701.44	\$ 338,609.28	\$ (5,625.40)	\$ 6,863.84	\$ -	\$ 1,309,853.72
12/15/13	\$ 1,362,304.34	\$ 279,165.41	\$ 603.33	\$ 5,955.42	\$ -	\$ 1,076,580.18
Week 3 Total	\$ 5,919,653.38	\$ 1,210,584.08	\$ 603.43	\$ 27,112.00	\$ (1.60)	\$ 4,681,355.47
Cumulative	\$ 10,704,018.57	\$ 2,185,318.15	\$ 1,083.67	\$ 48,100.72	\$ (1.69)	\$ 8,469,517.72
12/19/13	\$ 1,688,873.16	\$ 343,812.39	\$ 1,563.85	\$ 7,282.18	\$ (0.23)	\$ 1,336,214.97
12/20/13	\$ 1,544,906.55	\$ 315,369.36	\$ 1,614.51	\$ 8,119.98	\$ -	\$ 1,219,802.70
12/21/13	\$ 1,457,149.87	\$ 295,937.06	\$ (0.65)	\$ 7,252.30	\$ (3,521.00)	\$ 1,157,482.16
12/22/13	\$ 1,292,062.68	\$ 264,970.42	\$ 4,788.89	\$ 4,562.24	\$ (34.22)	\$ 1,017,775.35
Week 4 Total	\$ 5,982,992.26	\$ 1,220,089.23	\$ 7,966.60	\$ 27,216.70	\$ (3,555.45)	\$ 4,731,275.18
Total Monthly	\$ 16,687,010.83	\$ 3,405,407.38	\$ 9,050.27	\$ 75,317.42	\$ (3,557.14)	\$ 13,200,792.90
12/26/13	\$ 1,265,293.86	\$ 259,381.71	\$ (6,804.90)	\$ 5,104.90	\$ (31.80)	\$ 1,007,643.95
12/27/13	\$ 1,313,787.01	\$ 266,433.72	\$ (565.66)	\$ 7,884.50	\$ (0.41)	\$ 1,040,034.86
12/28/13	\$ 1,404,427.52	\$ 288,178.01	\$ (1,201.86)	\$ 7,537.04	\$ (1,005.81)	\$ 1,110,920.14
12/29/13	\$ 1,174,410.04	\$ 241,779.96	\$ 473.09	\$ 4,978.92	\$ (97.45)	\$ 927,275.52
12/30/13	\$ 1,792,828.50	\$ 368,497.28	\$ (471.88)	\$ 8,884.60	\$ (429.83)	\$ 1,416,348.33
12/31/13	\$ 1,999,562.86	\$ 406,941.85	\$ (0.86)	\$ 14,499.79	\$ (1,329.54)	\$ 1,579,451.62
Week 5 Total	\$ 8,950,309.79	\$ 1,831,212.53	\$ (8,572.07)	\$ 48,889.75	\$ (2,894.84)	\$ 7,081,674.42
Total Monthly	\$ 25,637,320.62	\$ 5,236,619.91	\$ 478.20	\$ 124,207.17	\$ (6,451.98)	\$ 20,282,467.32

*CANCELLED DUE TO WEATHER

Race Date	Total Handle	Difference	Percentage
12/1/2012	\$ 1,944,691.96	\$ (1,944,691.96)	-100.00%
12/2/2012	\$ 1,842,422.83	\$ (340,204.25)	-18.47%
	\$ 3,787,114.79	\$ (2,284,896.21)	-60.33%
	\$ 3,787,114.79	\$ (2,284,896.21)	-60.33%
12/6/2012	\$ 1,477,078.79	\$ (328,739.94)	-22.26%
12/7/2012	\$ 1,673,189.00	\$ (1,673,189.00)	-100.00%
12/8/2012	\$ 2,154,231.80	\$ (1,078,880.07)	-50.08%
12/9/2012	\$ 1,656,055.05	\$ (597,599.02)	-36.09%
	\$ 6,960,554.64	\$ (3,678,408.03)	-52.85%
	\$ 10,747,669.43	\$ (5,963,304.24)	-55.48%
12/13/2012	\$ 1,727,686.11	\$ (379,547.68)	-21.97%
12/14/2012	\$ 1,730,595.09	\$ (171,085.92)	-9.89%
12/15/2012	\$ 1,501,058.18	\$ 148,643.26	9.90%
12/16/2012	\$ 1,348,058.26	\$ 14,246.08	1.06%
	\$ 6,307,397.64	\$ (387,744.26)	-6.15%
	\$ 17,055,067.07	\$ (6,351,048.50)	-37.24%
12/20/2012	\$ 1,707,989.63	\$ (19,116.47)	-1.12%
12/21/2012	\$ 2,062,238.98	\$ (517,332.43)	-25.09%
12/22/2012	\$ 2,109,273.63	\$ (652,123.76)	-30.92%
12/23/2012	\$ 2,022,554.90	\$ (730,492.22)	-36.12%
	\$ 7,902,057.14	\$ (1,919,064.88)	-24.29%
	\$ 24,957,124.21	\$ (8,270,113.38)	-33.14%
12/26/2012	\$ -	\$ 1,265,293.86	
12/27/2012	\$ 1,513,488.12	\$ (199,701.11)	-13.19%
12/28/2012	\$ 1,193,131.56	\$ 211,295.96	17.71%
12/29/2012	\$ 2,608,315.58	\$ (1,433,905.54)	-54.97%
12/30/2012	\$ 1,598,202.77	\$ 194,625.73	12.18%
12/31/2012	\$ 2,476,830.05	\$ (477,267.19)	-19.27%
	\$ 9,389,968.08	\$ (439,658.29)	-4.68%
	\$ 34,347,092.29	\$ (8,709,771.67)	-25.36%

Audit Check Report

Date Range: 12/01/2013 - 12/31/2013
 Race Type: All
 Locations: All Locations
 Tracks: All Tracks
 Report By: Track
 Host: All Hosts

Track	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
Aqueduct	\$1,645,594.70	\$320,566.54	\$100,915.72	\$6,426.47	(\$1,460.42)	\$1,219,146.39
Australia	\$10,998.40	\$2,626.99	\$256.46	\$16.82	\$0.00	\$8,098.13
Australia B	\$9,399.20	\$2,249.23	\$2,794.98	\$12.92	\$0.00	\$4,342.07
Australia C	\$7,265.00	\$1,734.83	\$1,673.61	\$10.38	\$0.00	\$3,846.18
Balmoral	\$136,248.20	\$29,292.62	(\$10,320.83)	\$398.56	(\$0.07)	\$116,877.92
Batavia Park	\$1,217.80	\$279.72	\$477.07	\$2.61	(\$0.15)	\$458.55
Beulah Park	\$22,892.10	\$4,817.23	\$3,312.22	\$97.84	(\$3.93)	\$14,668.74
Calder Racecourse	\$260,979.70	\$57,437.80	\$8,988.05	\$1,498.44	(\$859.74)	\$193,915.15
Charles Town	\$87,774.10	\$16,929.03	\$5,469.26	\$533.93	(\$180.61)	\$65,022.49
Charlottetown	\$97.00	\$27.32	(\$27.15)	\$0.33	(\$0.55)	\$97.05
Chester Downs	\$34,896.00	\$8,254.38	(\$1,019.19)	\$217.66	(\$57.65)	\$27,500.80
Delta Downs	\$230,791.70	\$48,674.01	\$8,911.48	\$1,120.42	(\$12.40)	\$172,098.19
Dover Downs Raceway	\$195,848.00	\$45,585.76	(\$9,796.66)	\$1,313.98	(\$141.88)	\$158,886.80
Evangeline Downs	\$41,206.90	\$8,928.74	\$3,166.93	\$147.89	(\$0.44)	\$28,963.78
Fair Grounds	\$1,111,806.10	\$230,040.25	(\$13,207.48)	\$6,810.01	(\$490.19)	\$888,653.51
Finger Lakes	\$16,259.20	\$3,356.06	\$1,967.16	\$54.89	(\$1.90)	\$10,882.99
Flamboro	\$15,909.80	\$3,941.12	\$1,500.41	\$23.81	(\$0.59)	\$10,445.05
Freehold Raceway	\$25,258.00	\$5,372.63	(\$3,487.79)	\$169.84	(\$105.21)	\$23,308.53
Golden Gate Fields	\$249,824.70	\$50,673.28	\$17,148.81	\$1,300.01	(\$68.12)	\$180,770.72
Gulfstream Park	\$1,649,475.00	\$339,113.63	\$73,445.74	\$8,695.63	(\$666.53)	\$1,228,886.53
Hawthorne	\$843,605.00	\$175,584.76	(\$5,531.21)	\$4,382.37	(\$519.73)	\$669,688.81
Hialeah Park	\$24,659.90	\$4,736.90	\$4,753.14	\$68.18	\$0.00	\$15,101.68
Hollywood Park Fall	\$894,940.70	\$181,259.54	\$68,688.40	\$4,775.76	(\$133.18)	\$640,350.18
Laurel Park	\$416,886.70	\$89,100.99	\$10,211.29	\$2,513.78	(\$197.60)	\$315,258.24

	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
Lebanon	\$1,008.60	\$204.26	\$90.41	\$4.43	\$0.00	\$709.50
Los Alamitos Quarters	\$20,830.40	\$4,491.48	\$1,001.39	\$101.38	(\$0.42)	\$15,236.57
Maywood Park	\$44,528.90	\$9,394.36	\$4,494.25	\$331.04	(\$2.90)	\$30,312.15
Meadowlands Racecourse (Harness)	\$287,912.90	\$56,398.72	(\$21,064.96)	\$996.56	(\$159.96)	\$251,742.54
Monticello Raceway	\$276,073.30	\$59,930.52	(\$44,347.77)	\$702.84	(\$513.90)	\$260,301.61
Mountaineer Park	\$78,985.00	\$16,063.89	\$3,295.52	\$385.91	(\$37.27)	\$59,276.95
Northfield Park	\$311,287.00	\$66,115.03	(\$8,803.62)	\$1,186.49	(\$24.04)	\$252,813.14
Northlands Park Harness	\$3,388.00	\$770.10	(\$859.97)	\$8.51	(\$1.69)	\$3,471.05
Penn National	\$170,231.80	\$38,030.32	\$10,420.56	\$965.32	(\$3.46)	\$120,819.06
Philadelphia Park/Parx	\$88,777.10	\$19,660.51	(\$15,039.59)	\$409.23	(\$51.42)	\$83,798.37
Pompano Park	\$66,323.20	\$13,353.47	(\$9,441.27)	\$354.43	(\$0.89)	\$62,057.46
Portland Meadows	\$3,929.90	\$806.85	(\$2,339.83)	\$30.91	\$0.00	\$5,431.97
Remington Park	\$36,981.40	\$7,712.82	\$2,669.21	\$214.14	(\$0.04)	\$26,385.27
Retama Park	\$23,608.80	\$4,957.81	\$4,883.66	\$118.06	(\$0.25)	\$13,649.52
Rideau Carlton Raceway	\$9,594.00	\$2,446.70	\$319.44	\$23.75	(\$32.87)	\$6,836.98
Rosecroft	\$2,637.90	\$588.59	\$391.82	\$13.33	(\$45.79)	\$1,689.95
Santa Anita Park	\$325,910.20	\$65,345.87	(\$9,791.35)	\$2,094.22	(\$452.22)	\$268,713.68
Saratoga Harness Raceway Night	\$9,070.50	\$2,033.39	(\$541.14)	\$29.15	(\$2.77)	\$7,551.87
Scarborough Downs	\$3,768.90	\$898.37	\$480.92	\$16.07	(\$1.38)	\$2,374.92
Sports Creek Raceway	\$2,815.50	\$674.38	\$526.84	\$17.18	\$0.00	\$1,597.10
Sunland Park (Nuevo Sol)	\$41,319.80	\$8,951.38	(\$2,274.82)	\$227.75	(\$3.46)	\$34,418.95
Tampa Bay Downs	\$997,909.20	\$205,941.96	(\$19,945.82)	\$4,764.98	(\$508.75)	\$807,656.83
The Meadows	\$188,125.50	\$44,102.67	\$1,869.64	\$826.31	(\$434.12)	\$141,761.00
The Raceway @ Western Fair District	\$45,471.20	\$10,668.13	(\$1,344.59)	\$55.76	(\$38.15)	\$36,130.05
Turf Paradise	\$203,229.80	\$46,552.31	(\$4,025.66)	\$942.61	(\$266.38)	\$160,026.92
Watch and Wager Harness	\$121,084.60	\$27,359.98	(\$3,318.02)	\$285.66	(\$26.37)	\$96,783.35
Woodbine	\$136,514.80	\$29,914.86	\$4,020.50	\$374.67	(\$17.23)	\$102,222.00
Woodbine-Thoroughbred	\$331,350.60	\$66,031.03	\$12,496.34	\$1,052.38	(\$1.42)	\$251,772.27
Yonkers	\$103,645.80	\$23,050.44	(\$4,958.32)	\$318.35	(\$130.03)	\$85,365.36
Zia Park	\$3,550.70	\$801.53	\$183.89	\$13.60	(\$0.22)	\$2,551.90
Total:	\$11,873,699.20	\$2,463,835.09	\$169,338.08	\$57,457.55	(\$7,658.29)	\$9,190,726.77

WAGERING ON HISTORICAL RACES TOTALS
COMBINED- February 2014

Total Wagered.....	\$30,143,745.00
Total Commission.....	\$2,305,860.59
(Total wagered minus takeout rates)	
Net Track Commission.....	\$1,853,704.41
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$259,518.62
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$18,537.04
(1% of the net track commission)	
State Commission.....	\$452,156.18
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$226,078.09
(.75% of the total wagered)	
Equine Industry Program.....	\$60,287.49
(.2% of the total wagered)	
Equine Drug Research.....	\$30,143.75
(.1% of the total wagered)	
Higher Education Fund.....	\$30,143.75
(.1% of the total wagered)	
General Fund.....	\$105,503.11
(.35% of the total wagered)	
Returned to the Public.....	\$27,848,436.08

WAGERING ON HISTORICAL RACES TOTALS
KENTUCKY DOWNS-February 2014

Total Wagered.....	\$27,571,485.60
 Total Commission.....	 \$2,102,809.23
(Total wagered minus takeout rates)	
 Net Track Commission.....	 \$1,689,236.95
(Total Commission minus the pari-mutuel tax...Amount that goes to KY Downs)	
Purse Fund.....	\$236,493.17
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$16,892.37
(1% of the net track commission)	
 State Commission.....	 \$413,572.28
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$206,786.14
(.75% of the total wagered)	
Equine Industry Program.....	\$55,142.97
(.2% of the total wagered)	
Equine Drug Research.....	\$27,571.49
(.1% of the total wagered)	
Higher Education Fund.....	\$27,571.49
(.1% of the total wagered)	
General Fund.....	\$96,500.20
(.35% of the total wagered)	
 Returned to the Public.....	 \$25,490,298.41

WAGERING ON HISTORICAL RACES TOTALS
ELLIS PARK-February 2014

Total Wagered.....	\$2,572,259.40
 Total Commission.....	 \$203,051.36
(Total wagered minus takeout rates)	
 Net Track Commission.....	 \$164,467.47
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)	
Purse Fund.....	\$23,025.45
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$1,644.67
(1% of the net track commission)	
 State Commission.....	 \$38,583.89
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$19,291.95
(.75% of the total wagered)	
Equine Industry Program.....	\$5,144.52
(.2% of the total wagered)	
Equine Drug Research.....	\$2,572.26
(.1% of the total wagered)	
Higher Education Fund.....	\$2,572.26
(.1% of the total wagered)	
General Fund.....	\$9,002.91
(.35% of the total wagered)	
 Returned to the Public.....	 \$2,358,137.67

WAGERING ON HISTORICAL RACES TOTALS
Combined- September 1, 2011 thru February 28, 2014

Total Wagered.....	\$ 603,384,233.15
 Total Commission.....	 \$ 47,574,749.66
(Total wagered minus takeout rates)	
 Net Track Commission.....	 \$ 38,523,986.16
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 5,393,358.06
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$ 385,207.38
(1% of the net track commission)	
 State Commission.....	 \$ 9,050,763.50
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 4,525,381.75
(.75% of the total wagered)	
Equine Industry Program.....	\$ 1,206,768.47
(.2% of the total wagered)	
Equine Drug Research.....	\$ 603,384.23
(.1% of the total wagered)	
Higher Education Fund.....	\$ 603,384.23
(.1% of the total wagered)	
General Fund.....	\$ 2,111,844.82
(.35% of the total wagered)	
 Returned to the Public.....	 \$ 555,419,009.02

WAGERING ON HISTORICAL RACES TOTALS
KY Downs- September 1, 2011 thru February 28, 2014

Total Wagered.....	\$ 562,380,975.75
Total Commission.....	\$ 44,338,397.76
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 35,902,683.12
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 5,026,375.64
(14% of the net track commission)	
Breeders' Incentive Fund.....	\$ 358,997.90
(1% of the net track commission)	
State Commission.....	\$ 8,435,714.64
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 4,217,857.32
(.75% of the total wagered)	
Equine Industry Program.....	\$ 1,124,761.95
(.2% of the total wagered)	
Equine Drug Research.....	\$ 562,380.98
(.1% of the total wagered)	
Higher Education Fund.....	\$ 562,380.98
(.1% of the total wagered)	
General Fund.....	\$ 1,968,333.42
(.35% of the total wagered)	
Returned to the Public.....	\$ 518,010,295.75

WAGERING ON HISTORICAL RACES TOTALS
Ellis Park- August 31, 2012 thru February 28, 2014

Total Wagered.....	\$	41,003,257.40
 Total Commission.....	 \$	 3,236,351.90
(Total wagered minus takeout rates)		
 Net Track Commission.....	 \$	 2,621,303.04
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)		
Purse Fund.....	\$	366,982.43
(14% of the net track commission)		
Breeders' Incentive Fund.....	\$	26,209.48
(1% of the net track commission)		
 State Commission.....	 \$	 615,048.86
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	307,524.43
(.75% of the total wagered)		
Equine Industry Program.....	\$	82,006.51
(.2% of the total wagered)		
Equine Drug Research.....	\$	41,003.26
(.1% of the total wagered)		
Higher Education Fund.....	\$	41,003.26
(.1% of the total wagered)		
General Fund.....	\$	143,511.40
(.35% of the total wagered)		
 Returned to the Public.....	 \$	 37,408,713.26

TOTAL WAGERED BY KENTUCKY RESIDENTS THRU ADWs - 2013

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Yearly Total
AmWest	\$207,301.00	\$545,069.00	\$468,650.00	\$387,879.20	\$1,608,899.20
eBet	\$194,636.00	\$301,955.00	\$287,960.00	\$191,754.90	\$976,305.90
Premier Turf Club	\$104,592.00	\$149,152.00	\$81,042.00	\$112,334.00	\$447,120.00
Racing2day	\$80.00	\$558.00	\$0.00	\$0.00	\$638.00
TVG	\$11,387,384.00	\$19,558,202.00	\$12,279,952.00	\$13,964,925.00	\$57,190,463.00
Twin Spires	\$11,743,410.00	\$30,115,018.00	\$16,953,914.00	\$16,991,508.70	\$75,803,850.70
Xpressbet	\$2,879,000.00	\$4,251,000.00	\$4,610,000.00	\$3,856,000.00	\$15,596,000.00
Avatar Ventures	\$1,901,170.00	\$1,790,296.00	\$939,961.00	\$1,512,677.40	\$6,144,104.40
Lien Games Racing	\$319,491.00	\$401,598.00	\$318,325.00	\$416,597.00	\$1,456,011.00
TOTAL	\$28,737,064.00	\$57,112,848.00	\$35,939,804.00	\$37,433,676.20	\$159,223,392.20

Total Handle on "Live" Kentucky Races: Turfway Park

Race Date	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
02/01/14	\$1,284,107.65	\$262,907.34	(\$2,002.59)	\$6,982.43		\$1,016,220.47
02/02/14	\$1,227,786.97	\$250,853.99	\$8,284.32	\$5,242.17	(\$1,032.67)	\$964,439.16
Week 1 Total	\$ 2,511,894.62	\$ 513,761.33	\$ 6,281.73	\$ 12,224.60	\$ (1,032.67)	\$ 1,980,659.63
Cumulative	\$ 2,511,894.62	\$ 513,761.33	\$ 6,281.73	\$ 12,224.60	\$ (1,032.67)	\$ 1,980,659.63
02/07/14	\$1,676,413.40	\$341,565.66	(\$8,000.48)	\$7,500.15		\$1,335,348.07
2/8/2014*	\$539,306.66	\$109,953.29		\$2,552.15		\$426,801.22
2/9/2014**						
Week 2 Total	\$ 2,215,720.06	\$ 451,518.95	\$ (8,000.48)	\$ 10,052.30	\$ -	\$ 1,762,149.29
Cumulative	\$ 4,727,614.68	\$ 965,280.28	\$ (1,718.75)	\$ 22,276.90	\$ (1,032.67)	\$ 3,742,808.92
2/14/2014**						
2/15/2014**						
2/16/2014**						
Week 3 Total	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cumulative	\$ 4,727,614.68	\$ 965,280.28	\$ (1,718.75)	\$ 22,276.90	\$ (1,032.67)	\$ 3,742,808.92
02/21/14	\$1,813,936.45	\$367,216.88	(\$2,536.38)	\$9,814.35	(\$0.82)	\$1,439,442.42
02/22/14	\$1,317,784.32	\$269,007.44	\$652.05	\$7,755.82	(\$656.97)	\$1,041,025.98
02/23/14	\$1,044,958.47	\$214,895.89	\$3,337.85	\$5,462.87	(\$490.68)	\$821,752.54
Week 4 Total	\$ 4,176,679.24	\$ 851,120.21	\$ 1,453.52	\$ 23,033.04	\$ (1,148.47)	\$ 3,302,220.94
Total Monthly	\$ 8,904,293.92	\$ 1,816,400.49	\$ (265.23)	\$ 45,309.94	\$ (2,181.14)	\$ 7,045,029.86
02/28/14	\$ 2,040,677.87	\$ 415,609.79	(4,836.97)	12,537.42	(1,736.60)	1,619,104.23
Week 5 Total	\$ 2,040,677.87	\$ 415,609.79	(4,836.97)	12,537.42	(1,736.60)	1,619,104.23
Total Monthly	\$ 10,944,971.79	\$ 2,232,010.28	(5,102.20)	57,847.36	(3,917.74)	8,664,134.09

Race Date	Total Handle	Difference	Percentage
2/1/2013		\$ 1,284,107.65	#DIV/0!
2/2/2013	\$1,820,716.21	\$ (592,929.24)	-32.57%
	\$ 1,820,716.21	\$ 691,178.41	37.96%
	\$ 1,820,716.21	\$ 691,178.41	37.96%
		\$ 1,676,413.40	#DIV/0!
2/8/2013	\$2,092,729.06	\$ (1,553,422.40)	-74.23%
2/9/2013	\$2,296,527.37	\$ (2,296,527.37)	-100.00%
	\$ 4,389,256.43	\$ (2,173,536.37)	-49.52%
	\$ 6,209,972.64	\$ (1,482,357.96)	-23.87%
		\$ -	#DIV/0!
2/15/2013	\$1,680,131.15	\$ (1,680,131.15)	-100.00%
2/16/2013	\$2,216,497.32	\$ (2,216,497.32)	-100.00%
	\$ 3,896,628.47	\$ (3,896,628.47)	-100.00%
	\$ 10,106,601.11	\$ (5,378,986.43)	-53.22%
		\$ 1,813,936.45	#DIV/0!
2/22/2013	\$1,576,400.04	\$ (258,615.72)	-16.41%
2/23/2013	\$1,652,547.91	\$ (607,589.44)	-36.77%
	\$ 3,228,947.95	\$ 947,731.29	29.35%
	\$ 13,335,549.06	\$ (4,431,255.14)	-33.23%
		\$ 2,040,677.87	#DIV/0!
	\$ -	\$ 2,040,677.87	#DIV/0!
	\$ 13,335,549.06	\$ (2,390,577.27)	-17.93%

* Cancelled due to weather after 4th race

** Cancelled due to weather

Audit Check Report

Date Range: 2/01/2014 - 2/28/2014
 Race Type: All
 Locations: All Locations
 Tracks: All Tracks
 Report By: Track
 Host: All Hosts

Track	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
Aqueduct	\$1,064,664.70	\$207,519.73	\$52,390.98	\$3,973.53	(\$3,192.82)	\$803,973.28
Australia	\$9,203.40	\$2,100.37	\$1,684.80	\$16.61	\$0.00	\$5,401.62
Australia B	\$8,725.00	\$1,946.86	\$1,375.41	\$19.83	\$0.00	\$5,382.90
Australia C	\$6,852.20	\$1,530.28	\$1,226.89	\$15.73	\$0.00	\$4,079.30
Beulah Park	\$68,916.30	\$14,393.75	(\$974.35)	\$398.14	(\$330.43)	\$55,429.19
Buffalo Raceway	\$20,722.60	\$4,713.18	(\$2,778.58)	\$49.09	(\$1.22)	\$18,740.13
Calder Racecourse	\$277,393.80	\$61,744.97	\$14,579.38	\$1,572.69	(\$579.88)	\$200,076.64
Charles Town	\$136,899.80	\$26,554.38	\$3,038.22	\$718.45	(\$29.95)	\$106,618.70
Charlottetown	\$4.00	\$1.10	(\$5.49)	\$0.09	\$0.00	\$8.30
Delta Downs	\$305,238.20	\$64,824.19	(\$5,840.61)	\$1,573.17	(\$20.69)	\$244,702.14
Dover Downs Raceway	\$245,427.00	\$57,648.01	(\$14,723.47)	\$1,369.66	(\$207.95)	\$201,340.75
Dubai	\$6,493.50	\$1,549.69	\$1,664.41	\$69.54	(\$4.09)	\$3,213.95
Fair Grounds	\$1,139,385.00	\$236,224.00	\$35,637.25	\$6,312.38	(\$1,001.01)	\$862,212.38
Flamboro	\$22,382.80	\$5,222.79	\$1,245.70	\$21.90	(\$3.14)	\$15,895.55
Freehold Raceway	\$70,164.20	\$14,937.66	(\$7,102.60)	\$290.26	(\$102.32)	\$62,141.20
Golden Gate Fields	\$323,385.20	\$64,599.34	\$20,203.40	\$2,118.66	(\$1,456.79)	\$237,920.59
Gulfstream Park	\$3,398,106.10	\$694,086.95	\$65,270.60	\$17,222.12	(\$492.36)	\$2,622,018.79
Hawthorne	\$116,253.70	\$24,579.28	(\$22,015.82)	\$679.29	(\$149.68)	\$113,160.63
Hialeah Park	\$34,131.60	\$6,581.28	\$3,152.49	\$143.92	(\$66.95)	\$24,320.86
Laurel Park	\$252,628.20	\$53,964.83	\$15,634.83	\$1,194.34	(\$1,420.80)	\$183,255.00
Lebanon	\$82,868.70	\$17,717.22	(\$4,520.68)	\$437.37	(\$63.40)	\$69,298.19
Los Alamitos Quarters	\$22,290.90	\$4,825.56	\$2,790.98	\$117.12	(\$8.05)	\$14,565.29
Louisiana Downs	\$17,261.30	\$3,679.18	\$765.46	\$90.41	(\$54.13)	\$12,780.38
Meadowlands Racecourse (Harness)	\$445,535.00	\$87,065.00	(\$45,547.71)	\$2,057.42	(\$116.65)	\$402,076.94

	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
Monticello Raceway	\$18,995.70	\$4,270.28	\$520.54	\$37.48	(\$0.06)	\$14,167.46
Northfield Park	\$305,890.50	\$65,548.94	(\$55,304.97)	\$1,129.30	(\$50.90)	\$294,568.13
Northlands Park Harness	\$3,430.20	\$774.73	\$439.24	\$8.99	(\$1.22)	\$2,208.46
Oaklawn Park	\$1,086,048.90	\$212,070.64	\$16,159.49	\$5,649.08	(\$62.87)	\$852,232.56
Parx Racing (D)	\$55,580.70	\$12,320.93	\$4,944.86	\$270.04	(\$102.68)	\$38,147.55
Penn National	\$80,308.60	\$17,785.15	\$5,800.73	\$490.13	(\$34.40)	\$56,266.99
Pompano Park	\$68,822.90	\$13,720.08	(\$5,341.39)	\$297.21	(\$28.18)	\$60,175.18
Rideau Carlton Raceway	\$2,636.80	\$655.18	\$114.79	\$7.31	(\$9.77)	\$1,869.29
Sam Houston Race Park	\$130,006.50	\$26,714.63	\$4,942.17	\$928.34	(\$52.69)	\$97,474.05
Santa Anita Park	\$1,301,914.50	\$260,827.59	\$30,209.64	\$7,941.47	(\$363.27)	\$1,003,299.07
Sunland Park (Nuevo Sol)	\$43,694.40	\$9,753.93	\$56.28	\$173.32	(\$3.29)	\$33,714.16
Tampa Bay Downs	\$1,715,009.90	\$353,039.93	(\$18,166.73)	\$8,374.05	(\$1,157.64)	\$1,372,920.29
The Meadows	\$251,597.00	\$62,392.39	(\$38,199.27)	\$540.54	(\$3.26)	\$226,866.60
The Raceway @ Western Fair District	\$51,376.60	\$11,958.31	(\$5,990.66)	\$35.69	(\$22.05)	\$45,395.31
Turf Paradise	\$181,441.60	\$41,745.97	\$1,971.02	\$977.03	(\$147.69)	\$136,895.27
Watch and Wager Harness	\$100,850.70	\$22,302.54	(\$5,513.79)	\$266.31	(\$10.58)	\$83,806.22
Woodbine	\$164,524.00	\$37,659.93	(\$3,563.69)	\$289.76	(\$43.01)	\$130,181.01
Yonkers	\$167,308.70	\$37,076.49	\$1,683.35	\$577.05	(\$29.43)	\$128,001.24
Total:	\$13,804,371.40	\$2,848,627.24	\$51,913.10	\$68,454.82	(\$11,425.30)	\$10,846,801.54

Conditions to Conduct Historical Horse Races

Thoroughbred

Kentucky Horse Racing Commission

As a condition of the approval by the KHRC to conduct wagering on historical horse races (HHR), an Association shall certify that it will comply with the following requirements:

- 1) The Association shall notify the KHRC if it becomes aware of a wagering anomaly related to pari-mutuel wagering on HHR, even if a report has not been filed with the Thoroughbred Racing Association or the Thoroughbred Racing Protective Bureau.
- 2) The Association shall post policies and procedures related to pari-mutuel wagering on HHR on its website. A printed copy shall also be available at a customer service counter, or its equivalent.
- 3) The KHRC shall have complete access to all security information and data, including video/digital surveillance data, upon request.
- 4) All notices required to be given to the KHRC under these conditions shall be provided to the Executive Director and the Supervisor of Pari-mutuel Wagering immediately by telephone. In addition, written notice shall be provided to the Executive Director and Supervisor of Pari-mutuel Wagering by electronic mail promptly and in no event later than 24 hours after the event triggering the notice requirement.
- 4)5) Approval of the wagers hereunder is contingent upon the KHRC's approval of a totalizator provider. The totalizator provider shall be licensed by the KHRC. apply for a license as soon as the licensing regulation has become effective in Kentucky and shall maintain a valid license once such license is issued as long as the provider is the totalizator for the Association.
- 5)6) Approval of the wagers hereunder is contingent upon the receipt of a written report satisfactory to the KHRC from an independent testing laboratory that each terminal and wager, the communications system, ~~and~~ the totalizator system, and all other components used for wagering on HHR, comply with the applicable provisions of KRS Chapter 230 and 810 KAR Chapter 1, has integrity and is in proper working order.
- 6)7) Approval of the wagers hereunder is contingent upon receipt by the KHRC of a written opinion satisfactory to the KHRC confirming that the wagers offered constitute pari-mutuel wagering on HHR.

2014 Conditions to conduct historical racing

~~7)8)~~ The KHRC hereby incorporates by reference the Conditions of Racing Association and Off-Track Betting (OTB) Facility Licensing for 2014, as applicable.

~~8)9)~~ Written approval must be received from the KHRC prior to the implementation of any changes to the application submitted to the KHRC.

10) The Association shall contract with a Commission-approved entityies to perform background checks on applicants for employment that the Association determines may hold sensitive problems.positions. Prior to entering into a contract, the Association shall submit to the KHRC for approval the name and information of the entityies it proposes to use to conduct the background checks.

11) The Association shall require all of its employees to display his/hertheir KHRC license badges at -all times while in designated areas offering HHR.

12) The Association shall develop uniform guidelines for logging any and all actions taken at the terminals by employees. The guidelines shall be made available to the Commission upon request.

13) The Association shall not open the designated area and begin to offer HHR to patrons until written approval is provided by the KHRC. Written approval shall not be provided until the KHRC determines, in its sole discretion, that all requirements contained in these conditions, any subsequent conditions, KRS Chapter 230, and 810 KAR Chapter 1 have been met.

~~The Association shall not open the designated area and begin to offer HHR to patrons until written approval is provided by the KHRC. Written approval shall not be provided until the KHRC determines, in its sole discretion, that, any subsequent conditions, KRS Chapter 230, and 810 KAR Chapter 1~~

~~9) The Association shall implement policies regarding whether customers may enter the designated gaming areas while carrying a concealed deadly weapon and post those policies at the entrance(s) to the gaming areas.~~

14) Each Association approved to offer wagering on HHR shall create and hold a non-refundable pool of money (the "Initial Seed Pool") which is earmarked as funding for the initial seed pools for each approved wager in the total amount of \$52,400. The Commission may, in its sole discretion, require additional monies to be added to the Initial Seed Pool in order to comply with the requirements of 810 KAR Chapter 1.

~~10)14) The Initial Seed Pool~~ The Association shall deposit the Initial Seed Pool in a restricted bank account. The Initial Seed Pool shall not be commingled with any other Association funds shall be kept. The Association's Chief Financial Officer shall submit a certification to the KHRC that the Initial Seed Pool shall not be commingled with other Association funds. The Association shall obtain the prior written approval of both the Executive Director and the Supervisor of Pari-mutuel

Wagering prior to any transaction involving the Initial Seed Pool. Such approval may be made electronically. ~~in the Association's vault in a sealed cash bag and shall only be used to prevent the initial seed pool from dropping below \$0./The Initial Seed Pool shall be kept in the Association's account specifically created for the seed pool money. No distributions may be made from the Initial Seed Pool without the approval of the KHRC.~~

~~14)~~15) The Association shall provide a copy of the monthly balance sheet for the Initial Seed Pool account to the KHRC. ~~If the seal on the cash bag is broken, the Association shall provide a written report to the KHRC documenting the reasons the seal was broken and provide any other documentation requested by the KHRC.~~

~~12)~~ The Initial Seed Pool shall not be co-mingled with any other Association funds, except as provided in these Conditions, and shall be accounted for on the Association's daily balance sheet.

~~13)~~16) An Association's Initial Seed Pool shall be pooled with the Initial Seed Pools from other Associations offering wagering on HHR, ~~which the~~ total amount of which shall comprise the "Grand Seed Pool Reserve."

~~14)~~17) The Association shall provide the KHRC with a daily balance sheet, including the Initial Seed Pool, and shall provide access to any and all documentation regarding the Initial Seed Pool and the Initial Seed Pool account upon request. ~~permit the KHRC to conduct spot checks of the vault at its discretion.~~

~~15)~~18) At the beginning of each day, the Associations shall provide the KHRC with a report showing the end-of-day values from the preceding day for the seed pool corresponding to each wager.

~~16)~~19) The Association shall require the totalizator company to notify the designated KHRC staff if the Grand Seed Pool Reserve reaches the alert level as defined by the KHRC.

~~17)~~20) If, at any time, the Grand Seed Pool Reserve is depleted by ninety percent (90%), the Associations are required to immediately take action to increase the Grand Seed Pool Reserve to thirty percent (30%).

21) The KHRC's independent testing laboratory may, at the sole discretion of the KHRC, shall conduct a simulation of game play to determine ~~whether~~ the parameters of the seed pools related to each individual wager ~~have been set at the appropriate levels~~ so that the KHRC may determine the appropriate levels.

~~18)~~22) If the KHRC determines that the Grand Seed Pool Reserve is not properly funded it may require the Association to upwardly adjust the parameters of the Grand Seed Pool Reserve to ensure that it is properly funded.

2014 Conditions to conduct historical racing

| ~~19)23)~~ Additional conditions may be added at any time at the discretion of the KHRC.

| ~~20)24)~~ In the event the Association does not comply with the requirements of these conditions, the KHRC has the authority and will assess fines and/or penalties and may revoke approval for conducting wagering on HHR.

Conditions to Conduct Historical Horse Races

Standardbred

Kentucky Horse Racing Commission

As a condition of the approval by the KHRC to conduct wagering on historical horse races (HHR), an Association shall certify that it will comply with the following requirements:

- 1) The Association shall notify the KHRC if it becomes aware of a wagering anomaly related to pari-mutuel wagering on HHR, even if a report has not been filed with the ~~Thoroughbred Racing Association or the~~ Thoroughbred Racing Protective Bureau.
- 2) The Association shall post policies and procedures related to pari-mutuel wagering on HHR on its website. A printed copy shall also be available at a customer service counter, or its equivalent.
- 3) The KHRC shall have complete access to all security information and data, including video/digital surveillance data, upon request.
- 4) All notices required to be given to the KHRC under these conditions shall be provided to the Executive Director and the Supervisor of Pari-mutuel Wagering immediately by telephone. In addition, written notice shall be provided to the Executive Director and Supervisor of Pari-mutuel Wagering by electronic mail promptly and in no event later than 24 hours after the event triggering the notice requirement.
- 4)5) Approval of the wagers hereunder is contingent upon the KHRC's approval of a totalizator provider. The totalizator provider shall be licensed by the KHRC. apply for a license as soon as the licensing regulation has become effective in Kentucky and shall maintain a valid license once such license is issued as long as the provider is the totalizator for the Association.
- 5)6) Approval of the wagers hereunder is contingent upon the receipt of a written report satisfactory to the KHRC from an independent testing laboratory that each terminal and wager, the communications system, ~~and~~ the totalizator system, and all other components used for wagering on HHR, comply with the applicable provisions of KRS Chapter 230 and 811 KAR Chapter 1, has integrity and is in proper working order.
- 6)7) Approval of the wagers hereunder is contingent upon receipt by the KHRC of a written opinion satisfactory to the KHRC confirming that the wagers offered constitute pari-mutuel wagering on HHR.

2014 Conditions to conduct historical racing

~~7)8)~~ The KHRC hereby incorporates by reference the Conditions of Racing Association and Off-Track Betting (OTB) Facility Licensing for 2014, as applicable.

~~8)9)~~ Written approval must be received from the KHRC prior to the implementation of any changes to the application submitted to the KHRC.

10) The Association shall contract with a Commission-approved entityies to perform background checks on applicants for employment that the Association determines may hold sensitive problems.positions. Prior to entering into a contract, the Association shall submit to the KHRC for approval the name and information of the entityies it proposes to use to conduct the background checks.

11) The Association shall require all of its employees to display his/hertheir KHRC license badges at -all times while in designated areas offering HHR.

12) The Association shall develop uniform guidelines for logging any and all actions taken at the terminals by employees. The guidelines shall be made available to the Commission upon request.

13) The Association shall not open the designated area and begin to offer HHR to patrons until written approval is provided by the KHRC. Written approval shall not be provided until the KHRC determines, in its sole discretion, that all requirements contained in these conditions, any subsequent conditions, KRS Chapter 230, and 811 KAR Chapter 1 have been met.

~~The Association shall not open the designated area and begin to offer HHR to patrons until written approval is provided by the KHRC. Written approval shall not be provided until the KHRC determines, in its sole discretion, that, any subsequent conditions, KRS Chapter 230, and 810 KAR Chapter 1~~

~~9) The Association shall implement policies regarding whether customers may enter the designated gaming areas while carrying a concealed deadly weapon and post those policies at the entrance(s) to the gaming areas.~~

14) Each Association approved to offer wagering on HHR shall create and hold a non-refundable pool of money (the "Initial Seed Pool") which is earmarked as funding for the initial seed pools for each approved wager in the total amount of \$52,400. The Commission may, in its sole discretion, require additional monies to be added to the Initial Seed Pool in order to comply with the requirements of 811 KAR Chapter 1.

~~10)14) The Initial Seed Pool~~ The Association shall deposit the Initial Seed Pool in a restricted bank account. The Initial Seed Pool shall not be commingled with any other Association funds shall be kept. The Association's Chief Financial Officer shall submit a certification to the KHRC that the Initial Seed Pool shall not be commingled with other Association funds. The Association shall obtain the prior written approval of both the Executive Director and the Supervisor of Pari-mutuel

Wagering prior to any transaction involving the Initial Seed Pool. Such approval may be made electronically. in the Association's vault in a sealed cash bag and shall only be used to prevent the initial seed pool from dropping below \$0./The Initial Seed Pool shall be kept in the Association's account specifically created for the seed pool money. No distributions may be made from the Initial Seed Pool without the approval of the KHRC.

~~14)~~15) The Association shall provide a copy of the monthly balance sheet for the Initial Seed Pool account to the KHRC. If the seal on the cash bag is broken, the Association shall provide a written report to the KHRC documenting the reasons the seal was broken and provide any other documentation requested by the KHRC.

~~12)~~ The Initial Seed Pool shall not be co-mingled with any other Association funds, except as provided in these Conditions, and shall be accounted for on the Association's daily balance sheet.

~~13)~~16) An Association's Initial Seed Pool shall be pooled with the Initial Seed Pools from other Associations offering wagering on HHR, ~~which the~~ total amount of which shall comprise the "Grand Seed Pool Reserve."

~~14)~~17) The Association shall provide the KHRC with a daily balance sheet, including the Initial Seed Pool, and shall provide access to any and all documentation regarding the Initial Seed Pool and the Initial Seed Pool account upon request. ~~permit the KHRC to conduct spot checks of the vault at its discretion.~~

~~15)~~18) At the beginning of each day, the Associations shall provide the KHRC with a report showing the end-of-day values from the preceding day for the seed pool corresponding to each wager.

~~16)~~19) The Association shall require the totalizator company to notify the designated KHRC staff if the Grand Seed Pool Reserve reaches the alert level as defined by the KHRC.

~~17)~~20) If, at any time, the Grand Seed Pool Reserve is depleted by ninety percent (90%), the Associations are required to immediately take action to increase the Grand Seed Pool Reserve to thirty percent (30%).

21) The KHRC's independent testing laboratory may, at the sole discretion of the KHRC, shall conduct a simulation of game play to determine ~~whether~~ the parameters of the seed pools related to each individual wager ~~have been set at the appropriate levels~~ so that the KHRC may determine the appropriate levels.

~~18)~~22) If the KHRC determines that the Grand Seed Pool Reserve is not properly funded it may require the Association to upwardly adjust the parameters of the Grand Seed Pool Reserve to ensure that it is properly funded.

2014 Conditions to conduct historical racing

| ~~19)23)~~ Additional conditions may be added at any time at the discretion of the KHRC.

| ~~20)24)~~ In the event the Association does not comply with the requirements of these conditions, the KHRC has the authority and will assess fines and/or penalties and may revoke approval for conducting wagering on HHR.