

# Kentucky Horse Racing Commission



July 17, 2013

**KENTUCKY HORSE RACING COMMISSION**

**JULY 17, 2013**

**AGENDA**

**Location: Capitol Annex, Room 129  
702 Capitol Avenue  
Frankfort, KY**

**Time: 1:30pm**

**I. Call to order and roll call**

**II. New Business**

- 1. Approve minutes of June 5, 2013 Commission Meeting.**
- 2. Approve Racing Medication and Testing Consortium Membership.**
- 3. Approve Rapid Response Substance Testing Program.**
- 4. Amendments to 811 KAR 1:215. Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund.**
- 5. Amendments to 811 KAR 1:220. Harness racing at county fairs.**
- 6. Approve Churchill Downs' Roster of Racing Officials for the 2013 Fall Meet.**
- 7. Approve Kentucky Downs' Roster of Racing Officials for the 2013 Meet.**
- 8. Approve The Red Mile's Roster of Racing Officials for the 2013 Meet.**
- 9. Approve The Red Mile's request to approve wagering format.**
- 10. Approve The Red Mile's request to offer superfecta with carryover wager.**
- 11. Reports**
  - a. Thoroughbred Rulings**
  - b. Standardbred Rulings**
  - c. Veterinary Division**
  - d. Pari-Mutuel Wagering Division**
  - e. Regulations and Litigation**

**III. Other Business**

- 1. Report from Chris Clark, Director of Enforcement**

**IV. Executive Session**

**V. Adjournment**

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

## PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.  
CHAIRMAN

### KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**TO:** Kentucky Horse Racing Commission

**FROM:** Mary Scollay, Equine Medical Director

**DATE:** June 13, 2013

**RE:** EDRC Acceptance of position on RMTC's Board of Directors and Finance Committee

---

The Board of the Racing Medication and Testing Consortium ("RMTC") has recently offered the EDRC a position on its Board of Directors and on its Finance Committee. Board member organizations are encouraged to support the RMTC through financial contributions, but there are no financial requirements associated with Board membership. Membership on the Finance Committee requires an annual dues payment of \$15,000.00.

The EDRC approved acceptance of a position on both the Board of Directors and Finance Committee and also approved expenditure of \$15,000 annually for dues. The EDRC also voted to recommend that the Equine Medical Director serve as the EDRC representative on both the Board of Directors and Finance Committee. In the event that the Equine Medical Director is unavailable to attend a meeting, the EDRC recommended the Executive Director attend in her absence.

### **BACKGROUND**

Based in Lexington, Kentucky, the RMTC is a national organization dedicated to the development, promotion and coordination of policies, research and educational programs that seek to ensure the fairness and integrity of horse racing and the health and welfare of racehorses and its participants at the national level. It is governed by a Board of Directors, which currently consists of the following racing industry stakeholder groups:

American Association of Equine Practitioners; American Quarter Horse Association ("AQHA"); Arabian Jockey Club; Breeders' Cup Ltd.; California Thoroughbred Trainers;



Churchill Downs Inc.; Del Mar Thoroughbred Club; Harness Tracks of America; Jockeys' Guild; Keeneland Association; Kentucky Thoroughbred Association; National Horsemen's Benevolent and Protective Association; National Thoroughbred Racing Association; New York Racing Association ("NYRA"); Oak Tree Racing Association; Racing Commissioners International; The Jockey Club; The Hambletonian Society; The Stronach Group; Thoroughbred Horsemen's Associations; Thoroughbred Owners

and Breeders Association; Thoroughbred Owners of California; Thoroughbred Racing Associations; Thoroughbred Racing Protective Bureau; and United States Trotting Association ("USTA").

Through the collaborative effort of these industry groups, the RMTC works to:

- Develop and recommend uniform model policies, prohibited practices, disciplinary procedures and penalties regarding the use of medications and methods in connection with selling, training and racing horses, while respecting the autonomy and authority of individual state racing commissions and the work of national regulatory organizations.
- Develop a strong working relationship with individual state racing commissions, through their national regulatory organizations, by becoming a respected source of unbiased information on medication, testing and research.
- Stimulate, foster and seek proposals for new research into substances and methods being used to enhance or diminish athletic performance and devise appropriate analytical techniques for their detection.
- Define clearly the legitimate, therapeutic use of medications and/or methods and draw up reasonable guidelines for their use.
- Develop and recommend uniform laboratory technical standards and procedures with regard to analytical methods and equipment.
- Establish one or more working laboratories as "reference laboratories" for the purpose of overseeing the above-mentioned standards, validating new analytical techniques, developing reference samples, and ensuring quality control and good laboratory practice.
- Study the relationship of security issues to the control of drugs and medications in horses, develop and disseminate effective security measures that reduce or close off the opportunity for improper pre-race practices and work with state and federal regulatory agencies to prevent the purchase and/or sale of illegal or forbidden drugs.
- Develop and implement educational programs for those industry stakeholder groups responsible for maintaining the integrity of the sport.
- Disseminate accurate and timely information to all stakeholder groups and the racing press via multiple communication channels.
- Participate with other organizations, both nationally and internationally, to accomplish its mission.

The Finance Committee is a subcommittee of the Board responsible for oversight over budgeting, funding of research proposals, tactical research and other major expenditures of the RMTC. The Finance Committee's members currently include The Jockey Club, AQHA, USTA, the Hambletonian Society, Thoroughbred Owners of California, Thoroughbred Horsemen's Association, NYRA and the Stronach Group.

The EDRC's acceptance of these positions will make it the only governmental regulatory entity on the Committee and the only entity on the Board that represents solely the interests of Kentucky. The benefits of these RMTC memberships is therefore consistent with the EDRC's mandate to review and report to the KHRC on drug research and testing research being conducted with state funds or elsewhere, and to make recommendations for establishing an effective drug regulatory policy for Kentucky racing. KRS 230.265(2). In turn, the EDRC's active participation in the RMTC is consistent with the KHRC's mandate to develop and support programs which ensure that Kentucky remains in the forefront of equine research. KRS 230.225(5).

Approval is recommended.

**COMMISSION ACTION**

\_\_\_\_\_ Approved

\_\_\_\_\_ Denied

\_\_\_\_\_ Deferred

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

## PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.  
CHAIRMAN

### KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**TO:** COMMISSION MEMBERS

**FROM:** Jamie H. Eads  
Director, Division of Incentives and Development

**DATE:** June 12, 2013

**SUBJECT:** Amendments to 811 KAR 1:215. Standardbred Development Fund and Standardbred Breeders' Incentive Fund.

---

Please find attached a marked version of 811 KAR 1:215 (Standardbred Development Fund and Standardbred Breeders' Incentive Fund) showing amendments that were approved by the Standardbred Advisory Panel at its public meeting held on May 23, 2013. Among the other changes to the regulation, the Panel approved addressing both funds in one regulation. Underlined text in the attached is new language, and text that is crossed out is deleted language. Following is a summary of the substantive amendments approved by the Panel:

#### I. Section 1. Definitions.

- Include definition of "Kentucky-bred" as a standardbred horse that is foaled out of a standardbred mare that is registered with the Commission and a resident of Kentucky and sired by a standardbred stallion that meets the requirements of the regulation.
- Include definition of "stallion residing in Kentucky" to mean a stallion that is physically located in Kentucky for 180 days of the calendar year in which the stallion is registered that does not service mares in other states, jurisdictions, or countries.

#### II. Section 2.

The section amends eligibility requirements to enable more people to participate in the funds and help make Kentucky's funds more competitive with other states. This section provides the registration requirements for stallions and mares.

- Stallions residing in Kentucky must be registered with the Commission by December 31<sup>st</sup> of the year of conception of the horse sought to be eligible. Stallions not residing in Kentucky do not have to register with the Commission. All stallions, whether residing in Kentucky or not, must be registered with the USTA, Standardbred Canada, or other appropriate international harness racing governing agency.
- In order to be eligible, a mare shall have resided in Kentucky for a period of not less than 180 consecutive days of the calendar year of conception of the horse sought to be eligible. All mares must be registered with the Commission by December 31<sup>st</sup> of the year of conception of the horse sought to be eligible. All mares must also be registered with the USTA, Standardbred Canada, or other appropriate international harness racing governing agency.
- All registrations must be either received by the Commission or postmarked by the deadline in order to be eligible.

**III. Section 3. Eligibility.**

This section provides that in order to qualify for the Kentucky Sire Stakes, a foal shall be a two or three year old Kentucky-bred.

**IV. Section 18.**

This section provides the purse distribution for races. It also establishes incentives for the owners of stallions residing in Kentucky that sired the first, second, or third place finishers.

**V. Section 26.**

This section provides nomination fees.

- The nomination fee for yearlings sired by a stallion residing in Kentucky is \$40. The fee is \$140 for yearlings sired by a stallion not residing in Kentucky. If the fee is not paid during the yearling year, the horse can still be nominated by payment of a \$500 fee by March 15 of the horse's two year old year for horses sired by a stallion residing in Kentucky. The fee \$600 for horses sired by a stallion not residing in Kentucky.

The other changes to the regulation are not substantive in nature.

Approval is recommended.

**COMMISSION ACTION**

\_\_\_\_\_ Approved

\_\_\_\_\_ Denied

\_\_\_\_\_ Deferred



**1700 Alexandria Drive, Suite 4  
Lexington, KY 40504  
(859) 585-0505**

June 20, 2013

To Whom It May Concern,

Given the current circumstances within our industry, it should be noted that the Kentucky Harness Horsemen's Association unequivocally supports the proposed changes to the following Kentucky Administrative Regulations:

Horse Racing at County Fairs: 811 KAR 1:220

Standardbred Development Fund and Standardbred Breeders' Incentive Fund (funds KYSS): 811 KAR 1:215

We believe that the proposed changes will promote the growth and sustainability of our industry in the state of Kentucky.

Please feel free to contact me with any further questions or concerns.

Sincerely,

Gabe Prewitt  
Executive Secretary  
Kentucky Harness Horsemen's Association  
(859) 585-0505



1 PUBLIC PROTECTION CABINET

2 KENTUCKY HORSE RACING COMMISSION

3 (Amendment)

4 **811 KAR 1:215. Kentucky Standardbred Development Fund and Kentucky Standardbred**  
5 **Breeders' Incentive Fund.**

6 RELATES TO: KRS 230.215, 230.260, 230.770, 230.802, 230.990~~[, EO-2008-668]~~

7 STATUTORY AUTHORITY: KRS 230.215(2), 230.260(3), 230.770(1), (5), (6)~~[, EO-2008-668]~~

8 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) and 230.260(3) authorize the  
9 commission~~[Authority]~~ to promulgate administrative regulations prescribing the conditions  
10 under which horse racing shall be conducted in Kentucky. KRS 230.770(1) establishes the  
11 Kentucky standardbred~~[, quarter horse, Appaloosa, and Arabian]~~ development fund. KRS  
12 230.770(5) and (6) authorize the commission~~[Authority]~~ to promulgate administrative  
13 regulations establishing the eligibility of horses participating in races for which a portion of the  
14 purse is provided by the Kentucky Standardbred Development Fund and the conditions, class,  
15 and quality of the races. KRS 230.802(1) establishes the Kentucky standardbred breeders'  
16 incentive fund. KRS 230.802(2)(b) authorizes the commission to promulgate administrative  
17 regulations establishing the conditions and criteria for the distribution of moneys from the  
18 fund.~~[EO-2008-668, effective July 3, 2008, established the Kentucky Horse Racing Commission~~  
19 ~~and transferred all authority, function, and responsibilities of the Kentucky Horse Racing~~  
20 ~~Authority to the Commission.]~~ This administrative regulation establishes eligibility standards,

1 administrative practices to enforce the standards, criteria for the distribution of moneys from  
2 these funds, mandatory criteria for races, and the administration of purses and payments in  
3 these races.

4 Section 1. Definitions. (1) "Commission" means the Kentucky Horse Racing Commission.

5 (2) "Final" means the race following a series of preliminary legs established to determine  
6 the divisional champion of each racing division of the Sires Stakes Program.

7 (3) "Kentucky-bred" means, for the purposes of this administrative regulation, a  
8 standardbred horse that is: (a) foaled out of a standardbred mare that is registered with the  
9 commission and is a resident of Kentucky as provided in this administrative regulation; and (b)  
10 sired by a standardbred stallion that meets the requirements of this administrative regulation.

11 (4)[(2)] "Kentucky Sires Stakes" means the series of races held annually in Kentucky for  
12 two (2) and three (3) year old Kentucky-bred[year-old] fillies and colts, both trotting and  
13 pacing,[sired by standardbred stallions standing within Kentucky at the time of conception] and  
14 funded in whole or in part by the Kentucky Standardbred Development Fund or the Kentucky  
15 Standardbred Breeders' Incentive Fund.

16 (5) "Kentucky Standardbred Breeders' Incentive Fund" means the trust and revolving  
17 fund as set out in KRS 230.802.

18 (6)[(3)] "Kentucky Standardbred Development Fund" means the trust and revolving fund  
19 as set out in KRS 230.770.

20 (7) "KSBI" means the Kentucky Standardbred Breeders' Incentive Fund.

21 (8)[(4)] "KSDF" means the Kentucky Standardbred Development Fund.

1           (9) “Stallion residing in Kentucky” means a stallion physically located and standing in  
2 Kentucky for one hundred eighty (180) days of the calendar year in which the stallion is  
3 registered that does not service mares in any other state, jurisdiction or country outside of  
4 Kentucky during the calendar year in which the stallion is registered.

5           (10) “USTA” means the United States Trotting Association.

6           Section 2. (1) An owner, lessee, stallion manager, or syndicate manager of a  
7 standardbred stallion residing in Kentucky who desires to use the stallion~~[him]~~ for breeding  
8 purposes and to have his progeny eligible~~[him—qualify]~~ for the KSDF or KSBIF~~[Kentucky~~  
9 ~~Standardbred Development Fund]~~ shall register the stallion with the commission by December  
10 31<sup>st</sup> of the year of conception of the horse sought to be eligible~~[by July 1 of the breeding season~~  
11 ~~with the Kentucky Horse Racing Commission]~~. Standardbred stallions not residing in Kentucky  
12 do not need to register with the commission.

13           (2) All standardbred stallions must be registered with the USTA, Standardbred Canada,  
14 or other appropriate international harness racing governing agency whether residing in  
15 Kentucky or not.

16           (a) A standardbred

17           ~~[(2) A standardbred stallion that has never sired a foal Shall be registered in any~~  
18 ~~breeding season prior to his first breeding.~~

19           ~~(3) A~~ stallion shall be registered on the Standardbred Stallion Certificate, KHRC 215-2  
20 (7/13)~~[KHRA 300-2 (8/06)]~~.

21           (b)~~[(4)]~~ A standardbred stallion that satisfies the provisions of this section shall be  
22 considered a registered stallion for purposes of this administrative regulation.

1 (3) An owner, lessee, manager, or syndicate manager of a standardbred mare who  
2 desires to use the mare for breeding purposes and to have her progeny eligible for the KSDF or  
3 KSBIIF shall register the mare by December 31<sup>st</sup> of the year of conception of the horse sought to  
4 be eligible.

5 (a) In order to be eligible for registration, the mare shall be registered with the USTA,  
6 Standardbred Canada, or other appropriate international harness racing governing agency and  
7 shall have resided in Kentucky for a period of no less than one hundred and eighty (180)  
8 consecutive days in the calendar year of conception of the horse sought to be eligible.

9 (b) The mare shall be registered on the Standardbred Mare Certificate, KHRC 215-4  
10 (7/13).

11 (c) A standardbred mare that satisfies the provisions of this section shall be considered a  
12 registered mare for purposes of this administrative regulation.

13 (4) Registrations must be physically received by the commission by the close of business  
14 or postmarked on the deadline established in this section in order to be eligible.

15 ~~[Section 3. Initial Registration Fees. (1) The following initial registration fees shall be~~  
16 ~~paid:~~

17 ~~(a) The sire registration fee for a stallion with an annual book of twenty five (25) or~~  
18 ~~more mares shall be one (1) full advertised stud fee.~~

19 ~~(b) The sire registration fee for a stallion with an annual book of twenty four (24) mares~~  
20 ~~or less shall be twenty (20) percent of the advertised stud fee or a minimum of \$200; and~~

21 ~~(c) The registration fee for a stallion standing at Private Treaty shall be the average stud~~  
22 ~~fee charged for all cash breeding agreements for the breeding season.~~

1           ~~(2) The annual stallion registration fee shall follow the gait of the stallion.~~

2           ~~(3) Stallion fees shall be due on or before October 1 of the year nominated to the KSDF.~~

3           ~~(4) If a stallion was nominated to the KSDF, the stallion fee shall be paid on or before the~~  
4 ~~October 1 deadline regardless of whether mares are bred to the nominated stallion.~~

5           ~~(5) At the end of a breeding season, the owner or lessee of a stallion standing at Private~~  
6 ~~Treaty shall submit to the Commission a list of mares bred and prices charged.~~

7           ~~Section 4. Registration Renewal Fees. (1) The registration of a stallion that remains in~~  
8 ~~the state for more than one (1) breeding season shall be renewed annually.~~

9           ~~(2) The "Standardbred Stallion Certification of Eligibility Renewal", (12/06) form shall be~~  
10 ~~filed by July 1 of the breeding season.~~

11           ~~(3) The annual renewal fee for registration of stallions to the Kentucky Standardbred~~  
12 ~~Development Fund shall be:~~

13           ~~(a) One (1) full advertised stud fee for a stallion with an annual book of twenty-five (25)~~  
14 ~~or more mares; and~~

15           ~~(b) Twenty (20) percent of the stud fee or a minimum of \$200 for a stallion with an~~  
16 ~~annual book of twenty-four (24) or less mares.~~

17           ~~(4) The annual stallion renewal fee shall follow the gait of the stallion.~~

18           ~~(5) Stallion fees shall be due on or before October 1 of the year the renewal form is~~  
19 ~~filed.~~

20           ~~(6) If a stallion was nominated to the KSDF, the renewal fee shall be paid on or before~~  
21 ~~the October 1 deadline regardless of whether mares were bred to the nominated stallion.~~

1           Section 5. ~~An owner, lessee, stallion manager or syndicate manager of a standardbred~~  
2 ~~stallion registered with the Commission shall, by October 1 of each calendar year, submit the~~  
3 ~~stallion registration fee, as set forth in Sections 3 and 4 of this administrative regulation, and a~~  
4 ~~report of each stallion, listing the mares bred by each stallion during the preceding twelve (12)~~  
5 ~~months.]~~

6           Section 3[6]. (1) In order to qualify for the Kentucky Sire[Sires] Stakes, a foal shall be a  
7 two (2) or three (3) year old Kentucky-bred~~[the product of the mating of a mare with a~~  
8 ~~Kentucky-registered and resident stallion].~~

9           (2) Only a foal that is~~[(a) Foals, other than]~~ the first born to a mare (donor or recipient)  
10 in each calendar year produced by any method, including embryo/ovum transplant (ET),  
11 shall~~[not]~~ be eligible for harness racing in Kentucky. This rule shall not apply to natural birth  
12 twins produced from the same pregnancy and foaling by the natural, non-recipient mare.

13           ~~[(b) This rule shall not apply to natural birth twins produced from the same pregnancy~~  
14 ~~and foaling by the natural, nonrecipient mare.]~~

15           (3) Any future offspring of foals ineligible for racing under this section shall be  
16 ineligible~~[not be eligible]~~ for harness racing in Kentucky.

17           Section 4[7]. (1) If the commission~~[Commission]~~ determines that a registration is  
18 incorrect, or an application for registration, renewal of registration, or transfer of a registered  
19 stallion or mare contains false or misleading information, or that an owner, lessee, stallion  
20 manager, manager, or syndicate manager of a registered stallion or registered mare has  
21 failed~~[fails]~~ to furnish information the commission~~[Commission]~~ has requested relating to the  
22 registration or renewal of a stallion or mare, the commission~~[Commission]~~ shall:

1 (a) Temporarily suspend or deny the registration of the stallion or mare; and

2 (b) Summon the person who committed a violation listed in this subsection, and any  
3 person who has knowledge relating to the violation, to appear before the  
4 commission~~[Commission]~~ at a hearing pursuant to 811 KAR 1:105.

5 (2) After the hearing, the commission~~[Commission]~~ shall determine whether the  
6 violation was willful.

7 (a) If the commission~~[Commission]~~ finds the violation was willful, the  
8 commission~~[Commission]~~ shall do one (1) or more of the following, based on the degree of  
9 seriousness of the willful violation:

10 1. Deny~~[Officially deny]~~ the registration;

11 2. Suspend~~[Officially suspend]~~ the registration;

12 3. Revoke~~[Officially revoke]~~ the registration; or

13 4. Bar the owner, lessee, stallion manager, manager, or syndicate manager who willfully  
14 committed the violation from further registering stallions or mares to the KSDF and KSBIF.

15 (b) If the commission~~[Commission]~~ finds the violation was not willful, the  
16 commission~~[Commission]~~ shall rescind the temporary denial, suspension, or  
17 revocation~~[suspension or denial]~~ of the registration.

18 (3) If a person summoned by the commission~~[Commission]~~ fails to respond to the  
19 summons, the commission~~[Commission]~~:

20 (a) Shall suspend or deny the registration~~[-of the stallion]~~;

21 (b) Shall notify the person in writing of the action taken by the commission~~[Authority]~~;

22 and

1 (c) May bar the owner, lessee, stallion manager, manager, or syndicate manager who  
2 committed the violation from further registering stallions or mares to the KSDF and  
3 KSBIFF,~~[Program,~~] based on the degree of seriousness of the violation.

4 Section 5[~~8~~]. An owner, lessee, stallion manager, manager, or syndicate manager of a  
5 stallion or mare eligible for the KSDF and KSBIFF~~[Kentucky Standardbred Development Fund]~~  
6 shall be responsible for:

7 (1) The registrations and records of the farm; and

8 (2) Complying with the requirements of the KSDF and KSBIFF~~[Kentucky Standardbred~~  
9 ~~Development Fund]~~.

10 ~~[Section 9. (1) An owner, lessee, stallion manager or syndicate manager of a stallion~~  
11 ~~used for breeding purposes to produce horses eligible for racing in Kentucky may only issue one~~  
12 ~~(1) mating certificate required to register the first born foal produced by a mare in each~~  
13 ~~calendar year, except for natural birth twins produced from the same pregnancy and foaling by~~  
14 ~~the natural, nonrecipient mare.~~

15 ~~—(2) If it has been determined that an owner, lessee, stallion manager or syndicate manager~~  
16 ~~of the stallion has issued a mating certificate for a foal other than the first born to a mare~~  
17 ~~(donor or recipient), all foals produced by the mare (donor or recipient) in the applicable~~  
18 ~~calendar year shall be ineligible for racing in Kentucky.~~

19 ~~Section 10. The Standardbred Authorized Agent Form, KHRA 300-3 shall be filed with the~~  
20 ~~stallion registration.]~~

21 Section 6[~~11~~]. Kentucky Sires Stakes races in which any part of the purse is provided by  
22 the KSDF or KSBIFF~~[Kentucky Standardbred Development Fund]~~, the requirements for which

1        races are established in Sections 7[~~12~~] through 27[~~33~~] of this administrative regulation, shall be  
2 subject to the provisions of KAR Title 811, [~~811-KAR~~] Chapter 1.

3           Section 7[~~12~~]. A participant in a[~~the~~] Kentucky Sires Stakes race shall:

4           (1) Be a two (2) or three (3) year old Kentucky-bred[~~the product of the mating of a mare~~  
5 ~~with a Kentucky registered and resident stallion~~]; and

6           (2) Maintain eligibility for the KSDF and KSBIF[~~Kentucky Standardbred Development~~  
7 ~~Fund~~].

8           Section 8[~~13~~]. Each race shall be a one (1) mile dash.

9           Section 9[~~14~~]. Post positions for the final and all preliminary legs shall be an open draw  
10 with two (2) "also eligibles" drawn for the final race.

11           Section 10[~~15~~]. Eligibility for the Final. (1) A horse that does not start in at least one (1)  
12 of the preliminary legs scheduled shall not be eligible for the final.

13           (2) A horse that enters a preliminary leg that does not fill and is not raced shall receive  
14 credit toward fulfilling the minimum starting requirements set forth in subsection (1) of this  
15 section and toward determining tiebreaker status as set forth in subsection (5)(b) of this  
16 section.

17           (3) A horse that has been scratched from an event that is raced shall not receive credit  
18 toward meeting the starting requirements set forth in subsection (1) of this section.

19           (4) A horse, in order to start in the final, shall be declared at the host track where the  
20 race is being held on or before the time posted on the track condition sheet.

21           (5)(a) If the number of horses eligible and declared into any final event exceeds the  
22 maximum number specified by the KSDF or KSBIF[~~Kentucky Standardbred Development Fund~~]

1 or the number of positions on the starting gate, the following point system as applied to KSDF  
2 preliminary legs shall determine preference for the final:

- 3 1. 1st place - fifty (50) points;
- 4 2. 2nd place - twenty-five (25) points;
- 5 3. 3rd place - twelve (12) points;
- 6 4. 4th place - eight (8) points;
- 7 5. 5th place - five (5) points;
- 8 6. 6th place and all other starters - one (1) point; and
- 9 7. A horse finishing in a dead heat for any position in a preliminary leg shall be awarded  
10 an equal share of the total number of points awarded for that position.

11 (b) If there is a tie among horses after the awarding of points pursuant to subsection (5)  
12 of this section, there shall be a drawing by lot among those horses tied in total points to  
13 determine which horses shall be included in the final field.

14 (c) If a horse that is qualified for the final is not declared, the horse with the next highest  
15 point total, pursuant to subsection (5) of this section, that is declared shall be eligible for the  
16 final.

17 (6) Also eligibles.

18 (a) The two (2) horses accumulating the highest point total, pursuant to subsection (5)  
19 of this section, that are declared into the final, but do not qualify for the final, shall be  
20 designated "also eligible". The horse with the highest point total from the preliminary legs shall  
21 be designated as the "first also eligible" and the horse with the next highest point total shall be  
22 designated as the "second also eligible".

1 (b) A horse that is scratched in the final shall be replaced by the "first also eligible" and  
2 then the "second also eligible", if necessary.

3 1. If post positions have not been drawn at the time of the scratch, the "also eligible"  
4 shall take the place of the horse that has been scratched and shall participate in the normal  
5 draw.

6 2. If post positions have been drawn at the time of the scratch, the "also eligible" shall  
7 assume the post position of the horse that has been scratched.

8 3. A horse shall not be moved into the final as a replacement after the official scratch  
9 time deadline that is in effect at the host track.

10 Section 11[~~16~~]. The judges' "official order of finish" shall be used in determining  
11 eligibility to the final exclusive of all appeals yet to be decided at the time of closing of the entry  
12 box for final events.

13 Section 12[~~17~~]. All starters shall be subject to the detention policy of the racetrack.

14 Section 13[~~18~~]. (1) There shall not be more than:

15 (a) Ten (10) starters in each final race on a mile track; and

16 (b) Eight (8) horses on a one-half (1/2) or five-eighths (5/8) mile track.

17 (2) All horses shall be on the gate for the final race.

18 Section 14[~~19~~]. (1) For each horse declared to race in a preliminary leg, there shall be a  
19 declaration fee of \$500. If a preliminary leg splits into two or more divisions, the declaration fee  
20 shall be \$500 per division. For each horse declared to race in the final, there shall be a  
21 declaration fee of one (1) percent of the total purse.

1 (2) The declaration fee shall be due to the racing association at the time of declaration  
2 and payable one (1) hour prior to post time of the race.

3 (3) Purses for the KSDF and KSBIF~~[Kentucky Standardbred Development Fund]~~ shall  
4 consist of money from:

5 (a) Nominating fees;

6 (b) Sustaining fees;

7 ~~[(c) Stallion fees;]~~

8 (c)~~[(d)]~~ Declaration fees; and

9 (d)~~[(e)]~~ Added money from the Commonwealth of Kentucky.

10 (4)(a) Distribution of revenue for Kentucky Sires Stakes races shall be reviewed and  
11 addressed annually, not later than December 15 of each calendar year, by an advisory panel  
12 consisting of at least one (1) representative from each of the following:

13 1. The commission~~[Kentucky Horse Racing Commission]~~;

14 2. The Kentucky Harness Horseman's Association;

15 3. The host racetrack;

16 4. The Kentucky Standardbred Breeders Association and any other recognized  
17 standardbred breeding association organized in Kentucky; and

18 5. The owner of a stallion registered to the KSDF and KSBIF~~[Kentucky Standardbred  
19 Development Fund]~~.

20 (b) The final determination regarding distribution of revenue shall be made by the  
21 commission~~[Kentucky Horse Racing Commission]~~.

1 Section 15[20]. (1) The total number of horses entered shall determine the number of  
2 divisions of the preliminary legs that shall be required.

3 (2) Preliminary legs shall be split into divisions as follows:

4 (a) One (1) mile track:

5 1. Twelve (12) horses or less entered - one (1) division race.

6 2. Thirteen (13) to twenty (20) horses entered - two (2) divisions.

7 3. Twenty-one (21) to thirty (30) horses entered - three (3) divisions.

8 4. Thirty-one (31) to forty (40) horses entered - four (4) divisions.

9 5. Forty-one (41) to fifty (50) horses entered - five (5) divisions.

10 6. Fifty-one (51) to sixty (60) horses entered - six (6) divisions.

11 7. If the need exists for seven (7) or more divisions of preliminary legs, [eliminations,]  
12 eligibility to the final shall be determined in a manner consistent with the published conditions.

13 (b) One-half (1/2) and five-eighths (5/8) mile track:

14 1. Nine (9) to ten (10) horses entered - one (1) division.

15 2. Eleven (11) to sixteen (16) horses entered - two (2) divisions.

16 3. Seventeen (17) to twenty-four (24) horses entered - three (3) divisions.

17 4. Twenty-five (25) to thirty-two (32) horses entered - four (4) divisions.

18 5. Thirty-three (33) to forty (40) horses entered - five (5) divisions.

19 6. Forty-one (41) to forty-eight (48) horses entered - six (6) divisions.

20 7. If the need exists for seven (7) or more divisions, eligibility to the final shall be  
21 determined in a manner consistent with the published conditions.

1 Section ~~16~~[21]. (1) Gait shall be specified by the owner of the horse, by the first two (2)  
2 year old payment.

3 (2) Change of gait:

4 (a) May be made at the time of declaration at the track; and

5 (b) Sustaining payments shall remain in the funds of the original gait specified.

6 (3) A horse shall not race on both gaits in the same year.

7 Section ~~17~~[22]. A race shall be raced in separate divisions as follows:

8 (1) Colt/gelding/ridgeling divisions; and

9 (2) Filly divisions.

10 Section ~~18~~[23]. (1) The purses awarded for all races shall be distributed on the following  
11 percentage basis:

12 (a) 50-25-12-8-5: five (5) starters or more;

13 (b) 50-25-15-10: four (4) starters;

14 (c) 60-30-10: three (3) starters;

15 (d) 65-35; two (2) starters; and

16 (e) 100: one (1) starter.

17 (2) The percentage basis established by subsection (1) of this section shall apply at each  
18 of the Kentucky pari-mutuel tracks.

19 (3) In addition to the purses set forth in subsection (1) of this section, \$25,000 shall be  
20 awarded in each division of the finals to the owner of the stallion(s) residing in Kentucky that  
21 sired the first, second or third place finisher, as follows:

22 (a) First place: \$15,000;

1           (b) Second place: \$7,500; and

2           (c) Third place: \$2,500.

3           Section 19[24]. (1) If circumstances prevent the racing of an event, and the race is not  
4 drawn, all funds that have been allocated to the division in each of the preliminary legs or the  
5 final shall be refunded and pro-rated to the owners of the horses eligible at the time of  
6 cancellation.

7           (2) The eligible horses shall include only horses that made the payments required by Section  
8 25[30] of this administrative regulation.

9           (3) The added monies provided by the Commonwealth of Kentucky for use in the KSDF and  
10 KSBI[Kentucky Standardbred Development Fund] shall be disbursed by December 15 of each  
11 calendar year in accordance with the formula created by the panel as set out in Section  
12 14(4)[19(4)] of this administrative regulation.

13           Section 20[25]. Starters shall declare in at each track on or before the time specified and  
14 advertised by the association conducting the event.

15           Section 21[26]. (1) Any horse declared into Kentucky Sires Stakes races shall:

16           (a) Show at least one (1) charted race line with no breaks within thirty (30) days prior to  
17 the day of the race; and

18           (b) Have satisfied the following time requirements:

19           1. On a track larger than five-eighths (5/8) of a mile:

20           a. A two (2) year old trotter shall have been timed in 2:08 or faster;

21           b.[b] A two (2) year old pacer shall have been timed in 2:06 or faster;

22           c.[c] A three (3) year old trotter shall have been timed in 2:04 or faster; and

1 d.[d] A three (3) year old pacer shall have been timed in 2:02 or faster.

2 2. On a five-eighths (5/8) mile track:

3 a. A two (2) year old~~[year-old]~~ trotter shall have been timed in 2:09 or faster;

4 b. A two (2) year old~~[year-old]~~ pacer shall have been timed in 2:07 or faster;

5 c. A three (3) year old~~[year-old]~~ trotter shall have been timed in 2:05 or faster; and

6 d. A three (3) year old~~[year-old]~~ pacer shall have been timed in 2:03 or faster.

7 3. On a one-half (1/2) mile track:

8 a. A two (2) year old~~[year-old]~~ trotter shall have been timed in 2:10 or faster;

9 b. A two (2) year old~~[year-old]~~ pacer shall have been timed in 2:08 or faster;

10 c. A three (3) year old~~[year-old]~~ trotter shall have been timed in 2:06 or faster; and

11 d. A three (3) year old~~[year-old]~~ pacer shall have been timed in 2:04 or faster.

12 (2) A horse shall be scratched from a race if the person declaring the horse has failed to  
13 advise the race secretary of a start that is not reflected on the electronic eligibilities.

14 (3) The requirements of this section shall apply both to wagering and nonwagering  
15 races.

16 Section 22~~[27]~~. (1) At a scheduled meeting of the commission~~[Commission]~~, the  
17 commission~~[Commission]~~:

18 (a) Shall establish the distribution of funds for stakes races for the upcoming year; and

19 (b) Shall authorize expenditures at a time it designates.

20 (2) The racing dates for KSDF and KSBI stakes shall be issued after the track has  
21 established its race dates.

1 Section ~~23~~<sup>28</sup>. The ~~KSDF or KSBIF~~<sup>[Kentucky Standardbred Development Fund]</sup> shall  
2 provide a trophy for each event, and the program that provides the trophy shall purchase the  
3 trophy out of its fund~~[which will be paid out of KSDF funds]~~.

4 Section ~~24~~<sup>29</sup>. (1) After payment of the nomination fee, foals shall remain eligible for  
5 events each year by making the required sustaining and declaration payments for that year. The  
6 Kentucky Sire Stakes Nomination Form, KHRC 215-1 (7/13)~~[KHRA 300-1]~~ shall be filed with the  
7 commission~~[Commission]~~ along with the nomination and sustaining fees.

8 (2) The two (2) year old March 15 payment shall be made in order to remain eligible to  
9 the KSDF and KSBIF as a three (3) year old.

10 Section ~~25~~<sup>30</sup>. Nomination and sustaining payments shall be made to the  
11 ~~KSDF~~<sup>[Kentucky Standardbred Development Fund]</sup> in U.S. funds by a money order or a check  
12 drawn on a U.S. bank account.

13 Section ~~26~~<sup>31</sup>. (1) Yearlings shall be nominated by May 15 of their yearling year, except  
14 as provided in subsection (4) of this section.

15 (2) For yearlings sired by a standardbred stallion residing in Kentucky and registered  
16 with the KSDF and KSBIF, the~~[The]~~ nomination fee shall be ~~\$40~~<sup>forty (40) dollars</sup> per yearling.  
17 For yearlings sired by a standardbred stallion not residing in Kentucky, the nomination fee shall  
18 be \$140 per yearling.

19 (3) Nominated horses shall be registered with the USTA, [A nomination shall be  
20 accompanied by a photocopy of the United States Trotting Association or]~~Standardbred~~  
21 Canada, or other appropriate international harness racing governing agency and must be

1 properly identified to the satisfaction of the commission at the time of the  
2 nomination~~[registration certificate]~~.

3 (4) If a horse is not nominated during its yearling year, the horse may be nominated  
4 prior to March 15 of its two (2) year old year if:

5 (a) For horses sired by a standardbred stallion residing in Kentucky and registered with  
6 the KSDF and KSBIF, a nomination fee~~[A supplemental payment]~~ of \$500 is made by March 15  
7 of the horse's two (2) year old year, along with the sustaining payment required in subsection  
8 (5)(a) of this section; or~~[-and]~~

9 (b) For horses sired by a standardbred stallion not residing in Kentucky, a nomination  
10 fee of \$600 is made by March 15 of the horse's two (2) year old year, along with the sustaining  
11 payment required in subsection (5)(a) of this section.~~[The two (2) year old payment due by~~  
12 ~~March 15, as provided in subsection (5)(a) of this section, is also made.]~~

13 (5) Sustaining payments shall be as follows:

(a) TWO (2) YEAR OLD PAYMENTS	
March 15	\$300
April 15	\$300
May 15	\$300
March 15 payment shall be mandatory to make entry eligible as a three (3) year old.	

14

(b) THREE (3) YEAR OLD PAYMENTS	
February 15	\$300
March 15	\$300
April 15	\$300

15  
16 Section 27~~[32]~~. The commission~~[Commission]~~, during any given year, may provide for  
17 separate early closing events for Kentucky-bred horses~~[-both two (2) and three (3) year old~~  
18 ~~standardbreds that are Kentucky sired]~~.

1 Section ~~28~~<sup>33</sup>. Incorporation by Reference. (1) The following material is incorporated by  
2 reference:

3 (a) "Kentucky Sire Stakes Nomination Form, KHRC 215-1," (7/13)[~~KHRA 300-1,~~ (8/06)];

4 (b) "Standardbred Stallion Certificate, KHRC 215-2," (7/13)[~~KHRA 300-2,~~ (8/06)];

5 (c) "Standardbred Authorization Agent Form, KHRC 215-3," (7/13)[~~KHRA 300-3,~~  
6 ~~(8/06)~~]; and

7 (d) "Standardbred Mare Certificate, KHRC 215-4," (7/13)[~~"Standardbred Stallion~~  
8 ~~Certification of Eligibility Renewal 300-4,~~ (12/06)].

9 (2) This material may be inspected, copied, or obtained, subject to applicable copyright  
10 law, at the Kentucky Horse Racing Commission, 4063 Iron Works Parkway,[~~Pike,~~] Building B,  
11 Lexington,[~~Lexington~~] Kentucky 40511, Monday through Friday, 8 a.m. to 4:30 p.m. This  
12 material is also available on the KHRC[~~KHRA~~] Web site at <http://khrc.ky.gov>[~~[www.khrs.ky.gov](http://www.khrs.ky.gov)~~].

# KENTUCKY SIRE STAKES NOMINATION FORM

**Check payable in US funds only to:**

Kentucky Standardbred Development Fund  
4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
P.: (859) 246-2847  
F.: (859) 246-2887

HORSE \_\_\_\_\_ AGE \_\_\_\_\_ SEX \_\_\_\_\_ GAIT \_\_\_\_\_

SIRE \_\_\_\_\_ DAM \_\_\_\_\_

OWNER: \_\_\_\_\_ PHONE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

NOMINATOR: \_\_\_\_\_ PHONE: \_\_\_\_\_

**811 KAR 1:215 states in pertinent part:**

**Section 24.** (1) After payment of the nomination fee, foals shall remain eligible for events each year by making the required sustaining and declaration payments for that year. The Kentucky Sire Stakes Nomination Form, KHRC 215-1 shall be filed with the commission along with the nomination and sustaining fees.

(2) The two (2) year old March 15 payment shall be made in order to remain eligible to the KSDF and KSBIF as a three (3) year old.

**Section 25.** Nomination and sustaining payments shall be made to the KSDF in U.S. funds by a money order or a check drawn on a U.S. bank account.

**Section 26.** (1) Yearlings shall be nominated by May 15 of their yearling year, except as provided in subsection (4) of this section.

(2) For yearlings sired by a standardbred stallion residing in Kentucky and registered with the KSDF and KSBIF, the nomination fee shall be \$40 per yearling. For yearlings sired by a standardbred stallion not residing in Kentucky, the nomination fee shall be \$140 per yearling.

(3) Nominated horses shall be registered with the United States Trotting Association or Standardbred Canada, and must be properly identified to the satisfaction of the commission at the time of the nomination.

(4) If a horse is not nominated during its yearling year, the horse may be nominated prior to March 15 of its two (2) year old year if:

(a) For yearlings sired by a standardbred stallion residing in Kentucky and registered with the KSDF and KSBIF, a nomination fee of \$500 is made by March 15 of the yearling's two (2) year old year, along with the sustaining payment required in subsection (5)(a) of this section; or (b) For yearlings sired by a standardbred stallion not residing in Kentucky, a nomination fee of \$600 is made by March 15 of the yearling's two (2) year old year, along with the sustaining payment required in subsection (5)(a) of this section.

(5) Sustaining payments shall be as follows:

(a) TWO (2) YEAR OLD PAYMENTS	
March 15	\$300
April 15	\$300
May 15	\$300
March 15 payment shall be mandatory to make entry eligible as a three (3) year old.	

(b) THREE (3) YEAR OLD PAYMENTS	
February 15	\$300
March 15	\$300
April 15	\$300

For more information, please visit our website at <http://khrc.ky.gov>



KHRC 215-4 (07/13)

**A STANDARDBRED MARE CERTIFICATE OF ELIGIBILITY MUST BE COMPLETED TO  
MAKE A MARE'S FOALS ELIGIBLE TO THE KENTUCKY STANDARDBRED  
DEVELOPMENT FUND AND KENTUCKY STANDARDBRED  
BREEDERS' INCENTIVE FUND.**



**KENTUCKY HORSE RACING COMMISSION**

4063 Ironworks Pkwy, Bldg. B \* Lexington, KY 40511 \* Phone: 859-246-2847 \* Fax: 859-246-2887

<http://khrc.ky.gov>

**Must be postmarked by December 31<sup>st</sup> of year of conception**

I, the undersigned, hereby make application for Standardbred Mare Certificate of Eligibility for the following mare:

1. NAME OF MARE \_\_\_\_\_ YEAR FOALED \_\_\_\_\_

COLOR \_\_\_\_\_ MARKINGS \_\_\_\_\_ GAIT \_\_\_\_\_

U.S.T.A. REGISTRATION \_\_\_\_\_ TATTOO # \_\_\_\_\_

SIRE OF MARE \_\_\_\_\_ DAM OF MARE \_\_\_\_\_

BRED TO \_\_\_\_\_

U.S.T.A. REGISTRATION \_\_\_\_\_ TATTOO # \_\_\_\_\_

MARE WILL STAND AT \_\_\_\_\_

FROM THE DATE OF \_\_\_\_/\_\_\_\_/\_\_\_\_ AND UNTIL \_\_\_\_/\_\_\_\_/\_\_\_\_ of year of conception

LOCATION OF FARM \_\_\_\_\_

MARE OWNED BY \_\_\_\_\_

ADDRESS \_\_\_\_\_ PHONE \_\_\_\_\_

\_\_\_\_\_ E-MAIL \_\_\_\_\_

2. *IF UNDER LEASE, A COPY OF THE LEASE OR CONTRACT MUST BE FILED ALONG WITH THIS AFFIDAVIT.*
3. This registration is subject to all rules and regulations established by the Kentucky Horse Racing Commission pertaining to the Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund (811 KAR 1:215)

I certify that the above mare will stand in the state of Kentucky for no less than one hundred and eighty (180) days during the year of conception.

I, \_\_\_\_\_, being duly sworn, deposes and says that the information contained herein relating to the mare described above, owned or leased by me, is correct and true to the best of my knowledge and belief.

SIGNED \_\_\_\_\_  
(Owner or Lessee)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

Commission expires \_\_\_\_\_  
(NOTARY PUBLIC)



**Standardbred Authorized Agent Form**  
**811 KAR 1:215**



**KENTUCKY HORSE RACING COMMISSION[AUTHORITY]**

4063 Ironworks Pkwy, Bldg. B \* Lexington, KY 40511 \* Phone: 859-246-2847[859-246-2040] \*  
Fax: 859-246-2887[859-246-2039]  
<http://khrc.ky.gov>[[www.khrc.ky.gov](http://www.khrc.ky.gov)]

This is to certify that \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_

will be legally responsible for all records and transactions regarding eligibility to the Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund. This document should be mailed, along with the Stallion Registration, to:

Kentucky Standardbred Development Fund  
c/o[C/ø] Kentucky Horse Racing Commission[Authority]  
4063 Ironworks Parkway, Building B  
Lexington, Kentucky 40511

\_\_\_\_\_  
(Signature of Authorized Agent)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

Commission expires \_\_\_\_\_

(NOTARY PUBLIC)

Application for Standardbred Stallion Certificate of Eligibility to the Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund must accompany this form.

THIS FORM MUST BE POSTMARKED BY DECEMBER 31<sup>ST</sup> [~~JUNE 1<sup>ST</sup>~~] OF EACH BREEDING SEASON



**A STANDARDBRED STALLION CERTIFICATE OF ELIGIBILITY MUST BE COMPLETED TO MAKE A STALLION'S FOALS ELIGIBLE TO THE KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND.**



**KENTUCKY HORSE RACING COMMISSION**

4063 Ironworks Pkwy, Bldg. B \* Lexington, KY 40511 \* Phone: 859-246-2847[859-246-2040] \* Fax: 859-246-2887[859-246-2039]

<http://khrc.ky.gov>[[www.khrc.ky.gov](http://www.khrc.ky.gov)]

Must be postmarked by December 31<sup>st</sup> of year of conception [July 1<sup>st</sup> of each breeding season]

I, the undersigned, hereby make application for Standardbred Stallion Certificate of Eligibility for the following stallion:

1. NAME OF STALLION \_\_\_\_\_ YEAR FOALD \_\_\_\_\_  
COLOR \_\_\_\_\_ MARKINGS \_\_\_\_\_ GAIT \_\_\_\_\_  
SIRE OF STALLION \_\_\_\_\_ DAM OF STALLION \_\_\_\_\_  
TO STAND AT \_\_\_\_\_ STUD FEE \_\_\_\_\_  
LOCATION OF FARM \_\_\_\_\_  
CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_  
U.S.T.A. REGISTRATION \_\_\_\_\_ TATTOO # \_\_\_\_\_  
STALLION OWNED BY \_\_\_\_\_  
ADDRESS \_\_\_\_\_ PHONE \_\_\_\_\_  
E-MAIL \_\_\_\_\_

2. *IF UNDER LEASE, A COPY OF THE LEASE OR CONTRACT MUST BE FILED ALONG WITH THIS AFFIDAVIT.*

3. This registration is subject to all rules and regulations established by the Kentucky Horse Racing Commission [Authority] pertaining to the Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive Fund (811 KAR 1:215). [(811-KAR-1:215)]

I certify that the above stallion will stand in Kentucky for one hundred and eighty (180) days of the 20\_\_ year of conception, and at no time will the stallion service a mare in any other state. [I certify that the above stallion will stand the entire breeding season of 20\_\_ in the state of Kentucky and at no time will he service a mare in any other state.]

I, \_\_\_\_\_, being duly sworn, deposes and says that the information contained herein relating to stallion described above, owned or leased by me, is correct and true to the best of my knowledge and belief.

SIGNED \_\_\_\_\_  
(Owner or Lessee)



Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

Commission expires \_\_\_\_\_

(NOTARY PUBLIC)

DRAFT

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

## PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.  
CHAIRMAN

### KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: HTTP://KHRC.KY.GOV

**TO:** COMMISSION MEMBERS

**FROM:** Jamie H. Eads  
Director, Division of Incentives and Development

**DATE:** June 12, 2013

**SUBJECT:** Amendments to 811 KAR 1:220. Harness racing at county fairs.

---

Please find attached a marked version of 811 KAR 1:220 (Harness racing at county fairs) showing amendments that were approved by the Standardbred Advisory Panel at its public meeting held on June 6, 2013. Underlined text in the attached is new language, and text that is crossed out is deleted language. Following is a summary of the substantive amendments approved by the Panel:

#### I. Section 1. Definitions.

- Include definition of "individual" consistent with other KHRC statutes and regulations.
- Include definition of "person" consistent with other KHRC statutes and regulations.
- Include definition of "individual or person domiciled in Kentucky" to include an individual who has his permanent residence in Kentucky and a person who is either organized under the laws of Kentucky or registered to do business in Kentucky.

#### II. Section 2.

This section provides that the Commission shall determine questions or domicile and lists factors the Commission shall consider when making that determination.

#### III. Section 3. Eligibility.

This section amends the eligibility requirements to be consistent with amendments to 811 KAR 1:215 and to enable more people to participate in the county fairs. This section provides that a horse is eligible to participate in a stake race at a county fair if the following criteria are met:

A. The horse is either:

1. A two or three year old that is sired by a stallion registered with the Kentucky Standardbred Development Fund and Standardbred Breeders' Incentive Fund as provided in 811 KAR 1:215 at the time of conception;
2. A two or three year old whose dam was partially or wholly owned by an individual or person domiciled in Kentucky at the time of conception; or
3. A two or three year old that is owned by an individual or person domiciled in Kentucky.

B. The owner of a participating horse is a current member of the Kentucky Colt Racing Association, Inc.; and

C. The owner, trainer, and driver of a participating horse are licensed by the commission.

**IV. Section 17.**

This section provides that in order for a horse that has been nominated to remain eligible to race at a county fair after there has been a transfer of ownership, a fee of \$300 must be paid the first time ownership is transferred and an additional fee of \$600 must be paid if the same horse is transferred again.

**V. Section 20.**

This section requires a driver to wear a safety vest and helmet that meets the standards provided in 811 KAR 1:075.

The other changes to the regulation are not substantive in nature.

Approval is recommended.

**COMMISSION ACTION**

\_\_\_\_\_ Approved

\_\_\_\_\_ Denied

\_\_\_\_\_ Deferred

Kentucky Colt Association  
P.O. Box 1539  
Versailles, Ky. 40383  
859-619-9384  
June 17, 2013

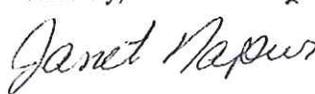
Kentucky Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, Ky. 40511

To Whom It May Concern:

The Kentucky Colt Association request the approval of the rule change as pertains to the county fair program. We are in dire need of additional eligible horses and revenue to continue our program. The last couple of seasons our entries have been decreasing and the fairs are at risk of having to terminate their harness racing at their respective county fairs. We do approve the rule change.

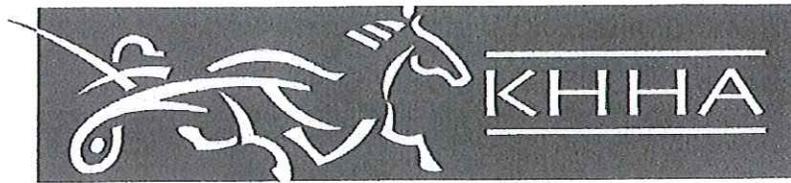
Thank you for your consideration.

Sincerely,



Janet Napier  
Executive Director  
Kentucky Colt Association





**1700 Alexandria Drive, Suite 4  
Lexington, KY 40504  
(859) 585-0505**

June 20, 2013

To Whom It May Concern,

Given the current circumstances within our industry, it should be noted that the Kentucky Harness Horsemen's Association unequivocally supports the proposed changes to the following Kentucky Administrative Regulations:

Horse Racing at County Fairs: 811 KAR 1:220

Standardbred Development Fund and Standardbred Breeders' Incentive Fund (funds KYSS): 811 KAR 1:215

We believe that the proposed changes will promote the growth and sustainability of our industry in the state of Kentucky.

Please feel free to contact me with any further questions or concerns.

Sincerely,

Gabe Prewitt  
Executive Secretary  
Kentucky Harness Horsemen's Association  
(859) 585-0505



1 PUBLIC PROTECTION CABINET  
2 KENTUCKY HORSE RACING COMMISSION  
3 (Amendment)

4 **811 KAR 1:220. Harness racing at county fairs.**

5 RELATES TO: KRS 230.215, 230.260, 230.280, 230.290, [~~230.300,~~] 230.310, 230.398

6 STATUTORY AUTHORITY: KRS 230.215, 230.260, 230.398

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) and 230.260(8) authorize the  
8 commission to promulgate administrative regulations prescribing the conditions under which  
9 horse racing shall be conducted in Kentucky. KRS 230.398 authorizes the commission to  
10 promulgate administrative regulations as may be necessary for the conduct of county fair races.  
11 This administrative regulation establishes conditions, races, purses, and payments in races  
12 conducted at county fairs in which funds for purses are provided by the commission, and  
13 regulates eligibility for participation in harness racing at county fairs.

14 Section 1. Definitions.

15 (1) "Individual" means a natural person, at least eighteen (18) years of age, but does not  
16 include any corporation, partnership, limited liability company, trust, or estate, association,  
17 joint venture, or any other group or combination acting as a unit.

18 (2) "Individual or Person domiciled in Kentucky" means:

19 a. An individual who has his permanent residence in Kentucky; and

1           b. A person organized under the laws of Kentucky or registered to do business in  
2 Kentucky with the Kentucky Secretary State.

3           (3) "Person" means any corporation, whether organized for profit or not, partnership,  
4 limited liability company, trust, estate, association, joint venture, or any other group or  
5 combination acting as a unit.

6           Section 2. (1) The commission shall determine all questions of domicile.

7           (2) In determining questions of domicile, the commission shall weigh:

8           (a) The eligibility factors set forth in Section 3 of this administrative regulation; and

9           (b) Factors which indicate domicile and intent, including:

10           1. The amount of time the individual spends in Kentucky each year as compared to the  
11 amount of time spent elsewhere;

12           2. Whether the individual or person owns real estate in Kentucky;

13           3. Whether the individual is registered to vote in Kentucky;

14           4. Whether the person is organized under Kentucky law;

15           5. The permanent residence of the individual or principal place of business of the  
16 person, as indicated by the records of the commission and the United States Trotting

17 Association; and

18           6. Whether the individual has a Kentucky automobile driver's license.

19           Section 3[1]. Eligibility. A horse is eligible to participate in a stake race at a county fair if:

20           (1) The horse is:

1           (a) A two (2) or three (3) year old that is sired by a stallion that was registered with the  
2 Kentucky Standardbred Development Fund and Kentucky Standardbred Breeders' Incentive  
3 Fund as provided in 811 KAR 1:215 at the time of conception;

4           (b) A two (2) or three (3) year old whose dam was partially or wholly owned by an  
5 individual or person domiciled in Kentucky at the time of conception; or

6           (c) A two (2) or three (3) year old that is owned by an individual or person domiciled in  
7 Kentucky.

8           (2) An owner of the participating horse is a current member of the Kentucky Colt Racing  
9 Association, Inc.;

10           (3) An owner of the participating horse holds a current license with the commission; and

11           (4) The trainer and driver of the participating horse hold a current license with the  
12 commission.[In order to qualify to participate in a stake race at a county fair:

13           ~~(1) The participating horse shall be either a two (2) or three (3) year old standardbred~~  
14 ~~that is the product of the mating of a mare with a Kentucky Standardbred Development Fund~~  
15 ~~registered stallion;~~

16           ~~(2) An owner of the participating horse shall be a current member of the Kentucky Colt~~  
17 ~~Racing Association, Inc.;~~

18           ~~(3) An owner of the participating horse shall be currently licensed by the commission;~~  
19 ~~and~~

20           ~~(4) The trainer and driver of the participating horse shall be currently licensed by the~~  
21 ~~commission.]~~

1 Section 4[2]. (1) A fair shall have a safe and adequate track, and the entire track,  
2 including start and finish lines, shall be visible to judges and spectators.

3 (2) The track shall be inspected and approved by a representative of the commission.

4 Section 5[3]. A track shall have a hub rail or pylons approved by the commission.

5 Section 6[4]. (1) A fair shall have safe and adequate stalls for participating horses.

6 (2) If permanent stalls are not available, either on or off the fairgrounds, tents or other  
7 tie-in type stalls may be used.

8 (3) A county fair shall not charge stall rent for horses racing at the county fairs.  
9 However, a county fair may charge stall rent if the fair is held on~~[fairs with the exception of]~~  
10 state-owned property.

11 Section 7[5]. (1) The Kentucky Colt Racing Association county fair fee shall be as follows:

12 (a) A nomination fee of fifty (50) dollars per horse due before February 15 of the year in  
13 which the fair is being conducted;

14 (b) A sustaining fee of \$200 per horse due before April 15;

15 (c) A starting fee of fifty (50) dollars per horse, per fair, due at the time of entry to the  
16 fair; and

17 (d) A twenty-five (25) dollar fee per horse for starting in an overnight race, due at the  
18 time of entry to the fair.

19 (2) A \$200 payment shall be due at the time of entry for a horse eligible for the fair  
20 finals.

1           Section 8[6]. Officials at county fairs. (1) The Kentucky Colt Racing Association shall  
2 submit to the commission, at least sixty (60) days prior to the opening of a race meeting, a  
3 written list of racing officials and applicable employees.

4           (2) At a county fair, there shall be at least one (1) presiding judge approved by the  
5 commission in the judges' stand. In addition, at a meeting in which races are charted, the  
6 association member shall provide both a licensed charter and licensed clerk of the course.

7           (3) A fair shall use licensed United States Trotting Association judges to preside over the  
8 racing.

9           (4) The judges shall review the ownership of any horse that is entered in order to ensure  
10 the horse's eligibility[~~that it is eligible~~] to race.

11           (5) The judges may determine the validity for racing purposes of any lease, transfer, or  
12 agreement pertaining to ownership of a horse and may call for adequate evidence of ownership  
13 at any time.

14           (6) The judges may declare a horse ineligible to race if the ownership or control of the  
15 horse is in question.

16           Section 9[7]. A fair shall use a licensed starter with adequate equipment.

17           Section 10[8]. (1) The entry fees established in Section 7[5] of this administrative  
18 regulation shall be collected by a fair and used:

- 19           (a) To pay racing officials;
- 20           (b) To provide purses for overnight racing events; and
- 21           (c) To promote fair racing as otherwise needed.

1 (2) A fair shall, upon request, make a full accounting of the entry fees to the  
2 commission.

3 Section 11[9]. A fair shall apply to the commission for a license to conduct a harness  
4 racing event and for approval of funds by December 15 of the year prior to the year of the  
5 event. At the time of application, the request for pari-mutuel wagering shall be included.

6 Section 12[10]. A fair shall have the right to change the order of its program and to  
7 postpone or cancel an event due to bad weather or unavoidable cause. If a race is canceled  
8 because of lack of entries, entry fees shall be refunded.

9 Section 13[11]. An early closing event, and all divisions of that event, shall race a single  
10 heat at a distance of one (1) mile and shall be contested for a purse approved by the  
11 commission annually.

12 Section 14[12]. There shall not be more than nine (9) starters in any race. If a race is  
13 divided into divisions, the purse shall be divided so that each division races for an equal portion  
14 of the purse. The purses shall be divided as follows:

15 (1) Five (5) starters - fifty (50) percent, twenty-five (25) percent, twelve (12) percent,  
16 eight (8) percent, and five (5) percent;

17 (2) Four (4) starters - fifty (50) percent, twenty-five (25) percent, fifteen (15) percent,  
18 and ten (10) percent;

19 (3) Three (3) starters - fifty-five (55) percent, thirty (30) percent, and fifteen (15)  
20 percent;

21 (4) Two (2) starters - sixty-five (65) percent and thirty-five (35) percent; and

22 (5) One (1) starter – one hundred (100)[100] percent.

1           Section 15~~[13]~~. (1) Points shall be awarded in an early closing race, and any division of  
2 an early closing race, as follows:

- 3           (a) First place finisher - fifty (50) points;
- 4           (b) Second place finisher - twenty-five (25) points;
- 5           (c) Third place finisher - twelve (12) points;
- 6           (d) Fourth place finisher - eight (8) points;
- 7           (e) Fifth place finisher - five (5) points; and
- 8           (f) Each starter that finishes out of the money - one (1) point.

9           (2) If two (2) horses dead-heat for any position, they shall each receive one-half (1/2) of  
10 the points awarded for that position and one-half (1/2) of the points awarded for the next  
11 lower position. The same procedure shall be used for the allocation of points if there is a dead-  
12 heat of three (3) or more horses.

13           (3) A horse that is declared in and then is the subject of a judge's scratch shall be  
14 awarded one (1) point based upon the decision of the presiding judge. This decision shall be  
15 final.

16           (4) A horse that starts in a Kentucky Sires Stake race within three (3) days of a scheduled  
17 county fair race of the same class shall be awarded a county fair start and one (1) point.

18           (5) If there is a tie among two (2) or more horses with the same number of points, the  
19 tie shall be resolved in favor of the horse with the higher earnings in the early closing fair  
20 events in which the horses have competed.

21           Section 16~~[14]~~. A horse shall not be allowed to compete in more than one (1) race at  
22 any fair.

1           Section 17. In order for a horse, for whom the nomination fee has been paid, to remain  
2 eligible to race at a county fair after there has been a transfer of ownership, the following  
3 payments shall be required:

4           (1) \$300 the first time ownership is transferred from the owner at the time of  
5 nomination; and

6           (2) An additional \$600 thereafter if the same horse is transferred.

7           Section 18[15]. (1) The winning horse at a fair race and any other horse or horses as  
8 selected by the judges may be subjected to a drug test as set forth in 811 KAR 1:090 and 811  
9 KAR 1:260.

10           (2) A fair shall provide two (2) enclosed stalls and bedding to be used by the commission  
11 veterinarian for drug testing.

12           (3) The stalls required by subsection (2) of this section shall be located as close to the  
13 race track as possible.

14           (4) The stalls shall be positioned so as to allow the track announcer to be heard.

15           Section 19[16]. A current negative Coggins test shall be required for each horse racing at  
16 a fair.

17           Section 20[17]. A driver shall wear full colors, white pants, a safety vest that meets the  
18 standards set forth in 811 KAR 1:075 Section 21 and a safety helmet that meets the standards  
19 set forth in 811 KAR 1:075 Section 20[~~an approved vest and an approved helmet~~] if on the track  
20 less than one (1) hour before the start of a fair racing program.

1 Section 21[18]. A fair shall provide a trophy or blanket to the winner of a race. If a race is  
2 contested in heats or divisions, the trophy shall be presented to the winner of the fastest heat  
3 or division.

4 Section 22[19]. An early closing race shall be contested regardless of the number of  
5 entries. However, a fair may cancel an overnight race with less than five (5) entries.

6 Section 23[20]. The deadline for entries at a fair shall be set by the Kentucky Colt Racing  
7 Association at its annual October meeting preceding the racing year.

8 Section 24[21]. A county fair track holding races for purses shall provide a printed  
9 program available to the public containing the following information for:

10 (1) Non pari-mutuel[~~Nonpari-mutuel~~] tracks:

11 (a) Horse's name and sex;

12 (b) Color and age of horse;

13 (c) Sire and dam of horse;

14 (d) Owner's name and colors;

15 (e) Driver's name;

16 (f) Trainer's name; and

17 (g) Summary of starts in purse races, earnings, and the best win time for the current and  
18 preceding year. A horse's best win time may be earned in either a purse or nonpurse race; and

19 (2) Pari-mutuel tracks:

20 (a) Horse's name and sex;

21 (b) Color and age of horse;

22 (c) Sire and dam of horse;

- 1 (d) Owner's name;
- 2 (e) Driver's name and colors;
- 3 (f) Trainer's name;
- 4 (g) Summary of starts in purse races, earnings, and best win time for the current and  
5 preceding year. A horse's best win time may be earned in either a purse or nonpurse race;
- 6 (h) At least the last six (6) performance and accurate chart lines. An accurate chart line  
7 shall include:
- 8 1. Date of race;
  - 9 2. Location of race;
  - 10 3. Size of track if other than a one-half (1/2) mile track;
  - 11 4. Symbol for free-legged pacers;
  - 12 5. Track condition;
  - 13 6. Type of race;
  - 14 7. Distance;
  - 15 8. The fractional times of the leading horse including race times;
  - 16 9. Post position;
  - 17 10. Position of the one-quarter (1/4) marker, the one-half (1/2) marker, and the three-  
18 quarters (3/4) marker;
  - 19 11. Stretch with lengths behind leader;
  - 20 12. Finish with lengths behind leader;
  - 21 13. Individual time of the horse;
  - 22 14. Closing dollar odds;

- 1           15. Name of the driver;
- 2           16. Names of the horses that placed first, second, and third by the judges; and
- 3           17. The standard symbols for breaks and park-outs shall be used if applicable;
- 4           (i) Indicate drivers racing with a provisional license; and
- 5           (j) Indicate pacers that are racing without hobbles.

6           Section 25[~~22~~]. Payments. Nomination and sustaining payments shall be made to the  
7 Kentucky Colt Racing Association. Entry fees shall be paid to the fair for which the entry is  
8 taken.

9           Section 26[~~23~~]. A person or association that violates a provision of this administrative  
10 regulation shall have committed a Category 1 violation and shall be subject to the penalties set  
11 forth in 811 KAR 1:095[~~7~~-Section 4(~~1~~)].

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

## PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.  
CHAIRMAN

### KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**

**FROM: Marc A. Guilfoil, Deputy Executive Director**

**DATE: June 28, 2013**

**SUBJECT: REQUEST FOR APPROVAL OF ROSTER OF RACE OFFICIALS FOR  
CHURCHILL DOWNS' 2013 FALL MEET**

---

By letter dated June 28, 2013, Mr. Ben Huffman, Racing Secretary for Churchill Downs, requests approval of the attached Roster of Race Officials scheduled to preside over the conduct of racing during their 2013 Fall Meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening. Also included in the request for approval is Churchill Downs' date preference system.

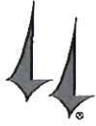
Approval is recommended.

#### COMMISSION ACTION

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



CHURCHILL DOWNS

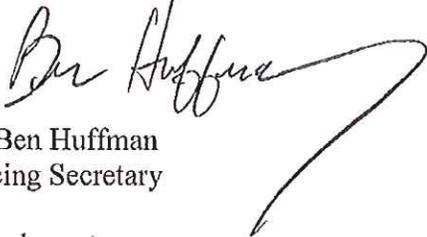
June 28, 2013

Mr. John Ward  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Lexington, KY 40511

Dear Mr. Ward,

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials for the 2013 Churchill Downs September Meet and the date preference rules that will be used, pending approval by the Commission.

Respectfully submitted,



D. Ben Huffman  
Racing Secretary

Attachments



CHURCHILL DOWNS

**CHURCHILL DOWNS LIST OF OFFICIALS FOR 2013 SEPTEMBER MEET**

ASSOCIATE STEWARDS:

Brooks A. Becraft  
Richard Leigh

RACING SECRETARY:  
ASSISTANT RACING SECRETARY:  
HORSE IDENTIFIER:  
ASSISTANT IDENTIFIER:  
CLERK OF SCALES:  
JOCKEY ROOM CUSTODIAN:  
CLAIMS CLERK:  
PROGRAM COORDINATOR:  
STAKES COORDINATOR:  
HORSEMEN'S BOOKKEEPER:  
STARTER:  
OUTRIDERS:

Ben Huffman  
Dan Bork  
Melinda Vest  
Steven Penrod  
Javier Torres  
Mike June  
Debbie Borel  
Tyler Picklesimer  
Allison DeLuca  
Tiffany Milne  
Scott Jordan  
Greg Blasi  
Lee Lockwood  
Paul Blasi  
Robert King  
Rick Smith  
Mark Skaggs

DIRECTOR OF SECURITY:  
DIRECTOR OF MUTUELS:  
TIMER:

CLOCKER:  
ENTRY CLERKS, PADDOCK AND PLACING JUDGES :

Peter Vestal  
Debbie Borel  
Tyler Picklesimer  
Steve Obrekaitis  
Allison DeLuca  
Steve Worsley  
Ron Tomlinson  
Sue Brewster  
Mark Johnson  
Steve Hargrave

ANNOUNCER:  
STALL MANAGER:

## DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

Foal Certificates no longer are required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates must be on file to obtain a preference date and to get a horse tattooed.

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses into over-filled races.

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:

### E-Date

This date will correspond to:

- a) the day on which a horse's foal papers and name tag are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b) the day on which a horse is first entered if his papers are not on file with the identifier.

To qualify for the latter E-Date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

### R-Date

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another race track will forfeit any date they may have at Churchill Downs.
4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
5. A horse that runs in a race, which has been taken off the turf will retain its turf date. A scratched horse will receive an R-Date from the date scratched.
6. When a race is taken off the turf, dirt preferred horses will receive an R-Date if they run or scratch. If the race remains on the turf, dirt preferred horses will retain their previous date.
7. Horses on the Also-Eligible list shall be permitted to draw into races in order of their dates, earliest dates first. (Exception: When a race is removed from the turf, Dirt Preferred also-eligibles will have first preference to draw into the race in order of their date preference. If the race remains on the turf they will have no preference.)
8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
10. A horse which is a scheduled starter in a cancelled race will not receive an R-Date for that race but will retain its previous date.
11. The draw of a stakes and handicap race is not subject to date preference.
12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's office.
13. Date preference rules will not supersede KHRA rules regarding preference, eligibility or scratches.
14. Winners will be preferred in all non maiden races.

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

**PUBLIC PROTECTION CABINET**

ROBERT M. BECK, JR.  
CHAIRMAN

**KENTUCKY HORSE RACING COMMISSION**

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: HTTP://KHRC.KY.GOV

**TO: ALL COMMISSION MEMBERS**

**FROM: Marc A. Guilfoil, Deputy Executive Director**

**DATE: June 28, 2013**

**SUBJECT: REQUEST FOR APPROVAL OF ROSTER OF RACE OFFICIALS FOR  
KENTUCKY DOWNS' 2013 MEET**

---

By letter dated June 28, 2013, Corey Johnsen, President of Kentucky Downs, requests approval of the attached Roster of Racing Officials scheduled to preside over the conduct of racing during their 2013 meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening. Also included in the request for approval is Kentucky Downs' date preference system.

Approval is recommended.

**COMMISSION ACTION**

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



## KENTUCKY DOWNS

P.O. Box 405  
Franklin, Kentucky 42135  
270.586.7778  
[www.kentuckydowns.com](http://www.kentuckydowns.com)

June 28, 2013

John Ward, Executive Director  
Kentucky Horse Racing Commission  
4063 Ironworks Parkway, Building B  
Lexington, KY 40511

Dear John,

Kentucky Downs requests Kentucky Horse Racing Commission approval for the roster of the following racing officials to perform duties during our live meet 2013 season:

- Barbara Borden – Chief Steward
- Jean Chalk - Steward
- Ron Tomlinson - Steward
- Dan Bork – Racing Director
- Tia Murphy – Racing Secretary
- Tiffany Bourque – Asst. Racing Secretary / Placing
- Jim Cornes – Stakes Coordinator
- Jon Cozart – Paddock Judge
- Rick Albright – Placing / Claims Clerk
- Jeff Ewalt – Placing
- Darrell Foster – Horse Identifier
- Mike June – Clerk Of Scales
- Frank Smothers – Jockey Room Custodian
- Robert Alexander - Starter
- Billy Pettingill – Timer / Clocker
- Ralph Simpson – Stall Superintendent
- John Wells – Outrider / Blacksmith
- Terry Unkenholt – Outrider
- John Mccoy – Outrider
- Monica Davis - Horsemen's Bookkeeper
- Jeff Halterman - Photo Finish Operator - American Teletimer
- John Lies - Announcer
- Star - Preference System
- Bill Rush – Security Manager
- JoAnn Yates – Mutuels Coordinator

Placing judges will also handle entries and program coordination duties.

Thank you for your consideration of this matter. Sincerely,

Corey Johnsen  
President

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

**PUBLIC PROTECTION CABINET**

ROBERT M. BECK, JR.  
CHAIRMAN

**KENTUCKY HORSE RACING COMMISSION**

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**

**FROM: Marc A. Guilfoil, Deputy Executive Director**

**DATE: June 25, 2013**

**SUBJECT: REQUEST FOR APPROVAL OF ROSTER OF RACE OFFICIALS FOR THE RED MILE'S 2013 MEET**

---

By letter dated June 24, 2013, Julie Sorrell, Director of Mutuels & Simulcasting for The Red Mile, requests approval of the attached roster of race officials scheduled to preside over the conduct of racing for their 2013 meet. Please be advised all Judges have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

**COMMISSION ACTION**

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



June 24, 2013

Mr. John Ward  
Kentucky Horse Racing Commission  
Executive Director  
4063 Iron Works Parkway  
Lexington, Kentucky 40511

Dear Mr. Ward:

The Red Mile respectfully requests approval from the Kentucky Horse Racing Commission for the following Standardbred Race Officials for our upcoming meet.

Jeff Ridell	Associate Judge
Dan Ross	Associate Judge
Billy Perkins	Race Secretary
Curby Stillings	Assistant Race Secretary
Greg Coon	Starter
Dan Coon	Starter
Mike Moss	Starter
Pam Coleman	Paddock Judge & Horse Identifier
Donna Blauser	Timer & Photo Finish
Tom Agosti	Charter/Program Coordinator/Clerk of Course
Julie Sorrell	Director of Mutuels
Harvey Taylor	Director of Security
Greg Coon	Track Superintendent
Jeff Crites	Paddock Blacksmith

As always, The Red Mile shall be in compliance with all applicable state and federal laws. Thank you for your time concerning this matter.

Sincerely,

Julie Sorrell  
Director of Mutuels & Simulcasting  
The Red Mile

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

**PUBLIC PROTECTION CABINET**

ROBERT M. BECK, JR.  
CHAIRMAN

**KENTUCKY HORSE RACING COMMISSION**

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: HTTP://KHRC.KY.GOV

**TO: ALL COMMISSION MEMBERS**

**FROM: Greg Lamb, Supervisor of Pari-Mutuel Wagering**

**DATE: June 27, 2013**

**SUBJECT: REQUEST FROM THE RED MILE TO APPROVE WAGERING FORMAT**

---

By letter dated June 24, 2013, Julie Sorrell, Director of Mutuels and Simulcasting for The Red Mile, requests approval for The Red Mile to make slight changes in their wagering format as detailed in the attached letter.

Approval is recommended.

**COMMISSION ACTION**

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



June 24, 2013

Mr. John Ward  
Kentucky Horse Racing Commission  
Executive Director  
4063 Iron Works Parkway  
Lexington, Kentucky 40511

The Red Mile respectfully requests approval from the Kentucky Horse Racing Commission for the following wagering format for our upcoming meet.

Wagering Format

Win, Place, Show, Exacta, Trifecta, in Every Race  
Superfecta (with carryover) in approximately 6 races daily  
Super High Five (with carryover) in approximately 3 races daily  
Daily Double in First and Last two Races  
Pick three in several races daily (Approximately 4-5/day)  
Pick 4 once daily (with guaranteed pools and carryovers)  
Possible Pick 6 once daily (with guaranteed pools and carryovers)

All wagering minimums will be \$1.00 except:  
Superfecta- \$0.50 minimum  
Super High Five- \$0.10 minimum

As always, The Red Mile shall be in compliance with all applicable state and federal laws. Thank you for your time concerning this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Julie Sorrell". The signature is fluid and cursive, written over the word "Sincerely,".

Julie Sorrell  
Director of Mutuels & Simulcasting  
The Red Mile



STEVE BESHEAR  
GOVERNOR

ROBERT D. VANCE  
SECRETARY

## PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.  
CHAIRMAN

### KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**

**FROM: Greg Lamb, Supervisor of Pari-Mutuel Wagering**

**DATE: June 27, 2013**

**SUBJECT: REQUEST FROM THE RED MILE TO OFFER SUPERFECTA WITH CARRYOVER WAGER**

---

By letter dated June 24, 2013, Julie Sorrell, Director of Mutuels and Simulcasting for The Red Mile, requests approval for The Red Mile to offer a Superfecta with carryover wager as detailed in the attached letter.

Approval is recommended.

#### COMMISSION ACTION

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



June 24, 2013

Mr. John Ward  
Kentucky Horse Racing Commission  
Executive Director  
4063 Iron Works Parkway  
Lexington, Kentucky 40511

Dear Mr. Ward:

The Red Mile requests the approval of the Kentucky Horse Racing Commission to offer a Superfecta with carryover wager this year rather than a Superfecta. Submitted with this letter are the ARCI model rules for a Superfecta with carryover wager. This wager will completely mimic the Super High Five wager as far as payouts are concerned. United Tote has been contacted in regards to this wagering change and indicates they have the capacity in their system to allow for the wager.

As always, The Red Mile shall be in compliance with all applicable state and federal laws. Thank you for your time concerning this matter.

Sincerely,

A handwritten signature in cursive script that reads "Julie Sorrell".

Julie Sorrell  
Director of Mutuels & Simulcasting  
The Red Mile

## N. Superfecta Pools

(1) The Superfecta requires selection of the first four finishers, in their exact order, for a single contest.

### (4) Distribution of Winnings – Option #3

a. The net Superfecta pool shall be distributed to winning wagers in the following precedence, based on the official order of finish:

i. As a single price pool to those whose combination finished in correct sequence as the first four betting interests, but if there are no such wagers, then

ii. The entire net pool shall be retained and added to a corresponding carryover pool into the next designated Superfecta race.

b. On the last Superfecta race on the final day of the meeting, the net pool, and include any applicable carryover shall be distributed using the method described in subsection (2).

(5) If, for any reason, the Superfecta carryover must be held over to the corresponding Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Superfecta carryover plus accrued interest shall then be added to the net Superfecta pool of the following meet on a date and performance so designated by the Commission.

(6) If fewer than four betting interests finish and the contest is declared official, payouts will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection on any ticket beyond the number of betting interests completing the contest shall be ignored. If the pools are being distributed under paragraph (4)(a), any previous Superfecta contest's carryover will not be included in the payoff and will be retained for the next contest's carryover, and this contest's net Superfecta pool will be distributed using the method described in subsection (2).

(7) If there is a dead heat for first involving:

(a) contestants representing four or more betting interests, all of the wagering combinations selecting the four betting interests, irrespective of order, shall share in a profit split.

(b) contestants representing three betting interests, all of the wagering combinations selecting the three dead-heated betting interests, irrespective of order, along with the fourth-place betting interest shall share in a profit split.

(c) contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place and fourth-place betting interests shall share in a profit split.

(8) If there is a dead heat for second involving:

(a) contestants representing three or more betting interests, all of the wagering combinations correctly selecting the winner combined with all of the three betting interests, irrespective of order, shall share in a profit split.

(b) contestants representing two betting interests, all of the wagering combinations correctly selecting the winner, the two dead-heated betting interests, irrespective of order, and the fourth-place betting interest shall share in a profit split.

(9) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any two of the betting interests involved in the dead heat for third, irrespective of order, shall share in a profit split.

(10) If there is a dead heat for fourth, all wagering combinations correctly selecting the first three finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fourth shall share in a profit split.

(11) In the event that more than one component of a coupled entry or mutuel field finishes within the first four positions, the first member of the coupled entry or mutuel field to finish shall determine the position of the single betting interest. The net pool shall be distributed to those whose selection included the coupled entry or mutuel field and each of the other three betting interests.

STEVE BESHEAR  
GOVERNOR



ROBERT D. VANCE  
SECRETARY

## PUBLIC PROTECTION CABINET

ROBERT M. BECK, JR.  
CHAIRMAN

### KENTUCKY HORSE RACING COMMISSION

JOHN T. WARD, JR., EXECUTIVE DIRECTOR  
4063 IRONWORKS PKWY, BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEB SITE: HTTP://KHRC.KY.GOV

**TO:** ALL COMMISSION MEMBERS

**FROM:** Jamie H. Eads, director, division of incentives & development

**DATE:** July 11, 2013

**SUBJECT:** KTDF PURSE STRUCTURE – CHURCHILL DOWNS SEPTEMBER MEET

---

On July 11, 2013 the KHRC received the attached letter from Churchill Downs with its upcoming 2013 September meet KTDF purse structure request.

KHRC staff confirms that at the end of the 2013 spring meet, Churchill Downs had a surplus of \$57,243. Churchill Downs proposed KTDF purses in the range of \$200,000 - \$300,000. Churchill Downs projections are based on projected daily earnings of \$18,192 and an expected KTDF payout percentage of 76%. Additionally, Churchill Downs request the ability to pay KTDF purses on eligible horses first through last place.

The KTDF Advisory Committee is scheduled to meet & reviewed the proposal on July 15, 2013. Pending the Committee's review, approval is recommended.

#### COMMISSION ACTION

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



July 10, 2013

KTDF Advisory Committee of the  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, Kentucky 40511

Committee Members:

Churchill Downs has reviewed our KTDF data and would like to card KTDF purses in the range of \$200,000 to \$300,000 for our upcoming homecoming meet. We have based the range on the following information:

1. Churchill Downs has a balance of \$57,243 at the end of our 2013 spring meet.
2. We are projecting daily earnings of \$18,192 during the first year of conducting this homecoming meet.
3. We are assuming a KTDF payout percentage of 76%, which is lower than our payout percentage from the 2013 spring meet of 78% but higher than that of 2012 (72%).
4. To encourage participation, during the homecoming meet, we are planning to pay KTDF purses from first to last to all eligible horses instead of the traditional first to fourth.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman  
Racing Secretary

Phil Milliner  
Vice President, Finance

RECEIVED

JUL 11 2013

KENTUCKY HORSE  
RACING COMMISSION

**WAGERING ON HISTORICAL RACES TOTALS**  
**KENTUCKY DOWNS-June 2013**

Total Wagered.....	\$ 23,908,203.60
 Total Commission.....	 \$ 1,849,287.00
(Total wagered minus takeout rates)	
 Net Track Commission.....	 \$ 1,490,663.94
(Total Commission minus the pari-mutuel tax...Amount that goes to KY Downs)	
Purse Fund.....	\$ 208,692.95
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 14,906.64
(1% of the net track commission)	
 State Commission.....	 \$ 358,623.05
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 179,311.53
(.75% of the total wagered)	
Equine Industry Program.....	\$ 47,816.41
(.2% of the total wagered)	
Equine Drug Research.....	\$ 23,908.20
(.1% of the total wagered)	
Higher Education Fund.....	\$ 23,908.20
(.1% of the total wagered)	
General Fund.....	\$ 83,678.71
(.35% of the total wagered)	
 Returned to the Public.....	 \$ 22,042,770.80

**WAGERING ON HISTORICAL RACES TOTALS**  
**ELLIS PARK-June 2013**

Total Wagered.....	\$	1,901,505.65
 Total Commission.....	 \$	 150,270.31
(Total wagered minus takeout rates)		
 Net Track Commission.....	 \$	 121,747.72
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)		
Purse Fund.....	\$	17,044.68
(14% of the net track commission)		
Breeder's Incentive Fund.....	\$	1,217.48
(1% of the net track commission)		
 State Commission.....	 \$	 28,522.58
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	14,261.29
(.75% of the total wagered)		
Equine Industry Program.....	\$	3,803.01
(.2% of the total wagered)		
Equine Drug Research.....	\$	1,901.51
(.1% of the total wagered)		
Higher Education Fund.....	\$	1,901.51
(.1% of the total wagered)		
General Fund.....	\$	6,655.27
(.35% of the total wagered)		
 Returned to the Public.....	 \$	 1,755,961.70

**WAGERING ON HISTORICAL RACES TOTALS**  
**COMBINED- June 2013**

Total Wagered.....	\$ 25,809,709.25
 Total Commission.....	 \$ 1,999,557.30
(Total wagered minus takeout rates)	
 Net Track Commission.....	 \$ 1,612,411.66
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 225,737.63
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 16,124.12
(1% of the net track commission)	
 State Commission.....	 \$ 387,145.64
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 193,572.82
(.75% of the total wagered)	
Equine Industry Program.....	\$ 51,619.42
(.2% of the total wagered)	
Equine Drug Research.....	\$ 25,809.71
(.1% of the total wagered)	
Higher Education Fund.....	\$ 25,809.71
(.1% of the total wagered)	
General Fund.....	\$ 90,333.98
(.35% of the total wagered)	
 Returned to the Public.....	 \$ 23,798,732.50

**WAGERING ON HISTORICAL RACES TOTALS**  
**KY Downs- September 1, 2011 thru June 30, 2013**

Total Wagered.....	\$ 359,624,973.30
Total Commission.....	\$ 28,777,610.80
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 23,383,236.20
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 3,273,653.07
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 233,803.43
(1% of the net track commission)	
State Commission.....	\$ 5,394,374.60
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 2,697,187.30
(.75% of the total wagered)	
Equine Industry Program.....	\$ 719,249.95
(.2% of the total wagered)	
Equine Drug Research.....	\$ 359,624.97
(.1% of the total wagered)	
Higher Education Fund.....	\$ 359,624.97
(.1% of the total wagered)	
General Fund.....	\$ 1,258,687.41
(.35% of the total wagered)	
Returned to the Public.....	\$ 330,858,582.89

**WAGERING ON HISTORICAL RACES TOTALS**  
**Ellis Park- August 31, 2012 thru June 30, 2013**

Total Wagered.....	\$	22,257,156.70
Total Commission.....	\$	1,746,750.71
(Total wagered minus takeout rates)		
Net Track Commission.....	\$	1,412,893.35
(Total Commission minus the pari-mutuel tax...Amount that goes to Ellis Park)		
Purse Fund.....	\$	197,805.07
(14% of the net track commission)		
Breeder's Incentive Fund.....	\$	14,128.31
(1% of the net track commission)		
State Commission.....	\$	333,857.35
(Pari-mutuel tax...1.5% of the total wagered)		
Development Fund.....	\$	166,928.68
(.75% of the total wagered)		
Equine Industry Program.....	\$	44,514.31
(.2% of the total wagered)		
Equine Drug Research.....	\$	22,257.16
(.1% of the total wagered)		
Higher Education Fund.....	\$	22,257.16
(.1% of the total wagered)		
General Fund.....	\$	77,900.05
(.35% of the total wagered)		
Returned to the Public.....	\$	20,252,165.11

**WAGERING ON HISTORICAL RACES TOTALS**  
**Combined- September 1, 2011 thru June 30, 2013**

Total Wagered.....	\$ 381,882,130.00
Total Commission.....	\$ 30,524,361.50
(Total wagered minus takeout rates)	
Net Track Commission.....	\$ 24,796,129.55
(Total Commission minus the pari-mutuel tax)	
Purse Fund.....	\$ 3,471,458.14
(14% of the net track commission)	
Breeder's Incentive Fund.....	\$ 247,931.74
(1% of the net track commission)	
State Commission.....	\$ 5,728,231.95
(Pari-mutuel tax...1.5% of the total wagered)	
Development Fund.....	\$ 2,864,115.98
(.75% of the total wagered)	
Equine Industry Program.....	\$ 763,764.26
(.2% of the total wagered)	
Equine Drug Research.....	\$ 381,882.13
(.1% of the total wagered)	
Higher Education Fund.....	\$ 381,882.13
(.1% of the total wagered)	
General Fund.....	\$ 1,336,587.46
(.35% of the total wagered)	
Returned to the Public.....	\$ 351,110,748.00

Total Handle on "Live" Kentucky Races: Churchill Downs

Race Date	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
06/01/13	\$ 4,806,188.23	\$ 869,429.93	\$ 0.39	\$ 32,804.75	\$ (261.70)	\$ 3,904,214.86
06/02/13	\$ 4,448,864.74	\$ 809,337.58	\$ 1,866.45	\$ 19,686.16	\$ (5.31)	\$ 3,617,979.86
<b>Week 1 Total</b>	<b>\$9,255,052.97</b>	<b>\$1,678,767.51</b>	<b>\$1,866.84</b>	<b>\$52,490.91</b>	<b>(\$267.01)</b>	<b>\$7,522,194.72</b>
<b>Cumulative</b>	<b>\$9,255,052.97</b>	<b>\$1,678,767.51</b>	<b>\$1,866.84</b>	<b>\$52,490.91</b>	<b>(\$267.01)</b>	<b>\$7,522,194.72</b>
06/06/13	\$ 3,071,435.39	\$ 557,534.78	\$ 2,399.21	\$ 14,711.06	\$ (0.45)	\$ 2,496,790.79
06/07/13	\$ 4,816,399.88	\$ 872,322.84	\$ 2,691.30	\$ 26,455.75	\$ (280.51)	\$ 3,915,210.50
06/08/13	\$ 6,226,667.70	\$ 1,126,691.34	\$ 9,510.39	\$ 38,237.25	\$ (245.99)	\$ 5,052,474.71
06/09/13	\$ 4,651,845.22	\$ 847,395.38	\$ 3,099.75	\$ 19,861.43	\$ (9,307.75)	\$ 3,790,796.41
<b>Week 2 Total</b>	<b>\$18,766,348.19</b>	<b>\$3,403,944.34</b>	<b>\$17,700.65</b>	<b>\$99,265.49</b>	<b>(\$9,834.70)</b>	<b>\$15,255,272.41</b>
<b>Cumulative</b>	<b>\$28,021,401.16</b>	<b>\$5,082,711.85</b>	<b>\$19,567.49</b>	<b>\$151,756.40</b>	<b>(\$10,101.71)</b>	<b>\$22,777,467.13</b>
06/13/13	\$ 3,273,312.33	\$ 596,226.83	\$ (19,565.98)	\$ 24,395.13	\$ (43.10)	\$ 2,672,299.45
06/14/13	\$ 4,539,679.11	\$ 825,328.03	\$ 11,660.35	\$ 23,420.66	\$ (120.26)	\$ 3,679,390.33
06/15/13	\$ 8,390,131.44	\$ 1,510,001.72	\$ 1,343.83	\$ 44,187.59	\$ (40,688.12)	\$ 6,875,286.42
06/16/13	\$ 4,317,303.20	\$ 784,946.99	\$ 10,547.29	\$ 22,077.93	\$ (304.56)	\$ 3,500,035.55
<b>Week 3 Total</b>	<b>\$20,520,426.08</b>	<b>\$3,716,503.57</b>	<b>\$3,985.49</b>	<b>\$114,081.31</b>	<b>(\$41,156.04)</b>	<b>\$16,727,011.75</b>
<b>Cumulative</b>	<b>\$48,541,827.24</b>	<b>\$8,799,215.42</b>	<b>\$23,552.98</b>	<b>\$265,837.71</b>	<b>(\$51,257.75)</b>	<b>\$39,504,478.88</b>
06/20/13	\$ 2,814,902.41	\$ 510,831.44	\$ 6,710.17	\$ 17,907.46	\$ (0.99)	\$ 2,279,454.33
06/21/13	\$ 4,443,726.34	\$ 810,330.55	\$ 35,004.59	\$ 19,294.29	\$ (1,050.17)	\$ 3,580,147.08
06/22/13	\$ 5,346,391.94	\$ 963,764.05	\$ (65,265.44)	\$ 29,576.72	\$ (40.96)	\$ 4,418,357.57
06/23/13	\$ 3,813,971.13	\$ 692,573.17	\$ 2,283.29	\$ 18,991.96	\$ (579.18)	\$ 3,100,701.89
<b>Week 4 Total</b>	<b>\$16,418,991.82</b>	<b>\$2,977,499.21</b>	<b>(\$21,267.39)</b>	<b>\$85,770.43</b>	<b>(\$1,671.30)</b>	<b>\$13,378,660.87</b>
<b>Total Monthly</b>	<b>\$64,960,819.06</b>	<b>\$11,776,714.63</b>	<b>\$2,285.59</b>	<b>\$351,608.14</b>	<b>(\$52,929.05)</b>	<b>\$52,883,139.75</b>
06/27/13	\$ 2,789,011.29	\$ 506,278.09	\$ (2,285.25)	\$ 15,472.25	\$ (288.51)	\$ 2,269,834.71
06/28/13	\$ 4,267,934.18	\$ 774,052.45	\$ (1.65)	\$ 25,202.33	\$ (1.00)	\$ 3,468,682.05
06/29/13	\$ 5,655,360.92	\$ 1,018,752.00	\$ 6,455.64	\$ 28,380.71	\$ (10,793.44)	\$ 4,612,566.01
06/30/13	\$ 4,819,838.33	\$ 876,945.25	\$ (6,458.10)	\$ 23,049.77	\$ (0.49)	\$ 3,926,301.90
<b>Week 5 Total</b>	<b>\$17,532,144.72</b>	<b>\$3,176,027.79</b>	<b>(\$2,289.36)</b>	<b>\$92,105.06</b>	<b>(\$11,083.44)</b>	<b>\$14,277,384.67</b>
<b>Total Monthly</b>	<b>\$82,492,963.78</b>	<b>\$14,952,742.42</b>	<b>(\$3.77)</b>	<b>\$443,713.20</b>	<b>(\$64,012.49)</b>	<b>\$67,160,524.42</b>

Race Date	Total Handle	Difference	Percentage
06/02/12	\$5,639,513.36	-\$833,325.13	-14.78%
06/03/12	\$4,380,000.15	\$68,864.59	1.57%
	<b>\$14,356,786.30</b>	<b>-\$5,101,733.33</b>	<b>-35.54%</b>
	<b>\$14,356,786.30</b>	<b>-\$5,101,733.33</b>	<b>-35.54%</b>
06/07/12	\$2,703,167.93	\$368,267.46	13.62%
06/08/12	\$3,953,108.16	\$863,291.72	21.84%
06/09/12	\$4,889,955.48	\$1,336,712.22	27.34%
06/10/12	\$4,403,722.92	\$248,122.30	5.63%
	<b>\$15,949,954.49</b>	<b>\$2,816,393.70</b>	<b>17.66%</b>
	<b>\$30,306,740.79</b>	<b>-\$2,285,339.63</b>	<b>-7.54%</b>
6/14/2012	\$2,569,283.93	\$704,028.40	27.40%
6/15/2012	\$3,638,301.25	\$901,377.86	24.77%
6/16/2012	\$7,960,789.48	\$429,341.96	5.39%
6/17/2012	\$3,543,878.55	\$773,424.65	21.82%
	<b>\$17,712,253.21</b>	<b>\$2,808,172.87</b>	<b>15.85%</b>
	<b>\$48,018,994.00</b>	<b>\$522,833.24</b>	<b>1.09%</b>
06/21/12	\$3,685,775.79	-\$870,873.38	-23.63%
06/22/12	\$4,647,098.71	-\$203,372.37	-4.38%
06/23/12	\$6,248,691.46	-\$902,299.52	-14.44%
06/24/12	\$4,254,980.87	-\$441,009.74	-10.36%
	<b>\$18,836,546.83</b>	<b>-\$2,417,555.01</b>	<b>-12.83%</b>
	<b>\$66,855,540.83</b>	<b>-\$1,894,721.77</b>	<b>-2.83%</b>
6/28/2012	\$ -	\$4,267,934.18	*Inclement weather
6/29/2012	\$ 3,879,502.34	\$1,775,858.58	45.78%
6/30/2012	\$ 5,241,529.96	\$(421,691.63)	-8.05%
	<b>\$9,121,032.30</b>	<b>\$8,411,112.42</b>	<b>92.22%</b>
	<b>\$75,976,573.13</b>	<b>\$6,516,390.65</b>	<b>8.58%</b>

Total Handle on "Live" Kentucky Races: Bluegrass Downs

Race Date	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
06/07/13	\$ 1,972.00	\$ 414.74	\$ -	\$ 15.76	\$ (0.15)	\$ 1,541.65
06/08/13	\$ 2,185.00	\$ 466.03	\$ -	\$ 24.03	\$ (0.61)	\$ 1,695.55
06/09/13	\$ 1,190.00	\$ 246.12	\$ -	\$ 16.28	\$ (1.20)	\$ 928.80
<b>Week 1 Total</b>	<b>\$ 5,347.00</b>	<b>\$ 1,126.89</b>	<b>\$ -</b>	<b>\$ 56.07</b>	<b>\$ (1.96)</b>	<b>\$ 4,166.00</b>
<b>Cumulative</b>	<b>\$ 5,347.00</b>	<b>\$ 1,126.89</b>	<b>\$ -</b>	<b>\$ 56.07</b>	<b>\$ (1.96)</b>	<b>\$ 4,166.00</b>
06/14/13	\$ 1,933.00	\$ 422.63	\$ -	\$ 18.17	\$ -	\$ 1,492.20
06/15/13	\$ 2,154.00	\$ 453.31	\$ -	\$ 20.51	\$ (1.12)	\$ 1,681.30
06/16/13	\$ 2,613.00	\$ 517.66	\$ -	\$ 27.00	\$ (0.86)	\$ 2,069.20
<b>Week 2 Total</b>	<b>\$ 6,700.00</b>	<b>\$ 1,393.60</b>	<b>\$ -</b>	<b>\$ 65.68</b>	<b>\$ (1.98)</b>	<b>\$ 5,242.70</b>
<b>Cumulative</b>	<b>\$ 12,047.00</b>	<b>\$ 2,520.49</b>	<b>\$ -</b>	<b>\$ 121.75</b>	<b>\$ (3.94)</b>	<b>\$ 9,408.70</b>
06/21/13	\$ 1,487.00	\$ 316.03	\$ -	\$ 11.17	\$ (0.40)	\$ 1,160.20
06/22/13	\$ 2,055.00	\$ 440.67	\$ -	\$ 16.64	\$ (5.16)	\$ 1,602.85
06/23/13	\$ 1,816.00	\$ 376.79	\$ -	\$ 20.71	\$ -	\$ 1,418.50
<b>Week 3 Total</b>	<b>\$ 5,358.00</b>	<b>\$ 1,133.49</b>	<b>\$ -</b>	<b>\$ 48.52</b>	<b>\$ (5.56)</b>	<b>\$ 4,181.55</b>
<b>Cumulative</b>	<b>\$ 17,405.00</b>	<b>\$ 3,653.98</b>	<b>\$ -</b>	<b>\$ 170.27</b>	<b>\$ (9.50)</b>	<b>\$ 13,590.25</b>
06/28/13	\$ 1,155.00	\$ 242.20	\$ -	\$ 8.90	\$ -	\$ 903.90
06/29/13	\$ 2,383.00	\$ 522.88	\$ -	\$ 22.52	\$ (5.25)	\$ 1,842.85
06/30/13	\$ 2,171.00	\$ 478.91	\$ -	\$ 15.27	\$ (4.93)	\$ 1,681.75
<b>Week 4 Total</b>	<b>\$ 5,709.00</b>	<b>\$ 1,243.99</b>	<b>\$ -</b>	<b>\$ 46.69</b>	<b>\$ (10.18)</b>	<b>\$ 4,428.50</b>
<b>Total Monthly</b>	<b>\$ 23,114.00</b>	<b>\$ 4,897.97</b>	<b>\$ -</b>	<b>\$ 216.96</b>	<b>\$ (19.68)</b>	<b>\$ 18,018.75</b>

Race Date	Total Handle	Difference	Percentage
		\$1,972.00	
		\$2,185.00	
		\$1,190.00	
	\$ -	\$5,347.00	
	\$ -	\$5,347.00	
06/15/12	\$ 2,870.00	(\$937.00)	-32.65%
06/16/12	\$ 3,339.00	(\$1,185.00)	-35.49%
06/17/12	\$ 2,839.00	(\$226.00)	-7.96%
	\$ 9,048.00	(\$2,348.00)	-25.95%
	\$ 9,048.00	\$2,999.00	33.15%
6/22/2012	\$ 2,950.00	(\$1,463.00)	-49.59%
6/23/2012	\$ 3,198.00	(\$1,143.00)	-35.74%
6/24/2012	\$ 1,821.00	(\$5.00)	-0.27%
	\$ 7,969.00	(\$2,611.00)	-32.76%
	\$ 17,017.00	\$388.00	2.28%
		\$1,155.00	
06/29/12	\$ 1,421.00	\$962.00	67.70%
06/30/12	\$ 1,314.00	\$857.00	65.22%
	\$ 2,735.00	\$2,974.00	108.74%
	\$ 19,752.00	\$3,362.00	17.02%

Audit Check Report

Date Range: 6/01/2013 - 6/30/2013  
 Race Type: All  
 Locations: All Locations  
 Tracks: All Tracks  
 Report By: Track  
 Host: All Hosts

Track	Total Handle	Commission	Adjustment	Pos Break	Neg Break	Runner Pay
Alameda County Fair	\$21,997.00	\$4,703.84	(\$3,248.26)	\$122.18	(\$4.56)	\$20,423.80
Alberta Downs Harness	\$4,204.00	\$1,107.77	\$1,041.76	\$6.87	(\$28.35)	\$2,075.95
Arlington	\$1,281,904.60	\$262,882.69	\$65,381.08	\$6,992.25	(\$526.05)	\$947,174.63
Australia	\$8,387.60	\$1,926.66	\$943.42	\$16.35	(\$0.05)	\$5,501.22
Australia B	\$6,134.00	\$1,431.68	\$1,130.05	\$10.98	\$0.00	\$3,561.29
Australia C	\$5,416.40	\$1,270.20	(\$1,668.27)	\$11.05	\$0.00	\$5,803.42
Balmoral	\$130,683.00	\$28,422.65	(\$5,284.68)	\$521.53	(\$138.32)	\$107,161.82
Bangor Raceway	\$5,712.00	\$1,374.48	\$70.15	\$26.75	(\$9.38)	\$4,250.00
Belmont Park	\$4,758,276.60	\$934,345.08	\$149,137.19	\$15,944.83	(\$2,767.79)	\$3,661,617.38
Blue Grass Downs Night	\$23,114.00	\$4,897.97	\$0.00	\$216.96	(\$19.68)	\$18,018.75
Brooklyn-Belmont Double	\$16,991.00	\$3,143.35	(\$1,528.83)	\$9.48	\$0.00	\$15,367.00
Buffalo Raceway	\$36,493.10	\$8,205.01	(\$1,917.28)	\$156.07	(\$26.24)	\$30,075.54
Calder Racecourse	\$761,144.60	\$167,298.28	\$56,961.39	\$4,284.54	(\$1,564.31)	\$534,164.70
Canterbury Park	\$21,306.00	\$4,471.30	\$2,771.73	\$91.91	(\$0.03)	\$13,971.09
Charles Town	\$130,882.60	\$25,191.88	\$9,415.70	\$794.48	(\$1,937.68)	\$97,418.22
Charlottetown	\$96.60	\$27.80	(\$22.35)	\$0.30	\$0.00	\$90.85
Chester Downs	\$178,293.00	\$42,078.19	\$3,991.77	\$778.00	(\$338.21)	\$131,783.25
Delaware Park	\$305,051.60	\$61,225.79	\$16,404.55	\$1,947.98	(\$1,081.25)	\$226,554.53
Delta Downs	\$37,895.40	\$8,373.49	\$1,264.06	\$121.10	(\$1.15)	\$28,137.90
Emerald Downs	\$29,982.50	\$5,820.83	\$2,565.74	\$236.92	(\$1.69)	\$21,360.70
Evangeline Downs	\$201,839.20	\$41,933.15	\$1,595.01	\$1,162.34	(\$5.34)	\$157,154.04
Fairmount Park	\$13,543.50	\$2,758.34	\$2,910.11	\$93.03	(\$2.35)	\$7,784.37
Finger Lakes	\$31,671.60	\$6,551.43	\$2,124.51	\$102.83	(\$5.95)	\$22,898.78
Georgian Downs	\$7,511.00	\$1,900.54	\$1,321.78	\$10.89	(\$54.06)	\$4,331.85

	<b>Total Handle</b>	<b>Commission</b>	<b>Adjustment</b>	<b>Pos Break</b>	<b>Neg Break</b>	<b>Runner Pay</b>
Golden Gate Fields	\$157,791.20	\$31,824.07	\$4,828.10	\$918.63	(\$387.67)	\$120,608.07
Grand River Raceway	\$8,554.00	\$1,889.17	\$1,473.92	\$21.71	\$0.00	\$5,169.20
Gulfstream Park	\$4,122.40	\$864.88	\$876.29	\$18.12	(\$1.28)	\$2,364.39
Harrington Raceway	\$61,519.50	\$14,662.05	(\$1,305.51)	\$272.47	(\$142.98)	\$48,033.47
Hastings	\$8,993.00	\$1,965.31	\$1,091.55	\$28.26	(\$0.02)	\$5,907.90
Hazel Park	\$8,974.10	\$2,301.17	\$1,672.85	\$25.13	(\$51.41)	\$5,026.36
Hollywood Park Spring	\$1,204,875.40	\$244,332.69	\$95,474.10	\$6,276.08	(\$1,377.14)	\$860,169.67
Hoosier Park	\$447,209.30	\$92,894.74	\$9,126.30	\$2,201.85	(\$47.44)	\$343,033.85
Indiana Downs	\$287,686.80	\$57,861.38	\$10,807.55	\$1,710.33	(\$180.72)	\$217,488.26
Laurel Park	\$13,562.70	\$3,073.17	\$567.98	\$53.56	\$0.00	\$9,867.99
Lebanon	\$103.30	\$22.82	\$1.09	\$0.08	\$0.00	\$79.31
Lone Star	\$109,218.00	\$23,410.67	(\$1,325.37)	\$768.62	(\$13.17)	\$86,377.25
Los Alamitos Quarters	\$31,417.40	\$6,872.74	(\$29.95)	\$113.78	(\$0.74)	\$24,461.57
Louisiana Downs	\$406,794.60	\$85,166.61	\$22,689.67	\$2,189.41	(\$441.33)	\$297,190.24
Maywood Park	\$57,718.90	\$12,318.76	\$4,179.28	\$338.15	(\$84.78)	\$40,967.49
Meadowlands Racecourse (Harness)	\$319,334.60	\$61,974.93	(\$42,032.91)	\$1,981.46	(\$555.02)	\$297,966.14
Mohawk Raceway	\$337,752.00	\$77,059.16	(\$26,685.51)	\$844.10	(\$487.90)	\$287,022.15
Monmouth Park	\$951,421.40	\$192,460.63	\$26,284.70	\$5,294.17	(\$247.49)	\$727,629.39
Monticello Raceway	\$156,804.30	\$34,425.71	(\$15,385.09)	\$592.45	(\$104.79)	\$137,276.02
Mountaineer Park	\$164,109.00	\$33,637.99	(\$2,004.95)	\$1,124.75	(\$86.39)	\$131,437.60
Northfield Park	\$216,764.40	\$46,391.11	\$191.44	\$854.33	(\$413.38)	\$169,740.90
Northlands Park	\$106.00	\$24.73	(\$31.09)	\$0.01	\$0.00	\$112.35
Ocean Downs	\$9,052.50	\$2,101.24	(\$589.34)	\$38.03	(\$131.25)	\$7,633.82
Penn National	\$166,697.20	\$36,961.35	\$3,312.60	\$1,116.50	(\$55.46)	\$125,362.21
Philadelphia Park/Parx	\$75,151.40	\$16,694.21	\$7,686.72	\$433.77	(\$247.62)	\$50,584.32
Pimlico	\$135,359.00	\$29,065.98	\$9,934.57	\$868.15	(\$741.93)	\$96,232.23
Plainridge Racecourse	\$37,055.00	\$9,217.38	(\$5,903.98)	\$148.61	(\$69.96)	\$33,662.95
Poconos	\$88,131.40	\$21,119.23	(\$184.40)	\$511.30	(\$70.22)	\$66,755.49
Pompano Park	\$7,270.00	\$1,637.48	\$831.29	\$31.55	(\$0.61)	\$4,770.29
Prairie Meadows	\$117,026.60	\$22,438.22	\$785.28	\$771.05	(\$202.85)	\$93,234.90
Presque Isle Downs	\$158,591.90	\$32,578.77	\$13,104.21	\$953.60	(\$231.68)	\$112,187.00

	<b>Total Handle</b>	<b>Commission</b>	<b>Adjustment</b>	<b>Pos Break</b>	<b>Neg Break</b>	<b>Runner Pay</b>
Raceway	\$9,586.10	\$2,072.89	\$896.38	\$44.67	(\$1.35)	\$6,573.51
Remington Park	\$1,494.50	\$334.83	\$496.52	\$1.75	\$0.00	\$661.40
Retama Park	\$7,258.00	\$1,504.91	\$316.50	\$26.47	\$0.00	\$5,410.12
Rideau Carlton Raceway	\$15,683.00	\$4,026.18	(\$1,564.54)	\$18.38	(\$46.24)	\$13,249.22
River Downs	\$81,000.20	\$16,737.00	\$9,470.76	\$484.61	(\$1,548.29)	\$55,856.12
Rosecroft	\$257.00	\$49.94	\$33.23	\$0.02	(\$45.19)	\$219.00
Ruidoso Downs	\$4,927.90	\$1,132.71	\$491.18	\$15.34	(\$0.19)	\$3,288.86
Running Aces Harness Park	\$63,165.60	\$12,997.40	(\$8,343.88)	\$228.67	(\$115.28)	\$58,398.69
Saratoga Harness Raceway Night	\$26,962.20	\$6,062.80	(\$233.92)	\$89.53	(\$13.10)	\$21,056.89
Scarborough Downs	\$13,351.30	\$3,225.57	\$549.46	\$79.97	(\$21.51)	\$9,517.81
Scioto Downs - Night	\$110,718.60	\$23,875.54	\$8,779.04	\$438.92	(\$143.02)	\$77,768.12
Suffolk Downs	\$58,524.70	\$13,773.15	\$5,113.17	\$321.59	(\$136.10)	\$39,452.89
Summerside Raceway Night	\$156.00	\$46.98	\$69.02	\$0.05	(\$0.70)	\$40.65
Sunray Park and Casino	\$1,910.00	\$424.71	\$221.34	\$13.55	\$0.00	\$1,250.40
The Meadows	\$203,739.50	\$48,077.47	(\$21,787.14)	\$837.15	(\$591.38)	\$177,203.40
Tioga Downs	\$24,081.20	\$4,426.56	(\$3,481.28)	\$97.28	(\$42.30)	\$23,080.94
Vernon Downs	\$13,664.30	\$3,132.01	\$708.25	\$32.45	(\$0.11)	\$9,791.70
Woodbine-Thoroughbred	\$784,823.60	\$160,143.16	\$3,323.56	\$2,537.81	(\$805.31)	\$619,624.38
Yonkers	\$150,657.70	\$31,806.77	(\$19,299.94)	\$674.71	(\$181.33)	\$137,657.49
<b>Total:</b>	<b>\$15,339,659.60</b>	<b>\$3,152,441.33</b>	<b>\$400,559.43</b>	<b>\$70,102.55</b>	<b>(\$18,579.07)</b>	<b>\$11,735,135.45</b>