

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: October 29, 2019 at 1:30 PM

Place: Central Kentucky Riding for Hope, Conference Room, 4185 Walt Robinson Drive, Kentucky Horse Park, Lexington, KY

Members Present: Chairman Franklin S. Kling, Jr.; Vice-Chairman Mark Simendinger; Commissioner James Gatewood Bell, Jr.; Commissioner Stuart E. Brown, II, DVM; Commissioner Kerry T. Cauthen; Commissioner Kiki Courtelis; Commissioner Patrick A. Day; Commissioner Douglas A. Hendrickson; Commissioner Kenneth A. Jackson; Commissioner Brereton C. Jones, Jr.; Commissioner Foster Northrop, DVM; and Commissioner J. David Richardson, M.D.

Ex-officio Members Present: Secretary Don Parkinson, Tourism, Arts & Heritage Cabinet; Secretary K. Gail Russell, Public Protection Cabinet; and Acting Secretary Vivek Sarin, Cabinet for Economic Development

KHRC Staff Participating/Present: Marc A. Guilfoil, Executive Director; Jamie Eads, Deputy Executive Director and Director of Division of Incentives and Development; John L. Forgy, General Counsel; Shawn D. Chapman, Deputy General Counsel; Steve May, Director of Pari-Mutuel Wagering and Compliance; Bruce Howard, DVM, Interim Equine Medical Director and Chief Racing Veterinarian; and Barbara Borden, Chief State Steward

Others Participating: Mike Ziegler, Executive Director of Racing, Churchill Downs Incorporated

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
I. Call to Order and Roll Call	4 – 5	Meeting called to order and roll call performed by Chairman Kling. A quorum was recognized.	No action taken.
II. New Business Tab 1 Minutes from August 20, 2019	5 – 49 5 – 6	Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed minutes of the August 20, 2019 Commission meeting. Motion by Commissioner Northrop. Second by Commissioner Richardson. Ms. Eads presented the proposed minutes of the August 20, 2019 Commission meeting as outlined in the agenda materials.	Chairman Kling called for a vote to approve the proposed minutes as presented. Motion carried with no objections or abstentions.

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
<p>Tab 2 Churchill Downs' Proposed 2019 Fall Meet KTDF Allotments</p>	<p>6 – 7</p>	<p>Approval was recommended by KHRC staff.</p> <p>Chairman Kling requested a motion from the Commission to address a recommendation submitted by the Kentucky Thoroughbred Development Fund Advisory Committee (KTDF Advisory Committee) to approve the proposed KTDF purse structure for Churchill Downs' 2019 Fall meet. Motion by Commissioner Brown. Second by Commissioner Jones.</p> <p>Ms. Eads presented Churchill Downs' request dated October 10, 2019 as outlined in the agenda materials. Ms. Eads stated that at its October 14, 2019 meeting, the KTDF Advisory Committee approved recommendation of Churchill Downs' request.</p> <p>Approval of the KTDF Advisory Committee's recommendation was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented and to be retroactive to the beginning of the meet. Motion carried with no objections or abstentions.</p>
<p>Tab 3 Turfway Park's Proposed 2019 Holiday Meet & 2020 Winter Spring Meet KTDF Allotments</p>	<p>7 – 9</p>	<p>Chairman Kling requested a motion from the Commission to address the recommendation submitted by the KTDF Advisory Committee to approve the proposed KTDF purse structure for Turfway Park's 2019 Holiday and 2020 Winter Spring meets. Motion by Commissioner Day. Second by Commissioner Richardson.</p> <p>Ms. Eads presented Turfway Park's October 11, 2019 request and October 28, 2019 amended request as outlined in the agenda materials. Ms. Eads stated that at its October 14, 2019 meeting, the KTDF Advisory Committee approved recommendation of Turfway Park's October 11, 2019 request. She further stated that because there was no time to schedule a KTDF Advisory Committee meeting to consider the October 28, 2019 amended request, she did speak with all KTDF Advisory Committee members by telephone, and all were in favor of the amended request.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		Approval of the KTDf Advisory Committee's recommendation was recommended by the KHRC staff.	
Tab 4 Conditions of Racing Association Licensing for 2020	9 – 11	<p>Chairman Kling requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed conditions of racing association licensing for 2020. Motion by Commissioner Brown. Second by Commissioner Northrop.</p> <p>Mr. Forgy presented the proposed 2020 conditions of racing association licensing as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
Tab 5 Conditions to Conduct Historical Horse Races for 2020	11 – 12	<p>Chairman Kling requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed conditions to conduct historical horse races for 2020. Motion by Commissioner Richardson. Second by Commissioner Cauthen.</p> <p>Mr. Forgy presented the proposed 2020 conditions to conduct historical horse races as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
Tab 6 Racing Association Applications and Race Dates for 2020	12 – 21	<p>Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff to approve: 1) renewal of applications for a license to conduct live horse racing, simulcasting, and pari-mutuel wagering for 2020; and 2) the recommendations submitted by the KHRC Race Dates Committee (Committee). Motion by Commissioner Cauthen. Second by Commissioner Jackson.</p> <p>Mr. Forgy presented the applications received by the KHRC as outlined in the agenda materials. The Committee met on October 8, 2019 and voted on the following recommendations:</p>	<p>Chairman Kling called for four (4) separate votes:</p> <p>1) to approve the license applications and the recommendations submitted by the Committee to approve the proposed 2020 race dates and host status for</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>1) that the Commission approve the requested race dates and schedule of simulcasting for 2020 as submitted by Churchill Downs, Turfway Park, and Keeneland Association;</p> <p>2) that the Commission approve the requested race dates and schedule of simulcast signals for 2020 as submitted by WKY Development d/b/a Oak Grove Racing and Gaming (Oak Grove) with the simulcasting approval conditioned on Oak Grove obtaining consent of the Kentucky Harness Horsemen’s Association (KHHA) as required by statute; and</p> <p>3) that because Ellis Park and Kentucky Downs submitted race dates and simulcasting requests that overlapped and conflicted around the Labor Day holiday and they were unable to reach an agreement by the October 8, 2019 Committee meeting, the Committee voted on a recommendation for the Commission to award the undisputed race dates and schedule of simulcast signals for the year 2020 as requested by Ellis Park and Kentucky Downs and a compromise on awarding the disputed dates and schedule of simulcast signals. The Committee; however, encouraged the two tracks to continue negotiating toward a mutually acceptable resolution of their conflicting requests. An agreement was ultimately reached by Ellis Park and Kentucky Downs as outlined in the agenda materials and the Committee recommended that the previously disputed, but now agreed upon, dates be awarded instead of those that were originally recommended by the Committee.</p> <p>Approvals were recommended by KHRC staff.</p>	<p>Churchill Downs Racetrack, LLC, Keeneland Association, Inc., and Turfway Park, LLC subject to the conditions of racing association licensing for 2020 and the conditions to conduct historical horse racing for 2020 as documented in the meeting materials. Motion carried with no objections or abstentions.</p> <p>2) to approve the license application and the recommendation submitted by the Committee to approve the proposed 2020 race dates and host status for WKY Development, LLC doing business as Oak Grove Racing and Gaming, LLC, subject to the conditions of racing association licensing for 2020 and the conditions to conduct historical</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
		<p>4) Mr. Forgy stated that the Red Mile submitted a significant amendment to its requested dates just a few days prior to the Committee meeting. Because the Committee did not have time to review the amended request, action on the Red Mile's request at that time was deferred and the Committee did not meet again to consider the Red Mile's amended request. On October 24, 2019, the Red Mile submitted another amended dates request and a list of backstretch and grandstand improvements that it had committed to undertaking and completing before the 2020 meet begins. The KHRC staff recommended approval of awarding the race dates and simulcasting as requested, but further recommended that approval of simulcasting be conditioned on obtaining any consent of the KHHA required by statute and that the license be conditioned on the Red Mile's completion of the backstretch and grandstand improvements.</p> <p>Mr. Forgy also stated that Player's Bluegrass Downs' parent company, Caesars, had decided to close the racetrack, therefore, Caesars withdrew the application for 2020. Consequently, there was no application before the Commission and Players Bluegrass Downs' license would expire at the end of 2019.</p>	<p>horse racing for 2020 as documented the meeting materials, additionally in respect to simulcasting contingent upon obtaining any consent of the KHHA as required by KRS Chapter 230.377. Motion carried with no objections or abstentions.</p> <p>3) to approve the license applications and agreed-upon race dates and host status for Ellis Park Entertainment, LLC and Kentucky Downs, LLC subject to the conditions of racing association licensing for 2020 and the conditions of conducting historical horse racing for 2020 as documented in the meeting materials. Motion carried with no objections or abstentions.</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
		<p style="text-align: center; opacity: 0.5; font-size: 48px; transform: rotate(-30deg);">DRAFT</p>	<p>4) a conditional approval of the license application and recommendations from the KHRC staff to approve the proposed request of requested race dates for the Lexington Trots Breeders Association, LLC doing business as The Red Mile, subject to (1) the conditions of racing association licensing for 2020; (2) the conditions to conduct historical horse racing for 2020; and (3) completion of the backstretch and grandstand improvements proposed by The Red Mile in their October 11 and 25, 2019 correspondence to the KHRC to be completed by June 1, 2020. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>Tab 7 Ellis Park's Request to Approve Exacta Systems Historical Horse Racing Entertaining Game Theme</p>	<p>21 – 23</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Ellis Park to offer one new entertaining game theme that will operate on existing Exacta Systems historical horse racing pari-mutuel wagering pools. Motion by Commissioner Bell. Second by Commissioner Courtelis.</p> <p>Mr. May presented the request submitted by Ellis Park dated July 25, 2019 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 8 Turfway Park's Request to Approve Pick-3 Wager Calculation and Single 6 Wager</p>	<p>23 – 26</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Turfway Park, LLC to change the format of their Pick-3 wager and to offer Jackpot Pick-6 wager. Motion by Commissioner Brown. Second by Commissioner Cauthen.</p> <p>Mr. May presented the requests submitted by Turfway Park dated October 7, 2019 and October 11, 2019 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 9 Churchill Downs' Request to Add Historical Horse Racing Terminals at Churchill Downs</p>	<p>26 – 32</p>	<p>Chairman Kling requested a motion from the Commission to address a request submitted by Churchill Downs, LLC to approve 2 proposed amendments to its application for a license to conduct live racing, simulcasting, and pari-mutuel wagering: 1) to add an additional designated area to offer exotic wagering for historical horse races; and 2) to increase the number of permitted historical horse racing terminals. Motion by Commissioner Hendrickson. Second by Commissioner Richardson.</p> <p>Mr. May presented Churchill Downs' request dated October 11, 2019 as outlined in the agenda materials.</p>	<p>Chairman Kling called for a vote to approve Churchill Downs' request to offer exotic new wagering on historical horse races in the designated area of 700 Central Avenue location and to increase the allowable historical horse racing terminals to</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
		<p>Conditional approval was recommended by KHRC staff contingent upon the eleven (11) conditions as set out in the agenda materials.</p>	<p>3,000 as presented and contingent upon the eleven (11) conditions documented in the agenda materials. Motion carried with no objections or abstentions.</p>
<p>Tab 10 Churchill Downs' Request to Amend Historical Horse Racing Approval at Turfway Park</p>	<p>32 – 35</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Turfway Park, LLC to approve amendments to a previous Commission approval to offer pari-mutuel wagering on historical horse races in the designated area at Turfway Park. Motion by Commissioner Hendrickson. Second by Commissioner Bell.</p> <p>Mr. May presented Turfway Park, LLC's request dated October 11, 2019 as outlined in the agenda materials.</p> <p>Conditional approval was recommended by KHRC staff contingent upon the eight (8) conditions as set out in the agenda materials.</p>	<p>Chairman Kling called for a vote to approve Turfway Park, LLC's request to amend their previous approval to offer pari-mutuel wagering on historical horse races as documented in the agenda materials and increase their allowable historical horse racing terminals to 2,500 at a designated area at Turfway Park as presented and contingent upon the eight (8) conditions set out in the agenda materials. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
Tab 11 Turfway Park's 2019 Holiday Meet & 2020 Winter Spring Meet Race Officials & Date Preference System	35 – 37	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Turfway Park to approve the proposed roster of racing officials and date preference system for the 2019 Holiday meet and the 2020 Winter/Spring meet. Motion by Vice-Chairman Simendinger. Second by Commissioner Richardson.</p> <p>Ms. Borden presented Turfway Park's request dated October 8, 2019 as outlined in the agenda materials. All listed stewards are ROAP accredited and all officials have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
Tab 12 Reports a. Thoroughbred Rulings b. Standardbred Rulings c. Veterinary Division d. Pari-Mutuel Wagering Division e. Confidential – Litigation	37 – 49 37 – 39 39 39 – 44 44 – 48 48	<p>a. Ms. Borden presented the thoroughbred rulings report as outlined in the agenda materials. As an update to the report, Ms. Borden stated that the fines for Ruling Nos. 19-0066 and 19-0099 have been paid.</p> <p>b. Chairman Kling noted that the Standardbred ruling activity report was informational only.</p> <p>c. Dr. Howard presented the veterinary division report as outlined in the agenda materials.</p> <p>d. Mr. May presented the pari-mutuel wagering division report as outlined in the agenda materials.</p> <p>e. Litigation and Regulation report is for informational purposes only.</p>	<p>No action taken. Informational items only.</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
N/A	48 – 49	Chairman Kling commended and thanked all for their assistance in reviewing the submitted racetrack applications.	No action taken. Informational item only.
III. Adjournment	49 – 50	Chairman Kling requested a motion to adjourn. Motion by Commissioner Cauthen. Second by Commissioner Day. Meeting adjourned.	Chairman Kling called for a vote to adjourn. Motion carried with no objections or abstentions.

NOTE: The Agenda materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: December 9, 2019 at 1:30 PM

Place: Rolex Hospitality Room, 4089 Iron Works Parkway, Kentucky Horse Park, Lexington, KY

Members Present: Chairman Franklin S. Kling, Jr.; Vice-Chairman Mark Simendinger; Commissioner Larry Bisig; Commissioner Stuart E. Brown, II, DVM; Commissioner Kiki Courtelis; Commissioner Patrick A. Day; Commissioner James David Gates, Jr.; Commissioner Douglas A. Hendrickson; Commissioner Lesley Howard; Commissioner Kenneth A. Jackson; and Commissioner Brereton C. Jones, Jr.

Ex-officio Members Present: Secretary Don Parkinson, Tourism, Arts & Heritage Cabinet; Secretary K. Gail Russell, Public Protection Cabinet; and Acting Secretary Vivek Sarin, Cabinet for Economic Development

KHRC Staff Participating/Present: Marc A. Guilfoil, Executive Director; Jamie Eads, Deputy Executive Director and Director of Division of Incentives and Development; John L. Forgy, General Counsel; Shawn D. Chapman, Deputy General Counsel; Steve May, Director of Pari-Mutuel Wagering and Compliance; Bruce Howard, DVM, Interim Equine Medical Director and Chief Racing Veterinarian; and Barbara Borden, Chief State Steward

Others Participating: Richard Riedel, Executive Director, Kentucky Racing Health & Welfare Fund

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
I. Call to Order and Roll Call	4	Meeting of the Kentucky Horse Racing Commission (KHRC) called to order and roll call performed by Chairman Kling. A quorum was recognized.	No action taken.
II. New Business Tab 1 Minutes from October 8, 2019	5 – 87 5 – 6	Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed minutes of the October 8, 2019 Commission meeting. Motion by Commissioner Brown. Second by Commissioner Day. Ms. Eads presented the proposed minutes of the October 8, 2019 Commission meeting as outlined in the agenda materials.	Chairman Kling called for a vote to approve the proposed minutes as presented. Commissioner Gates abstained. Motion carried with no objections.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>Tab 2 2020 Meeting Schedule and Calendar</p>	<p>6 – 7</p>	<p>Approval was recommended by KHRC staff.</p> <p>Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed 2020 meeting dates of the KHRC. Motion by Commissioner Courtelis. Second by Commissioner Jones.</p> <p>Chairman Kling presented the proposed schedule of 2020 meeting dates for the KHRC as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 3 2020 Kentucky Sire Stakes Purse Distributions</p>	<p>7 – 9</p>	<p>Chairman Kling requested a motion from the Commission to address the recommendations submitted by the Kentucky Standardbred Development Fund/Kentucky Standardbred Breeders’ Incentive Fund Advisory Panel (KSDF/KSBIF Advisory Panel) to approve the proposed 2020 purse distribution and marketing expenditures. Motion by Commissioner Jackson. Second by Commissioner Brown.</p> <p>Mr. Eads presented the KSDF/KSBIF Advisory Panel’s recommendation as outlined in the agenda materials. Ms. Eads stated that at its September 10, 2019, the KSDF/KSBIF Advisory Panel approved recommendation of the request.</p> <p>Approval of the KSDF/KSBIF Advisory Panel’s recommendation was recommended by the KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 4 Kentucky County Fairs: Release of Uncashed Ticket Money for Purse Distributions</p>	<p>9 – 11</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed use of the 2019 Standardbred unclaimed pari-mutuel ticket fund for harness racing purses at the county fairs as required by KRS 230.398. Motion by Commissioner Day. Second by Commissioner Courtelis.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>the EDRC voted 6-2 in favor of the proposal. Approval was recommended by KHRC staff.</p> <p>Motion to approve filing of an amended regulation as presented as an ordinary regulation or an emergency regulation accompanied by the ordinary regulation by Commissioner Hendrickson. Second by Commissioner Day.</p> <p>46 – 48 (2) Consideration of extending the withdrawal time for NSAIDs from 24 to 48 hours. Additionally, the presence of more than one NSAID in a post-race sample would be a violation. At the EDRC's October 28, 2019 meeting, the EDRC voted 8 to 0 in favor of the proposal. Approval was recommended by KHRC staff.</p> <p>48 – 49 (3) Consideration of corticosteroid stand down time of 14 days. The proposal would change the stand down period following an intra-articular (IA) corticosteroid injection to 14 days and prohibit stacking multiple corticosteroids. At the EDRC's October 28, 2019 meeting, the EDRC voted 6 to 2 in favor of the proposal. Approval was recommended by KHRC staff.</p> <p>49 – 51 (4) Consideration of limiting the use of bisphosphonates. The proposal would make the detection of bisphosphonates in horses younger than for (4) years old a violation and place the horse on the Veterinarian's List for six (6) months. Additionally, the use of bisphosphonates in horses four (4) years and older would be limited to FDA approved usage and require reporting of the treatment to the KHRC's veterinarian within twenty-four (24) hours of administration and place the horse on the Veterinarian's List for six (6) months. At the EDRC's October 28, 2019 meeting, the EDRC</p>	<p>(2) Chairman Kling called for a vote to approve filing of the amendment to extend the withdrawal time for NSAIDs from 24 to 48 hours as presented and outlined in the agenda materials as either an emergency regulation and/or an ordinary regulation. Motion carried with no objections or abstentions.</p> <p>(3) Chairman Kling called for a vote to approve filing of the amendment for a 14-day stand down period following an IA corticosteroid injection as presented and outlined in the agenda materials as either an emergency regulation and/or an ordinary regulation. Motion carried with no objections or abstentions.</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
	51 – 53	<p>voted 7-0 in favor of the proposal. Approval was recommended by KHRC staff.</p> <p>(5) Consideration of eliminating therapeutic treatments on race day. The proposal would eliminate the use of electronic therapeutic treatments on race day, with the exception of nebulization. At the EDRC's October 28, 2019 meeting, the EDRC voted 8-0 in favor of the proposal. Approval was recommended by KHRC staff.</p>	<p>(4) Chairman Kling called for a vote to approve filing of the amendment to limit the use of bisphosphonates as presented and outlined in the agenda materials as either an emergency and/or ordinary regulation. Motion carried with no objections or abstentions.</p>
	53 – 54	<p>(6) Consideration of medical record requirements. The proposal would require that 14 days of equine medical records be presented at the time of entry, trainers would be required to maintain a clear and accurate record of any treatment administered, and all medical records would be required to be transferred to each subsequent owner and trainer of a horse. At the EDRC's October 28, 2019 meeting, the EDRC voted 8-0 in favor of the proposal.</p>	<p>(5) Chairman Kling called for a vote to approve the elimination of electronic therapeutic treatments as presented and outlined in the agenda materials as either an emergency and/or ordinary regulation. Motion carried with no objections or abstentions.</p>
	54 – 57	<p>(7) Consideration of requirement of examination by attending veterinarians prior to entry. The proposal by KHRC staff would require an attending veterinarian to evaluate a horse within three (3) days prior to entry and provide documentation that, in the veterinarian's opinion, the horse is fit and sound to run. Examinations would include at a minimum watching the horse jog. If the attending veterinarian deems diagnostic tests are necessary to evaluate the horse, those results must be submitted to the regulatory veterinarian no later than the day before the horse is to start. At the SWC's October 29, 2019 meeting, the SWC voted 5 to 0 in favor of the proposal. Approval was recommended by KHRC staff.</p>	<p>(6) Chairman Kling called for a vote to approve the proposed equine medical</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
			<p>C penalty as presented and outlined in the agenda materials. Motion carried with no objections or abstentions.</p> <p>Chairman Kling called for a vote to approve granting KHRC staff the leave to make technical changes to the approved drafts of the regulatory proposals as presented. Motion carried with no objections or abstentions.</p>
N/A	60	10 MINUTE BREAK OFF THE RECORD	N/A
<p>Tab 7 Proposed Conditions of Racing Association Licensing for 2020</p>	60 – 62	<p>Upon returning from the break, Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed conditions of racing association licenses for 2020. Motion by Commissioner Brown. Second by Commissioner Day.</p> <p>Mr. May presented the request as outlined in the agenda materials. He noted that the Commission approved the 2020 conditions of racing at its October 29, 2019 meeting. However, an omission in that previously approved version was discovered and has been amended.</p> <p>Approval of the amended version was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>Tab 8 Requested Approval of Advance Deposit Account Wagering Licenses for 2020</p>	<p>62 – 65</p>	<p>Absent an objection, Chairman Kling requested a single motion from the Commission to address the request for approval of the submitted renewal applications for the eight (8) Advance Deposit Account Wagering (ADW) license applications for 2020. Motion by Commissioner Bisig. Second by Vice-Chairman Simendinger.</p> <p>Mr. May presented the 2020 ADW renewal applications to provide account deposit wagering services in the Commonwealth of Kentucky submitted by AmWest Entertainment; eBet Technologies, Inc.; Lien Games Racing, LLC; NYRABets, LLC; ODS Technologies, L.P. d/b/a TVG Network; Churchill Downs Technology Initiatives Company d/b/a Twin Spires; Watch and Wager, LLC; and Xpressbet, LLC as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the ADW renewal license applications submitted by AmWest Entertainment, eBet Technologies, Inc., Lien Games Racing, NYRABets, TVG Network, Twin Spires, Watch and Wager, and Xpressbet as presented, subject to the conditions of advance deposit account wagering licensing for 2020. Motion carried with no objections or abstentions.</p>
<p>Tab 9 Requested Approval of Totalizator Licenses for 2020</p>	<p>65 – 67</p>	<p>Absent an objection, Chairman Kling requested a single motion from the Commission to address the request for approval of the three (3) submitted renewal license applications received to provide tote services for 2020. Motion by Commissioner Bisig. Second by Commissioner Gates.</p> <p>Mr. May presented the 2020 totalizator renewal applications to provide tote services in the Commonwealth of Kentucky submitted by AmTote International, Sportech Racing, and United Tote as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the totalizator renewal license applications for 2020 submitted by AmTote International, Sportech Racing, and United Tote. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>Tab 10 Keeneland Red Miles's Request to Approve PariMAX Version 3.17</p>	<p>67 – 69</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by KRM Wagering LLC (KRM) to approve offering PariMAX Version 3.17 of the PariMAX wagering system. Motion by Commissioner Jackson. Second by Commissioner Hendrickson.</p> <p>Mr. May presented KRM's request dated October 10, 2019 as outlined in the agenda materials.</p> <p>Conditional approval was recommended by KHRC staff contingent upon the completion of the three (3) conditions as set out in the agenda materials.</p>	<p>Chairman Kling called for a vote to approve the request as presented and contingent upon the three (3) conditions set out in the agenda materials. Motion carried with no objections or abstentions.</p>
<p>Tab 11 Kentucky Downs' Request to Amend Historical Horse Racing Approval</p>	<p>69 – 72</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Kentucky Downs to increase the total number of authorized historical horse racing pari-mutuel wagering terminals to 2,000. Motion by Vice-Chairman Simendinger. Second by Commissioner Hendrickson.</p> <p>Mr. May presented Kentucky Downs' request dated November 18, 2019 as outlined in the agenda materials. He noted the request would amend the Commission's June 19, 2019 approval from "up to 1,200 historical horse racing pari-mutuel wagering terminals" to 2,000. Vice-Chairman Simendinger noted a discrepancy in the agenda materials of an increase of 2,000 or 2,500. Mr. May confirmed that the accurate number requested was a maximum of 2,500.</p> <p>Chairman Kling then called for a motion to correct the previous motion to approve 2,500 (instead of 2,000) historical horse racing pari-mutuel wagering terminals. Motion by Vice-Chairman Simendinger. Second by Commissioner Hendrickson.</p>	<p>Chairman Kling called for a vote to approve the request as presented and contingent upon the ten (10) conditions set out in the agenda materials. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>Conditional approval was recommended by KHRC staff contingent upon the completion of the ten (10) conditions as outlined in the agenda materials.</p>	
<p>Tab 12 Ellis Park's Request to Amend Historical Horse Racing Approval</p>	<p>73 – 75</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Ellis Park to increase the total number of authorized historical horse racing pari-mutuel wagering terminals to 2,000. Motion by Commissioner Brown. Second by Commissioner Courtelis.</p> <p>Mr. May presented Ellis Park's request dated November 21, 2019 as outlined in the agenda materials.</p> <p>Conditional approval was recommended by KHRC staff upon the completion of the ten (10) conditions as outlined in the agenda materials.</p>	<p>Chairman Kling called for a vote to approve the request as presented and contingent upon the ten (10) conditions set out in the agenda materials. Motion carried with no objections or abstentions.</p>
<p>Tab 13 Ratification of Executive Director Conditional Approval of Oak Grove's Proposed 2019 Race Meet Officials</p>	<p>75 – 77</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff for ratification of the KHRC Executive Director's approval of the request submitted by Oak Grove Racing and Gaming of its proposed roster of racing officials for the 2019 harness meet. Motion by Commissioner Jackson. Second by Commissioner Brown.</p> <p>Ms. Borden presented Oak Grove's request dated October 18, 2019 and the Executive Director's approval of the request dated November 8, 2019 conditioned on ratification by the Commission and as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to ratify the Executive Director's approval of the request as presented. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>d. Pari-Mutuel Wagering Division</p> <p>e. Confidential – Litigation</p>	<p>82 – 84</p> <p>84 – 87</p> <p>87</p>	<p>c. Dr. Howard presented the veterinary division report as outlined in the agenda materials.</p> <p>d. Mr. May presented the pari-mutuel wagering division report as outlined in the agenda materials.</p> <p>e. Litigation and Regulation report is for informational purposes only.</p>	
<p>III. Executive Session</p> <p>Eferstein et al vs. KHRC</p>	<p>87 – 89</p> <p>87 – 88</p> <p>88</p> <p>88</p> <p>88</p>	<p>Chairman Kling requested a motion from the Commission to go into closed session for the following reasons: 1) to deliberate in its role as a judicial or quasi-judicial body regarding individual adjudication pursuant to KRS 61.810(1)(j); 2) to discuss proposed or pending litigation against or on behalf of the agency as permitted under KRS 61.810(1)(c); and 3) to conduct discussions which might lead to the appointment of an individual, employee, as permitted under KRS 61.810(1)(f). Motion by Commissioner Brown. Second by Commissioner Bisig.</p> <p><u>CLOSED SESSION</u></p> <p>Chairman Kling requested a motion from the Commission to adjourn from closed session to open session. Motion by Commissioner Day. Second by Commissioner Courtelis.</p> <p><u>RETURN TO OPEN SESSION</u></p> <p>Upon returning to closed session, Chairman Kling noted for the record that Commissioner Bisig had left the meeting.</p>	<p>Chairman Kling called for a vote for the Commission to go into closed session. Motion carried with no objections or abstentions.</p> <p>Chairman Kling called for a vote for the Commission to adjourn from closed session to open session. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	89	Chairman Kling requested a motion in the matter of <i>Eferstein, et al. v. KHRC</i> to adopt the Hearing Officer's recommended order subject to the KHRC's Exceptions as outlined in the agenda materials. Motion by Commissioner Hendrickson. Second by Commissioner Day.	Chairman Kling called for a vote to adopt the Hearing Officer's recommended order as presented and subject to the KHRC's Exceptions. Motion carried with no objections or abstentions.
IV. Adjournment	89 – 90	Chairman Kling requested a motion to adjourn. Motion by Commissioner Courtelis. Second by Commissioner Day. Meeting adjourned.	Chairman Kling called for a vote to adjourn. Motion carried with no objections or abstentions.

NOTE: The Agenda materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, KHRC
DATE: January 30, 2020
SUBJECT: KTDF PURSE STRUCTURE – KEENELAND SPRING MEET

On January 28, 2020, the KTDF Advisory Committee considered the following request from Keeneland with its KTDF purse structure for the 2020 spring meet.

KHRC staff confirms that at the end of the 2019 fall meet, Keeneland had a surplus of \$51,253. Keeneland is proposing KTDF purses of approximately \$1.3 million. Keeneland projections are based on a payout of 81% of the amount carded, daily earnings of \$17,956 and KRM earnings of approximately \$865,000.

Keeneland will pay out KTDF funds through last place and in the event of a dead heat, the KTDF portion will be handled the same as the purse.

The KTDF Advisory Committee recommends approval.

COMMISSION ACTION

_____ Approved
_____ Deferred
_____ Denied



KEENELAND

Keeneland Association, Inc.
4291 Versailles Road
Lexington, KY 40510
PO Box 1690
Lexington, KY 40588-1690
859 254-3112 Tel
800 456-3112
859 288-4347 Fax
www.keeneland.com

January 24, 2020

KTDF Committee of the
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Lexington, KY 40511

Dear Committee Members:

Keeneland has reviewed our KTDF data and would like to offer approximately \$1,313,200 in KTDF money for our upcoming April meet.

1. Assuming handle remains near flat, we are projecting approximate daily earnings of \$17,956;
2. We have a surplus of \$51,253 following the Fall 2019 race meeting;
3. We are assuming a payout percentage of approximately 81% of the amount carded;
4. We are projecting total KRM earnings of approximately \$865,000;
5. We anticipate that KTDF purses will be approximately 20.3% of the purses for the races that are eligible for KTDF.

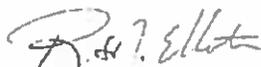
We remain committed to offering competitive purses while also prudently managing our KTDF funds available. To that end we will increase both our KTDF and association purse amounts, with the goal of keeping our KTDF surplus at a comparable level in the future.

Keeneland will continue to pay out KTDF funds through last place. A table containing the payout percentage by field size is attached. In the event there is a dead heat for finish positions 1 thru 5, the KTDF percentage payout for each position will be aggregated and paid equally to each horse included in the dead heat. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

We will evaluate the earnings and payout percentages several days into our race meet and may need to make adjustments if our actual earnings and payout percentages are significantly different from our assumptions.

Please contact me if you have any questions or need additional information.

Sincerely,



Robert N. Elliston
Vice President of Racing and Sales

JAN 27 2020

KENTUCKY HORSE
RACING COMMISSION

Kentucky KTDF Enhancement Strategy

Horsemen with Kentucky-sired Kentucky-breds have over \$20 million more in purse money to run for in designated stakes, handicap, allowance, nonclaiming maiden races, and allowance optional claiming races with a claiming price of not less than twenty-five thousand dollars (\$25,000) contested at Thoroughbred racetracks in Kentucky.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5)(d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

Mrs. Jenny McGaughey, Director of KTDF Registration
Kentucky Thoroughbred Owners and Breeders
4079 Iron Works Parkway
Lexington, Kentucky 40511
(T) 859-259-1643
(M) 859-361-6002

Registration may be completed online at www.kta-ktob.com. The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

60%.....to the winner
20%..... to second
10%.....to third
5%..... to fourth
3%.....to fifth
Remaining 2% divided evenly among starters.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

JAN 27 2020
KENTUCKY HORSE
RACING COMMISSION

Keeneland KTDF Split Structure

Finish	# Starters									
	5	6	7	8	9	10	11	12	13	14
1st	62.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%
3rd	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%
4th	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
5th	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
6th		2.00%	1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
7th			1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
8th				0.66%	0.50%	0.40%	0.33%	0.29%	0.25%	0.22%
9th					0.50%	0.40%	0.33%	0.29%	0.25%	0.22%
10th						0.40%	0.33%	0.28%	0.25%	0.22%
11th							0.33%	0.28%	0.25%	0.22%
12th								0.28%	0.25%	0.22%
13th									0.25%	0.22%
14th										0.22%
	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

JAN 27 2020

**KENTUCKY HORSE
RACING COMMISSION**

Keeneland KTDF Enhancement Strategy

Live Racing:

Keeneland actively markets to multiple local and regional markets to attract live racing customers. We continually evaluate new wagers that are in demand in other jurisdictions to ensure we have a very competitive wagering menu

Simulcast:

Simulcast handle remains a flat to declining proposition, however, at KRM, alongside our HHR partners Red Mile, we continue to drive additional entertainment events to draw new trial for potential wagering customers, including simulcast.

Historical Horse Racing

KRM continues to demonstrate strong year-to-year growth. Specific investments in our physical plant and new offerings of machines are resulting in dramatic growth. We have also increased our marketing spend to draw more patronage, which we are hopeful will continue to drive additional gains.

JAN 27 2020

KENTUCKY HORSE
RACING COMMISSION



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, KHRC
DATE: January 30, 2020
SUBJECT: KTDF PURSE STRUCTURE – CHURCHILL DOWNS’ SPRING MEET

On January 28, 2020, the KTDF Advisory Committee considered the following request from Churchill Downs with its KTDF purse structure for the 2020 spring meet.

KHRC staff confirms that at the end of the 2019 fall meet, Churchill Downs had a surplus of \$733,736.68. Churchill Downs is proposing KTDF purses of approximately \$4-\$4.5 million. Churchill Downs’ projections are based on a payout of 86.5% of the amount carded, daily earnings of \$78,098, which includes earnings from wagers on historical horse racing at Derby City Gaming.

Churchill Downs will pay out KTDF funds through last place and in the event of a dead heat, the KTDF portion will be handled the same as the purse.

The KTDF Advisory Committee recommends approval.

COMMISSION ACTION

_____ Approved
_____ Deferred
_____ Denied





January 24, 2020

KTDF Advisory Committee of the
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Building B
Lexington, Kentucky 40511

Committee Members:

Churchill Downs has reviewed our purses and would like to card KTDF purses in the range of \$4.0 million to \$4.5 million for our upcoming Spring Meet. We have based the range on the following information:

1. Churchill Downs had a surplus balance of \$733,736.68 at the end of our 2019 Fall Meet.
2. Our 2020 Spring Meet will consist of 38 racing days beginning on Saturday, April 25th through Saturday, June 27th. We are projecting daily earnings of \$78,098 which is \$54,342 or -41% below the \$132,440 earned in 2019. The primary driver is the KTDF earned from pari-mutuel wagering from Derby City Gaming LLC ("Derby City") that was allocated to Turfway Park from the beginning of December 2019 through the end of March 2020.
3. For Spring 2020, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$3.9 million which is \$0.5 million lower than the \$4.4 million paid during the Spring 2019 Meet. The primary driver of the decrease is the KTDF earnings from Derby City used for purses paid at Turfway Park for both the 2019 Holiday and 2020 Winter / Spring Meet.
4. To encourage participation during the Spring Meet, we are again planning to pay KTDF purses from first to last to all eligible horses.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman
Vice President, Racing & Racing Secretary

Thomas Minneci
Senior Director of Finance

JAN 24 2020

KENTUCKY HORSE
RACING COMMISSION



Additional Information Required for Approval of KTDF in 2020

In response to the KTDF Advisory Board's request for information, Churchill Downs Racetrack has made the following updates and efforts to increase Thoroughbred Development Fund generation:

- Live Racing
 - Nearly \$170 million in major capital improvements from 2010 through 2018 to enhance the customer experience
 - 2010 - Permanent Lighting for Track
 - 2013 - Paddock Plaza and The Mansion
 - 2014 - Big Board and Grandstand Pavilion
 - 2015 - Winners Circle Suites and The Courtyard
 - 2016 - Stakes Room and Turf Club
 - 2017 - Loft at Aristides Lounge and 2nd Floor Clubhouse
 - 2018 - Starting Gate Suites and Colonnade, Parking, and Transportation Project
 - 2018–2019 Improvements ... \$13.3 million capital investment
 - Starting Gate Suites Rooftop Lounge
 - New Infield Gate
 - Completion of Colonnade Wall from Paddock Gate to Clubhouse Gate
 - 2020 and 2021
 - Renovation of Millionaires Row 6 ... \$11 million investment for 2020 Derby
 - Invest \$300 million to build a hotel, historical racing machine ("HRM") facility, and permanent stadium seating ... November 2021 targeted completion
 - Results for the 2019 Fall Meet Meet
 - All Sources Handle was \$125.5 million which represents an increase of \$19.1 million (or +17.9%) compared to prior year
 - Attendance for the Meet was 106,609 which was above prior year 17,826 (or +20.1%)
 - Number of starters per race was 8.90 which was flat to prior year
 - Total purses paid was \$18.6 million which represents an increase of \$7.2 million (or +62.4%) compared to prior year
 - KTDF purses paid was \$3.0 million which represents an increase of \$2.2 million (or 252%) compared to prior year
 - Average total purses paid per day was \$746 thousand which represents an increase of \$141 thousand (or +23.4%) compared to prior year
 - There were 250 claims during this Fall Meet compared to 147 last year
- Simulcasting
 - 2012 – Invested over \$4 million in the Parlay which is our dark time simulcast facility
 - 2017 - \$16 million capital investment in the 2nd Floor Clubhouse and refresh of the Aristides Lounge which is our live racing simulcast facility
 - From the end of the Fall 2019 Meet until Sunday, January 19, 2020, wagering on thoroughbred racing was \$2.9 million which has generated \$57,228 in KTDF

JAN 24 2020

KENTUCKY HORSE
RACING COMMISSION

- Compared to last year, our daily average wagering is \$13,743 (or -14%) lower than prior year. We had one less simulcast day (35 days vs. 36 days last year) and our average handle per patron is down 9%.
- Historical Horse Racing
 - Invested \$65 million in Derby City Gaming facility
 - From opening day in September 2018 through December 31, 2019, Derby City Gaming has generated \$9.5 million in KTDF
 - Average daily handle at Derby City Gaming has grown from \$1.35 million in September 2018 to \$3.44 million in December 2019 (156% growth)
 - Here is the average daily handle by month since its opening
 - September 2018 – \$1.35 million (20 days)
 - October 2018 - \$1.45 million
 - November 2018 - \$1.66 million
 - December 2018 - \$1.87 million
 - January 2019 - \$1.92 million
 - February 2019 – \$2.69 million
 - March 2019 - \$2.99 million
 - April 2019 - \$2.76 million
 - May 2019 - \$2.99 million
 - June 2019 - \$2.93 million
 - July 2019 - \$2.96 million
 - August 2019 - \$3.15 million
 - September 2019 - \$3.15 million
 - October 2019 - \$3.13 million
 - November 2019 - \$3.43 million
 - December 2019 - \$3.44 million

JAN 24 2020

KENTUCKY HORSE
RACING COMMISSION



CHURCHILL DOWNS

January 24, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission
 4063 Iron Works Parkway
 Building B
 Lexington, Kentucky 40511

JAN 24 2020

KENTUCKY HORSE
 RACING COMMISSION

Committee Members:

The following information will be included in Churchill Downs' 2020 Spring Condition Book related to the Kentucky Thoroughbred Development Fund (KTDF).

CHURCHILL DOWNS RACE TRACK KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF) ALLOCATION OF FUND BASED ON NUMBER OF STARTERS AND FINISH

For those horses that are registered and eligible according to the condition listed above, fund monies will be divided as follows: 60% to the winner, 20% to the second, 10% to the third, 5% to the fourth, 3% to the fifth and the remaining 2% to be allocated among sixth through last based on the schedule below.

Finish	Number of Starters									
	5	6	7	8	9	10	11	12	13	14
1st	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%
3rd	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%
4th	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
5th	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
6th		2.00%	1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
7th			1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
8th				0.66%	0.50%	0.40%	0.33%	0.29%	0.25%	0.22%
9th					0.50%	0.40%	0.33%	0.29%	0.25%	0.22%
10th						0.40%	0.33%	0.29%	0.25%	0.22%
11th							0.33%	0.28%	0.25%	0.22%
12th								0.33%	0.28%	0.25%
13th									0.28%	0.25%
14th										0.25%
Total	98.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Example:

Date	Race	# of Starters	KTDF Offered
6/25/2017	10	11	\$7,400.00

KTDF:	Finish										
	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th
Eligible	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes
Payout	\$4,440.00	\$1,480.00	\$740.00	\$370.00	\$222.00	\$25.16	\$25.16	\$0.00	\$24.42	\$24.42	\$24.42
	KTDF Reverts										
	\$24.42										



CHURCHILL DOWNS

Example: Dead Heat for 2nd between an eligible and non-eligible horse using the above example.

	<u>2nd</u>	<u>3rd</u>	<u>Total</u>	
KTDF:				
Payout	\$1,480.00	\$740.00	\$2,220.00	---> Eligible horse would receive 50% of the Total for 2nd and 3rd pla
	<u>KTDF</u>	<u>KTDF</u>		
	<u>Payout</u>	<u>Reverts</u>		
	\$1,110.00	\$1,110.00		

THE KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)

Given the robust business during calendar year 2019, the state-of-the-art historical racing machines at nearby Derby City Gaming has generated \$8.1 million in KTDF for horsemen with Kentucky-sired Kentucky-breds to run for in designated stakes, handicap, allowance, non-claiming maiden races, and allowance optional claiming races with a claiming price of not less than twenty-five thousand dollars (\$25,000) contested at Churchill Downs Racetrack.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5) (d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

Mrs. Jenny McGaughey, Registrar
Kentucky Thoroughbred Owners and Breeders
4079 Iron Works Parkway
Lexington, Kentucky 40511
Phone: 859-259-1643
Mobile: 859-361-6002

Registration may be completed online at www.kta-ktob.com. The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

- 60%.....to the winner
- 20%.....to second
- 10%.....to third
- 5%.....to fourth
- 3%.....to fifth

JAN 24 2020

KENTUCKY HORSE
COMMISSION



CHURCHILL DOWNS

Remaining 2% divided among the starters based on the schedule listed above.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

PLEASE NOTE: ALL KTDF PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL.

If you have any questions or need additional information, please reach out to me or Ben Huffman.

Sincerely,

Thomas Minneci
Senior Director, Finance
Churchill Downs Race Track
700 Central Avenue
Louisville, KY 40208
Office: 502-638-3845
Cell: 502-424-9372
tom.minneci@kyderby.com

Ben Huffman
Vice President, Racing & Racing Secretary
Churchill Downs Race Track
700 Central Avenue
Louisville, KY 40208
Office: 502-638-3820
Fax: 800-928-3372
ben.huffman@kyderby.com



JAN 24 2020
KENTUCKY HORSE
RACING COMMISSION



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

TO: COMMISSION MEMBERS
FROM: Jamie Eads, KHRC
DATE: February 4, 2020
SUBJECT: BACKSIDE IMPROVEMENT FUND REQUESTS: CHURCHILL DOWNS, INC.

On January 31, 2020, the KHRC received the following five Backside Improvement Fund requests from Churchill Downs, Inc.

- Install asphalt for three (3) wash stalls located at 4520 Poplar Level Road. Estimated cost: \$19,100
- Repair/Resurface asphalt on the backside of 700 Central Ave. Estimated cost: \$7,100
- Install new heating and air conditioning units in the recreation center on the backside of 700 Central Ave. Estimated cost: \$40,000
- Install new heating and air conditioning unit in the guard shack at 4520 Poplar Level Road. Estimated cost: \$8,000
- Replace roofs on barn E and L at 4520 Poplar Level Road. Estimated cost: \$100,000

Total estimated cost: \$174,200

KRC staff confirms the projects meet the statutory requirements of KRS 230.3615 and 810 KAR 7:010 and the funding is available. KHRC staff will inspect the areas again once the projects are complete. All invoices, photographs and communication will remain on file for Commission review.

Staff recommends approval.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied



CHURCHILL DOWNS

INCORPORATED

January 30, 2020

Marc A. Guilfoil - Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511
Marc.Guilfoil@ky.gov
(859) 246-2040

Re: **Request for Approval of Backside Improvement Fund Project**

Dear Marc,

Churchill Downs Racetrack (CDRT) is requesting to use Backside Improvement Funds to install asphalt for three (3) wash stalls at 4520 Poplar Level Road. We have obtained competitive bids from three (3) vendors – 1) Libs Paving Co., Inc.; 2) Flynn Brothers Contracting Inc.; and 3) Louisville Paving and Construction. Based on the pricing, we have eliminated Louisville Paving and Construction from consideration for this work. Here is a comparison for the two vendors under consideration -

Libs Paving Co., Inc.

- Three (3) wash rack areas (12,475 sq. ft.)
 - Quoted Price - \$19,100

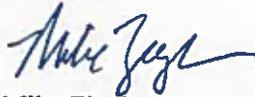
Flynn Brothers Contracting Inc.

- Three (3) wash racks
- Total Quoted Price - \$32,996

Based on the quotes listed above, CDRT is requesting \$19,100 to complete this work. I've attached the quotes from all three vendors for your review.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing Commission for reimbursement after the work has been completed.

Regards,



Mike Ziegler
Executive Director, Racing
Churchill Downs Incorporated
600 N. Hurstbourne Parkway, Suite 400
Louisville, KY 40222
Office: (502) 394-1137





6931 Atkins Road, Floyds Knobs, Indiana
Office: 812-944-8942

1/29/2020

Jamie Richardson
(502) 468-0805
Churchill Downs 700 Central Ave. Louisville KY 40208
United States

QT-2139

Project Info:
CHURCHILL DOWNS
Trackside Paving & Repairs - Spring 2020
4388 Breitenstein Ave Louisville KY

Rep: Jeff Libs

*We are happy to present to you the following proposal for work to be performed.
If you have any questions, please do not hesitate to contact us. Thank you!*

Repair approx. 6 areas throughout stable area at trackside totaling approx. 384 sq ft.

1. Mill out approx. 2" old asphalt, haul away spoils.
2. Broom and tack.
3. Apply 2" surface asphalt.

Total Price for this Service: \$1,500.00

Asphalt pave 3 wash rack areas as reviewed totaling approx. 12,475 sq ft.

1. Finish grade and roll stone.
2. Apply 2" surface asphalt.

Total Price for this Service: \$19,100.00

RECEIVED

JAN 31 2020

KENTUCKY HORSE
RACING COMMISSION

Price Breakdown: CHURCHILL DOWNS



Please find the following breakdown of all services we have provided in this proposal. This proposal originated on 1/29/2020. Quote Number: QT-2139

Item	Description	
1	Repair approx. 6 areas throughout stable area at trackside totaling approx. 384 sq ft.	\$1,500.00
3	Asphalt pave 3 wash rack areas as reviewed totaling approx. 12,475 sq ft.	\$19,100.00
Total:		\$20,600.00

Authorization to Proceed & Contract

1. By Signing I agree to all contract terms & conditions and scope of work outlined in this proposal.
2. I understand that if any additional work is required different than stated in this proposal/contract there will be additional charges.

Date: _____

Jamie Richardson
CHURCHILL DOWNS
Churchill Downs 700 Central Ave. Louisville KY
40208 United States
james.richardson@kyderby.com
C: (502) 468-0805

Jeff Libs
Jeff@Libspaving.com
C: (502) 558-2760
Libs Paving Company, Inc.
6931 Atkins Road
Floyds Knobs, IN 47119
P: 812-944-8942
F: 812-949-2806
<http://www.libspaving.com>





James Richardson
Churchill Downs
700 Central Ave.
Louisville Ky 40208
James.richardson@kyderby.com

Re: Spring 2020 Patching

1-28-2020

Work scope

Mill patch areas to a depth of 2" +/-.

Clean and apply tack coat.

Pave with Hot mix asphalt surface.

Churchill Downs 27 spots totaling approximately 320sy.

Track side 6 spots totaling approximately 44 sy.

Total \$13,864

~~Infield at CHD is 145sy or \$5,452~~

Track side 3 wash racks

Remove excess stone, to be stored on site.

Grade and compact. (assumes stone depth and stability necessary to support pavement)

Pave with 2" base asphalt and 1 1/2" surface. (drainage behind #2 wash rack may be an issue)

Total \$32,996

Combined total \$46,860

Please call with questions or concerns.

Ed Flynn

Flynn Brothers Contracting, Inc.

502-664-7443

eflynn@flynnbrothers.com

RECEIVED

JAN 31 2020

KENTUCKY HORSE
RACING COMMISSION



January 29, 2020

Attn: Jamie Richardson
Churchill Downs
700 Central Avenue
Louisville, KY 40208

RE: Patching

Louisville Paving and Construction Company is pleased to offer the following proposal for the above referenced project

Scope of Work

Churchill Downs

Mobilization

Mill 28 areas to a depth of 1 1/2" (21 Barn 7 Infield) approx. 355 SYS
Broom loose debris
Haul off millings and debris
Prime with SS 1h tack
Patch 28 areas with 1 1/2" of surface asphalt

Trackside

Mobilization

Mill 6 areas to a depth of 1 1/2" approx. 42 SYS
Excavate limestone around 3 wash racks to prepare for paving
Mill edge keys for tie in of existing asphalt
Shape and roll areas
Prime with SS 1h tack
Patch 6 areas with 1 1/2" of surface asphalt
Pave 3 wash rack areas with 2" of surface asphalt

Both Location – Lump Sum \$50,192.00

All limestone to be dumped on site

Quote does not include any extra undercut for possible soft conditions

Infield at Churchill Downs breakout \$7,607.00

Escalator: This quotation is based on the current price of liquid asphalt (\$496.43 per ton). We reserve the right to adjust our price to increases in the cost of liquid asphalt

Warranty: We guarantee all work against defects in material and workmanship for a period of (1) year from the date of installation. We do not guarantee against puddling where existing slope is less than 1.5%. We do not guarantee against reflective cracking.

Exclusions: Permits or fees, relocations of utilities, cut and fill due to unstable sub-base, scale wages, towing of cars

Continued on Next Page



www.louypaving.com

NAPA DIAMOND COMMENDATIONS
Diamond Achievement, Diamond Quality, Diamond Paving

KYDOT Certified – INDOT Certified – Bluegrass Testing Laboratory





connecting people to their lives

I appreciate the opportunity to bid on this project. Please call if you have any questions.

Sincerely

Joey Smith
Estimator/Project Manager
Cell 502-471-1114

Accepted _____ Date _____

RECEIVED
JAN 31 2020
KENTUCKY HORSE
RACING COMMISSION



www.loupaving.com

NAPA DIAMOND COMMENDATIONS
Diamond Achievement, Diamond Quality, Diamond Paving

KYDOT Certified – INDOT Certified – Bluegrass Testing Laboratory



CHURCHILL DOWNS

INCORPORATED

January 30, 2020

Marc A. Guilfoil - Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511
Marc.Guilfoil@ky.gov
(859) 246-2040

Re: **Request for Approval of Backside Improvement Fund Project**

Dear Marc,

Churchill Downs Racetrack (CDRT) is requesting to use Backside Improvement Funds to repair / re-surface asphalt on the backside at 700 Central Avenue and at 4520 Poplar Level Road. We have obtained competitive bids from three (3) vendors – 1) Libs Paving Co., Inc.; 2) Flynn Brothers Contracting Inc.; and 3) Louisville Paving and Construction. Based on the pricing, we have eliminated Louisville Paving and Construction from consideration for this work. Here is a comparison for the two vendors under consideration -

Libs Paving Co., Inc.

- Repair approximately 23-24 areas (1,630 sq. ft.) throughout backside at 700 Central Avenue
 - Quoted Price - \$5,600
- Repair approximately 6 areas (384 sq. ft.) throughout stable area at 4520 Poplar Level Road
 - Quoted Price - \$1,500
- Total Quoted Price - \$7,100

Flynn Brothers Contracting Inc.

- Repair approximately 27 spots (320 sy) throughout backside at 700 Central Avenue
- Repair approximately 6 spots (44 sy) throughout stable area at 4520 Poplar Level Road
- Total Quoted Price - \$13,864

Based on the quotes listed above, CDRT is requesting \$7,100 to complete this work for both locations. I've attached the quotes from all three vendors for your review.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing Commission for reimbursement after the work has been completed.

Regards,



Mike Ziegler
Executive Director, Racing
Churchill Downs Incorporated
600 N. Hurstbourne Parkway, Suite 400
Louisville, KY 40222
Office: (502) 394-1137





6931 Atkins Road, Floyds Knobs, Indiana
Office: 812-944-8942

1/29/2020

Jamie Richardson
(502) 468-0805

Churchill Downs 700 Central Ave. Louisville KY 40208
United States

QT-2138

Project Info:

CHURCHILL DOWNS

Churchill Downs - Repairs - Spring 2020 -
Revised

700 Central Ave Louisville KY

Rep: Jeff Libs

*We are happy to present to you the following proposal for work to be performed.
If you have any questions, please do not hesitate to contact us. Thank you!*

Repair approx. 23-24 areas throughout backside at Churchill Downs totaling approx. 1,630 sq ft.

1. Mill out approx. 2" old asphalt, haul away spoils.
2. Broom and tack.
3. Apply 2" surface asphalt.

Total Price for this Service: \$5,600.00

Repair 7 areas throughout infield area totaling approx. 1,080 sq ft.

1. Mill out approx. 2" old asphalt, haul away spoils.
2. Broom and tack.
3. Apply 2" surface asphalt.

Total Price for this Service: \$3,550.00

RECEIVED

JAN 31 2020

KENTUCKY HORSE
RACING COMMISSION

Price Breakdown: CHURCHILL DOWNS



Please find the following breakdown of all services we have provided in this proposal. This proposal originated on 1/29/2020. Quote Number: QT-2138

Item	Description	
3	Repair approx. 23-24 areas throughout backside at Churchill Downs totaling approx. 1,630 sq ft.	\$5,600.00
4	Repair 7 areas throughout infield area totaling approx. 1,080 sq ft.	\$3,550.00
Total:		\$9,150.00

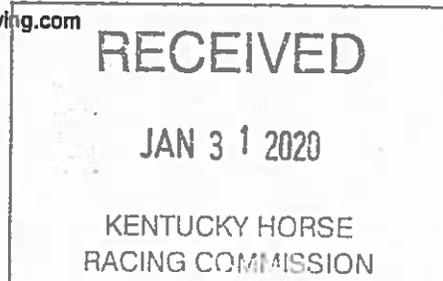
Authorization to Proceed & Contract

1. By Signing I agree to all contract terms & conditions and scope of work outlined in this proposal.
2. I understand that if any additional work is required different than stated in this proposal/contract there will be additional charges.

Date: _____

Jamie Richardson
CHURCHILL DOWNS
Churchill Downs 700 Central Ave. Louisville KY
40208 United States
james.richardson@kyderby.com
C: (502) 468-0805

Jeff Libs
Jeff@Libspaving.com
C: (502) 558-2760
Libs Paving Company, Inc.
6931 Atkins Road
Floyds Knobs, IN 47119
P: 812-944-8942
F: 812-949-2806
<http://www.libspaving.com>





6931 Atkins Road, Floyds Knobs, Indiana
Office: 812-944-8942

1/29/2020

Jamie Richardson
(502) 468-0805
Churchill Downs 700 Central Ave. Louisville KY 40208
United States

QT-2139

Project Info:
CHURCHILL DOWNS
Trackside Paving & Repairs - Spring 2020
4388 Breitenstein Ave Louisville KY

Rep: Jeff Libs

*We are happy to present to you the following proposal for work to be performed.
If you have any questions, please do not hesitate to contact us. Thank you!*

Repair approx. 6 areas throughout stable area at trackside totaling approx. 384 sq ft.

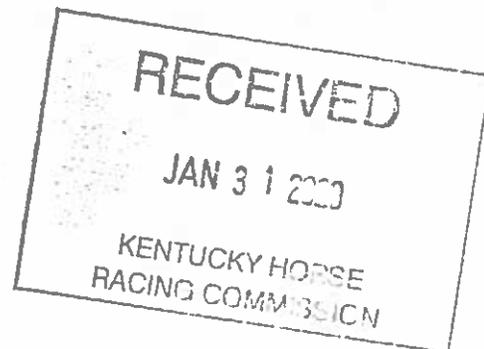
1. Mill out approx. 2" old asphalt, haul away spoils.
2. Broom and tack.
3. Apply 2" surface asphalt.

Total Price for this Service: \$1,500.00

Asphalt pave 3 wash rack areas as reviewed totaling approx. 12,475 sq ft.

1. Finish grade and roll stone.
2. Apply 2" surface asphalt.

Total Price for this Service: \$19,100.00



Price Breakdown: CHURCHILL DOWNS



Please find the following breakdown of all services we have provided in this proposal. This proposal originated on 1/29/2020. Quote Number: QT-2139

Item	Description	
1	Repair approx. 6 areas throughout stable area at trackside totaling approx. 384 sq ft.	\$1,500.00
3	Asphalt pave 3 wash rack areas as reviewed totaling approx. 12,475 sq ft.	\$19,100.00
Total:		\$20,600.00

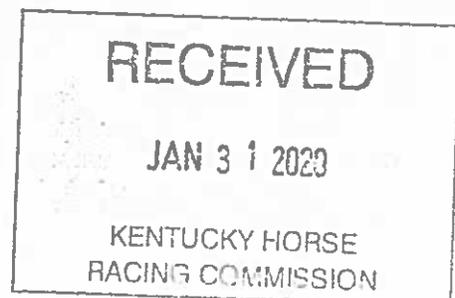
Authorization to Proceed & Contract

1. By Signing I agree to all contract terms & conditions and scope of work outlined in this proposal.
2. I understand that if any additional work is required different than stated in this proposal/contract there will be additional charges.

Date: _____

Jamie Richardson
CHURCHILL DOWNS
Churchill Downs 700 Central Ave. Louisville KY
40208 United States
james.richardson@kyderby.com
C: (502) 468-0805

Jeff Libs
Jeff@Libspaving.com
C: (502) 558-2760
Libs Paving Company, Inc.
6931 Atkins Road
Floyds Knobs, IN 47119
P: 812-944-8942
F: 812-949-2806
<http://www.libspaving.com>





James Richardson
Churchill Downs
700 Central Ave.
Louisville Ky 40208
James.richardson@kyderby.com

Re: Spring 2020 Patching

1-28-2020

Work scope

Mill patch areas to a depth of 2" +/-.

Clean and apply tack coat.

Pave with Hot mix asphalt surface.

Churchill Downs 27 spots totaling approximately 320sy.

Track side 6 spots totaling approximately 44 sy.

Total \$13,864

Infield at CHD is 145sy or \$5,452

Track side 3 wash racks

Remove excess stone, to be stored on site.

Grade and compact. (assumes stone depth and stability necessary to support pavement)

Pave with 2" base asphalt and 1 1/2" surface. (drainage behind #2 wash rack may be an issue)

Total \$32,996

Combined total \$46,860

Please call with questions or concerns.

Ed Flynn

Flynn Brothers Contracting, Inc.

502-664-7443

eflynn@flynnbrothers.com

RECEIVED

JAN 31 2020

KENTUCKY HORSE
RACING COMMISSION

AN EQUAL OPPORTUNITY EMPLOYER

FLYNN BROTHERS CONTRACTING INC.
4315 BISHOP LANE • SUITE A • LOUISVILLE, KY 40218





January 29, 2020

Attn: Jamie Richardson
Churchill Downs
700 Central Avenue
Louisville, KY 40208

RE: Patching

Louisville Paving and Construction Company is pleased to offer the following proposal for the above referenced project

Scope of Work

Churchill Downs

Mobilization

Mill 28 areas to a depth of 1 1/2" (21 Barn 7 Infield) approx. 355 SYS

Broom loose debris

Haul off millings and debris

Prime with SS 1h tack

Patch 28 areas with 1 1/2" of surface asphalt

Trackside

Mobilization

Mill 6 areas to a depth of 1 1/2" approx. 42 SYS

Excavate limestone around 3 wash racks to prepare for paving

Mill edge keys for tie in of existing asphalt

Shape and roll areas

Prime with SS 1h tack

Patch 6 areas with 1 1/2" of surface asphalt

Pave 3 wash rack areas with 2" of surface asphalt

Both Location – Lump Sum \$50,192.00

All limestone to be dumped on site

Quote does not include any extra undercut for possible soft conditions

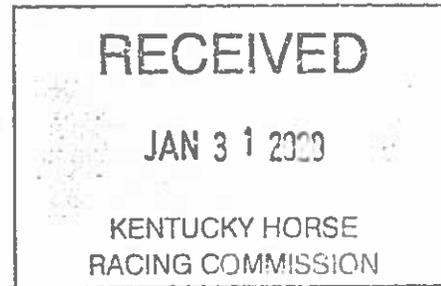
Infield at Churchill Downs breakout \$7,607.00

Escalator: This quotation is based on the current price of liquid asphalt (\$496.43 per ton). We reserve the right to adjust our price to increases in the cost of liquid asphalt

Warranty: We guarantee all work against defects in material and workmanship for a period of (1) year from the date of installation. We do not guarantee against puddling where existing slope is less than 1.5%. We do not guarantee against reflective cracking.

Exclusions: Permits or fees, relocations of utilities, cut and fill due to unstable sub-base, scale wages, towing of cars

Continued on Next Page

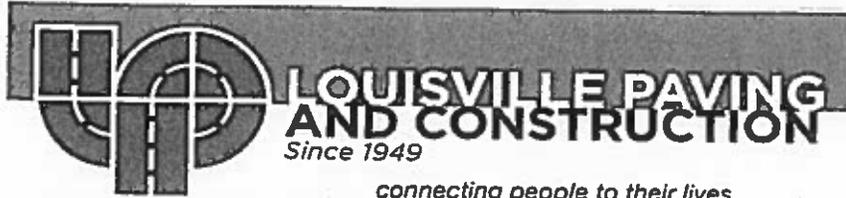


www.loupaving.com

NAPA DIAMOND COMMENDATIONS
Diamond Achievement, Diamond Quality, Diamond Paving

KYDOT Certified – INDOT Certified – Bluegrass Testing Laboratory





connecting people to their lives

I appreciate the opportunity to bid on this project. Please call if you have any questions.

Sincerely

Joey Smith
Estimator/Project Manager
Cell 502-471-1114

Accepted _____ Date _____

RECEIVED
JAN 31 2020
KENTUCKY HORSE
RACING COMMISSION



www.loupaving.com

NAPA DIAMOND COMMENDATIONS
Diamond Achievement, Diamond Quality, Diamond Paving

KYDOT Certified – INDOT Certified – Bluegrass Testing Laboratory



CHURCHILL DOWNS

INCORPORATED

January 30, 2020

Marc A. Guilfoil - Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511
Marc.Guilfoil@ky.gov
(859) 246-2040

Re: **Request for Approval of Backside Improvement Fund Project**

Dear Marc,

Churchill Downs Racetrack (CDRT) is requesting to use Backside Improvement Funds to install new heating and air conditioning units in the recreation center on the backside of track at 700 Central Avenue. We have obtained competitive bids from two (2) vendors – 1) Harshaw Trane; and 2) Book Heating and Cooling, Inc. Here is the scope of work that needs to be completed –

- Install two (2) 5-ton split systems and two (2) 120,000 btu/hr 80% furnaces in the existing mechanical room and on the roof
- Install new thermostats and twinning control for split systems
- Install required sheet metal to tie furnaces back into existing supply and return air ductwork
- Flush existing line-sets and connect to new equipment
- New PVC drains to existing floor drain
- Reconnect existing gas and electric
- New disconnects at all outdoor equipment

CDRT is requesting \$40,000 which includes a contingency for unforeseen costs for electrical, duct cleaning, new line-sets, and overtime labor. I've attached the quotes from both vendors for your review.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing Commission for reimbursement after the work has been completed.

Regards,



Mike Ziegler
Executive Director, Racing
Churchill Downs Incorporated
600 N. Hurstbourne Parkway, Suite 400
Louisville, KY 40222
Office: (502) 394-1137





HARSHAW TRANE PROPOSAL

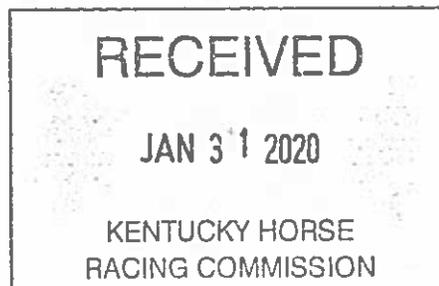
Prepared for: Churchill Downs
Date: October 2nd, 2019
Job Name: Churchill Downs Backside Rec Center
Job Location: Louisville, KY
Delivery Terms: Freight Allowed And Prepaid – F.O.B. Factory
Payment Terms: Net 30 Days – Submit Purchase Order To "Harshaw Trane".

EQUIPMENT DESCRIPTION

Split System Air Conditioning Units (Small) (Qty: 2)

Item	Qty	Description	Model Number
A1	2	5 Ton Unitary Split System	4TTR4060L1 / TUD1D120A9601 / 4TXCD010DS3HC

- Qty (2) Trane Split Systems
 - * Nominal 5-ton outdoor condensing unit (14 SEER Efficiency)
Voltage: 200 - 230 Volt 1 Phase 60 Hertz
 - *Natural Gas Furnace (80% Efficiency)
120,000 Heating input BTUH
Voltage: 115 Vol/1 phase/60 hertz
5-ton airflow cooling capacity
 - *Cased DX Cooling Coil
5-ton cooling capacity
TXV-Non bleed
 - *Trane electronic thermostat



Gas/Elec. Rooftop Unit (Qty: 1)

Item	Qty	Description	Model Number
B1	1	3.5 Ton Packaged RTU	4YCC4048

- Qty (1) Trane Packaged Gas/Electric Rooftop Unit R
 - Nominal 4 ton unit (14 SEER Efficiency)
 - VOLTAGE: 208-230/1
 - Natural gas heating, 90,000 Btu/h (80% Efficiency)
 - 1-2" Filter door
 - Hinged Filter Access Door
 - 0-100% Mod. economizer w/bar. relief
 - Reference enthalpy
 - Trane electronic thermostat

Services and Warranties provided by the Harshaw Trane:
First year whole unit parts and labor warranty
Extended 2nd thru 5th year compressor warranty (parts only, labor by others)
Mechanical start-up and unit checkout

SCOPE OF WORK: Provide material and/or labor to replace two (2) split systems and one (1) RTU:

- Properly remove and dispose of existing equipment.
- Install two (2) 5-ton split systems and two (2) 120,000btu/hr 80% furnaces in existing mechanical room and on roof supported by 4x4 wood.
- Install new thermostats and twinning control for split systems. (provided by others)
- Install required sheet metal to tie furnaces back into existing supply and return air ductwork.
- Flush existing line-sets and connect to new equipment.
- New PVC drains to existing floor drain.
- Set new curb adapter and rooftop unit on existing curb.
- Crane rental.
- Reconnect existing gas and electric.
- New disconnects at all outdoor equipment.
- Start and test system.

NOT IN SCOPE OF WORK:

- New mechanical equipment. All new equipment to be provided by Harshaw Trane.
- New line-sets.
- Certified air balance.
- Overtime labor.
- Anything not listed above.

Pricing does not include any shift work or over time hours All work must be completed during normal business hours.

Project Pricing:

Total Net Price.....\$ 37,067

Aaron Burgin
Project Developer
Harshaw Trane
12700 Plantside Drive
Louisville, KY 40299
Ph: 502-499-7000
Cell: 502-439-4928



James Richardson (CDRT)

From: support@bookheatcool.com
Sent: Thursday, January 23, 2020 3:01 PM
To: Steve Hargrave (CDRT); James Richardson (CDRT)
Subject: Rec Room Churchill Downs Estimate

****External Email****

DO NOT open attachments or click on links in emails from unexpected or unknown senders!

- (2) Trane 4 ton R-410a AC's
- (2) Trane Matching Acoils
- (2) Trane/Ameristar 100,000 BTU Gas Furnaces

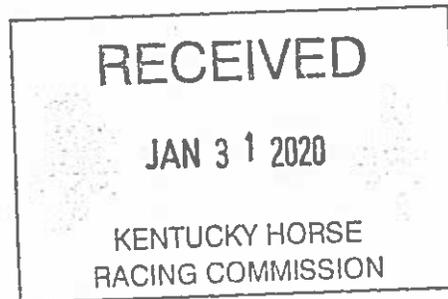
WARRANTY: 5 year dealer labor, 5 year compressor, 1 year parts (motors, capacitors, furnace control boards, etc.), 20 year heat exchanger.

Installation includes: sheet metal adaption to the existing duct system, high/low voltage-drain-flue- and gas line hook ups to the existing field supplied, existing equipment removal and disposal, system dehydration, all work to be performed by no less than (3) Kentucky journeyman and (1) Kentucky Master technician at any given time.

\$29,960.00

Price includes tax and labor
Price is good for 30 days

Joe Book
502-407-2236
812-923-9893



CHURCHILL DOWNS

INCORPORATED

January 30, 2020

Marc A. Guilfoil - Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511
Marc.Guilfoil@ky.gov
(859) 246-2040

Re: **Request for Approval of Backside Improvement Fund Project**

Dear Marc,

Churchill Downs Racetrack (CDRT) is requesting to use Backside Improvement Funds to install a new heating and air conditioning unit in the guard shack at 4520 Poplar Level Road. We have obtained competitive bids from three (3) vendors – 1) Book Heating and Cooling, Inc.; 2) Dauenhauer Heating & Air; and 3) Tom Drexler Plumbing, Air, and Electric. Here is the scope of work that needs to be completed –

- Install 2.5-ton air conditioning unit and 80,000 btu gas furnace
- Install new thermostat
- Removal and disposal of existing system
- Reconnect existing gas and electric
- System start-up and check

CDRT is requesting \$8,000 which includes a contingency for unforeseen costs for electrical, duct cleaning, new line-sets, and overtime labor. I've attached the quotes from all three vendors for your review.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing Commission for reimbursement after the work has been completed.

Regards,

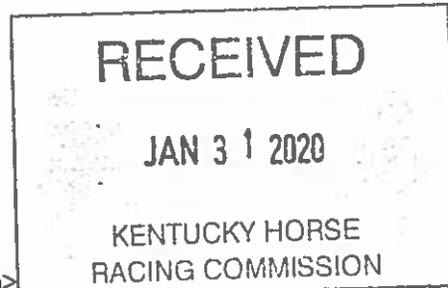


Mike Ziegler
Executive Director, Racing
Churchill Downs Incorporated
600 N. Hurstbourne Parkway, Suite 400
Louisville, KY 40222
Office: (502) 394-1137



James Richardson (CDRT)

From: Nick Cravens (CDRT)
Sent: Tuesday, January 28, 2020 8:30 AM
To: James Richardson (CDRT); Steve Hargrave (CDRT)
Subject: Fwd: Guard Shack Estimate



Sent from my iPhone

Begin forwarded message:

From: "Nick Cravens (CDRT)" <Nick.Cravens@kyderby.com>
Date: December 16, 2019 at 9:51:43 AM EST
To: "James Richardson (CDRT)" <James.Richardson@kyderby.com>, "Steve Hargrave (CDRT)" <Steve.Hargrave@kyderby.com>
Subject: Fwd: Guard Shack Estimate

Sent from my iPhone

Begin forwarded message:

From: support@bookheatcool.com
Date: December 10, 2019 at 1:44:28 PM EST
To: Nick Cravens <Nick.cravens@kyderby.com>
Subject: Guard Shack Estimate

****External Email****

DO NOT open attachments or click on links in emails from unexpected or unknown sources

Trane 2.5 ton XR14 A/C and Acoil \$6,186.00
M801 80,000 btu Gas furnace
1 year Trane part and 1 year dealer labor warranty

Installation includes: sheet metal adaption to the existing duct system, existing thermostat, high/low voltage-drain-gas line- and flue hook ups to the existing field supplied, system dehydration, existing equipment removal and disposal, and system start up and check.

Price includes tax and labor
Price is good for 90 days



3416 Robards Ct
Louisville, KY 40218
(502) 451-2436

Estimate 112284586
Job 1704616
Estimate Date 12/16/2019
Technician Rick Lewis
Customer PO

Remit Payment To:
817 Nandino Blvd
Lexington, KY 40511

Billing Address
Nick Cravens
4520 Poplar Level road
Louisville, KY 40213 USA

Job Address
Trackside
1360 Durrett Lane
Louisville, KY 40213 USA

Estimate Details

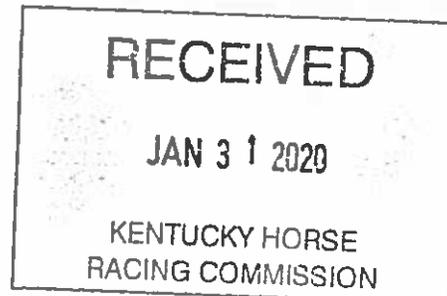
Option B (full system): Goodman
14 seer
2.5 ton AC

80% single stage
ECM FURNACE

Task #	Description	Quantity	Your Price	Your Total
14SG10	Install new Goodman AC system. -2.5 ton, single-stage 14 SEER AC -single speed 80% furnace This option includes: -new Wi-Fi, digital thermostat -removal and disposal of existing system -permits and inspections -cleanup after installation -one year membership in DHA Comfy Club -DHA Comfort Guarantee Warranties: -10 year manufacturer warranty on parts -10 year compressor warranty -Lifetime Heat Exchanger Warranty -1 year labor warranty Existing ductwork, drain lines, linesets, and accessories not covered under warranty. Under terms of warranty, routine scheduled service recommended to be performed on system annually.	1.00	\$6,746.81	\$6,746.81
FREE FURNACE 2019	Buy a full system and get the furnace for FREE!!! Limited availability based on manufacturer inventory. Cannot be combined with other offers. Previous purchases do not apply. Promotion expires December 20th.	1.00	-\$600.00	-\$600.00

Potential Savings	\$0.00
Sub-Total	\$6,146.81
Louisville 40213 0%	\$0.00
Total	\$6,146.81

Proposal valid for 30 days. Customer acknowledges that some HVAC equipment and ductwork may be contained in attics, crawl spaces, roofs, and other areas of the home or business that are difficult to access. Customer acknowledges that Dauenhauer Heating & Air ("Company"), using reasonable care, will not be liable for any damage to the home, business, or personal property, including but not limited to parking lots, sidewalks, roofs, or yards, therein arising out of the Company's access to, or attempt to access, such as with a lift or crane, any such HVAC equipment, generator, or ductwork, unless such damage is caused by the gross negligence of Company. In addition, Dauenhauer Heating & Air ("Company") will not be responsible for any additional structural support or sealing of roof penetrations needed due to roof top change-outs.





Tom Drexler Plumbing, Air & Electric
 3718 Bardstown Road
 Louisville, KY 40218
 (502)456-5982

BILL TO
 Derby City Gaming
 4520 Poplar Level road
 Louisville, KY 40213 USA

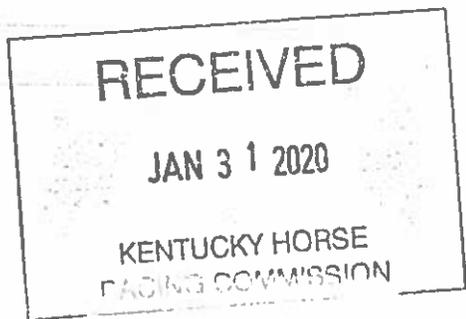
ESTIMATE 36191897	ESTIMATE DATE Dec 16, 2019
-----------------------------	--------------------------------------

JOB ADDRESS
 Derby City Gaming
 1360 Durrett Lane
 Louisville, KY 40213 USA

Job: 35967031

TASK	DESCRIPTION	QTY
HS0011	Thermostat Included: Thermostat Included with Purchase and Installation of HVAC equipment	1.00
HS0008	Permit and Haul Away of Old Equipment: Permit and Haul Away of Old Equipment	1.00
HS009	New Media Filter Box: Furnish and Install New Media Filter Box	1.00
HS0006	New Line Set and Pad: New Line Set and Pad	1.00
HS0007	New Electrical Disconnect Box: New Electrical Disconnect Box	1.00
HS0005	Full "Can't Write a Check" - 10 Year Warranty: Full "Can't Write a Check" - 10 Year Warranty	1.00
HS0010	Coil Included: Coil Included with Purchase and Installation of HVAC equipment	1.00
HS0001	10 Year Heat Exchanger Warranty: If your Heat Exchanger Falls within 10 Years, the Whole Unit Will Be Replaced.	1.00
HS0002	10 Year Compressor Warranty: 10 Year Compressor Warranty	1.00
TDPEQ145	80% SS UP ECM-603B	1.00

Goodman



TDPEQ256 2.5 Ton-Up to 14 Seer Air Conditioner

1.00

Goodman

POTENTIAL SAVINGS	\$0.00
SUB-TOTAL	\$8,110.00
TAX	\$0.00
TOTAL	\$8,110.00

Thank You for Calling the Plumber Whose Name is His Number!
CUSTOMER AUTHORIZATION

I have the authority to and hereby authorize Tom Drexler Plumbing Air & Electric technicians to perform the above described work for a total of \$8,110.00 and agree to submit payment in full upon satisfactory completion of described work.

Sign here

Date

RECEIVED

JAN 31 2020

KENTUCKY HORSE
RACING COMMISSION

CHURCHILL DOWNS

INCORPORATED

January 30, 2020

Marc A. Guilfoil - Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511
Marc.Guilfoil@ky.gov
(859) 246-2040

Re: **Request for Approval of Backside Improvement Fund Project**

Dear Marc,

Churchill Downs Racetrack (CDRT) is requesting to use Backside Improvement Funds to replace the roofs on Barn E and Barn L at 4520 Poplar Level Road. We have obtained a quote from HKC Roofing and Construction which contains the following scope of work –

- Complete removal of existing shingle roofs
- Inspect roof decking and replace any rotten or damaged wood
- Install new shingles, synthetic underlayment, ice and water shield all flashed areas, lomanco ridge ventilation, aluminum pre-painted drip edge, and aluminum step, wall, and counter flashings

Based on the attached quote from HKC Roofing and Construction, CDRT is requesting \$100,000 to complete the work on both barns. CDRT will competitively bid this project to at least two other vendors who perform this work to ensure we do not exceed the requested amount.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing Commission for reimbursement after the work has been completed.

Regards,



Mike Ziegler
Executive Director, Racing
Churchill Downs Incorporated
600 N. Hurstbourne Parkway, Suite 400
Louisville, KY 40222
Office: (502) 394-1137





5061 Poplar Level Rd * Louisville KY 40219 * Phone 502-212-1081 * Fax 502-333-0366
RoofYourHouse.com

Date: January 30, 2020

Client: Churchill Downs Trackside
1360 Durrett Ln.
Louisville, KY 40219

Project Name: Shingle Roof Replacements – Barns E & L

Based on specific project requirements, and as requested, we propose to furnish all necessary labor and materials for the above project.

Scope of Work:

- Complete tear off and disposal of existing shingle roofs
- Inspect roof decking after tear off and replace as necessary any rotten or damaged wood. Replacement wood is an additional charge and as follows: 4x8 sheet of 3/4" plywood \$65 each. 30 sheets of 3/4" plywood included in price for each barn. We will notify customer of any additional charges prior to moving forward with additional wood replacement.

The following items are to be installed new:

- CertainTeed Landmark Dimensional Shingles
- CertainTeed Starter Shingles
- CertainTeed Hip/Ridge Shingles
- Synthetic roofing underlayment
- Ice and water shield at all flashed areas
- Lomanco ridge ventilation
- Aluminum pre-painted drip edge
- Aluminum step, wall, and counter flashings (firewalls)

Included installation procedure:

- Snap chalk lines on roof deck, valleys, rake lines, and ridges
- Spray paint all plumbing and HVAC stacks a matching color
- Clean and sweep project area for nails with high-power magnet

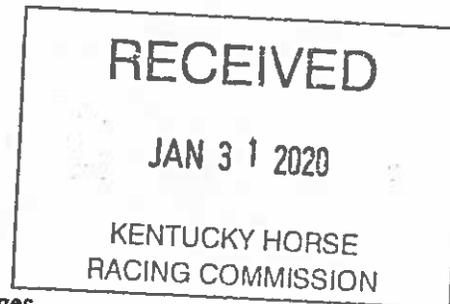
- All work will be performed at or above all manufacturers' specifications.
- All work will be performed per OSHA safety standards.

Barn E - \$49,430.00

Barn L - \$49,430.00

Total Roof Replacements - \$98,860.00

Warranty: HKC Roofing 5-year workmanship warranty. Manufacturer's 40-year warranty - see warranty brochure for specifications.





5061 Poplar Level Rd * Louisville KY 40219 * Phone 502-212-1081 * Fax 502-333-0366
RoofYourHouse.com

Payment Terms: 30% down, remainder due upon completion of work.

- Price is good for 30 days.
- Scope of work is limited to items specifically listed above.
- Acceptance of this proposal assumes that the contents and limitations listed herein will be considered as part of any written contract.

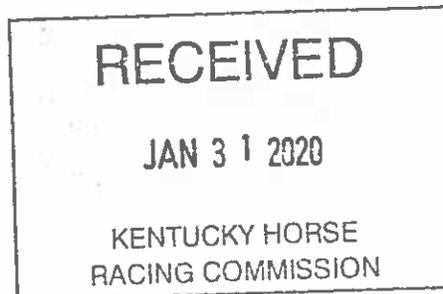
HKC ROOFING appreciates the opportunity to earn your business. If you have any questions regarding the information presented here, please feel free to contact Jason Hornung at 502-432-6557 or jasonhornung@hkcroofing.com

This agreement is subject to revision or withdrawal by HKC ROOFING until signed and accepted by client and executed by Officer of HKC ROOFING, LLC. This is the complete agreement between the two parties. No prior or contemporaneous oral agreement, and no other written agreements, except as listed above, shall be binding. The undersigned hereby accepts the agreement and agrees to be legally bound by all the terms and conditions set forth on the terms and conditions page. This agreement shall be governed in accordance with the laws of the state of KENTUCKY.

Shingle Color: Moire Black

Client Signature: _____

Date: _____





ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

To: Kentucky Horse Racing Commission

From: Steve May, Director of Pari-Mutuel Wagering and Compliance

Date: February 6, 2020

Re: Keeneland Request to Amend Super High 5 Wager Rules for Spring and Fall Race Meets

On January 16, 2020, the Kentucky Horse Racing Commission received a request from Keeneland Association, Inc. ("Keeneland") to approve an amendment to the Super High 5 wager rules for the Spring and Fall race meets at Keeneland in 2020.

In this request, Keeneland is seeking regulatory approval to amend the Super High 5 wager at Keeneland by:

- a) allowing Keeneland to create a "jackpot" structure for the carryover, in which the carryover amount is paid out to the holder of single, unique winning wager;
- b) allowing Keeneland to offer the Super High 5 wager on any eligible race, which Keeneland is defining as a race with 7 betting interest in the race; and
- c) allowing Keeneland to institute and announce a consolation pool as part of the wager. The rules describe how this would be implemented and notice would be given to wagering patrons.

As per the January 16, 2020 letter, Keeneland plans to offer these wagers during the Breeders' Cup World Championships, which will be held at Keeneland November 6 and 7, 2020. Keeneland is requesting to offer this wager for the spring meet to allow patrons an opportunity to familiarize themselves with the new wager and the rules surrounding the wager.

The proposed rule for the wager appear to be in order, and Kentucky Horse Racing Commission staff recommends approval of the request.



Keeneland Association, Inc.

4201 Versailles Road
Lexington, KY 40510
P.O. Box 1690
Lexington, KY 40588-1690
859 254-3412 Tel.
800 456-3412
859 288-4347 Fax
www.keeneland.com

January 15, 2020

Mr. Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Building B
Lexington, KY 40511

Dear Marc:

Keeneland Association, Inc. seeks approval from the Kentucky Horse Racing Commission for the following changes to the existing Super High Five wager:

The wager will be designated a jackpot wager, with the full amount only paid upon the existence of a single winning ticket. The wager will function as a carryover wager, with the carryover amount rolled forward to the next eligible race. The minimum will be 50 cents, and the wager will be offered on all Keeneland races with a minimum of seven (7) betting interests. The takeout will be 22%. Please see the attached for proposed rules.

This change was requested by Breeders' Cup, with the understanding that this wager will be part of the wagering menu for the Breeders' Cup to be held at Keeneland November 6th and 7th, 2020. To acclimate our patrons with the wager, Keeneland desires to make this change for the April race meet.

We greatly appreciate your consideration of this request. Please contact us with any questions.

Sincerely,

Robert N. Elliston
Vice-President, Racing and Sales

Keeneland Jackpot Super High Five Rules

1. The JACKPOT SUPER HIGH FIVE wager requires the selection of the first five (5) finishers, in their exact order.

1.1. Determination of Net Pool and Payout. After statutory takeout and other approved deductions such as refunds, the NET POOL is distributed among those tickets carrying the first five (5) betting interests in correct sequence. The entire Net Pool plus any Jackpot carryover shall be distributed only if there is a single wager placed for the required bet minimum that selected the first five (5) betting interests in correct sequence. In the event there is more than one winning wager that selected the first five (5) betting interests in correct sequence, 75% of the Net Pool shall be distributed as a single price pool to those wagers that selected the first five (5) betting interests in correct sequence. The remaining 25% of the Net Pool shall be added to the Jackpot carryover. If there are no wagers that selected the first five (5) betting interests in correct sequence, the entire Net Pool shall be added to any existing JACKPOT SUPER HIGH FIVE carryover.

1.2. Keeneland reserves the right to institute and announce the inclusion of a consolation pool as part of this wager. If Keeneland decides to include a consolation pool, this decision will be made and announced at least 72 hours in advance of the first day that the consolation pool will be offered. Keeneland will publicize the inclusion of a consolation pool in various ways, potentially including the issuance of a press release, mentions on the Keeneland.com website, a scroll on the Keeneland simulcast signal, on-track announcements, etc. If a consolation pool is offered, the consolation rules will be as follows:

1.3. Consolation Pool. In the event there are no correct selections as described in 1.1, the Net Pool is handled as follows: 1.3.1. CONSOLATION POOL equal to 25% of the Net Pool as determined by the Host is established, and the Consolation Pool is distributed as a single price pool among those tickets carrying the first four (4) betting interests in correct sequence (e.g., 1-2-3-4x); 1.3.2. If there are no correct selections as described in 1.3.1, the Consolation Pool is distributed as a single price pool among those tickets carrying the first three (3) betting interests in correct sequence (e.g., 1-2-3-x-x); 1.3.3. If there are no correct selections as described in 1.3.2, the Consolation Pool is distributed as a single price pool among those tickets carrying the first two (2) betting interests in correct sequence (e.g., 1-2-x-x-x); 1.3.4. If there are no correct selections as described in 1.3.3, the Consolation Pool is distributed as a single price pool among those tickets carrying the first-place betting interest only (e.g., 1-x-x-xx). 1.3.5. If there are no correct selections as described in 1.3.4, the Consolation Pool is added to the Carryover Pool described below. 1.3.6. With the establishment of a Consolation Pool for a JACKPOT SUPER HIGH FIVE race, amounts remaining from the Net Pool will constitute a CARRYOVER POOL. The

Carryover Pool is made available on the next qualifying JACKPOT SUPER HIGH FIVE race as determined by the Host, and is combined with the Net Pool for such qualifying race for the purpose of determining applicable payouts. 1.3.7. The Net, Consolation and Carryover Pools for each such subsequent qualifying race are handled in the manner described above in this Section 1. In each instance, any Carryover Pool is combined with the Net Pool from the race and made available for payout for the correct selection of the first five (5) betting interests in correct sequence. A Consolation Pool based on the Net Pool for a qualifying race is established and managed as described whenever there is no correct selection of the first five (5) betting interests. Carryover Pools are not used in the calculation of Consolation Payouts.

2. Contested Races. The JACKPOT SUPER HIGH FIVE Pool will be made available at the host's discretion on races with actual starters of 7 or more betting interests.
3. Cancellations and Races Declared No Contest. The host may cancel JACKPOT SUPER HIGH FIVE wagering on a race to be contested for any reason including but not limited to any circumstance where scratches or other events reduce to 6 or fewer the expected number of starters in a JACKPOT SUPER HIGH FIVE race. If a JACKPOT SUPER HIGH FIVE race with no Carryover Pool is cancelled or declared no contest, any pari-mutuel tickets previously sold for the pool on the cancelled race shall be refunded. If any JACKPOT SUPER HIGH FIVE race with a Carryover Pool is cancelled or declared no contest, any pari-mutuel tickets previously sold for the pool of the cancelled race shall be refunded, and the Carryover from the previous JACKPOT SUPER HIGH FIVE race will carry over to the next JACKPOT SUPER HIGH FIVE race.
4. Scratches. If any betting interest is scratched, declared a non-starter or otherwise officially withdrawn from an JACKPOT SUPER HIGH FIVE race, then all JACKPOT SUPER HIGH FIVE wagers with the scratched/withdrawn betting interest in the race shall be refunded and deducted from the JACKPOT SUPER HIGH FIVE pool.
5. Betting Interests Completing Race. If fewer than five betting interests finish and the contest is declared official, payoffs will be made based on the order of finish of those betting interests completing the contest. In such instances, payouts will be calculated as Consolation Payouts and the remaining Net Pool will be handled as a Carryover Pool.
6. Dead Heats. If any dead heat occurs in any finishing position, all wagers selecting either of the dead-heated positions with the correct non-dead-heated positions shall be winners and share in the specified payout pool. For example, the result 1/2-3-4-5-6 with a dead heat in the win position involving numbers 1 and 2 would produce the following winning combinations: 1-2-3-4-5 and 2-1-3-4-5. Payouts will be calculated by splitting the pool equally between each winning combination, then dividing each portion by the number of winning tickets (a "profit split"). As such, a dead heat will produce separate and distinct payouts associated with the distinct winning combinations.
7. End-Of-Meet or Mandatory Distribution. On the final day of any race meet or any race designated as a Mandatory payout, the Host shall make a final distribution of any accumulated Carryover Pool combined with the final Net Pool of the final JACKPOT SUPER HIGH FIVE race of the meet among those tickets carrying the first five (5) betting interests in correct sequence, and if there are none, in the manner described in Section 1.2 above – e.g., 1-2-3-4-x, then 1-2-3-xx, then 12-x-x-x, then 1-x-x-x-x. If there are no correct tickets as herein described, then the Net Pool will be escrowed and offered on the first JACKPOT SUPER HIGH FIVE race scheduled by the host at a subsequent meet.
8. Other Circumstances. Should circumstances occur which are not foreseen in this section, questions arising thereby shall be resolved in accordance with general pari-mutuel practice.



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

To: Kentucky Horse Racing Commission

From: Steve May, Director of Pari-Mutuel Wagering and Compliance

Date: February 6, 2020

Re: Request from Keeneland/Red Mile to offer PariMAX Historical Horse Racing Version 3.19

On February 5, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from Keeneland/Red Mile (“KRM”) to offer PariMAX Version 3.19 historical horse racing pari-mutuel wagering pools. As of February 5, 2020, KRM will operate 938 historical horse racing pari-mutuel wagering terminals, of which 476 terminals utilize the PariMAX system. If PariMAX Version 3.19 is approved, KRM will be required to submit an exact floor layout and a list of the terminals that will offer wagering on the PariMAX Version 3.19 for KHRC staff review and approval.

The PariMAX system is operated by PariMAX Holdings, LLC (“PariMAX”), a division of AmTote International, LLC (“AmTote”). AmTote is currently one of the three providers of historical horse racing pools at KRM. PariMAX currently offers similar exotic wagering pools in Alabama, Oregon, and Wyoming. Prior to KRM submitting this request, PariMAX Version 3.19, along with all previous versions, underwent testing at Gaming Laboratories International (“GLI”), one of the independent, third-part testing laboratories under contract with the KHRC.

GLI has reviewed PariMAX Version 3.19 and affirms that the system complies with all applicable Kentucky statutes and regulations. Since PariMAX version 3.19 includes updates that were included in other versions prior to this version but have not been before the KHRC, the GLI reports for version 3.18¹, 3.18.1², and 3.19³ will be sent to all commissioners prior to the meeting for review.

¹ Gaming Laboratories International Report SY-399-AMT-19-09

² Gaming Laboratories International Report SY-399-AMT-20-02

³ Gaming Laboratories International Report SY-399-AMT-19-11

If approved by the Commission, KRM and PariMAX staff will transition the affected wagering terminals to the appropriate wagering pools in a secure manner and with guidance from Commission staff.

PariMAX Version 3.18 includes the following updates to the PariMAX wagering system:

- The "Fortunes of the Hinterland" game theme has been added which uses the previously-tested "P6.P3P5_Plus.P2P5_Plus_COJ" wager profile.
- The "Space Strike" game theme has been added which uses the previously-tested "P6.P3P5_Plus.P2P5_Plus_QPP" wager profile.
- The "Reactor Blast" and "Upgrade Overdrive" game themes have been added, both of which use the previously-tested "P6.P3P5_Plus.P2P5_Plus" wager profile.
- Previously for the "Cosmic Boost" game theme, during the tile pick feature, the interim win meter could be misrepresented via additional credits won in the tile feature while winning the jackpot. Now, the interim win meter during the tile pick feature is accurately shown.
- Additional descriptions for the "Wild" symbol have been added to the "Dragon Cache", "Samurai Legacy" and "Tiki Tokens" game theme help screens.
- The "Sea of Fortunes" game theme has been discontinued.
- The embedded signature tool no longer uses the FCIV program and will now use a SHA-1 hash generated by the Microsoft SHA1 class. The signature output files are no longer .xml files and are now .json files.

PariMAX Version 3.18.1 includes the following updates to the PariMAX wagering system:

- A fix was made to correctly place the Upgrade Overdrive game theme out of service at the close of the configured branch hours.
- A fix was made to ensure games that did not receive game parameters are closed and restarted as required.

PariMAX Version 3.19 includes the following updates to the PariMAX wagering system:

- The "Kai Go Wild" and "Nordic Fortune 2" game themes have been added. Both of these game themes utilize the previously tested "P6.P3P5_Plus.P2P5_Plus" wager profile.
- The "Cosmic Boost" and "Reactor Blast" game themes are now available on player terminals which utilize a single, portrait-oriented monitor.
- The software verification routine has been updated to capitalize filenames used for generation of cryptographic hash values. This change ensures that all hash values used for software verification are correct and avoids issues where miscapitalization of filenames leads to the appearance of mismatches in these hash values, when no such mismatch exists within the data of such files.

Prior to KRM offering pari-mutuel wagering on the new pool, Commission staff will perform on-site testing of this new pool. The testing will be conducted to ensure that the pool functioned as described and that the payouts complied with the documentation provided to the Commission by GLI.



Commission staff recommends approval of the Keeneland/Red Mile request to authorize PariMAX Version 3.19 and the exotic wagering pools and entertaining game themes associated with Version 3.19 with the following conditions:

- 1) The “Higher Jackpot” option for all PariMAX wager profiles shall not be implemented without prior written approval of the Kentucky Horse Racing Commission.
- 2) Similar to the approval granted in April 2019, the configurable options in previous PariMAX versions shall not be implemented without prior written approval of the Kentucky Horse Racing Commission.

COMMISSION ACTION

_____ **Approved**

_____ **Denied**

_____ **Deferred**



KRM Wagering, LLC

February 4, 2020

Mr. Marc Guilfoil, Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Pkwy, Building B
Lexington, KY 40511

Re: Approval request for Parimax 3.19

Dear Mr. Guilfoil:

KRM Wagering would like to request approval for Parimax/Amtote release 3.19 at the upcoming February commission meeting. This release has the following features:

- A bug fix correcting signaturing so that the file name capitalization is not relevant;
- Two new game theme on existing wager profile WP.P6.P3P5_Plus.P2P5_Plus, Kai Go Wild and Nordic Fortune 2;
- Makes two previously approved game themes, Cosmic Boost and Reactor Blast available for utilization on the 32 inch vertical cabinet style.

I have attached the 3.19 release notes, and I will forward the individual game specs for each of the above in separate emails, due to file size. It is my understanding that you have received GLI reports on these items. Please let me know if additional information is needed regarding this request.

Sincerely,

A handwritten signature in blue ink, appearing to read "Shannon M. Cobb".

Shannon M. Cobb
Chief Operating and Financial Officer

Cc: Steve May
Vince Gabbert
Joe Costa
Steve Jimenez



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

To: Kentucky Horse Racing Commission

From: Steve May, Director of Pari-Mutuel Wagering and Compliance

Date: February 7, 2020

Re: Request by Churchill Downs to Approve Four Additional Ainsworth Game Technology Historical Horse Racing Pools and Six Entertaining Game Themes

On February 7, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a letter from Churchill Downs seeking approval of additional pari-mutuel wagering pools and associated entertaining game themes, all of which are operated by the technology vendor Ainsworth Game Technology (“Ainsworth”). The requested changes are as follows:

- One new entertaining game theme **Super Sonic 7s v2019.11.20.8¹**, which operates off of previously-approved Super Charged 7s pari-mutuel wagering pool;
- One new pari-mutuel wagering pool to operate the **Dragon Drum v2019.9.13.8²** entertaining game theme and **Panda Gong v2019.9.18.8³**;
- One new pari-mutuel wagering pool to operate the **Kanga Cash v2019.11.11.8⁴** entertaining game theme;

¹ Gaming Laboratories International Report MO-399-AWG-19-03

² BMM Testlabs Report AINS16991

³ BMM Testlabs Report AINS17001

⁴ Gaming Laboratories International Report MO-399-AWG-19-01

- One new pari-mutuel wagering pool to operate the **Turbo Charged v2019.12.16.8**⁵ entertaining game theme; and
- One new pari-mutuel wagering pool to operate the **MultiPlay Super Charged 7s v2019.10.11.8**⁶ entertaining game theme.

BMM Testlabs (“BMM”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested the Dragon Drum and Panda Gong historical horse racing pari-mutuel wagering pools against current Kentucky statutes and regulations. KHRC staff has reviewed the compliance reports produced by BMM and believes that the additional wagering pools and entertaining game themes comply with all relevant Kentucky statutes and regulations. All BMM reports for these pools will be forwarded to the KHRC members prior to the meeting for review.

Gaming Laboratories International (“GLI”), the other independent, third party testing laboratories that provides contractual services for the KHRC, has tested the other requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations. KHRC staff has reviewed the compliance reports produced by GLI and believes that the additional wagering pools and entertaining game themes comply with all relevant Kentucky statutes and regulations. All GLI reports for these pools will be forwarded to the KHRC members prior to the meeting for review.

KHRC staff believes the current initial seed pool amount for the Derby City Gaming property is sufficient to operate these additional pari-mutuel wagering pools, and if approved no adjustment would be required to the initial seed pool amount. If approved, KHRC staff would continue to monitor the initial seed pool to ensure that the amount remains sufficient and if at any time KHRC staff were to believe the initial seed pool is not sufficient to operate the pools corrective action would be instructed.

If approved, Churchill Downs staff would be required to submit a final floor layout and pool commingling list that includes these all pari-mutuel wagering pools to KHRC staff for review and approval prior to the final reconfiguration.

⁵ Gaming Laboratories International Report MO-399-AWG-19-05

⁶ Gaming Laboratories International Report MO-399-AWG-19-02

After a thorough review of this request, KHRC staff recommends approval of the aforementioned historical horse racing pari-mutuel wagering pools and associated entertaining game themes operated by Ainsworth Game Technology as requested by Churchill Downs.

COMMISSION ACTION

_____ **Approved**

_____ **Denied**

_____ **Deferred**



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

February 4th, 2020

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

RE: Request to the Kentucky Horse Racing Commission (the “Commission”) to offer entertaining game themes (pari-mutuel wagering pools) at the Derby City Gaming Historical Horse Racing Facility.

Dear Mr. Guilfoil:

As you know, on July 17, 2018 and August 21, 2018, the Kentucky Horse Racing Commission (“Commission”) approved Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC, to offer exotic wagers on historical horse races at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213 (previously referred to as Trackside). At the same time, the Commission approved the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”), along with sixty-four (64) entertaining game themes, all of which had been evaluated and certified by an approved independent testing lab. In subsequent meetings the Commission has approved an additional entertaining game themes bringing our current total offering to ninety-six (96) game themes.

Derby City Gaming respectfully requests the Commission’s approval of the following additional entertaining game themes to be offered at our Historical Horse Racing Facility at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213. In the case that the game theme shares its math with another game theme it will be noted in parenthesis.

Ainsworth:

- Dragon Drum v2019.9.13.8 (Shared with Panda Gong)
- Panda Gong v2019.9.18.8 (Shared with Dragon Drum)
- Kanga Cash v2019.11.11.8
- Turbo Charged 7s v2019.12.16.8
- Super Sonic 7s v2019.11.20.8 (Shared with Super Charged 7s, Existing Pool)
- MultiPlay Super Charged 7s v2019.10.11.8

Bally: (grouped by shared pools):

Group 1:

- Aloha Luau – 272965B
- Aloha Treasures – 272963A

Group 2:

- Celestial King – 272949C
- Golden Charms – 272952A
- Romance of the Nile – 272950B

Group 3:

- Quick Hit Riches Triple Blazing 777 Wild – 272937A
- Quick Hit Riches Black Gold Wild – 272956A

Group 4:

- Quick Hit Lucky Wheel – 272957A
- Quick Hit Money Wheel – 272958A

Group 5:

- Quick Hit Treasures – 272959A

It is our understanding that each of these game themes have been tested by BMM or GLI, both are independent testing laboratories. For the reasons set forth herein, Churchill Downs respectfully requests the Commission's approval of the entertaining game themes included in this letter.

Sincerely,



Patrick Duggan

Senior Director of Gaming Operations – Derby City Gaming

cc: Steve May, KHRC
Justin Paul
Tim Bryant



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

To: Kentucky Horse Racing Commission

From: Steve May, Director of Pari-Mutuel Wagering and Compliance

Date: February 7, 2020

Re: Request from Derby City Gaming to Approve Five Scientific Games Historical Horse Racing Pari-Mutuel Wagering Pools and Nine Entertaining Game Themes

On February 4, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from Patrick Duggan, the Senior Director of Gaming Operations for Derby City Gaming, to offer five new historical horse racing pari-mutuel wagering pools. If approved, the new pools would be operated by Scientific Games Corporation, through its wholly-owned subsidiary Bally Technologies, Inc. (“Bally”).

The requested pools and entertaining game themes are as follows:

- One new pari-mutuel wagering pool to operate the **Aloha Luau v272965B**¹ and the **Aloha Treasures v272963A**² entertaining game themes;
- One new pari-mutuel wagering pool to operate the **Celestial King v272949C**³, the **Golden Charms v272952A**⁴, and the **Romance of the Nile v272950B**⁵ entertaining game themes;

¹ Gaming Laboratories International Report MO-399-BLY-20-005

² Gaming Laboratories International Report MO-399-BLY-20-002

³ Gaming Laboratories International Report MO-399-BLY-20-017

⁴ Gaming Laboratories International Report MO-399-BLY-20-001

⁵ Gaming Laboratories International Report MO-399-BLY-20-018

- One new pari-mutuel wagering pool to operate the **Quick Hit Riches Triple Blazing 777 Wild v272937A**⁶ and the **Quick Hit Riches Black & Gold v272956A**⁷ entertaining game themes;
- One new pari-mutuel wagering pool to operate the **Quick Hit Lucky Wheel v272957A**⁸ and the **Quick Hit Money Wheel v272958A**⁹ entertaining game themes; and
- One new pari-mutuel wagering pool to operate the **Quick Hit Treasures v272959A**¹⁰ entertaining game theme.

The requested pari-mutuel wagering pools will be hosted on the computer servers operated by Ainsworth Game Technology (“AGT”), through a technology provider agreement between AGT and Bally. The pools utilize the structure of AGT pools that have been approved by the KHRC. This includes all of the wagering-related features of the AGT pools, including but not limited to the auto handicapping methods, the manual handicapping methods, the handicapping data that is presented to the patron, the wager split, the outcome determination based on the 10 races in which the patron is placing the wager, and the presentation of race results.

Although the formal request was submitted to the KHRC in early February, KHRC staff has been actively reviewing features of the Bally system for several months. Gaming Laboratories International (“GLI”), the other independent, third party testing laboratories that provides contractual services for the KHRC, has tested the other requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations. KHRC staff has reviewed the compliance reports produced by GLI and believes that the additional wagering pools and entertaining game themes comply with all relevant Kentucky statutes and regulations. All GLI reports for these pools will be forwarded to the KHRC members prior to the meeting for review.

Due to the operation of these pools on the AGT system, these pools will operate with the same seed pool funds as the current AGT pools and will be monitored by AGT and Derby City Gaming staff. KHRC staff believes the current initial seed pool amount for the Derby City Gaming property is sufficient to operate these additional pari-mutuel wagering pools, and if approved no adjustment would be required to the initial seed pool amount. If approved, KHRC staff would continue to monitor the initial seed pool to ensure that the amount remains sufficient and if at any time KHRC staff were to believe the initial seed pool is not sufficient to operate the pools corrective action would be instructed.

⁶ Gaming Laboratories International Report MO-399-BLY-20-008

⁷ Gaming Laboratories International Report MO-399-BLY-20-010

⁸ Gaming Laboratories International Report MO-399-BLY-20-012

⁹ Gaming Laboratories International Report MO-399-BLY-20-009

¹⁰ Gaming Laboratories International Report MO-399-BLY-20-011

If approved, Churchill Downs staff would be required to submit a final floor layout and pool commingling list that includes these all pari-mutuel wagering pools to KHRC staff for review and approval prior to the final reconfiguration.

Bally and Derby City Gaming staff have been very forthcoming with information, and KHRC staff has had the opportunity to visually inspect and test the Bally pools on two test terminals that were installed at Derby City Gaming in late 2019. KHRC staff will also be performing additional testing on the software on test terminals at Derby City Gaming between the time that this memorandum was written and the February 18, 2020 KHRC meeting, and any updates will be described to KHRC members at the meeting.

After a thorough review of this request, KHRC staff recommends approval of the aforementioned historical horse racing pari-mutuel wagering pools and associated entertaining game themes operated by Scientific Games, through its wholly-owned subsidiary Bally Gaming, on the Ainsworth Game Technology historical horse racing pari-mutuel wagering system, as requested by Churchill Downs.

COMMISSION ACTION

_____ **Approved**

_____ **Denied**

_____ **Deferred**



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

February 4th, 2020

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

RE: Request to the Kentucky Horse Racing Commission (the “Commission”) to offer entertaining game themes (pari-mutuel wagering pools) at the Derby City Gaming Historical Horse Racing Facility.

Dear Mr. Guilfoil:

As you know, on July 17, 2018 and August 21, 2018, the Kentucky Horse Racing Commission (“Commission”) approved Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC, to offer exotic wagers on historical horse races at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213 (previously referred to as Trackside). At the same time, the Commission approved the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”), along with sixty-four (64) entertaining game themes, all of which had been evaluated and certified by an approved independent testing lab. In subsequent meetings the Commission has approved an additional entertaining game themes bringing our current total offering to ninety-six (96) game themes.

Derby City Gaming respectfully requests the Commission’s approval of the following additional entertaining game themes to be offered at our Historical Horse Racing Facility at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213. In the case that the game theme shares its math with another game theme it will be noted in parenthesis.

Ainsworth:

- Dragon Drum v2019.9.13.8 (Shared with Panda Gong)
- Panda Gong v2019.9.18.8 (Shared with Dragon Drum)
- Kanga Cash v2019.11.11.8
- Turbo Charged 7s v2019.12.16.8
- Super Sonic 7s v2019.11.20.8 (Shared with Super Charged 7s, Existing Pool)
- MultiPlay Super Charged 7s v2019.10.11.8

Bally: (grouped by shared pools):

Group 1:

- Aloha Luau – 272965B
- Aloha Treasures – 272963A

Group 2:

- Celestial King – 272949C
- Golden Charms – 272952A
- Romance of the Nile – 272950B

Group 3:

- Quick Hit Riches Triple Blazing 777 Wild – 272937A
- Quick Hit Riches Black Gold Wild – 272956A

Group 4:

- Quick Hit Lucky Wheel – 272957A
- Quick Hit Money Wheel – 272958A

Group 5:

- Quick Hit Treasures – 272959A

It is our understanding that each of these game themes have been tested by BMM or GLI, both are independent testing laboratories. For the reasons set forth herein, Churchill Downs respectfully requests the Commission's approval of the entertaining game themes included in this letter.

Sincerely,



Patrick Duggan

Senior Director of Gaming Operations – Derby City Gaming

cc: Steve May, KHRC
Justin Paul
Tim Bryant



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

TO: KENTUCKY HORSE RACING COMMISSION

FROM: Barbara Borden, Chief State Steward

DATE: January 30, 2020

RE: KEENELAND'S PROPOSED 2020 SPRING MEET OFFICIALS & DATES PREFERENCE SYSTEM

By letter dated January 28, 2020, Katherine McKee, Director of Racing Administration at Keeneland racetrack, requests approval of the attached Roster of Racing Officials and Dates Preference System for their 2020 Spring Meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied



January 28, 2020

Keeneland Association, Inc.
4201 Versailles Road
Lexington, KY 40510
P.O. Box 1690
Lexington, KY 40588-1690
859 254-3412 Tel.
800 456-3412
859 288-4347 Fax
www.keeneland.com

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Pkwy., Bldg. B
Lexington, KY 40511

Dear Marc:

With the approval of the Kentucky Horse Racing Commission, the officials for the 2020 Spring Meeting at Keeneland will be as follows:

Vice President of Racing	Robert N. Elliston
Association Steward	Ronald Herbstreit
Racing Secretary	David Ben Huffman
Director of Racing Administration	Katherine McKee
Assistant Racing Secretary	Tia Murphy
Stakes Coordinator	Tiffany Bourque
Starter	Scott Jordan
Paddock Judge	David Wedlake
Assistant Paddock Judge	Tyler Picklesimer
Patrol/Placing Judges	James F. Ewalt, Steve Obrekaitis, Steve Penrod, Ron Tomlinson, Bailey Hare, Scott Jones, Rick Williams
Entry Clerks	James F. Ewalt, Steve Obrekaitis, Steve Penrod, Ron Tomlinson, Bailey Hare, Scott Jones, Rick Williams
Clerk of Scales	Javier Torres
Horse Identifier	Melinda Vest
Assistant Identifier	Jori Gorski
Timer	TrackMaster
Program Coordinator	Bailey Hare
Jockey's Room Custodian	Kenneth Borque
Clocker	Gary Reckner
Claims Clerk	Ron Tomlinson
Outriders	Scott Cole, Robin Riesonbeck, Colby Lavergne, Darrin Cain
Horsemen's Bookkeeper	Kristey Weisenberger
Track Superintendent	Jim Pendergest
Director of Wagering	Jim Goodman
Director of Security	Phil Gardner
Paddock Blacksmith	Arthur S. Tearl
Announcer	Kurt Becker
Stable Manager	Wayne Mogge

Post time for opening day, April 2 will be 3:00pm EST. Thereafter, the first post daily will be 1:05 p.m. with eight to eleven live races. Keeneland will continue to utilize a date preference system and the following entry schedule:

<i>Race Date</i>	<i>Entry Day</i>
Friday*	Sunday
Saturday	Wednesday
Sunday	Thursday
Wednesday	Friday
Thursday*	Saturday

**Exceptions*

Thursday, April 2	Monday, March 30
Friday, April 3	Tuesday, March 31
Friday, April 24	Tuesday, April 21

Thank you for your consideration.

Sincerely,



Katherine McKee
Director of Racing Administration

KEENELAND DATE PREFERENCE SYSTEM

The Date System of preference will be used at Keeneland Association as described below:

Foal Certificates and Digital Foal Certificates are no longer required to be on file in the racing office to enter or start at Keeneland Association; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.

Preference by condition eligibility then by:

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Keeneland Association will be assigned a preference date. Dates assigned will be of two types:

E-Date

This date will correspond to:

- a. The day on which a horse's foal papers/digital foal papers and name tag are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b. The day on which a horse is first entered if his papers are not on file with the identifier.
- c. To qualify for the latter E-Date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

R-Date

This date will correspond to:

- a. The day of a horse's most recent race at Keeneland Association.
 - b. An R-Date will also be assigned to a horse which scratches (provided the foal papers are on file with the identifier).
3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Keeneland Association.
 4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
 5. A horse that runs in a race, which has been taken off the Turf will retain its Turf date and establish a Dirt R-Date. A scratched horse will receive an R-Date from the date scratched for both Turf and Dirt.
 6. When a race is taken off the Turf, Main Track Only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the Turf, MTO horses will retain their previous date.
 7. Horses on the Also-Eligible list shall be permitted to draw into races in order listed. A horse entered as MTO shall be listed as an Also-Eligible. If the race remains on the Turf, a horse listed as MTO shall not be permitted to draw into the race.
 8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
 9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
 10. A horse which is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain its previous date.
 11. Stakes and handicap races are not subject to date preference.
 12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's Office.
 13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
 14. Winners will be preferred in all non-maiden races.

Condition Eligibility

- Horses are preferred by their available conditions in all allowance races beginning with Graded stake winners (Grade I-II-III), open stakes winners through their allowance conditions providing the horse has finished 1st, 2nd, 3rd or 4th for \$40,000 or more since starting for less than \$40,000. In allowance/optional claiming races a horse's eligibility cannot exceed the condition of the race. Starter races for less than \$25,000 will be considered as claiming races for condition eligibility.
- No entry will be received except upon the condition that all disputes, claims and objections arising out of the racing or with respect to the interpretation of the conditions of any race shall be decided by the officers of the association, or those whom they may appoint and their decision upon all points shall be final.
- No entry shall be accepted from any stable not provided with a trainer's license.

Main Track Only Entries

- Entries will be accepted in turf races (excluding stakes) for Main Track Only (MTO) horses.
- Trainers must declare the horse as MTO at the time of entry.
- A horse entered as MTO shall be listed as Also-Eligible.
- In an over-filled turf race, Keeneland reserves the right to designate two Main Track Only (MTO) positions on the Also-Eligible List. Also-Eligibles (including MTO) shall be assigned a post position by preference. If preference is equal, post positions shall be drawn by lot, unless otherwise stipulated in the published conditions of the race.
- If the race remains on the turf, a horse listed as MTO shall not be permitted to draw into the race. When a race is removed from the turf, MTO horses will lose any date they have if they run or scratch. If the race remains on the turf, MTO horses will retain their previous date.



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

TO: KENTUCKY HORSE RACING COMMISSION

FROM: Barbara Borden, Chief State Steward

DATE: February 4, 2020

RE: CHURCHILL DOWNS' PROPOSED 2020 SPRING MEET OFFICIALS & DATES PREFERENCE SYSTEM

By letter dated February 3, 2020, D. Ben Huffman, Racing Secretary, Churchill Downs racetrack, requests approval of the attached Roster of Racing Officials and Dates Preference System for their 2020 Spring Meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied





CHURCHILL DOWNS

Home of the Kentucky Derby®

February 3, 2020

Mr. Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Lexington, KY 40511

Dear Mr. Guilfoil,

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials for the 2020 Churchill Downs Spring Meet, along with the date preference rules, which will be used during this meet, for approval by the Kentucky Horse Racing Commission.

Respectfully submitted,

D. Ben Huffman
Racing Secretary

Enclosure

CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2020 SPRING MEET

ASSOCIATION STEWARD:	Tyler Picklesimer
RACING SECRETARY:	Ben Huffman
ASST. RACING SECRETARY/STAKES COORDINATOR	Dan Bork
HORSE IDENTIFIER:	Melinda Vest
ASST. HORSE IDENTIFIER:	Jorie Gorski
CLERK OF SALES:	Javier Torres
JOCKEY ROOM CUSTODIAN:	Kenneth Bourque
CLAIMS CLERK:	Baley Hare
PROGRAM COORDINATOR:	Tiffany Bourque
HORSEMEN'S BOOKKEEPER:	Trish Rankin
STARTER:	Scott Jordan
OUTRIDERS:	Greg Blasi Lee Lockwood Shane Hoodenpyle
SR. DIRECTOR SECURITY AND SAFETY:	Earl Biggett
DIRECTOR OF MUTUELS:	Rick Smith
TIMER:	American Teletimer
CLOCKER:	Peter Vestal
ENTRY CLERKS, PADDOCK AND PLACING JUDGES:	Steve Obrekaitis Ron Tomlinson Tia Murphy Jeff Ewalt Allison DeLuca Steve Penrod
ANNOUNCER:	Travis Stone
STALL MANAGER:	Steve Hargrave

DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

Foal Certificates and digital foal certificates no longer are required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses in over-filled races.

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:

E-Date

This date will correspond to:

- a) The day on which a horse's foal papers and nametag and digital foal papers are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b) The day on which a horse is first entered if his papers or digital foal papers are not on file with the identifier.

To qualify for the latter E-date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

R-Date

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another race track will forfeit any date they may have at Churchill Downs.
4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
5. A horse that runs in a race, which has been taken off the turf will retain its turf date. A scratched horse will receive an R-date from the date scratched.
6. When a race is taken off the turf, dirt preferred horses will receive an R-Date if they run or scratch. If the race remains on the turf, dirt preferred horses will retain their previous date.
7. Horses on the Also-Eligible list shall be permitted to draw into races in order of their dates, earliest dates first, (Exception: when a race is removed from the turf. Dirt Preferred also-eligibles will have first preference to draw into the race in order of their date preference. If the race remains on the turf they will have no preference.)
8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.

9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list..
10. A horse which is a scheduled starter in a cancelled race will not receive an R-Date for that race but will retain its previous date.
11. The draw of stakes and handicap race is not subject to date preference.
12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's office.
13. Date preference rules will not supersede KHRA rules regarding preference, eligibility or scratches.
14. Winners will be preferred in all non maiden races.

CONDITION ELIGIBILITY

Horses are preferred by their available conditions in all allowance races beginning with Graded Stakes winners (Grade I-II-III), open stakes winner through their allowance conditions providing the horses has finished 1st, 2nd, 3rd, or 4th for \$40,000 or more since starting for less than \$40,000. In allowance/optional claiming races a horse's eligibility cannot exceed the condition of the race. Starter races for less than \$25,000 will be considered as claiming races for condition eligibility.

WINNERS ARE PREFERRED IN ALL NON MAIDEN RACES.

Also-Eligibles

If there are any scratches in a race with also-eligibles, the also-eligible horses will be permitted to move into the race in order of their preference.



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

FRANKLIN S. KLING, JR.
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

TO: Kentucky Horse Racing Commission members

FROM: Bruce A. Howard, DVM

DATE: February 18, 2020

SUBJECT: Consideration of funding phase II of research study “Identification of Novel mRNA Targets in Catastrophically Injured Thoroughbred Racehorses Using RNA Sequencing.”

This study is the second phase of the research study headed by Dr. Allen Page DVM PhD, at the University of Kentucky. The goal of this study is to determine if biomarkers identified by mRNA can be utilized in identifying racehorses which may be at risk of catastrophic injury. The first phase of this project which was approved and funded by the EDRC and the KHRC in September of 2018 was successful in identifying two promising biomarkers. The second phase will continue using existing samples and ongoing sampling from five racing jurisdictions. By utilizing RNA sequencing the investigators anticipate identifying other useful biomarkers.

Veterinarians in the field would have an additional tool to utilize if this project could help identify possible horses at risk. Advanced diagnostic imaging is being proposed for use at racetracks and any additional tools to help identify selective horses to be screened would be advantageous.

The amount requested for this phase of the research project is \$132,228.00. The EDRC considered this proposal at the January 27, 2020 meeting and voted to approve funding.

This staff at the KHRC recommends approval.

KHRC Action:

_____ **Approved**
_____ **Deferred**
_____ **Denied**



Current Statute:

KRS §230.210(22):

(22) "Track" means any association duly licensed by the Kentucky Horse Racing Commission to conduct horse racing. "Track" shall include any facility or real property that is owned, leased, or purchased by a track within the same geographic area within a sixty (60) mile radius of a track but not contiguous to track premises, upon racing commission approval, and provided the noncontiguous property is not within a sixty (60) mile radius of another licensed track premise where live racing is conducted and not within a forty (40) mile radius of a simulcast facility, unless any affected track or simulcast facility agrees in writing to permit a noncontiguous facility within the protected geographic area.

Existing Thoroughbred Regulation:

810 KAR 1:001

(32) "Licensed premises" means the location and physical plant described in response to question P of the "Commonwealth of Kentucky Initial/Renewal Application for License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering" filed for racing to be conducted in 2010. Licensed premises may also include real property of an association, if the association receives approval from the commission for a new location at which live racing will be conducted.

Proposed Thoroughbred Regulation:

810 KAR 1:001

(32) "Licensed premises" means: (a) The location and physical plant described in response to question P of the "Commonwealth of Kentucky Initial/Renewal Application for License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering" filed for racing to be conducted in 2010; (b) Real property of an association, if the association receives approval from the commission for a new location at which live racing will be conducted; or (c) one (1) facility or real property that is owned, leased, or purchased by a licensed association within a sixty (60) mile radius of such association's track but not contiguous to track premises, upon commission approval; and provided that for purposes of subsections (b) and (c), such property is not within a sixty (60) mile radius of another licensed track premise where live racing is conducted and not within a forty (40) mile radius of a simulcast facility, unless any affected track or simulcast facility agrees in writing to permit a noncontiguous facility within the protected geographic area.

Existing Standardbred Regulation:

810 KAR 2:001

(30) "Licensed premises" means: (a) The location and physical plant described in response to question S of the "Common-wealth of Kentucky Initial/Renewal Application for License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering" filed for racing to be conducted in the following year; or (b) Real property of an association, if the association receives approval from the commission for a new location at which live racing will be conducted.

Proposed Standardbred Regulation:

810 KAR 2:001

(30) "Licensed premises" means: (a) The location and physical plant described in response to question S of the "Common-wealth of Kentucky Initial/Renewal Application for License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering" filed for racing to be conducted in the following year; (b) Real property of an association, if the association receives approval from the commission for a new location at which live racing will be conducted; or (c) one (1) facility or real property that is owned, leased, or purchased by a licensed association within a sixty (60) mile radius of such association's track but not contiguous to track premises, upon commission approval; and provided that for purposes of subsections (b) and (c), such property is not within a sixty (60) mile radius of another licensed track premise where live racing is conducted and not within a forty (40) mile radius of a simulcast facility, unless any affected track or simulcast facility agrees in writing to permit a noncontiguous facility within the protected geographic area.

2019 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
19-0124	12/5/2019	10/30/2019	Chris M. Landeros	Withdrew appeal of Stewards Ruling - #19-0104		Suspended 5 days (December 11 through 14 (inclusive) and December 18, 2019		Suspension served
19-0125	12/6/2019	11/29/2019	Sean E. Mitchell	Violated regulation that governs claiming (changed ownership within 30 days)		Fined	\$ 1,000	Paid
19-0126	12/6/2019	11/1/2019	Sharon A. Simon	Dexamethasone #E356475	C	Fined	\$500	Not Paid
19-0127	12/6/2019	11/1/2019	Ronda S. Paul (owner)	Dexamethasone #E356475	C	CAPE BLISS disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
19-0128	12/13/2019	11/27/2019	Tyler S. Gaffalione	Withdrew appeal of Stewards Ruling - #19-0128		Suspended 5 days December 19 through 21 (inclusive) and December 26 and 27, 2019		Suspension served
19-0129	12/21/2019	12/19/2019	Rodney A. Prescott	Careless riding		Suspended 3 days December 26 through DDecember 28, 2019 (inclusive)		Suspension served
19-0130	12/21/2019	11/23/2019	Diane Connell	Claim voided per 810 KAR 4:050 Section 1, subsection (18)(b), having tested positive for a Class B drug		Voided claim of STREET DAZZLE and approved reimbursement of expenses to claimant Karl Broberg		Transactions completed

2019 TB RULINGS LIST BY CATEGORY (Through December 31, 2019)

MEDICATION VIOLATIONS

<u>Medication Class</u>	<u>Total</u>	<u>Fines</u>	<u>Suspensions</u>	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	2	0	0	2	0	Dextromethamphetamine
Class B	8	8	8	8	3	Carbazochrome (3 cases same trainer - APPEALED), Clenbuterol, Gabapentin, Acepromazine metabolite HEPS
Class C	9	9	4	9	2	Triamcinolone acetonide, Methylprednisolone, Dexamethasone, Ibuprofen and Naproxen (in same sample), Diclofenac (APPEALED)
Class D	4	4	0	0	0	Omeprazole
NSAIDS	4	3	0	0	0	Phenylbutazone, 1st offense NSAID warning letter
Multiple NSAIDS	1	1	0	0	0	Phelylbutazone and Flunixin - both below the primary level
TCO2	0	0	0	0	0	
Subtotal	28	(total \$21,000)				

NON-MEDICATION VIOLATIONS

Jockey Violations

Careless Riding	20	0	20	0	2	Routine riding offenses
Overly Aggressive Riding	1	0	1	0	1	Failure to control mount and maintain a straight course
Other Disciplinary Violations	8	7	1	0	0	Failure to fulfill riding engagements, falsification of license application, failure to be present to review race replays, improper use of riding crop, disorderly conduct (use of offensive and profane language)
Subtotal	29	(total \$2,000)				

Other Licensee Violations

Misc. violations by licensees	7	7	0	0	0	Violated regulation that governs scratches, violated regulation that governs claims, fraudulent claim activity
Post-race Voided Claims	11					Claims voided post-race per 810 KAR 4:050, Section 1, subsection (12), Claimant elected to void claim due to Class C medication violation.
Disorderly conduct and formal ejections	15	4	11	0	1	Display of temper and profane language, threatening a racing official, possession of contraband, drug possession, falsification, neglect, assumed responsibility for horses trained by ineligible person, pending criminal charges
Non-payment of fines or return of purse money	3	0	3	0	2	
Subtotal	36	(total \$7,850)				

Other Rulings Issued

Other Rulings Issued	37	0	0	0	0	The remaining 37 rulings that have been issued in 2019 are for information only and include purse redistributions, license rescinded without prejudice and licensee restored to good standing, penalty applied after withdrawal of appeal, Agreed Final Order of KHRC
----------------------	----	---	---	---	---	---

TOTAL 2019 RULINGS	130	\$30,850				
---------------------------	------------	-----------------	--	--	--	--

2020 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
20-0001	1/2/2020	10/24/2019	Declan Cannon	Withdrew appeal of Stewards Ruling - #19-0102		Suspended 5 days January 11, January 16 through 18 (inclusive) and January 23, 2020		Suspension served
20-0002	1/8/2020	12/19/2019	Regulo G. Rodriguez	Phenylbutazone #360553	NSAID	Fined	\$ 250	Paid
20-0003	1/17/2020	1/11/20	Gerardo Corrales	Careless riding		Suspended 3 days January 23 through January 25, 2020 (inclusive)		Suspension served
20-0004	1/17/2020	11/6/2019	Brad H. Cox	Naproxen #E356662	C	Fined	\$ 1,000	Not Paid
20-0005	1/17/2020	11/6/2019	Staton C. Flurry (owner)	Naproxen #E356662	C	PARKED IT disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0006	1/17/2020	11/14/2019	Danny A. Gargan	Naproxen #E356759	C	Fined	\$ 1,000	Not Paid
20-0007	1/17/2020	11/14/2019	Lucas E. Stritsman (owner)	Naproxen #E356759	C	CHIEF KNOW IT ALL disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0008	1/17/2020	1/1/20	Jerry Antonuik	Phenylbutazone #360684	NSAID	Fined	\$ 250	Paid

2020 TB RULINGS LIST BY CATEGORY (Through January 31, 2020)

MEDICATION VIOLATIONS

<u>Medication Class</u>	<u>Total</u>	<u>Fines</u>	<u>Suspensions</u>	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	0	0	0	0	0	
Class B	0	0	0	0	0	
Class C	2	2	0	2	0	Naproxen
Class D	0	0	0	0	0	
NSAIDS	2	2	0	0	0	Phenylbutazone
Multiple NSAIDS	0	0	0	0	0	
TCO2	<u>0</u>	0	0	0	0	
Subtotal	4	(total \$2,500)				

NON-MEDICATION VIOLATIONS

Jockey Violations

Careless Riding	1	0	1	0	0	Routine riding offenses
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations	0	0	0	0	0	
Subtotal	<u>1</u>	(total \$0)				

Other Licensee Violations

Misc. violations by licensees	0	0	0	0	0	
Disorderly conduct and formal ejections	0	0	0	0	0	
Suspended for non payment of fines	0	0	0	0	0	
Subtotal	<u>0</u>	(total \$0)				

Other Rulings Issued	3	0	0	0	0	The remaining 3 rulings that have been issued in 2020 are for information only and include purse redistributions and penalty applied after withdrawal of appeal.
TOTAL 2020 RULINGS	<u><u>8</u></u>	<u><u>\$2,500</u></u>				

KHRC Veterinary Report

Churchill Downs November 2019

Total Starts	2321
<hr/>	
Post Race Samples	737
tCO ₂	268
<hr/>	
Veterinary Scratches	21
<hr/>	
Pre-Race	13
On-Track	8
<hr/>	
Soundness related	5
Gate/paddock Injury	3
<hr/>	
KHRC Veterinary List	35
<hr/>	
Scratches	21
Race Injuries/post race lame/test barn lame	12
EIPH	2
Heat distress	0
<hr/>	
Claimed horses	240
Voided Claims	4
<hr/>	
Ambulance Runs	9
<hr/>	
Racing Fatalities	2
musculo-skeletal	2
<hr/>	
Training Fatalities	1
musculo-skeletal	1

KHRC Veterinary Report

Turfway Park December 2019

Total Starts 1309

Post Race Samples 290

tCO₂ 145

Veterinary Scratches 19

Pre-Race 14

On-Track 5

Soundness related 3

Gate/paddock Injury 2

KHRC Veterinary List 27

Scratches 19

Race Injuries/post race lame/test barn lame 7

EIPH 1

Heat distress 0

Claimed horses 52

Voided Claims 2

Ambulance Runs 5

Racing Fatalities 3

musculo-skeletal 3

Training Fatalities 0

musculo-skeletal 0

TESTING SUMMARY-2019

BREED	Number of Race Starts	Total Number of Horses Sampled/Tested Post-Race	Post-Race Testing		Pre-Race TCO ₂ Testing (blood)	Out-of-Competition Testing (blood)	Post-work Testing (blood)	Post-injury Testing (blood)
			Blood only samples	Paired (blood & urine) Samples				
TB	15,456	Sampled: 3,818 +Claimed: 460 Total: 4,278 1 per 3.6 starts Tested: 3,181 +Claimed: 460 Total: 3,641 1 per 4.2 starts	Collected: 47 +Claimed: 460 Total: 507 Tested: 43 +Claimed: 460 Total: 503 Retained: 4	Collected: 3,771 Tested: 3,138 Retained: 633 99% paired-sample collection rate	2,417	247	127	58
STD	3,863	Sampled: 591 1 per 6.5 starts Tested: 591 1 per 6.5 starts	Collected: 123 Tested: 123 Retained: 0	Collected: 468 Tested: 468 Retained: 0 83% paired-sample collection rate	600	13	1	0
TOTAL	19,319	Sampled: 4,869 1 per 4.0 starts Tested: 4,232 1 per 4.6 starts	Collected: 630 Tested: 626 Retained: 4	Collected: 4,239 Tested: 3,606 Retained: 633	3,017	260	128	58

2019 DRUG TESTING RESULTS

STANDARD BRED

Substance	Penalty	Frequency
(none)	A	0
Carbazochrome	B	1
Cobalt > 50 ppb	B	2
Gabapentin	B	1
Aminocaproic Acid	C	1
Cobalt < 50 ppb	C	1
Dexamethasone	C	1
Methylprednisolone	C	2
Ranitidine	D	2
Flunixin	NSAID	3
PBZ	NSAID	1

STD total ROF	15
Total Race Starts	3,863
1 Violation per:	258 starts

THOROUGHBRED

Substance	Penalty	Frequency
(none)	A	0
Cannabidiol	B	1
Carbazochrome	B	3
Clenbuterol	B	1
Gabapentin	B	2
Levamisole	B	5
Aminocaproic Acid	C	1
Dexamethasone	C	3
Diclofenac	C	1
Naproxen	C	2
Omeprazole	D	4
Flunixin / PBZ*	NSAID	1
PBZ > 2 mcg/ml < 5 mcg/ml	NSAID	4

TB Total ROF	28
Total Race Starts	15,456
1 Violation per:	552 starts

*2 NSAIDS > 2^o level

Occurrence of Thoroughbred race-related fatalities in Kentucky 2007 to present

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2007	Musculoskeletal	2	1	5	2	4	3	4	2	2	3	4	5	37	23,180	1.60
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.04
	TOTAL	2	1	5	2	4	3	4	2	2	4	4	5	38		1.64
2008	Musculoskeletal	3	0	4	2	3	2	2	2	3	2	4	8	35	22,278	1.57
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	3	0	4	2	3	2	2	2	3	2	4	8	35		1.57
2009	Musculoskeletal	3	5	1	2	4	1	2	4	3	3	2	3	33	20,142	1.64
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	3	5	1	2	4	1	2	4	3	3	2	3	33		1.64
2010	Musculoskeletal	2	1	2	0	0	2	4	2	1	3	7	1	25	18,167	1.38
	Non-musculoskeletal	0	0	1	1	0	0	0	0	0	0	0	0	2		0.11
	TOTAL	2	1	3	1	0	2	4	2	1	3	7	1	27		1.49
2011	Musculoskeletal	2	2	1	1	2	5	1	3	2	5	3	1	28	18,258	1.53
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.05
	TOTAL	2	2	1	1	2	5	1	3	3	5	3	1	29		1.59
2012	Musculoskeletal	0	0	1	2	9	2	0	2	0	3	5	3	27	17,513	1.54
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.06
	TOTAL	0	0	1	2	9	2	0	2	0	4	5	3	28		1.60

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2013	Musculoskeletal	2	2	0	0	1	2	2	5	3	1	1	3	22	16,242	1.35
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.06
	TOTAL	2	2	0	0	1	2	1	5	4	1	1	3	23		1.42
2014	Musculoskeletal	2	2	0	0	3	0	1	1	1	3	3	0	16	14,460	1.11
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	2	2	0	0	3	0	1	1	1	3	3	0	16		1.11
2015	Musculoskeletal	3	1	1	0	3	2	1	1	3	3	2	1	21	15,514	1.35
	Non-musculoskeletal	0	0	0	0	0	1	0	1	0	0	0	0	2		0.13
	TOTAL	3	1	1	0	3	3	1	2	3	3	3	1	23		1.48
2016	Musculoskeletal	0	2	0	4	4	3	1	0	1	2	5	1	23	16,495	1.39
	Non-musculoskeletal	0	0	0	0	0	2	1	0	0	0	0	0	3		0.18
	TOTAL	0	2	0	4	4	5	2	0	1	2	5	1	26		1.58
2017	Musculoskeletal	1	2	1	2	1	3	0	1	2	3	2	0	18	15,032	1.20
	Non-musculoskeletal	0	0	0	0	0	2	0	0	0	0	0	0	2		0.13
	TOTAL	1	2	1	2	1	5	0	1	2	3	2	0	20		1.33
2018	Musculoskeletal	2	1	0	4	3	1	6	0	7	1	3	4	32	15,049	2.13
	Non-musculoskeletal	0	0	1	0	1	0	0	1	0	0	1	0	4		0.27
	TOTAL	2	1	1	4	4	1	6	1	7	1	4	4	36		2.39
2019	Musculoskeletal	0	1	1	4	1	0	0	1	1	5	2	3	19	15,456	1.23
	Non-musculoskeletal	0	0	0	0	0	2	0	1	0	0	0	0	3		0.19
	TOTAL	0	1	1	4	1	2	0	2	1	5	2	3	22		1.42

Year	Category	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
	Non-musculoskeletal: EIPH, Sudden Death, Racing Accident															
2020	Musculoskeletal	1												1		
	Non-musculoskeletal	0												0		
	TOTAL	1												1		

Kentucky Pari-Mutuel Excise Tax and BIF by Source, FY 2020 (Through January 31, 2020)

Racetrack/ADW	Thoroughbred Development Fund		Standardbred Development Fund		QH/Other Development Fund		Equine Industry Program		Equine Drug Research		Higher Education Fund		Backside Improvement Fund		ADW Host Track Allocation		ADW Purse Allocation		Kentucky General Fund		Total Excise Tax and BIF FY-20		
	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	% of Fund Total	FY-20	Total Excise Tax	
Live	\$267,361	2.1%	\$16,039	1.2%			\$73,863	9.8%	\$36,931	8.8%	\$36,931	8.8%	\$178,241	100.0%					\$126,052	1.1%	\$735,418	2.6%	
Blue Grass Downs			\$74	0.0%			\$12	0.0%	\$6	0.0%	\$6	0.0%							\$6	0.0%	\$104	0.0%	
Churchill Downs	\$81,124	0.6%					\$21,633	2.9%	\$10,817	2.6%	\$10,817	2.6%	\$54,083	30.3%					\$37,858	0.3%	\$216,331	0.8%	
Ellis Park	\$29,592	0.2%					\$7,891	1.0%	\$3,946	0.9%	\$3,946	0.9%	\$19,728	11.1%					\$13,810	0.1%	\$78,912	0.3%	
Keeneland	\$129,906	1.0%					\$34,642	4.6%	\$17,321	4.1%	\$17,321	4.1%	\$86,604	48.6%					\$60,623	0.5%	\$346,417	1.2%	
Kentucky Downs	\$12,256	0.1%					\$3,268	0.4%	\$1,634	0.4%	\$1,634	0.4%	\$8,170	4.6%					\$5,719	0.1%	\$32,681	0.1%	
Oak Grove Racing			\$530	0.0%			\$85	0.0%	\$42	0.0%	\$42	0.0%							\$42	0.0%	\$742	0.0%	
The Red Mile			\$15,435	1.1%			\$2,470	0.3%	\$1,235	0.3%	\$1,235	0.3%							\$1,235	0.0%	\$21,609	0.1%	
Turfway Park	\$14,484	0.1%					\$3,862	0.5%	\$1,931	0.5%	\$1,931	0.5%	\$9,656	5.4%					\$6,759	0.1%	\$38,623	0.1%	
Simulcast	\$1,205,771	9.4%	\$28,844	2.1%	\$5,814	100.0%	\$31,011	4.1%	\$62,021	14.8%	\$62,021	14.8%							\$465,161	4.1%	\$1,860,644	6.7%	
Blue Grass Downs																							
Churchill Downs	\$336,825	2.6%	\$1,827	0.1%	\$1,635	28.1%	\$8,507	1.1%	\$17,014	4.1%	\$17,014	4.1%							\$127,607	1.1%	\$510,429	1.8%	
Ellis Park	\$160,085	1.3%	\$14,031	1.0%	\$3	0.0%	\$4,353	0.6%	\$8,706	2.1%	\$8,706	2.1%							\$65,294	0.6%	\$261,178	0.9%	
Keeneland	\$402,986	3.1%			\$816	14.0%	\$10,095	1.3%	\$20,190	4.8%	\$20,190	4.8%							\$151,426	1.3%	\$605,703	2.2%	
Kentucky Downs	\$132,452	1.0%	\$8,657	0.6%	\$2,699	46.4%	\$3,595	0.5%	\$7,190	1.7%	\$7,190	1.7%							\$53,928	0.5%	\$215,713	0.8%	
Oak Grove Racing																							
The Red Mile																							
Turfway Park	\$173,424	1.4%	\$4,330	0.3%	\$661	11.4%	\$4,460	0.6%	\$8,921	2.1%	\$8,921	2.1%							\$66,905	0.6%	\$267,622	1.0%	
ADW														\$283,495	100.0%	\$283,495	100.0%			\$100,057	0.9%	\$667,048	2.4%
AmWest Entertainment																\$988	0.3%	\$988	0.3%	\$349	0.0%	\$2,325	0.0%
eBet																\$945	0.3%	\$945	0.3%	\$334	0.0%	\$2,224	0.0%
Lien Games																\$220	0.1%	\$220	0.1%	\$78	0.0%	\$517	0.0%
NYRAbets																\$6,433	2.3%	\$6,433	2.3%	\$2,271	0.0%	\$15,137	0.1%
TVG																\$92,780	32.7%	\$92,780	32.7%	\$32,746	0.3%	\$218,305	0.8%
TwinSpires																\$148,064	52.2%	\$148,064	52.2%	\$52,258	0.5%	\$348,387	1.2%
Watch And Wager																\$494	0.2%	\$494	0.2%	\$174	0.0%	\$1,162	0.0%
XpressBet																\$33,571	11.8%	\$33,571	11.8%	\$11,849	0.1%	\$78,991	0.3%
HHR	\$11,327,679	88.5%	\$1,337,566	96.8%			\$650,000	86.1%	\$320,000	76.4%	\$320,000	76.4%							\$10,713,774	93.9%	\$24,669,019	88.3%	
Derby City Gaming	\$5,228,419	40.8%					\$271,057	35.9%	\$133,443	31.9%	\$133,443	31.9%							\$4,690,475	41.1%	\$10,456,839	37.4%	
Ellis Park	\$497,247	3.9%					\$28,250	3.7%	\$13,908	3.3%	\$13,908	3.3%							\$448,494	3.9%	\$1,001,806	3.6%	
Keeneland/Red Mile	\$1,003,174	7.8%	\$1,337,566	96.8%			\$93,004	12.3%	\$45,787	10.9%	\$45,787	10.9%							\$1,487,380	13.0%	\$4,012,698	14.4%	
Kentucky Downs	\$4,598,838	35.9%					\$257,689	34.1%	\$126,862	30.3%	\$126,862	30.3%							\$4,087,425	35.8%	\$9,197,677	32.9%	
Grand Total	\$12,800,812	100.0%	\$1,382,449	100.0%	\$5,814	100.0%	\$754,873	100.0%	\$418,953	100.0%	\$418,953	100.0%	\$178,241	100.0%	\$283,495	100.0%	\$283,495	100.0%	\$11,405,044	100.0%	\$27,932,130	100.0%	



Kentucky Handle and Excise Tax Report for January, 2020

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Live Racing Dates	15	11	36.4%	167	148	12.8%
On-Track Live Handle	\$ 944,011	\$ 563,947	67.4%	\$ 36,931,312	\$ 35,215,092	4.9%
On-Track Simulcast Handle	\$ 10,411,780	\$ 9,894,150	5.2%	\$ 75,022,988	\$ 77,184,905	-2.8%
Kentucky Resident ADW Handle	\$ 14,938,006	\$ 13,484,183	10.8%	\$ 133,409,558	\$ 125,136,084	6.6%
Historical Horse Racing Handle	\$ 248,057,016	\$ 169,506,445	46.3%	\$ 1,644,601,273	\$ 959,665,608	71.4%
Total Taxable Handle	\$ 274,350,812	\$ 193,448,725	41.8%	\$ 1,889,965,132	\$ 1,197,201,689	57.9%
TB Development Fund	\$ 1,870,016	\$ 1,340,353	39.5%	\$ 12,800,812	\$ 8,044,751	59.1%
SB Development Fund	\$ 216,287	\$ 131,884	64.0%	\$ 1,382,449	\$ 908,276	52.2%
QH/Arabian Development Fund	\$ 727	\$ 830	-12.5%	\$ 5,814	\$ 8,718	-33.3%
Backside Improvement Fund	\$ 4,720	\$ 2,820	67.4%	\$ 178,241	\$ 169,131	5.4%
Equine Industry Program	\$ 6,147	\$ 5,267	16.7%	\$ 754,873	\$ 752,394	0.3%
Equine Drug Research	\$ 9,462	\$ 8,842	7.0%	\$ 418,953	\$ 419,142	0.0%
Higher Education Fund	\$ 9,462	\$ 8,842	7.0%	\$ 418,953	\$ 419,142	0.0%
ADW Host Track Allocation (Note 1)	\$ 31,743	\$ 28,654	10.8%	\$ 283,495	\$ 265,914	6.6%
ADW Purse Allocation (Note 1)	\$ 31,743	\$ 28,654	10.8%	\$ 283,495	\$ 265,914	6.6%
KY General Fund	\$ 1,889,669	\$ 1,313,500	43.9%	\$ 11,405,044	\$ 6,385,913	78.6%
Total KY Excise Tax	\$ 4,069,978	\$ 2,869,646	41.8%	\$ 27,932,130	\$ 17,639,294	58.4%



Note 1 - As per KRS 138.530

Kentucky Live and Simulcast Wagering Report for January, 2020

Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates	15	11	36.4%	167	148	12.8%
On-Track Handle on All Kentucky Races (OTH)	\$ 944,011	\$ 563,947	67.4%	\$ 36,931,312	\$ 35,215,092	4.9%
Less: Return to Public	\$ 754,293	\$ 448,772		\$ 29,687,630	\$ 28,243,895	
Less: Breakage	\$ 5,471	\$ 3,710		\$ 230,406	\$ 228,087	
Gross Commission	<u>\$ 184,246</u>	<u>\$ 111,465</u>	65.3%	<u>\$ 7,013,276</u>	<u>\$ 6,743,110</u>	4.0%
TB Development Fund (0.75% of On-Track TB Handle)	\$ 7,080	\$ 4,230	67.4%	\$ 267,361	\$ 253,696	5.4%
SB Development Fund (1.25% of On-Track SB Handle)				\$ 16,039	\$ 17,362	-7.6%
Equine Industry Program (0.2% of OTH)	\$ 1,888	\$ 1,128	67.4%	\$ 73,863	\$ 70,430	4.9%
Equine Drug Research (0.1% of OTH)	\$ 944	\$ 564	67.4%	\$ 36,931	\$ 35,215	4.9%
Higher Education Fund (0.1% of OTH)	\$ 944	\$ 564	67.4%	\$ 36,931	\$ 35,215	4.9%
KY General Fund (0.35% of OTH TB, 0.1% OTH SB)	\$ 3,304	\$ 1,974	67.4%	\$ 126,052	\$ 119,780	5.2%
Total KY Excise Tax (1.5% of OTH TB, 1.75% of OTH SB)	<u>\$ 14,160</u>	<u>\$ 8,459</u>	67.4%	<u>\$ 557,177</u>	<u>\$ 531,699</u>	4.8%
% of Gross Commission	7.69%	7.59%		7.94%	7.89%	
Backside Improvement Fund (0.5% of Total OTH at TB Tracks)	\$ 4,720	\$ 2,820	67.4%	\$ 178,241	\$ 169,131	5.4%
Net Commission	\$ 165,366	\$ 100,186	65.1%	\$ 6,277,857	\$ 6,042,281	3.9%
% of On-Track Handle	17.52%	17.77%		17.00%	17.16%	
Off-Track Handle on All Kentucky Races From						
Other KY Tracks	\$ 538,283	\$ 326,175	65.0%	\$ 8,008,740	\$ 7,860,838	1.9%
Kentucky Licensed ADW's	\$ 1,365,204	\$ 577,264	136.5%	\$ 30,531,487	\$ 24,625,024	24.0%
Other Sources	\$ 20,642,102	\$ 13,160,937	56.8%	\$ 406,126,851	\$ 355,681,219	14.2%
All Sources Handle on Live Racing	<u>\$ 23,489,599</u>	<u>\$ 14,628,323</u>	60.6%	<u>\$ 481,598,390</u>	<u>\$ 423,382,172</u>	13.8%
Average Daily Handle - On Track	\$ 62,934	\$ 51,268	22.8%	\$ 221,146	\$ 237,940	-7.1%
Average Daily Handle - All Sources	\$ 1,565,973	\$ 1,329,848	17.8%	\$ 2,883,823	\$ 2,860,690	0.8%
Simulcast Wagering on Other Tracks (Note: Standardbred Facilities Only Taxed on Live Racing)						
Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)	\$ 8,229,660	\$ 8,282,600	-0.6%	\$ 60,928,145	\$ 63,969,084	-4.8%
Standardbred Simulcast Handle (SBSH)	\$ 2,137,778	\$ 1,560,549	37.0%	\$ 13,750,164	\$ 12,688,844	8.4%
Quarter Horse/Arabian/Paint/Mule Simulcast Handle (QHSH)	\$ 44,343	\$ 51,002	-13.1%	\$ 344,680	\$ 526,977	-34.6%
All-Breed Total Simulcast Handle (TSH)	<u>\$ 10,411,780</u>	<u>\$ 9,894,150</u>	5.2%	<u>\$ 75,022,988</u>	<u>\$ 77,184,905</u>	-2.8%
TB Development Fund (2% of TBSH at TB Tracks)	\$ 164,593	\$ 160,743	2.4%	\$ 1,205,771	\$ 1,237,548	-2.6%
SB Development Fund (2% of SBSH at TB Tracks)	\$ 5,048	\$ 3,994	26.4%	\$ 28,844	\$ 32,267	-10.6%
QH/Arabian Development Fund (2% of QHSH at TB Tracks)	\$ 727	\$ 830	-12.5%	\$ 5,814	\$ 8,718	-33.3%
Equine Industry Program (0.05% of TSH at TB Tracks)	\$ 4,259	\$ 4,139	2.9%	\$ 31,011	\$ 31,963	-3.0%
Equine Drug Research (0.1% of TSH at TB Tracks)	\$ 8,518	\$ 8,278	2.9%	\$ 62,021	\$ 63,927	-3.0%
Higher Education Fund (0.1% of TSH at TB Tracks)	\$ 8,518	\$ 8,278	2.9%	\$ 62,021	\$ 63,927	-3.0%
KY General Fund (0.75% of TSH at TB Tracks)	\$ 63,888	\$ 62,087	2.9%	\$ 465,161	\$ 479,450	-3.0%
Total KY Excise Tax (3% of TSH at TB Tracks)	<u>\$ 255,552</u>	<u>\$ 248,350</u>	2.9%	<u>\$ 1,860,644</u>	<u>\$ 1,917,800</u>	-3.0%

Blue Grass Downs Live and Simulcast Wagering Report for January, 2020
Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates				6		
On-Track Handle (OTH)				\$ 5,930		
Less: Return to Public				\$ 4,659		
Less: Breakage				\$ 43		
Gross Commission				\$ 1,228		
SB Development Fund (1.25% of OTH)				\$ 74		
Equine Industry Program (0.2% of OTH)				\$ 12		
Equine Drug Research (0.1% of OTH)				\$ 6		
Higher Education Fund (0.1% of OTH)				\$ 6		
KY General Fund (0.1% of OTH)				\$ 6		
Total KY Excise Tax (1.75% of OTH)				\$ 104		
% of Gross Commission				8.45%		
Net Commission				\$ 1,124		
% of On-Track Handle				18.96%		
Off-Track Handle on Kentucky Races From						
Other KY Tracks						
Kentucky Licensed ADW's						
Other Sources						
All Sources Handle on Live Racing (On- and Off-Track)				\$ 5,930		
Average Daily Handle - On Track				\$ 988		
Average Daily Handle - All Sources				\$ 988		

Simulcast Wagering on Other Tracks (Note: Standardbred Facilities Only Taxed on Live Racing)

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)		\$ 245,457	-100.0%	\$ 639,580	\$ 2,091,688	-69.4%
Standardbred Simulcast Handle (SBSH)				\$ 24,049	\$ 59,384	-59.5%
Quarter Horse/Other Simulcast Handle (QSH)		\$ 1,842	-100.0%	\$ 1,243	\$ 6,091	-79.6%
All-Breed Total Simulcast Handle (TSH)		\$ 247,299	-100.0%	\$ 664,872	\$ 2,157,163	-69.2%

Churchill Downs Live and Simulcast Wagering Report for January, 2020

Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates				36	30	20.0%
On-Track Handle (OTH)				\$ 10,816,547	\$ 10,538,654	2.6%
Less: Return to Public				\$ 8,629,523	\$ 8,392,579	
Less: Breakage				\$ 69,731	\$ 67,330	
Gross Commission				<u>\$ 2,117,293</u>	<u>\$ 2,078,744</u>	1.9%
TB Development Fund (0.75% of OTH)				\$ 81,124	\$ 79,040	2.6%
Equine Industry Program (0.2% of OTH)				\$ 21,633	\$ 21,077	2.6%
Equine Drug Research (0.1% of OTH)				\$ 10,817	\$ 10,539	2.6%
Higher Education Fund (0.1% of OTH)				\$ 10,817	\$ 10,539	2.6%
KY General Fund (0.35% of OTH)				\$ 37,858	\$ 36,885	2.6%
Total KY Excise Tax (1.5% of OTH)				<u>\$ 162,248</u>	<u>\$ 158,080</u>	2.6%
% of Gross Commission				7.66%	7.60%	
Backside Improvement Fund (0.5% of OTH)				\$ 54,083	\$ 52,693	2.6%
Net Commission				\$ 1,900,963	\$ 1,867,971	1.8%
% of On-Track Handle				17.57%	17.72%	
Off-Track Handle on Kentucky Races From						
Other KY Tracks				\$ 2,812,521	\$ 2,364,342	19.0%
Kentucky Licensed ADW's				\$ 12,854,449	\$ 9,817,472	30.9%
Other Sources				\$ 152,433,232	\$ 129,137,874	18.0%
All Sources Handle on Live Racing (On- and Off-Track)				<u>\$ 178,916,748</u>	<u>\$ 151,858,341</u>	17.8%
Average Daily Handle - On Track				\$ 300,460	\$ 351,288	-14.5%
Average Daily Handle - All Sources				\$ 4,969,910	\$ 5,061,945	-1.8%

Simulcast Wagering on Other Tracks

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)	\$ 2,020,617	\$ 2,063,243	-2.1%	\$ 16,841,228	\$ 16,559,166	1.7%
Standardbred Simulcast Handle (SBSH)	\$ 29,965	\$ 11,925	151.3%	\$ 91,335	\$ 66,931	36.5%
Quarter Horse/Other Simulcast Handle (QSHS)	\$ 5,020	\$ 5,766	-12.9%	\$ 81,729	\$ 164,300	-50.3%
All-Breed Total Simulcast Handle (TSH)	<u>\$ 2,055,602</u>	<u>\$ 2,080,934</u>	-1.2%	<u>\$ 17,014,292</u>	<u>\$ 16,790,397</u>	1.3%
TB Development Fund (2% of TBSH)	\$ 40,412	\$ 41,265	-2.1%	\$ 336,825	\$ 331,183	1.7%
SB Development Fund (2% of SBSH)	\$ 599	\$ 239	151.3%	\$ 1,827	\$ 1,339	36.5%
QH/Other Development Fund (2% of QSHS)	\$ 100	\$ 115	-12.9%	\$ 1,635	\$ 3,286	-50.3%
Equine Industry Program (0.05% of TSH)	\$ 1,028	\$ 1,040	-1.2%	\$ 8,507	\$ 8,395	1.3%
Equine Drug Research (0.1% of TSH)	\$ 2,056	\$ 2,081	-1.2%	\$ 17,014	\$ 16,790	1.3%
Higher Education Fund (0.1% of TSH)	\$ 2,056	\$ 2,081	-1.2%	\$ 17,014	\$ 16,790	1.3%
KY General Fund (0.75% of TSH)	\$ 15,417	\$ 15,607	-1.2%	\$ 127,607	\$ 125,928	1.3%
Total KY Excise Tax (3% of TSH)	<u>\$ 61,668</u>	<u>\$ 62,428</u>	-1.2%	<u>\$ 510,429</u>	<u>\$ 503,712</u>	1.3%

Ellis Park Live and Simulcast Wagering Report for January, 2020

Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates				26	29	-10.3%
On-Track Handle (OTH)				\$ 3,945,581	\$ 4,130,485	-4.5%
Less: Return to Public				\$ 3,137,478	\$ 3,283,472	
Less: Breakage				\$ 30,804	\$ 32,897	
Gross Commission				<u>\$ 777,300</u>	<u>\$ 814,116</u>	-4.5%
TB Development Fund (0.75% of OTH)				\$ 29,592	\$ 30,979	-4.5%
Equine Industry Program (0.2% of OTH)				\$ 7,891	\$ 8,261	-4.5%
Equine Drug Research (0.1% of OTH)				\$ 3,946	\$ 4,130	-4.5%
Higher Education Fund (0.1% of OTH)				\$ 3,946	\$ 4,130	-4.5%
KY General Fund (0.35% of OTH)				\$ 13,810	\$ 14,457	-4.5%
Total KY Excise Tax (1.5% of OTH)				<u>\$ 59,184</u>	<u>\$ 61,957</u>	-4.5%
% of Gross Commission				7.61%	7.61%	
Backside Improvement Fund (0.5% of OTH)				\$ 19,728	\$ 20,652	-4.5%
Net Commission				\$ 698,388	\$ 731,506	-4.5%
% of On-Track Handle				17.70%	17.71%	
Off-Track Handle on Kentucky Races From						
Other KY Tracks				\$ 1,538,255	\$ 1,593,040	-3.4%
Kentucky Licensed ADW's				\$ 2,641,941	\$ 2,231,809	18.4%
Other Sources				\$ 37,736,553	\$ 31,118,533	21.3%
All Sources Handle on Live Racing (On- and Off-Track)				<u>\$ 45,862,331</u>	<u>\$ 39,073,868</u>	17.4%
Average Daily Handle - On Track				\$ 151,753	\$ 142,431	6.5%
Average Daily Handle - All Sources				\$ 1,763,936	\$ 1,347,375	30.9%

Simulcast Wagering on Other Tracks

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)	\$ 1,115,847	\$ 1,019,271	9.5%	\$ 8,004,253	\$ 8,893,511	-10.0%
Standardbred Simulcast Handle (SBSH)	\$ 124,823	\$ 100,672	24.0%	\$ 701,534	\$ 795,895	-11.9%
Quarter Horse/Other Simulcast Handle (QSHS)				\$ 141	\$ 2,517	-94.4%
All-Breed Total Simulcast Handle (TSH)	<u>\$ 1,240,670</u>	<u>\$ 1,119,943</u>	10.8%	<u>\$ 8,705,927</u>	<u>\$ 9,691,922</u>	-10.2%
TB Development Fund (2% of TBSH)	\$ 22,317	\$ 20,385	9.5%	\$ 160,085	\$ 177,870	-10.0%
SB Development Fund (2% of SBSH)	\$ 2,496	\$ 2,013	24.0%	\$ 14,031	\$ 15,918	-11.9%
QH/Other Development Fund (2% of QSHS)				\$ 3	\$ 50	-94.4%
Equine Industry Program (0.05% of TSH)	\$ 620	\$ 560	10.8%	\$ 4,353	\$ 4,846	-10.2%
Equine Drug Research (0.1% of TSH)	\$ 1,241	\$ 1,120	10.8%	\$ 8,706	\$ 9,692	-10.2%
Higher Education Fund (0.1% of TSH)	\$ 1,241	\$ 1,120	10.8%	\$ 8,706	\$ 9,692	-10.2%
KY General Fund (0.75% of TSH)	\$ 9,305	\$ 8,400	10.8%	\$ 65,294	\$ 72,689	-10.2%
Total KY Excise Tax (3% of TSH)	<u>\$ 37,220</u>	<u>\$ 33,598</u>	10.8%	<u>\$ 261,178</u>	<u>\$ 290,758</u>	-10.2%

Keeneland Live and Simulcast Wagering Report for January, 2020

Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates				17	17	
On-Track Handle (OTH)				\$ 17,320,852	\$ 16,419,870	5.5%
Less: Return to Public				\$ 14,044,361	\$ 13,294,951	
Less: Breakage				\$ 108,172	\$ 104,472	
Gross Commission				<u>\$ 3,168,320</u>	<u>\$ 3,020,447</u>	4.9%
TB Development Fund (0.75% of OTH)				\$ 129,906	\$ 123,149	5.5%
Equine Industry Program (0.2% of OTH)				\$ 34,642	\$ 32,840	5.5%
Equine Drug Research (0.1% of OTH)				\$ 17,321	\$ 16,420	5.5%
Higher Education Fund (0.1% of OTH)				\$ 17,321	\$ 16,420	5.5%
KY General Fund (0.35% of OTH)				\$ 60,623	\$ 57,470	5.5%
Total KY Excise Tax (1.5% of OTH)				<u>\$ 259,813</u>	<u>\$ 246,298</u>	5.5%
% of Gross Commission				8.20%	8.15%	
Backside Improvement Fund (0.5% of OTH)				\$ 86,604	\$ 82,099	5.5%
Net Commission				\$ 2,821,903	\$ 2,692,050	4.8%
% of On-Track Handle				16.29%	16.40%	
Off-Track Handle on Kentucky Races From						
Other KY Tracks				\$ 1,759,919	\$ 2,237,530	-21.3%
Kentucky Licensed ADW's				\$ 10,108,626	\$ 8,950,097	12.9%
Other Sources				\$ 131,018,519	\$ 116,703,614	12.3%
All Sources Handle on Live Racing (On- and Off-Track)				<u>\$ 160,207,916</u>	<u>\$ 144,311,112</u>	11.0%
Average Daily Handle - On Track				\$ 1,018,874	\$ 965,875	5.5%
Average Daily Handle - All Sources				\$ 9,423,995	\$ 8,488,889	11.0%

Simulcast Wagering on Other Tracks

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)	\$ 2,652,327	\$ 2,636,869	0.6%	\$ 20,149,285	\$ 19,791,590	1.8%
Standardbred Simulcast Handle (SBSH)						
Quarter Horse/Other Simulcast Handle (QSHS)	\$ 10,607	\$ 4,790	121.4%	\$ 40,804	\$ 66,043	-38.2%
All-Breed Total Simulcast Handle (TSH)	<u>\$ 2,662,934</u>	<u>\$ 2,641,659</u>	0.8%	<u>\$ 20,190,089</u>	<u>\$ 19,857,633</u>	1.7%
TB Development Fund (2% of TBSH)	\$ 53,047	\$ 52,737	0.6%	\$ 402,986	\$ 395,832	1.8%
SB Development Fund (2% of SBSH)						
QH/Other Development Fund (2% of QSHS)	\$ 212	\$ 96	121.4%	\$ 816	\$ 1,321	-38.2%
Equine Industry Program (0.05% of TSH)	\$ 1,331	\$ 1,321	0.8%	\$ 10,095	\$ 9,929	1.7%
Equine Drug Research (0.1% of TSH)	\$ 2,663	\$ 2,642	0.8%	\$ 20,190	\$ 19,858	1.7%
Higher Education Fund (0.1% of TSH)	\$ 2,663	\$ 2,642	0.8%	\$ 20,190	\$ 19,858	1.7%
KY General Fund (0.75% of TSH)	\$ 19,972	\$ 19,812	0.8%	\$ 151,426	\$ 148,932	1.7%
Total KY Excise Tax (3% of TSH)	<u>\$ 79,888</u>	<u>\$ 79,250</u>	0.8%	<u>\$ 605,703</u>	<u>\$ 595,729</u>	1.7%

Kentucky Downs Live and Simulcast Wagering Report for January, 2020

Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates				5	5	
On-Track Handle (OTH)				\$ 1,634,072	\$ 1,118,276	46.1%
Less: Return to Public				\$ 1,342,662	\$ 918,080	
Less: Breakage				\$ 9,723	\$ 7,285	
Gross Commission				<u>\$ 281,688</u>	<u>\$ 192,912</u>	46.0%
TB Development Fund (0.75% of OTH)				\$ 12,256	\$ 8,387	46.1%
Equine Industry Program (0.2% of OTH)				\$ 3,268	\$ 2,237	46.1%
Equine Drug Research (0.1% of OTH)				\$ 1,634	\$ 1,118	46.1%
Higher Education Fund (0.1% of OTH)				\$ 1,634	\$ 1,118	46.1%
KY General Fund (0.35% of OTH)				\$ 5,719	\$ 3,914	46.1%
Total KY Excise Tax (1.5% of OTH)				<u>\$ 24,511</u>	<u>\$ 16,774</u>	46.1%
% of Gross Commission				8.70%	8.70%	
Backside Improvement Fund (0.5% of OTH)				\$ 8,170	\$ 5,591	46.1%
Net Commission				\$ 249,006	\$ 170,547	46.0%
% of On-Track Handle				15.24%	15.25%	
Off-Track Handle on Kentucky Races From						
Other KY Tracks				\$ 746,220	\$ 654,322	14.0%
Kentucky Licensed ADW's				\$ 1,843,304	\$ 1,577,862	16.8%
Other Sources				\$ 37,016,011	\$ 33,084,045	11.9%
All Sources Handle on Live Racing (On- and Off-Track)				<u>\$ 41,239,607</u>	<u>\$ 36,434,505</u>	13.2%
Average Daily Handle - On Track				\$ 326,814	\$ 223,655	46.1%
Average Daily Handle - All Sources				\$ 8,247,921	\$ 7,286,901	13.2%

Simulcast Wagering on Other Tracks

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)	\$ 1,142,047	\$ 907,748	25.8%	\$ 6,622,616	\$ 6,090,883	8.7%
Standardbred Simulcast Handle (SBSH)	\$ 69,889	\$ 67,755	3.2%	\$ 432,867	\$ 451,932	-4.2%
Quarter Horse/Other Simulcast Handle (QSHS)	\$ 15,788	\$ 19,477	-18.9%	\$ 134,958	\$ 147,077	-8.2%
All-Breed Total Simulcast Handle (TSH)	<u>\$ 1,227,724</u>	<u>\$ 994,980</u>	23.4%	<u>\$ 7,190,440</u>	<u>\$ 6,689,891</u>	7.5%
TB Development Fund (2% of TBSH)	\$ 22,841	\$ 18,155	25.8%	\$ 132,452	\$ 121,818	8.7%
SB Development Fund (2% of SBSH)	\$ 1,398	\$ 1,355	3.2%	\$ 8,657	\$ 9,039	-4.2%
QH/Other Development Fund (2% of QSHS)	\$ 316	\$ 390	-18.9%	\$ 2,699	\$ 2,942	-8.2%
Equine Industry Program (0.05% of TSH)	\$ 614	\$ 497	23.4%	\$ 3,595	\$ 3,345	7.5%
Equine Drug Research (0.1% of TSH)	\$ 1,228	\$ 995	23.4%	\$ 7,190	\$ 6,690	7.5%
Higher Education Fund (0.1% of TSH)	\$ 1,228	\$ 995	23.4%	\$ 7,190	\$ 6,690	7.5%
KY General Fund (0.75% of TSH)	\$ 9,208	\$ 7,462	23.4%	\$ 53,928	\$ 50,174	7.5%
Total KY Excise Tax (3% of TSH)	<u>\$ 36,832</u>	<u>\$ 29,849</u>	23.4%	<u>\$ 215,713</u>	<u>\$ 200,697</u>	7.5%

Oak Grove Racing Live and Simulcast Wagering Report for January, 2020

Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates				11		
On-Track Handle (OTH)				\$ 42,408		
Less: Return to Public				\$ 33,069		
Less: Breakage				\$ 369		
Gross Commission				\$ 8,970		
SB Development Fund (1.25% of OTH)				\$ 530		
Equine Industry Program (0.2% of OTH)				\$ 85		
Equine Drug Research (0.1% of OTH)				\$ 42		
Higher Education Fund (0.1% of OTH)				\$ 42		
KY General Fund (0.1% of OTH)				\$ 42		
Total KY Excise Tax (1.75% of OTH)				\$ 742		
% of Gross Commission				8.27%		
Net Commission				\$ 8,228		
% of On-Track Handle				19.40%		
Off-Track Handle on Kentucky Races From						
Other KY Tracks				\$ 3,729		
Kentucky Licensed ADW's				\$ 6,596		
Other Sources				\$ 19,762		
All Sources Handle on Live Racing (On- and Off-Track)				\$ 72,495		
Average Daily Handle - On Track				\$ 3,855		
Average Daily Handle - All Sources				\$ 6,590		

Simulcast Wagering on Other Tracks (Note: Standardbred Facilities Only Taxed on Live Racing)

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)						
Standardbred Simulcast Handle (SBSH)						
Quarter Horse/Other Simulcast Handle (QSHS)						
All-Breed Total Simulcast Handle (TSH)						

The Red Mile Live and Simulcast Wagering Report for January, 2020
Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates				35	35	
On-Track Handle (OTH)				\$ 1,234,783	\$ 1,388,990	-11.1%
Less: Return to Public				\$ 951,978	\$ 1,065,834	
Less: Breakage				\$ 1,215	\$ 5,960	
Gross Commission				\$ 281,590	\$ 317,197	-11.2%
SB Development Fund (1.25% of OTH)				\$ 15,435	\$ 17,362	-11.1%
Equine Industry Program (0.2% of OTH)				\$ 2,470	\$ 2,778	-11.1%
Equine Drug Research (0.1% of OTH)				\$ 1,235	\$ 1,389	-11.1%
Higher Education Fund (0.1% of OTH)				\$ 1,235	\$ 1,389	-11.1%
KY General Fund (0.1% of OTH)				\$ 1,235	\$ 1,389	-11.1%
Total KY Excise Tax (1.75% of OTH)				\$ 21,609	\$ 24,307	-11.1%
% of Gross Commission				7.67%	7.66%	
Net Commission				\$ 259,982	\$ 292,889	-11.2%
% of On-Track Handle				21.05%	21.09%	
Off-Track Handle on Kentucky Races From						
Other KY Tracks				\$ 61,612	\$ 85,572	-28.0%
Kentucky Licensed ADW's				\$ 168,660	\$ 184,092	-8.4%
Other Sources				\$ 6,331,235	\$ 6,857,759	-7.7%
All Sources Handle on Live Racing (On- and Off-Track)				\$ 7,796,289	\$ 8,516,413	-8.5%
Average Daily Handle - On Track				\$ 35,280	\$ 39,685	-11.1%
Average Daily Handle - All Sources				\$ 222,751	\$ 243,326	-8.5%

Simulcast Wagering on Other Tracks (Note: Standardbred Facilities Only Taxed on Live Racing)

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)						
Standardbred Simulcast Handle (SBSH)	\$ 1,885,364	\$ 1,360,874	38.5%	\$ 12,283,891	\$ 11,016,117	11.5%
Quarter Horse/Other Simulcast Handle (QSHS)	\$ 8,009	\$ 7,648	4.7%	\$ 52,747	\$ 84,964	-37.9%
All-Breed Total Simulcast Handle (TSH)	\$ 1,893,373	\$ 1,368,522	38.4%	\$ 12,336,638	\$ 11,101,080	11.1%

Turfway Park Live and Simulcast Wagering Report for January, 2020

Live Racing

	January-20	January-19	% Change	FYTD - 2020	FYTD - 2019	% Change
Race Dates	15	11	36.4%	31	32	-3.1%
On-Track Handle (OTH)	\$ 944,011	\$ 563,947	67.4%	\$ 1,931,139	\$ 1,618,816	19.3%
Less: Return to Public	\$ 754,293	\$ 448,772		\$ 1,543,901	\$ 1,288,979	
Less: Breakage	\$ 5,471	\$ 3,710		\$ 10,352	\$ 10,143	
Gross Commission	<u>\$ 184,246</u>	<u>\$ 111,465</u>	65.3%	<u>\$ 376,887</u>	<u>\$ 319,694</u>	17.9%
TB Development Fund (0.75% of OTH)	\$ 7,080	\$ 4,230	67.4%	\$ 14,484	\$ 12,141	19.3%
Equine Industry Program (0.2% of OTH)	\$ 1,888	\$ 1,128	67.4%	\$ 3,862	\$ 3,238	19.3%
Equine Drug Research (0.1% of OTH)	\$ 944	\$ 564	67.4%	\$ 1,931	\$ 1,619	19.3%
Higher Education Fund (0.1% of OTH)	\$ 944	\$ 564	67.4%	\$ 1,931	\$ 1,619	19.3%
KY General Fund (0.35% of OTH)	\$ 3,304	\$ 1,974	67.4%	\$ 6,759	\$ 5,666	19.3%
Total KY Excise Tax (1.5% of OTH)	<u>\$ 14,160</u>	<u>\$ 8,459</u>	67.4%	<u>\$ 28,967</u>	<u>\$ 24,282</u>	19.3%
% of Gross Commission	7.69%	7.59%		7.69%	7.60%	
Backside Improvement Fund (0.5% of OTH)	\$ 4,720	\$ 2,820	67.4%	\$ 9,656	\$ 8,094	19.3%
Net Commission	\$ 165,366	\$ 100,186	65.1%	\$ 338,264	\$ 287,318	17.7%
% of On-Track Handle	17.52%	17.77%		17.52%	17.75%	
Off-Track Handle on Kentucky Races From						
Other KY Tracks	\$ 538,283	\$ 326,175	65.0%	\$ 1,086,484	\$ 926,033	17.3%
Kentucky Licensed ADW's	\$ 1,365,204	\$ 577,264	136.5%	\$ 2,907,911	\$ 1,863,691	56.0%
Other Sources	\$ 20,642,102	\$ 13,160,937	56.8%	\$ 41,571,539	\$ 38,779,394	7.2%
All Sources Handle on Live Racing (On- and Off-Track)	<u>\$ 23,489,599</u>	<u>\$ 14,628,323</u>	60.6%	<u>\$ 47,497,073</u>	<u>\$ 43,187,933</u>	10.0%
Average Daily Handle - On Track	\$ 62,934	\$ 51,268	22.8%	\$ 62,295	\$ 50,588	23.1%
Average Daily Handle - All Sources	\$ 1,565,973	\$ 1,329,848	17.8%	\$ 1,532,164	\$ 1,349,623	13.5%

Simulcast Wagering on Other Tracks

Handle by Breed						
Thoroughbred Simulcast Handle (TBSH)	\$ 1,298,821	\$ 1,410,012	-7.9%	\$ 8,671,182	\$ 10,542,246	-17.7%
Standardbred Simulcast Handle (SBSH)	\$ 27,737	\$ 19,323	43.5%	\$ 216,489	\$ 298,586	-27.5%
Quarter Horse/Other Simulcast Handle (QSHS)	\$ 4,919	\$ 11,478	-57.1%	\$ 33,058	\$ 55,986	-41.0%
All-Breed Total Simulcast Handle (TSH)	<u>\$ 1,331,477</u>	<u>\$ 1,440,814</u>	-7.6%	<u>\$ 8,920,730</u>	<u>\$ 10,896,818</u>	-18.1%
TB Development Fund (2% of TBSH)	\$ 25,976	\$ 28,200	-7.9%	\$ 173,424	\$ 210,845	-17.7%
SB Development Fund (2% of SBSH)	\$ 555	\$ 386	43.5%	\$ 4,330	\$ 5,972	-27.5%
QH/Other Development Fund (2% of QSHS)	\$ 98	\$ 230	-57.1%	\$ 661	\$ 1,120	-41.0%
Equine Industry Program (0.05% of TSH)	\$ 666	\$ 720	-7.6%	\$ 4,460	\$ 5,448	-18.1%
Equine Drug Research (0.1% of TSH)	\$ 1,331	\$ 1,441	-7.6%	\$ 8,921	\$ 10,897	-18.1%
Higher Education Fund (0.1% of TSH)	\$ 1,331	\$ 1,441	-7.6%	\$ 8,921	\$ 10,897	-18.1%
KY General Fund (0.75% of TSH)	\$ 9,986	\$ 10,806	-7.6%	\$ 66,905	\$ 81,726	-18.1%
Total KY Excise Tax (3% of TSH)	<u>\$ 39,944</u>	<u>\$ 43,224</u>	-7.6%	<u>\$ 267,622</u>	<u>\$ 326,905</u>	-18.1%

Kentucky Resident Advance Deposit Wagering Report for January, 2020

	Jan-20	Jan-19	% Change	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	\$ 1,365,204	\$ 577,182	136.5%	\$ 30,531,379	\$ 24,624,700	24.0%
Total Out-of-State Handle	\$ 13,572,802	\$ 12,907,001	5.2%	\$ 102,878,179	\$ 100,511,385	2.4%
Total ADW Handle (TAH)	\$ 14,938,006	\$ 13,484,183	10.8%	\$ 133,409,558	\$ 125,136,084	6.6%
Host Track (42.5% Allocation)	\$ 31,743	\$ 28,654	10.8%	\$ 283,495	\$ 265,914	6.6%
Purse (42.5% Allocation)	\$ 31,743	\$ 28,654	10.8%	\$ 283,495	\$ 265,914	6.6%
KY General Fund (15% Allocation)	\$ 11,204	\$ 10,113	10.8%	\$ 100,057	\$ 93,852	6.6%
Total KY Excise Tax (0.5% of TAH)	\$ 74,690	\$ 67,421	10.8%	\$ 667,048	\$ 625,680	6.6%



Kentucky Resident Advance Deposit Wagering Report for January, 2020

AmWest Entertainment	% of Total	Jan-20	Jan-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	0.00%		\$ 344	-100.0%	0.34%	\$ 103,002	\$ 35,225	192.4%
Total Out-of-State Handle	0.31%	\$ 42,478	\$ 46,649	-8.9%	0.35%	\$ 362,066	\$ 244,001	48.4%
Total Handle	0.28%	\$ 42,478	\$ 46,993	-9.6%	0.35%	\$ 465,068	\$ 279,227	66.6%
Host Track (42.5% Allocation)	0.28%	\$ 90	\$ 100	-9.6%	0.35%	\$ 988	\$ 593	66.6%
Purse (42.5% Allocation)	0.28%	\$ 90	\$ 100	-9.6%	0.35%	\$ 988	\$ 593	66.6%
KY General Fund (15% Allocation)	0.28%	\$ 32	\$ 35	-9.6%	0.35%	\$ 349	\$ 209	66.6%
Total KY Excise Tax (0.5% of TAH)	0.28%	\$ 212	\$ 235	-9.6%	0.35%	\$ 2,325	\$ 1,396	66.6%

eBet	% of Total	Jan-20	Jan-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	0.01%	\$ 83	\$ 8,134	-99.0%	0.08%	\$ 24,792	\$ 63,617	-61.0%
Total Out-of-State Handle	0.30%	\$ 41,124	\$ 109,986	-62.6%	0.41%	\$ 420,060	\$ 693,084	-39.4%
Total Handle	0.28%	\$ 41,207	\$ 118,120	-65.1%	0.33%	\$ 444,852	\$ 756,701	-41.2%
Host Track (42.5% Allocation)	0.28%	\$ 88	\$ 251	-65.1%	0.33%	\$ 945	\$ 1,608	-41.2%
Purse (42.5% Allocation)	0.28%	\$ 88	\$ 251	-65.1%	0.33%	\$ 945	\$ 1,608	-41.2%
KY General Fund (15% Allocation)	0.28%	\$ 31	\$ 89	-65.1%	0.33%	\$ 334	\$ 568	-41.2%
Total KY Excise Tax (0.5% of TAH)	0.28%	\$ 206	\$ 591	-65.1%	0.33%	\$ 2,224	\$ 3,784	-41.2%

Horse Races Now	% of Total	Jan-20	Jan-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle							\$ 1,127	
Total Out-of-State Handle							\$ 3,699	
Total Handle							\$ 4,826	
Host Track (42.5% Allocation)							\$ 10	
Purse (42.5% Allocation)							\$ 10	
KY General Fund (15% Allocation)							\$ 4	
Total KY Excise Tax (0.5% of TAH)							\$ 24	

Kentucky Resident Advance Deposit Wagering Report for January, 2020 (Cont)

Lien Games	<u>% of Total</u>	<u>Jan-20</u>	<u>Jan-19</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2020</u>	<u>FYTD-2019</u>	<u>% Change</u>
Total In-State Handle	0.00%		\$ 253	-100.0%	0.02%	\$ 5,929	\$ 4,638	27.9%
Total Out-of-State Handle	0.18%	\$ 24,400	\$ 8,437	189.2%	0.09%	\$ 97,486	\$ 81,158	20.1%
Total Handle	0.16%	\$ 24,400	\$ 8,690	180.8%	0.08%	\$ 103,416	\$ 85,796	20.5%
Host Track (42.5% Allocation)	0.16%	\$ 52	\$ 18	180.8%	0.08%	\$ 220	\$ 182	20.5%
Purse (42.5% Allocation)	0.16%	\$ 52	\$ 18	180.8%	0.08%	\$ 220	\$ 182	20.5%
KY General Fund (15% Allocation)	0.16%	\$ 18	\$ 7	180.8%	0.08%	\$ 78	\$ 64	20.5%
Total KY Excise Tax (0.5% of TAH)	0.16%	\$ 122	\$ 43	180.8%	0.08%	\$ 517	\$ 429	20.5%

NYRabets	<u>% of Total</u>	<u>Jan-20</u>	<u>Jan-19</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2020</u>	<u>FYTD-2019</u>	<u>% Change</u>
Total In-State Handle	1.25%	\$ 17,132	\$ 7,930	116.0%	1.82%	\$ 554,937	\$ 265,690	108.9%
Total Out-of-State Handle	2.09%	\$ 284,337	\$ 199,031	42.9%	2.40%	\$ 2,472,209	\$ 2,048,224	20.7%
Total Handle	2.02%	\$ 301,469	\$ 206,961	45.7%	2.27%	\$ 3,027,146	\$ 2,313,914	30.8%
Host Track (42.5% Allocation)	2.02%	\$ 641	\$ 440	45.7%	2.27%	\$ 6,433	\$ 4,917	30.8%
Purse (42.5% Allocation)	2.02%	\$ 641	\$ 440	45.7%	2.27%	\$ 6,433	\$ 4,917	30.8%
KY General Fund (15% Allocation)	2.02%	\$ 226	\$ 155	45.7%	2.27%	\$ 2,270	\$ 1,735	30.8%
Total KY Excise Tax (0.5% of TAH)	2.02%	\$ 1,507	\$ 1,035	45.7%	2.27%	\$ 15,136	\$ 11,570	30.8%

TVG	<u>% of Total</u>	<u>Jan-20</u>	<u>Jan-19</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2020</u>	<u>FYTD-2019</u>	<u>% Change</u>
Total In-State Handle	35.45%	\$ 484,018	\$ 203,149	138.3%	26.94%	\$ 8,225,942	\$ 6,324,926	30.1%
Total Out-of-State Handle	34.42%	\$ 4,671,956	\$ 4,076,811	14.6%	34.44%	\$ 35,435,132	\$ 32,670,363	8.5%
Total Handle	34.52%	\$ 5,155,973	\$ 4,279,960	20.5%	32.73%	\$ 43,661,073	\$ 38,995,289	12.0%
Host Track (42.5% Allocation)	34.52%	\$ 10,956	\$ 9,095	20.5%	32.73%	\$ 92,780	\$ 82,865	12.0%
Purse (42.5% Allocation)	34.52%	\$ 10,956	\$ 9,095	20.5%	32.73%	\$ 92,780	\$ 82,865	12.0%
KY General Fund (15% Allocation)	34.52%	\$ 3,867	\$ 3,210	20.5%	32.73%	\$ 32,746	\$ 29,246	12.0%
Total KY Excise Tax (0.5% of TAH)	34.52%	\$ 25,780	\$ 21,400	20.5%	32.73%	\$ 218,305	\$ 194,976	12.0%

Kentucky Resident Advance Deposit Wagering Report for January, 2020 (Cont)

TwinSpires	% of Total	Jan-20	Jan-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	57.52%	\$ 785,241	\$ 317,202	147.6%	57.60%	\$ 17,586,330	\$ 13,983,284	25.8%
Total Out-of-State Handle	50.09%	\$ 6,798,866	\$ 6,658,794	2.1%	50.63%	\$ 52,091,049	\$ 51,352,458	1.4%
Total Handle	50.77%	\$ 7,584,106	\$ 6,975,996	8.7%	52.23%	\$ 69,677,379	\$ 65,335,742	6.6%
Host Track (42.5% Allocation)	50.77%	\$ 16,116	\$ 14,824	8.7%	52.23%	\$ 148,064	\$ 138,838	6.6%
Purse (42.5% Allocation)	50.77%	\$ 16,116	\$ 14,824	8.7%	52.23%	\$ 148,064	\$ 138,838	6.6%
KY General Fund (15% Allocation)	50.77%	\$ 5,688	\$ 5,232	8.7%	52.23%	\$ 52,258	\$ 49,002	6.6%
Total KY Excise Tax (0.5% of TAH)	50.77%	\$ 37,921	\$ 34,880	8.7%	52.23%	\$ 348,387	\$ 326,679	6.6%

Watch and Wager	% of Total	Jan-20	Jan-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	0.10%	\$ 1,363	\$ 154	785.1%	0.13%	\$ 41,148	\$ 26,381	56.0%
Total Out-of-State Handle	0.17%	\$ 23,546	\$ 3,355	601.8%	0.19%	\$ 191,219	\$ 84,830	125.4%
Total Handle	0.17%	\$ 24,909	\$ 3,509	609.8%	0.17%	\$ 232,368	\$ 111,211	108.9%
Host Track (42.5% Allocation)	0.17%	\$ 53	\$ 7	609.8%	0.17%	\$ 494	\$ 236	108.9%
Purse (42.5% Allocation)	0.17%	\$ 53	\$ 7	609.8%	0.17%	\$ 494	\$ 236	108.9%
KY General Fund (15% Allocation)	0.17%	\$ 19	\$ 3	609.8%	0.17%	\$ 174	\$ 83	108.9%
Total KY Excise Tax (0.5% of TAH)	0.17%	\$ 125	\$ 18	609.8%	0.17%	\$ 1,162	\$ 556	108.9%

Xpressbet	% of Total	Jan-20	Jan-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	5.67%	\$ 77,367	\$ 40,017	93.3%	13.07%	\$ 3,989,299	\$ 3,919,812	1.8%
Total Out-of-State Handle	12.42%	\$ 1,686,096	\$ 1,803,937	-6.5%	11.48%	\$ 11,808,958	\$ 13,333,568	-11.4%
Total Handle	11.81%	\$ 1,763,463	\$ 1,843,954	-4.4%	11.84%	\$ 15,798,257	\$ 17,253,380	-8.4%
Host Track (42.5% Allocation)	11.81%	\$ 3,747	\$ 3,918	-4.4%	11.84%	\$ 33,571	\$ 36,663	-8.4%
Purse (42.5% Allocation)	11.81%	\$ 3,747	\$ 3,918	-4.4%	11.84%	\$ 33,571	\$ 36,663	-8.4%
KY General Fund (15% Allocation)	11.81%	\$ 1,323	\$ 1,383	-4.4%	11.84%	\$ 11,849	\$ 12,940	-8.4%
Total KY Excise Tax (0.5% of TAH)	11.81%	\$ 8,817	\$ 9,220	-4.4%	11.84%	\$ 78,991	\$ 86,267	-8.4%

Kentucky Historical Horse Racing Report for January, 2020

	January-20	January-19	% Change	FYTD-2020	FYTD-2019	% Change
Total Handle	\$ 248,057,016	\$ 169,506,445	46.3%	\$1,644,601,273	\$ 959,665,608	71.4%
Less: Returned to Public	\$ 226,813,139	\$ 154,931,421	46.4%	\$1,504,979,223	\$ 878,457,169	71.3%
Less: Breakage	\$ 110,117	\$ 112,919		\$ 693,246	\$ 599,318	
Less: Settlement	\$ 347,250	\$ 221,585		\$ 1,409,592	\$ 1,385,358	
Gross Commission	<u>\$ 20,786,510</u>	<u>\$ 14,240,521</u>	46.0%	<u>\$ 137,519,213</u>	<u>\$ 79,223,763</u>	73.6%
TB Development Fund (See Note 1)	\$ 1,698,343	\$ 1,175,380	44.5%	\$ 11,327,679	\$ 6,553,507	72.8%
SB Development Fund (See Note 2)	\$ 211,239	\$ 127,891	65.2%	\$ 1,337,566	\$ 858,647	55.8%
Equine Industry Program (See Note 3)	\$ -	\$ -	-	\$ 650,000	\$ 650,000	0.0%
Equine Drug Research (See Note 4)	\$ -	\$ -	-	\$ 320,000	\$ 320,000	0.0%
Higher Education Fund (See Note 4)	\$ -	\$ -	-	\$ 320,000	\$ 320,000	0.0%
Kentucky General Fund (Note 5)	\$ 1,811,274	\$ 1,239,326	46.1%	\$ 10,713,774	\$ 5,692,830	88.2%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 3,720,855</u>	<u>\$ 2,542,597</u>	46.3%	<u>\$ 24,669,019</u>	<u>\$ 14,394,984</u>	71.4%
% of Gross Commission	17.9%	17.9%		17.9%	18.2%	
Net Commission + Breakage	\$ 17,175,771	\$ 11,810,843	45.4%	\$ 113,543,440	\$ 65,428,098	73.5%
% of Total Handle	6.92%	6.97%		6.90%	6.82%	
<hr/>						
Number of HHR Terminals	2,991	2,734	9.4%	2,991	2,734	9.4%
Average Daily Handle	\$ 8,001,839	\$ 5,467,950	46.3%	\$ 7,649,308	\$ 4,896,253	56.2%
Handle / Day / Machine	\$ 2,675	\$ 2,000	33.8%	\$ 2,557	\$ 1,791	42.8%
Gross Commission / Day / Machine	\$ 224	\$ 168	33.4%	\$ 214	\$ 148	44.6%

	January-20	Dec-19	% Change	Since Inception
Total Handle	\$ 248,057,016	\$ 250,169,538	-0.8%	Total Handle \$7,423,603,950
Total Kentucky Excise Tax	\$ 3,720,855	\$ 3,752,543	-0.8%	Total Kentucky Excise Tax \$111,354,059
Average Daily Handle	\$ 8,001,839	\$ 8,069,985	-0.8%	Total KY General Fund \$43,401,121

Note 1: Thoroughbred Development Fund receives .75% of Total Handle at Kentucky Downs and Ellis Park. The rate applies to half of the Total Handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the Total Handle at KRM.

Note 3: EIP receives .2% of TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF each receive .1% of TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1, 2, 3 and 4.

Derby City Gaming Historical Horse Racing Report for January, 2020

	January-20	January-19	% Change	FYTD-2020	FYTD-2019	% Change
Total Handle	\$ 106,685,794	\$ 59,479,465	79.4%	\$ 697,122,573	\$ 238,906,947	191.8%
Less: Returned to Public	\$ 96,971,274	\$ 53,797,674	80.3%	\$ 633,422,619	\$ 215,362,141	194.1%
Less: Breakage	\$ 0	\$ 0		\$ 0	\$ 0	75.0%
Less: Settlement	\$ 42,780	\$ 8,857		\$ 92,162	\$ 526,205	-82.5%
Gross Commission	<u>\$ 9,671,740</u>	<u>\$ 5,672,934</u>	70.5%	<u>\$ 63,607,792</u>	<u>\$ 23,018,602</u>	176.3%
TB Development Fund (See Note 1)	\$ 800,143	\$ 446,096	79.4%	\$ 5,228,419	\$ 1,791,802	191.8%
SB Development Fund (See Note 2)	-	\$ -	-	\$ -	\$ -	-
Equine Industry Program (See Note 3)	-	\$ -	-	\$ 271,057	\$ 52,856	412.8%
Equine Drug Research (See Note 4)	-	\$ -	-	\$ 133,443	\$ 26,021	412.8%
Higher Education Fund (See Note 4)	-	\$ -	-	\$ 133,443	\$ 26,022	412.8%
Kentucky General Fund (Note 5)	<u>\$ 800,143</u>	<u>\$ 446,096</u>	79.4%	<u>\$ 4,690,475</u>	<u>\$ 1,686,903</u>	178.1%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 1,600,287</u>	<u>\$ 892,192</u>	79.4%	<u>\$ 10,456,839</u>	<u>\$ 3,583,604</u>	191.8%
% of Gross Commission	16.5%	15.7%		16.4%	15.6%	
Net Commission + Breakage	\$ 8,071,453	\$ 4,780,742	68.8%	\$ 53,150,953	\$ 19,434,998	173.5%
% of Total Handle	7.57%	8.04%		7.62%	8.13%	
Number of HHR Terminals	1000	900	11.1%	1000	900	11.1%
Average Daily Handle	\$ 3,441,477	\$ 1,918,692	79.4%	\$ 3,242,431	\$ 1,670,678	94.1%
Handle / Day / Machine	\$ 3,441	\$ 2,132	61.4%	\$ 3,242	\$ 1,856	74.7%
Gross Commission / Day / Machine	\$ 312	\$ 203	53.4%	\$ 296	\$ 179	65.4%

	January-20	Dec-19	% Change	Since Inception (September 10, 2018)	
Total Handle	\$ 106,685,794	\$ 106,709,261	0.0%	Total Handle	\$1,367,417,846
Total Kentucky Excise Tax	\$ 1,600,287	\$ 1,600,639	0.0%	Total Kentucky Excise Tax	\$20,511,268
Average Daily Handle	\$ 3,441,477	\$ 3,442,234	0.0%	Total KY General Fund	\$9,612,791

Note 1: Thoroughbred Development Fund receives .75% of Total Handle at Kentucky Downs and Ellis Park. The rate applies to half of the Total Handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the Total Handle at KRM.

Note 3: EIP receives .2% of TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF each receive .1% of TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1, 2, 3 and 4.

Ellis Park Historical Horse Racing Report for January, 2020

	January-20	January-19	% Change	FYTD-2020	FYTD-2019	% Change
Total Handle	\$ 9,732,690	\$ 7,649,023	27.2%	\$ 66,787,068	\$ 52,608,268	27.0%
Less: Returned to Public	\$ 8,882,286	\$ 7,024,971	26.4%	\$ 61,414,850	\$ 48,446,213	26.8%
Less: Breakage	\$ 746	\$ 971		\$ 6,076	\$ 4,943	22.9%
Less: Settlement	\$ 47,249	\$ (332)		\$ (23,328)	\$ (49,662)	-53.0%
Gross Commission	<u>\$ 802,409</u>	<u>\$ 623,413</u>	28.7%	<u>\$ 5,389,470</u>	<u>\$ 4,206,774</u>	28.1%
TB Development Fund (See Note 1)	\$ 69,339	\$ 57,368	20.9%	\$ 497,247	\$ 394,562	26.0%
SB Development Fund (See Note 2)	-	\$ -	-	\$ -	\$ -	-
Equine Industry Program (See Note 3)	-	\$ -	-	\$ 28,250	\$ 45,123	-37.4%
Equine Drug Research (See Note 4)	-	\$ -	-	\$ 13,908	\$ 22,214	-37.4%
Higher Education Fund (See Note 4)	-	\$ -	-	\$ 13,908	\$ 22,214	-37.4%
Kentucky General Fund (Note 5)	\$ 76,651	\$ 57,368	33.6%	\$ 448,494	\$ 305,010	47.0%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 145,990</u>	<u>\$ 114,735</u>	27.2%	<u>\$ 1,001,806</u>	<u>\$ 789,124</u>	27.0%
% of Gross Commission	18.2%	18.4%		18.6%	18.8%	
Net Commission + Breakage	\$ 657,165	\$ 509,649	28.9%	\$ 4,393,740	\$ 3,422,594	28.4%
% of Total Handle	6.75%	6.66%		6.58%	6.51%	
<hr/>						
Number of HHR Terminals	300	179	67.6%	300	179	67.6%
Average Daily Handle	\$ 313,958	\$ 246,743	27.2%	\$ 310,638	\$ 246,987	25.8%
Handle / Day / Machine	\$ 1,047	\$ 1,378	-24.1%	\$ 1,035	\$ 1,380	-25.0%
Gross Commission / Day / Machine	\$ 86	\$ 112	-23.2%	\$ 84	\$ 110	-24.3%
<hr/>						
	January-20	Dec-19	% Change	Since Inception (August 31, 2012)		
Total Handle	\$ 9,732,690	\$ 9,780,065	-0.5%	Total Handle		\$496,280,884
Total Kentucky Excise Tax	\$ 145,990	\$ 146,701	-0.5%	Total Kentucky Excise Tax		\$7,444,213
Average Daily Handle	\$ 313,958	\$ 315,486	-0.5%	Total KY General Fund		\$2,806,562

Note 1: Thoroughbred Development Fund receives .75% of Total Handle at Kentucky Downs and Ellis Park. The rate applies to half of the Total Handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the Total Handle at KRM.

Note 3: EIP receives .2% of TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF each receive .1% of TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1, 2, 3 and 4.

Keeneland/Red Mile Historical Horse Racing Report for January, 2020

	January-20	January-19	% Change	FYTD-2020	FYTD-2019	% Change
Total Handle	\$ 42,247,776	\$ 25,578,175	65.2%	\$ 267,513,195	\$ 171,729,308	55.8%
Less: Returned to Public	\$ 38,828,355	\$ 23,346,611	66.3%	\$ 245,234,148	\$ 157,326,616	55.9%
Less: Breakage	\$ 85,565	\$ 91,144		\$ 579,897	\$ 512,212	13.2%
Less: Settlement	\$ 73,178	\$ 254,961		\$ 1,432,883	\$ 1,142,301	25.4%
Gross Commission	<u>\$ 3,260,678</u>	<u>\$ 1,885,460</u>	72.9%	<u>\$ 20,266,267</u>	<u>\$ 12,748,180</u>	59.0%
TB Development Fund (See Note 1)	\$ 158,429	\$ 95,918	65.2%	\$ 1,003,174	\$ 643,985	55.8%
SB Development Fund (See Note 2)	\$ 211,239	\$ 127,891	65.2%	\$ 1,337,566	\$ 858,647	55.8%
Equine Industry Program (See Note 3)	-	\$ -	-	\$ 93,004	\$ 136,605	-31.9%
Equine Drug Research (See Note 4)	-	\$ -	-	\$ 45,787	\$ 67,251	-31.9%
Higher Education Fund (See Note 4)	-	\$ -	-	\$ 45,787	\$ 67,251	-31.9%
Kentucky General Fund (Note 5)	\$ 264,049	\$ 159,864	65.2%	\$ 1,487,380	\$ 802,201	85.4%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 633,717</u>	<u>\$ 383,673</u>	65.2%	<u>\$ 4,012,698</u>	<u>\$ 2,575,940</u>	55.8%
% of Gross Commission	19.4%	20.3%		19.8%	20.2%	
Net Commission + Breakage	\$ 2,712,526	\$ 1,592,931	70.3%	\$ 16,833,467	\$ 10,684,453	57.6%
% of Total Handle	6.42%	6.23%		6.29%	6.22%	
<hr/>						
Number of HHR Terminals	938	902	4.0%	938	902	4.0%
Average Daily Handle	\$ 1,362,831	\$ 825,102	65.2%	\$ 1,244,247	\$ 802,473	55.1%
Handle / Day / Machine	\$ 1,453	\$ 915	58.8%	\$ 1,326	\$ 890	49.1%
Gross Commission / Day / Machine	\$ 112	\$ 67	66.3%	\$ 100	\$ 66	52.2%

	January-20	Dec-19	% Change	Since Inception (September 8, 2015)	
Total Handle	\$ 42,247,776	\$ 40,136,427	5.3%	Total Handle	\$1,313,621,675
Total Kentucky Excise Tax	\$ 633,717	\$ 602,046	5.3%	Total Kentucky Excise Tax	\$19,704,325
Average Daily Handle	\$ 1,362,831	\$ 1,294,723	5.3%	Total KY General Fund	\$6,785,727

Note 1: Thoroughbred Development Fund receives .75% of Total Handle at Kentucky Downs and Ellis Park. The rate applies to half of the Total Handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the Total Handle at KRM.

Note 3: EIP receives .2% of TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF each receive .1% of TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1, 2, 3 and 4.

Kentucky Downs Historical Horse Racing Report for January, 2020

	January-20	January-19	% Change	FYTD-2020	FYTD-2019	% Change
Total Handle	\$ 89,390,757	\$ 76,799,783	16.4%	\$ 613,178,437	\$ 496,421,084	23.5%
Less: Returned to Public	\$ 82,131,225	\$ 70,762,166	16.1%	\$ 564,907,606	\$ 457,322,200	23.5%
Less: Breakage	\$ 23,806	\$ 20,804		\$ 107,273	\$ 82,162	30.6%
Less: Settlement	\$ 184,042	\$ (41,901)		\$ (92,125)	\$ (233,485)	-60.5%
Gross Commission	<u>\$ 7,051,683</u>	<u>\$ 6,058,714</u>	16.4%	<u>\$ 48,255,683</u>	<u>\$ 39,250,207</u>	22.9%
TB Development Fund (See Note 1)	\$ 670,431	\$ 575,998	16.4%	\$ 4,598,838	\$ 3,723,158	23.5%
SB Development Fund (See Note 2)	-	\$ -	-	\$ -	\$ -	-
Equine Industry Program (See Note 3)	-	\$ -	-	\$ 257,689	\$ 415,416	-38.0%
Equine Drug Research (See Note 4)	-	\$ -	-	\$ 126,862	\$ 204,513	-38.0%
Higher Education Fund (See Note 4)	-	\$ -	-	\$ 126,862	\$ 204,513	-38.0%
Kentucky General Fund (Note 5)	<u>\$ 670,431</u>	<u>\$ 575,998</u>	16.4%	<u>\$ 4,087,425</u>	<u>\$ 2,898,716</u>	41.0%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 1,340,861</u>	<u>\$ 1,151,997</u>	16.4%	<u>\$ 9,197,677</u>	<u>\$ 7,446,316</u>	23.5%
% of Gross Commission	19.0%	19.0%		19.1%	19.0%	
Net Commission + Breakage	\$ 5,734,628	\$ 4,927,521	16.4%	\$ 39,165,280	\$ 31,886,054	22.8%
% of Total Handle	6.42%	6.42%		6.39%	6.42%	
<hr/>						
Number of HHR Terminals	753	753	0.0%	753	753	0.0%
Average Daily Handle	\$ 2,883,573	\$ 2,477,412	16.4%	\$ 2,851,993	\$ 2,319,725	22.9%
Handle / Day / Machine	\$ 3,829	\$ 3,290	16.4%	\$ 3,788	\$ 3,081	22.9%
Gross Commission / Day / Machine	\$ 302	\$ 260	16.4%	\$ 298	\$ 244	22.4%
<hr/>						
	January-20	Dec-19	% Change	Since Inception (September 1, 2011)		
Total Handle	\$ 89,390,757	\$ 93,543,785	-4.4%	Total Handle		\$4,246,283,545
Total Kentucky Excise Tax	\$ 1,340,861	\$ 1,403,157	-4.4%	Total Kentucky Excise Tax		\$63,694,253
Average Daily Handle	\$ 2,883,573	\$ 3,017,541	-4.4%	Total KY General Fund		\$24,196,041

Note 1: Thoroughbred Development Fund receives .75% of Total Handle at Kentucky Downs and Ellis Park. The rate applies to half of the Total Handle at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the Total Handle at KRM.

Note 3: EIP receives .2% of TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF each receive .1% of TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1, 2, 3 and 4.