Instructions for ‘Kentucky Horse Racing Commission Quarterly ADW Activity Report,
Form KHRC ADW-Q, 3-040-4 (11/2018)

General – This report shall be submitted via mail, fax, or email to the Kentucky Horse Racing Commission, Attention Director of Pari-Mutuel Wagering and Compliance, according to the following dates, as per 810 KAR 3:040:

(1) For wagering from January 1 through and including March 31, the report shall be submitted no later than the first business day following March 14 of the same calendar year;
(2) For wagering from April 1 through and including June 30, the report shall be submitted no later than the first business day following July 14 of the same calendar year;
(3) For wagering from July 1 through and including September 30, the report shall be submitted no later than the first business day following October 14 of the same calendar year; and
(4) For wagering from October 1 through and including December 31, the report shall be submitted no later than the first business day following January 14 of the next calendar year.

Part I

Name of ADW Licensee – This response should be the full name of the Advance Deposit Wagering organization licensed by the Kentucky Horse Racing Commission.

Affiliate Name – This response should be the name of the affiliate. If the licensee does not have any separate affiliates, and all wagers are processed under the licensee name, please indicate by entering “N/A”. If multiple affiliates are operated by the licensee, a separate report shall be filed for each affiliate.

Wagering Begin Date – This should be the first day of wagering for the calendar year quarter for which wagers are being report, even if the licensee did not accept wagers on that date. The only acceptable dates for this question are January 1, April 1, July 1, or October 1.

Wagering End Date – This should be the last day of wagering for the calendar year quarter for which wagers are being reported. The only acceptable dates for this question are March 31, June 30, September 30, or December 31.

Part II

Part A - Enter the total amount of wagers accepted by the licensee or affiliate from account holders residing within the boundaries of the Commonwealth of Kentucky on each racetrack located within the boundaries of the Commonwealth of Kentucky during the reporting period in U.S. dollars and cents in the corresponding entry blank. Include the total amount of wagers accepted during the reporting period in the “Total” entry blank. Any report in which the response
found in the “Total” entry blank does not equal the sum of the amount wagered on each Kentucky racetrack in Part II will be rejected.

Part B - Enter the total amount of wagers accepted by the licensee or affiliate from account holders residing within the boundaries of the Commonwealth of Kentucky on all racetracks NOT located within the boundaries of the Commonwealth of Kentucky during the reporting period in U.S. dollars and cents in the corresponding entry blank.

Part III

Enter the total amount of wagers accepted by the licensee or affiliate from account holders NOT residing within the boundaries of the Commonwealth of Kentucky on each racetrack located within the boundaries of the Commonwealth of Kentucky during the reporting period in U.S. dollars and cents in the corresponding entry blank. Include the total amount of wagers accepted during the reporting period in the “Total” entry blank. Any report in which the response found in the “Total” entry blank does not equal the sum of the amount wagered on each Kentucky racetrack in Part III will be rejected.

Part IV

The person preparing this report shall enter his or her email address, telephone number, name, and title in the appropriate entry blanks. The person preparing this report shall sign and date the report.

Any report submitted without competing all of the entry blanks in Part IV will be rejected.