

KENTUCKY HORSE RACING COMMISSION
June 22, 2021
AGENDA

Location: Kentucky Horse Racing Commission
4063 Iron Works Pkwy, Building B
Lexington, KY 40511

Time: 1:30 pm

I. Call to Order and Roll Call

II. New Business

1. Minutes from April 20, 2021
2. Proposed Amendment to 810 KAR 4:040
3. Ratification of Executive Director Approval of Name Change/Re-Financing for Kentucky Downs, LLC and ECL Corbin, LLC
4. Ratification of Executive Director Conditional Approval of Derby City Gaming's Request for Pari-Mutuel Pools and Entertaining Game Themes
5. Ratification of Executive Director Conditional Approval of Kentucky Downs' Request for Pari-Mutuel Pools and Entertaining Game Themes
6. Ratification of Executive Director Conditional Approval of Keeneland Red Mile's Request for Pari-Mutuel Pools and Entertaining Game Themes
7. Kentucky Downs' Proposed 2021 Race Meet Officials and Date Preference System
8. Churchill Downs' Proposed 2021 September Race Meet Officials and Date Preference System
9. Reports
 - a. Thoroughbred Rulings
 - b. Standardbred Rulings
 - c. Veterinary Division
 - d. Pari-Mutuel Wagering Division

III. Other Business

IV. Adjournment

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: April 20, 2021 at 1:30 PM

Place: Via video conference from Kentucky Horse Racing Commission, Conference Room, 4063 Iron Works Parkway, Building B, Kentucky Horse Park, Lexington, Kentucky

Members Present: Chairman Jonathan Rabinowitz; Vice-Chairman Frank Jones, Jr.; Commissioner Kerry T. Cauthen; Commissioner Naveed Chowhan, M.D.; Commissioner James D. Gates, Jr.; Commissioner Douglas A. Hendrickson; Commissioner Kenneth A. Jackson; Commissioner Franklin S. Kling, Jr.; Commissioner Alan J. Leavitt; Commissioner Tom Riddle, DVM; Commissioner Mark Simendinger; and Commissioner James Edwin Worley

Ex-officio Members Present: Secretary Kerry B. Harvey, Public Protection Cabinet; Charles Rowland, Executive Legal Advisor, on behalf of Interim Secretary Larry Hayes, Cabinet for Economic Development; Secretary Mike Berry, Tourism, Arts & Heritage Cabinet

KHRC Staff Participating/Present: Marc A. Guilfoil, Executive Director; Jamie Eads, Deputy Executive Director and Director of Division of Incentives and Development; Jennifer Wolsing, General Counsel; Chad Thompson, Deputy General Counsel; Bruce Howard, DVM, Equine Medical Director; Nicholas Smith, DVM, Chief Racing Veterinarian; Barbara Borden, Chief State Steward; Waqas Ahmed, Director, Pari-mutuel Wagering and Compliance; Susan Nash, Executive Administrative Secretary

Others Participating: Dr. Scott Stanley, Laboratory Director, University of Kentucky Equine Analytical Chemistry Laboratory

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
I. Call to Order and Roll Call	4 – 5	Chairman Rabinowitz called the meeting of the Kentucky Horse Racing Commission (KHRC) to order and performed the roll call. A quorum was recognized.	No action taken.

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<p>II. New Business</p> <p>Tab 1 Meeting Minutes from February 16, 2021 and March 19, 2021</p>	<p>5 – 48 5 – 6</p> <p>6 – 7</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed minutes of the KHRC meeting of February 16, 2021. Motion by Vice-Chairman Jones. Second by Commissioner Kling.</p> <p>Ms. Eads presented the proposed minutes as set out in the agenda materials.</p> <p>Approval of the proposed minutes was recommended by KHRC staff.</p> <p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed minutes of the KHRC special meeting of March 16, 2021. Motion by Vice-Chairman Jones. Second by Commissioner Kling.</p> <p>Ms. Eads presented the proposed minutes as set out in the agenda materials.</p> <p>Approval of the proposed minutes was recommended by KHRC staff</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed minutes of the February 16, 2021 KHRC meeting as presented. Motion carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote to approve the proposed minutes of the March 19, 2021 KHRC special meeting as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 2 Request Approval of the Kentucky Proud Series Race Dates, Purses and Conditions</p>	<p>7 – 9</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request of the Kentucky Standardbred Development Fund, Kentucky Standardbred Breeders’ Incentive Fund Advisory Panel (KSDF/KSBIF Advisory Panel) to approve the proposed 2021 Kentucky Proud Series race dates, purses, and conditions. Motion by Commissioner Leavitt. Second by Commissioner Jackson.</p> <p>Ms. Eads presented the KSDF/KSBIF Advisory Panel’s recommendation, approved at its December 2020 meeting, as outlined in the agenda materials.</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed 2021 Kentucky Proud Series race dates, purses, and conditions, as presented by Ms. Eads and recommended by the KSDF/KSBIF Advisory Panel. Motion carried</p>

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		Approval was recommended by KHRC staff and the KSDF/KSBIF Advisory Panel.	with no objections or abstentions.
Tab 3 Kentucky Downs' September Meet KTDF Purse Allotment Request	9 – 13 11 – 12	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation of the Kentucky Thoroughbred Development Fund Advisory Committee (KTDF Advisory Committee) to approve the proposed KTDF purse structure and advertising expenditure for Kentucky Downs LLC's 2021 September meeting, including the transfer of KTDF funds to Ellis Park. Motion by Commissioner Simendinger. Second by Commissioner Hendrickson.</p> <p>Ms. Eads presented the KTDF Advisory Committee's recommendation for approval of Kentucky Downs LLC's request dated March 16, 2021, as outlined in the agenda materials. At its April 6, 2021 meeting, the KTDF Advisory Committee recommended approval of the proposal as presented.</p> <p>Ms. Eads addressed questions asked by Vice-Chairman Jones.</p> <p>Approval was recommended by KHRC staff and the KTDF Advisory Committee.</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed KTDF purse structure and advertising expenditure for Kentucky Downs LLC's September meet, including the transferring of KTDF funds to Ellis Park, as presented by Ms. Eads and recommended by the KTDF Advisory Committee. Motion carried with no objections or abstentions.</p>
Tab 4 Ellis Park Summer Meet KTDF Purse Allotment Request	13 – 19	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation of the KTDF Advisory Committee to approve the proposed KTDF purse structure for Ellis Entertainment LLC's 2021 Summer meet, including the transfer of funds from Kentucky Downs. Motion by Commissioner Simendinger. Second by Vice-Chairman Jones.</p> <p>Ms. Eads presented the KTDF Advisory Committee's recommendation for approval of Ellis Entertainment LLC's request dated March 22, 2021, as outlined in the agenda materials. At its</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed KTDF purse structure for Ellis Entertainment LLC's 2021 Summer meet, including the transfer of funds from Kentucky Downs, as presented by Ms. Eads and recommended by the</p>

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	15 – 19	<p>April 6, 2021 meeting, the KTDF Advisory Committee recommended approval of the proposal as presented.</p> <p>Approval was recommended by KHRC staff and the KTDF Advisory Committee.</p> <p>Following the Commission’s vote passing the motion, Mr. Guilfoil addressed questions from Commissioner Simendinger concerning Ellis Park’s progress related to the short and long term improvements set out in the March 22, 2021 memorandum from Jeff Inman, General Manager, Ellis Park, to the KTDF Advisory Committee, as included in the agenda materials.</p>	KTDF Advisory Committee. Motion carried with no objections or abstentions.
Tab 5 Turfway Park’s Backside Improvement Request	19 – 20	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Turfway Park LLC to approve proposed backside improvement fund expenditures totaling approximately \$74,580. Motion by Commissioner Worley. Second by Vice-Chairman Jones.</p> <p>Ms. Eads presented the request submitted by Turfway Park LLC by letter dated March 31, 2021, as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve the backside improvement fund expenditures requested by Turfway Park as presented, with a total estimated cost of approximately \$74,580. Motion carried with no objections or abstentions.
Tab 6 Betmakers US’ Change of Control Request for Sportech Racing LLC and eBet Technologies Inc.	20 – 23	Chairman Rabinowitz requested a motion from the Commission to address ratification of the Executive Director’s conditional approval of the change of control request submitted by Betmakers US, Inc. in relation to Sportech Racing LLC and eBet Technologies, Inc. Motion by Commissioner Simendinger. Second by Commissioner Cauthen.	Chairman Rabinowitz called for a vote to ratify the Executive Director’s conditional approval of the change of control request submitted by Betmakers US, Inc. as

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		<p>Mr. Ahmed presented the request submitted by Betmakers US, Inc. by letter dated March 10, 2021, and the Executive Director's conditional approval by letter dated March 22, 2021, as outlined in the agenda materials.</p> <p>Ratification of the Executive Director's conditional approval was recommended by KHRC staff.</p>	<p>presented. Motion carried with no objections or abstentions.</p>
<p>Tab 7 Request Approval of equine laboratory agreement with the University of Kentucky Equine Analytical Chemistry Laboratory</p>	<p>23 – 33</p> <p>23 - 32</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the KHRC staff's request to establish the University of Kentucky Equine Analytical Chemistry Laboratory as the Commission's new drug testing laboratory. Motion by Commissioner Kling. Second by Commissioner Hendrickson.</p> <p>Drs. Howard and Stanley presented the KHRC staff's request, as outlined in the agenda materials, and addressed questions from the Commissioners. The proposed laboratory change was unanimously approved by the Equine Drug Research Council (EDRC) at its April 9, 2021 meeting.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request to establish the University of Kentucky Equine Analytical Chemistry Laboratory as the Commissioner's new drug testing laboratory as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 8 Equine Drug Research Council Request to Establish Executive Administrative Secretary Position</p>	<p>33 – 35</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request to establish a new executive administrative secretary position for the EDRC, to be funded through the EDRC. Motion by Commissioner Simendinger. Second by Vice-Chairman Jones.</p> <p>Dr. Howard presented the request for the establishment of the position, as outlined in the agenda materials. The EDRC approved establishment and funding of the new position at its April 6, 2021 meeting.</p>	<p>Chairman Rabinowitz called for a vote to approve the request to establish a new EDRC funded executive administrative secretary position for the EDRC, as presented and as approved by the EDRC. Motion carried with no</p>

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		Approval was recommended by KHRC staff.	objections or abstentions.
Tab 9 Ratification of Executive Director Approval of Churchill Downs' 2021 Spring Race Meet Officials	35 – 37	<p>Chairman Rabinowitz requested a motion from the Commission to address ratification of the Executive Director's conditional approval of Churchill Downs Racetrack LLC's amended list of 2021 Spring race meet officials. Motion by Commissioner Kling. Second by Commissioner Leavitt.</p> <p>Ms. Borden presented Churchill Downs Racetrack LLC's request dated January 26, 2021, and the Executive Director's conditional approval by letter dated February 22, 2021, as outlined in the agenda materials.</p> <p>Ratification of the Executive Director's conditional approval was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to ratify the Executive Director's conditional approval of Churchill Downs Racetrack LLC's amended list of 2021 Spring race meet officials as presented. Motion carried with no objections or abstentions.
Tab 10 Ellis Park's 2021 Proposed Race Meet Officials and Date Preference System	37 – 39	<p>Chairman Rabinowitz requested a motion from the Commission to address Ellis Entertainment LLC's proposed 2021 Summer race meet officials and date preference system. Motion by Commissioner Leavitt. Second by Commissioner Simendinger.</p> <p>Ms. Borden presented the request submitted by Ellis Entertainment LLC dated March 11, 2021, as outlined in the agenda materials. She noted several changes to the original request and stated that all racing officials will be licensed by the opening of the meet.</p> <p>Approval was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve Ellis Entertainment LLC's proposed 2021 Summer race meet officials and date preference system as presented. Motion carried with no objections or abstentions.

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Tab 11 ECL Corbin at The Red Mile 2021 Proposed Race Meet Officials	39 – 40	<p>Chairman Rabinowitz requested a motion from the Commission to address ECL Corbin LLC’s proposed 2021 race meet officials for its harness racing meet at The Red Mile. Motion by Commissioner Leavitt. Second by Commissioner Worley.</p> <p>Ms. Borden presented the request by ECL Corbin LLC dated April 8, 2021, as outlined in the agenda materials. She noted one update to the officials list.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve ECL Corbin LLC’s proposed 2021 race meet officials for its harness racing meet at The Red Mile as presented. Motion carried with no objections or abstentions.</p>
Tab 12 Red Mile 2021 Proposed Race Meet Officials	40 – 41	<p>Chairman Rabinowitz requested a motion from the Commission to address the Lexington Trots Breeders Association LLC d/b/a The Red Mile’s proposed 2021 race meet officials. Motion by Commissioner Worley. Second by Commissioner Leavitt.</p> <p>Ms. Borden presented the request by Lexington Trots Breeders Association d/b/a The Red Mile dated April 7, 2021, as outlined in the agenda materials. She noted that the judges have been ROAP accredited, and that officials have all worked in the same capacities in the past and will be licensed by the opening of the meet.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the Lexington Trots Breeders Association d/b/a The Red Mile’s proposed 2021 race meet officials as presented. Motion carried with no objections or abstentions.</p>
Tab 13 Reports a. Thoroughbred Rulings b. Standardbred Rulings	41 – 48 41 – 42 42	<p>a. Ms. Borden presented the thoroughbred rulings reports as outlined in the agenda materials.</p> <p>b. Chairman Rabinowitz noted no changes to the Standardbred rulings report as outlined in the agenda materials.</p>	<p>No action taken. Informational items only.</p>

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<p>c. Veterinary Division Report</p> <p>d. Pari-Mutuel Wagering Division Report</p> <p>e. Litigation <i>(Informational only)</i></p>	<p>42 – 45</p> <p>45 – 48</p> <p>48</p>	<p>c. Drs. Smith and Howard presented the veterinary division reports as outlined in the agenda materials. Dr. Howard responded to an inquiry by Commissioner Simendinger.</p> <p>d. Mr. Ahmed presented the pari-mutuel wagering division reports as outlined in the agenda materials. Mr. Ahmed responded to an inquiry by Commissioner Simendinger.</p> <p>e. Litigation and Regulation report is for informational purposes only.</p>	
<p>III. Executive Session</p> <p>During the meeting on April 20, 2021, the Commission will go into closed session pursuant to KRS 61.810(1)(c) to discuss pending litigation (CONFIDENTIAL)</p>	<p>48 – 59</p> <p>49</p> <p>49 – 50</p>	<p>Chairman Rabinowitz requested a motion from the Commission to convene in closed session to discuss proposed or pending litigation against or on behalf of the agency, as permitted by KRS Chapter 61.810(1)(c). Motion by Commissioner Worley. Second by Commissioner Simendinger.</p> <p style="text-align: center;"><u>EXECUTIVE SESSION</u></p> <p>Chairman Rabinowitz requested a motion from the Commission to reconvene in open session. Motion by Commissioner Kling. Second by Vice-Chairman Jones.</p> <p style="text-align: center;"><u>RECONVENED TO OPEN SESSION</u></p>	<p>Chairman Rabinowitz called for a vote for the Commission to convene in closed session. Motion carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote for the Commission to reconvene in open session. Motion carried with no objections or abstentions.</p>
<p>IV. Other Business</p> <p>Final Orders Regarding <i>Gaffalione v. KHRC</i>, KHRC-20-TB-003; <i>Serpa v. KHRC</i>, KHRC-20-TB-005</p>	<p>50 – 52</p>	<p>Upon return to open session, Chairman Rabinowitz requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed Final Order for KRS 13B administrative appeal, <i>Tyler Gaffalione v. KHRC</i>, KHRC-20-TB-003. Motion by Commissioner Simendinger. Second by Commissioner Worley.</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed Final Order regarding <i>Tyler Gaffalione v. KHRC</i>, KHRC 20-TB-003. Motion</p>

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	52 – 53	<p>Ms. Wolsing presented the Final Order as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p> <p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed Final Order for KRS 13B administrative appeal, <i>Angel Serpa v. KHRC</i>, KHRC-20-TB-005. Motion by Commissioner Chowhan. Second by Commissioner Simendinger.</p> <p>Ms. Wolsing presented the Final Order as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote to approve the proposed Final Order regarding <i>Angle Serpa v. KHRC</i>, KHRC 20-TB-005. Motion carried with no objections or abstentions.</p>
V. Adjournment	53 – 54	<p>With no other business, Chairman Rabinowitz requested a motion to adjourn the April 20, 2021 meeting. Motion by Commissioner Cauthen. Second by Commissioner Hendrickson.</p> <p>Meeting adjourned.</p>	<p>Chairman Rabinowitz called for a vote to adjourn. Motion carried with no objections or abstentions.</p>

NOTE: The Agenda materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.



Andy Beshear
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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

To: Commissioners, Kentucky Horse Racing Commission

From: Jennifer Wolsing, General Counsel
Chad Thompson, Deputy General Counsel

Date: May 5, 2021

Re: Proposed amendments to 810 KAR 4:040: Running of the race.

On September 30, 2020, the Kentucky Horse Racing Commission approved various suggested changes to the crop rule. That approval led to concerns raised by the Jockey's Guild. In the intervening eight months, meetings were held among Commission members, industry representatives, and legislators to devise a reasonable and safe compromise regulation.

On May 3, 2021, the Rules Committee approved new regulatory amendments to 810 KAR 4:040. The major proposed changes to this regulation are as follows:

- Use of the riding crop will be allowed in the following ways:
 - No more than six (6) times in the overhand fashion;
 - No more than two (2) times in succession;
 - To avoid a dangerous situation or preserve the safety of racing participants and/or horses;
 - If necessary, in a backhanded or underhanded fashion from the 3/8 pole to the finish line, which does not count to the use of the crop six (6) times in the overhand fashion;
 - Tapping the horse on the shoulder in the down position with both hands holding the reins and touching the horse's neck; and
 - Showing or waving the crop without contact to the horse.
- A jockey may never use the crop with his wrist above helmet height.
- Penalties will include:
 - Either a minimum fine of \$500.00 or a minimum suspension of three (3) days.
 - If the violation is egregious or intentional, then the stewards may impose both a fine and a suspension.
 - To determine whether a violation is egregious or intentional, the stewards may consider all factors, including the jockey's history of similar violations, the number of uses over the total and consecutive limits, and use of the crop in the overhand position more than six (6) times.

The KHRC staff and the Rules Committee recommend approval.

COMMISSION ACTION:

Approved

Denied

Deferred

1 PUBLIC PROTECTION CABINET

2 Kentucky Horse Racing Commission

3 (Amendment)

4 810 KAR 4:040. Running of the race.

5 RELATES TO: KRS 230.215(2), 230.260(1)

6 STATUTORY AUTHORITY: KRS 230.215(2), 230.260(8)

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) and 230.260(8)

8 authorize the Kentucky Horse Racing Commission to promulgate administrative regulations
9 prescribing the conditions under which racing shall be conducted in Kentucky. This administrative
10 regulation sets forth the standards and requirements governing the running of a horse race.

11 Section 1. Post Time. Post time for the first race on each racing day shall be approved by
12 the commission. Post time for subsequent races on the same program shall be fixed by the pari-
13 mutuels~~[parimutuels]~~ manager. No race shall start after 11:55 p.m.

14 Section 2. Horses in paddock not to be touched. Only the following persons may touch a
15 horse while in the paddock:

16 (1) Licensed owner;

17 (2) Licensed trainer;

18 (3) Authorized stable personnel;

19 (4) Paddock judge;

20 (5) Horse identifier;

21 (6) Assigned valet;

- 1 (7) Steward;
- 2 (8) Farrier; [øf]
- 3 (9) Outrider; or
- 4 (10) Jockey.

5 Section 3. Trainer Responsibility. The trainer shall be responsible for:

- 6 (1) Arrival in the paddock, at the time prescribed by the paddock judge, of each horse
- 7 entered;
- 8 (2) Supervising the saddling of each horse entered; and
- 9 (3) Providing his or her assistant trainer or another licensed trainer to serve as a substitute
- 10 if absent from a track where the trainer's horses are participating in races.

11 Section 4. Withdrawal of a Horse. A horse whose starting is mandatory shall run the course,

12 except that the stewards may order the withdrawal of a horse at any time up to the actual start of a

13 race.

14 Section 5. Walkover. If at the time for saddling, only one (1) horse, or horses owned by

15 only one (1) stable, will be weighed out, the horse or horses of single ownership shall be ridden

16 past the stewards' stand, go to the post, and then move over the course before determination of the

17 winner.

18 Section 6. Parade to the Post; Time.

19 (1) All horses shall parade and carry their declared weight from the paddock to the starting

20 post.

21 (a) The parade shall pass the stewards' stand.

22 (b) After passing the stewards' stand once, horses may break formation and canter, warm

23 up, or go as they please to the post.

1 (c) With the permission of the stewards, a horse may be excused from parading with the
2 other horses.

3 (2) The parade to the post shall not exceed twelve (12) minutes from the time the field
4 enters upon the track, except in cases of unavoidable delay.

5 (3) If a jockey is thrown on the way to the post:

6 (a) The jockey shall remount at the point at which thrown; or

7 (b) If the jockey is so injured as to require a substitute jockey, the horse shall be returned
8 to the paddock where the horse shall be remounted by a substitute jockey.

9 Section 7. Lead Pony. A horse may be led to the post by a lead pony. Lead ponies may be
10 excluded from the paddock or walking ring, at the discretion of the stewards.

11 Section 8. Control of Horses and Jockeys by Starter. Horses and jockeys shall be under the
12 control of the starter from the moment they enter the track until the race is started.

13 (1) The starter may grant a delay if an injury occurs to any jockey or if a jockey's equipment
14 malfunctions. During the delay, the stewards may require all jockeys to dismount.

15 (2) The starter shall unload the horses in the gate when instructed by the stewards if:

16 (a) A horse breaks through the gate or unseats its jockey after any of the field is loaded in
17 the starting gate; and

18 (b) The horse is not immediately taken in hand by the outrider and brought back for
19 reloading.

20 (3) The starter shall reload the horses in their proper order upon order of the stewards.

21 (4) The starter shall report all causes of delay to the stewards.

22 (5) A person other than the jockey, starter, or assistant starter shall not strike a horse or
23 attempt, by shouting or other means, to assist the horse in getting a start.

1 Section 9. Starting Gate. Races on the flat shall use a starting gate approved by the
2 commission unless exempted by the stewards. Exempted races shall not start until the assistant
3 starter has dropped the flag in answer to the starter.

4 Section 10. Horses Left at Post.

5 (1) If a door at the front of the starting gate fails to open properly and timely when the
6 starter dispatches the field, or if a horse has inadvertently not been loaded in the starting gate when
7 the field is dispatched, thereby causing the horse to be left at the post, the starter shall immediately
8 report the circumstance to the stewards who shall:

9 (a) Immediately post the "inquiry" sign;

10 (b) Advise the public to hold all pari-mutuel~~[parimutuel]~~ tickets; and

11 (c) Determine, after consulting with the starter and viewing the race replay, whether or not
12 the horse was precluded from obtaining a fair start.

13 (2) If the stewards determine that the horse was precluded from obtaining a fair start, the
14 stewards shall rule the horse a nonstarter and shall order money wagered on the horse deducted
15 from the pari-mutuel~~[parimutuel]~~ pool and refunded to holders of pari-mutuel~~[parimutuel]~~ tickets
16 on the horse, unless the horse ruled a nonstarter is part of a pari-mutuel~~[mutuel]~~ entry and another
17 horse in the entry is not left at the post, in which case there shall not be a pari-mutuel~~[parimutuel]~~
18 refund.

19 (3) Stakes fees for a ruled nonstarter shall be refunded to the owner.

20 (4) The starter may, in his or her discretion, place an unruly or fractious horse on the outside
21 of the starting gate and one (1) length behind the starting line. If the horse so stationed outside the
22 starting gate by the starter dwells or refuses to break with the field and is thereby left at the post,

1 there shall not be a refund of pari-mutuel~~[parimutuel]~~ wagers on the horse nor refund of stakes
2 fees paid for the horse.

3 Section 11. Horses Failing to Finish. Any horse that starts in a race but does not cross the
4 finish line or is not ridden across the finish line by the jockey with whom it starts the race shall be
5 declared unplaced and shall receive no portion of the purse money.

6 Section 12. Fouls.

7 (1) A leading horse if clear is entitled to any part of the track.

8 (2) If a leading horse or any other horse in a race swerves or is ridden to either side so as
9 to interfere with, intimidate, or impede any other horse or jockey, or to cause the same result, this
10 action shall be deemed a foul.

11 (3) If a jockey strikes another horse or jockey, it is a foul.

12 (4) If, in the opinion of the stewards, a foul alters the finish of a race, an offending horse
13 may be disqualified by the stewards.

14 Section 13. Stewards to Determine Foul Riding.

15 (1) A jockey shall make a best effort to control and guide his or her mount in such a way
16 as not to cause a foul.

17 (2) The stewards shall take cognizance of riding that results in a foul, irrespective of
18 whether or not an objection is lodged.

19 (3) If, in the opinion of the stewards, a foul is committed as a result of a jockey not making
20 a best effort to control and guide his or her mount to avoid a foul, whether or not intentionally or
21 through carelessness or incompetence, the jockey may be penalized at the discretion of the
22 stewards.

23 Section 14. Horses to be Ridden Out.

1 (1) Every horse in every race shall be ridden so as to win or finish as near as possible to
2 first and demonstrate the best and fastest performance of which it is capable at the time, while in
3 compliance with Section 15 of this regulation.

4 (2) A horse shall not be eased up without adequate cause, even if it has no apparent chance
5 to earn a portion of the purse money.

6 (3) A jockey who unnecessarily causes a horse to shorten stride may be penalized at the
7 discretion of the stewards.

8 (4) Stewards shall take cognizance of any marked reversal of form of a horse and shall
9 conduct inquiries of the licensed owner, licensed trainer, and all other persons connected with the
10 horse.

11 (5) If the stewards find that the horse was deliberately restrained or impeded in any way or
12 by any means so as not to win or finish as near as possible to first, any person found to have
13 contributed to that circumstance may be penalized at the discretion of the stewards.

14 Section 15. Use of Riding Crops.

15 (1) Although the use of a riding crop is not required, a jockey who uses a riding crop during
16 a race shall do so only in a manner consistent with exerting his or her best efforts to win.

17 (2) In any race in which a jockey will ride without a riding crop, an announcement of that
18 fact shall be made over the public address system.

19 (3) An electrical or mechanical device or other expedient designed to increase or retard the
20 speed of a horse, other than a riding crop approved by the stewards pursuant to 810 KAR 4:010,
21 Section 11 shall not be possessed by anyone, or applied by anyone to a horse at any time at a
22 location under the jurisdiction of the racing commission.

1 (4) A riding crop shall not be used on a two (2) year-old horse in races before April 1 of
2 each year.

3 (5) Allowable uses of a riding crop include the following:

4 (a) The riding crop may ~~[shall only]~~ be used at any time, without penalty, if, in the opinion
5 of the stewards, the riding crop is used to avoid a dangerous situation or preserve the safety of
6 other riders or horses in a race;

7 (b) If necessary during a race, a riding crop may be used in a backhanded or underhanded
8 fashion from the 3/8 pole to the finish line. This use will not be counted toward the use of the crop
9 six (6) times in the overhand fashion, as allowed in Section 15(6). At no point should the use of
10 the crop rise above the rider's helmet ~~[for safety, correction, and encouragement.~~

11 ~~(6) A rider who uses];~~

12 (c) Tapping~~[shall: (a) Show]~~ the horse on the shoulder with the crop in the down position
13 while both hands are holding onto the reins~~[riding crop]~~ and both hands are touching the neck
14 of~~[give]~~ the horse; and

15 (d) Showing or waving the crop without contact with the horse and giving the horse time
16 to respond before striking the horse.~~[;]~~

17 (6) Use of~~(b) Having used]~~ the riding crop to make contact with a horse to maintain focus
18 and concentration, to control the horse for safety of the horse and rider, or to encourage a horse is
19 allowed, with the following exceptions:

20 (a) Use of the crop in any manner, other than underhanded or backhanded as set forth in
21 Section 15(5)(b), or tapping on the shoulder as set forth in Section 15(5)(c), resulting in more than
22 six (6) times in the overhand manner;

- 1 (b) Use of the crop and making contact with the horse more than two (2) successive strikes
2 without allowing[, give] the horse a chance to respond.
- 3 (c) Use of the crop with the rider's wrist above helmet height;
- 4 (d) Use of the crop [~~shall not be used to strike a horse:~~
5 ~~(a)]~~ on the head, flanks, or on any other part of its body other than the shoulders or
6 hindquarters;
- 7 (e) Use of the crop [~~hind quarters except if necessary to control a horse;~~
8 ~~(b)]~~ during the post parade or after the finish of the race except if necessary to control the
9 horse;
- 10 (f) Excessive or brutal use of the crop causing injury to the horse [~~(e) Excessively or~~
11 brutally];
- 12 (g) Use of the crop [~~(d)]~~ causing welts or breaks in the skin;
- 13 (h) Use of the crop [~~(e)]~~ if the horse is clearly out of the race or has obtained its maximum
14 placing; and
- 15 (i) Use of the crop [~~(f) Persistently]~~ even though the horse is showing no response [~~under~~
16 the riding crop].
- 17 (8) A riding crop shall not be used to strike another person.
- 18 (9) After the race, a horse will~~may~~ be subject to inspection by a racing official or official
19 veterinarian looking for cuts, welts, or bruises in the skin. Any adverse findings shall be reported
20 to the stewards.
- 21 (10) Use of the crop during workouts shall be permitted so long as such use does not violate
22 section 6(c) through (i).

1 (11) The giving of instructions by any licensee that, if obeyed, would lead to a violation of
2 this section may result in disciplinary action also being taken against the licensee who gave the
3 instructions.

4 (12) Only padded/shock absorbing riding crops which have not been modified in any way
5 may be carried in a race.

6 (13) During a race, if a jockey rides in a manner contrary to this rule, the stewards shall
7 impose a minimum fine of \$500.00, a minimum suspension of three (3) days, or both, if in the
8 opinion of the stewards, the violation is egregious or intentional. Factors in determining whether
9 a violation is egregious include, but are not limited to:

10 (a) recent history of similar violations;

11 (b) number of uses over the total and consecutive limits described in this Section; and

12 (c) using the crop in the overhanded position more than six (6) times.

13 Section 16. Other Means of Altering Performance. An electrical or mechanical appliance,
14 other than a riding crop, shall not be used to affect the speed of a horse in a race or workout. A
15 sponge or other object shall not be used to interfere with the respiratory system of a horse. Use or
16 nonuse of ordinary racing equipment shall be consistent and any change of equipment shall be
17 approved by the stewards.

18 Section 17. Official Order of Finish as to Pari-mutuel~~[Parimutuel]~~ Payoff. Once satisfied
19 that the order of finish is correct and that the race has been properly run in accordance with the
20 rules and KAR Title 810~~[Titles 810 and 811]~~, the stewards shall order that the official order of
21 finish be confirmed and the official sign posted for the race. The decision of the stewards as to the
22 official order of finish for pari-mutuel~~[parimutuel]~~ wagering purposes shall be final, and no

- 1 subsequent action shall set aside or alter the official order of finish for the purposes of pari-
- 2 mutuel~~[parimutuel]~~ wagering.

810 KAR 4:040
READ AND APPROVED:

Jonathan Rabinowitz

Date

Chair, Kentucky Horse Racing Commission

Kerry Harvey

Date

Secretary, Public Protection Cabinet

PUBLIC HEARING AND PUBLIC COMMENT PERIOD

A public hearing on this administrative regulation shall be held at [NO DATE SPECIFIED] at Kentucky Horse Racing Commission, 4063 Iron Works Parkway, Building B, Lexington, Kentucky 40511. Individuals interested in being heard at this hearing shall notify this agency in writing by five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through 11:59 PM on [NO DATE SPECIFIED]. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person below.

Contact Person: Jennifer Wolsing

Title: General Counsel

Address: 4063 Iron Works Parkway, Building B, Lexington, Kentucky 40511

Phone: +1 (859) 246-2040

Fax: +1 (859) 246-2039

Email: jennifer.wolsing@ky.gov

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Regulation: 810 KAR 4:040
Contact Person: Jennifer Wolsing
Phone: +1 (859) 246-2040
Email: jennifer.wolsing@ky.gov

(1) Provide a brief summary of:

(a) What this administrative regulation does: This administrative regulation establishes the rules concerning the running of the race in Thoroughbred and other flat racing.

(b) The necessity of this administrative regulation: This administrative regulation is necessary to provide specific rules concerning the running of the race in Thoroughbred and other flat racing.

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 230.215(2) and 230.260(8) authorize the Commission to promulgate administrative regulations prescribing the conditions under which racing shall be conducted in Kentucky. This administrative regulation prescribes the conditions relating to the running of the race in Thoroughbred and other flat racing.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation sets forth requirements and rules concerning the running of the race in Thoroughbred and other flat racing that enhance the integrity of racing.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation: The proposed amendments change the existing regulation by specifying precisely when a crop can and cannot be used during a race.

(b) The necessity of the amendment to this administrative regulation: This amendment is necessary to preserve the integrity of horse racing and ensure that all equine athletes are treated with dignity and respect during a race.

(c) How the amendment conforms to the content of the authorizing statutes: KRS 230.215(2) and 230.260(8) authorize the Commission to promulgate administrative regulations prescribing the conditions under which racing shall be conducted in Kentucky. This proposed amendment prescribes the conditions relating to the use of the crop in Thoroughbred and other flat racing.

(d) How the amendment will assist in the effective administration of the statutes: KRS 230.215(2) and 230.260(8) authorize the Commission to promulgate administrative regulations prescribing the conditions under which racing shall be conducted in Kentucky. This proposed amendment provides necessary rules relating to the appropriate use of the crop during flat racing.

(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: Kentucky's five licensed Thoroughbred race tracks, and all individual participants in horse racing, are potentially affected by this administrative regulation's establishment of fundamental rules pertaining to the conduct of racing. In the year 2017, the Commission licensed over 22,000 individuals to participate in horse racing. This number is consistent from year to year.

(4) Provide an analysis of how the entities identified in the previous question will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions each of the regulated entities have to take to comply with this regulation or amendment: Participants in horse racing, and particularly jockeys, will be required to use the crop according to the guidelines set forth in this proposed amendment.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities: No costs are associated with complying with this administrative regulation.

(c) As a result of compliance, what benefits will accrue to the entities: Participants in racing will benefit from clearly defined rules that enhance the integrity of racing.

(5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially: There will be no initial cost to implement this administrative regulation.

(b) On a continuing basis: There will be no continuing cost to implement this administrative regulation.

(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: No funding will be necessary to implement and enforce this administrative regulation.

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No additional fees or funding are necessary to implement this administrative regulation.

(8) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: This administrative regulation does not establish any new fees or increase any current fees to participate.

(9) TIERING: Is tiering applied? Explain why or why not. Tiering was not applied because this administrative regulation will apply to all similarly situated entities in an equal manner.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation: 810 KAR 4:040
Contact Person: Jennifer Wolsing
Phone: +1 (859) 246-2040
Email: jennifer.wolsing@ky.gov

(1) What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? The Kentucky Horse Racing Commission will be impacted by this administrative regulation.

(2) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 230.215, 230.225, 230.240, 230.260, 230.290, 230.310, 230.320, 230.370.

(3) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? This administrative regulation will not generate revenue for state or local government for the first year.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? This administrative regulation will not generate revenue for state or local government for subsequent years.

(c) How much will it cost to administer this program for the first year? There will be no cost to administer this regulation for the first year.

(d) How much will it cost to administer this program for subsequent years? There will be no cost to administer this regulation for subsequent years.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

(4) Revenues (+/-): Neutral.

(5) Expenditures (+/-): Neutral.

(6) Other Explanation: None.



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
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KHRC.ky.gov

Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

To: Commissioners, Kentucky Horse Racing Commission

From: T. Chad Thompson, Deputy General Counsel
Jennifer Wolsing, General Counsel

Date: June 1, 2021

Re: Ratification of Executive Director Approval of Name Change/Re-Financing for Kentucky Downs, LLC and ECL Corbin, LLC

On April 9, 2021, the Kentucky Horse Racing Commission (“KHRC”) received a letter on behalf of Kentucky Downs, LLC and ECL Corbin, LLC, seeking approval of: (1) a proposed name change for the holding company that is the sole member of Kentucky Downs, LLC; and (2) a proposed re-financing under a new credit agreement that will support both ECL Corbin, LLC, and Kentucky Downs, LLC’s planned facility in Bowling Green, KY. The letter was accompanied or later supplemented by a variety of supportive documents, all of which have been reviewed by KHRC staff and Commissioner Mark Simendinger, and which are available for all Commissioners to review at the KHRC offices.

Because the submitted information met the approval of both KHRC staff and Commissioner Simendinger—and because of the time-sensitive nature of the transaction—KHRC Executive Director Marc Guilfoil conditionally approved the proposed name change and re-financing on June 1, 2021, pursuant to KRS 230.230(1).

The KHRC is now asked to ratify the conditional approval provided by Executive Director Guilfoil, and the KHRC staff recommends ratification.

COMMISSION ACTION:

- _____ Approve
- _____ Deny
- _____ Defer



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Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
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KHRC.ky.gov

Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

June 1, 2021

Via Electronic Mail

Jay Ingle
Jackson Kelly PLLC
100 West Main Street
Suite 700
Lexington, KY 40507
jingle@jacksonkelly.com

RE: Name Change/Re-Financing for Kentucky Downs, LLC and ECL Corbin, LLC

Dear Mr. Ingle:

The Kentucky Horse Racing Commission ("KHRC") staff has received and reviewed the documents submitted on behalf of Kentucky Downs, LLC and ECL Corbin, LLC, in connection with: (1) a proposed name change for the holding company that is the sole member of Kentucky Downs, LLC; and (2) a proposed re-financing under a new credit agreement that will support both ECL Corbin, LLC, and Kentucky Downs, LLC's planned facility in Bowling Green.

Because the KHRC staff understands the nature of this transaction to be time-sensitive, the above-referenced name change and re-financing are hereby conditionally approved, pursuant to KRS 230.230(1). Please note that this conditional approval remains subject to review, consideration, and ratification by a quorum of the KHRC's appointed membership.

Sincerely,

A handwritten signature in blue ink that reads "Marc A. Guilfoil".

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission

TEAM
KENTUCKY

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Andy Beshear
Governor

Ray Perry
Deputy Secretary

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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

June 11, 2021

To: Kentucky Horse Racing Commission
From: Waqas Ahmed Director of Pari-Mutuel Wagering and Compliance
Re: Ratification of Executive Director Conditional Approvals of Derby City Gaming's Requests for Pari-Mutuel Pools and Entertaining Game Themes

In April and May of 2021, the Kentucky Horse Racing Commission ("KHRC") staff received six (6) administrative requests from Churchill Downs Racetrack, LLC, by and through its wholly-owned subsidiary Derby City Gaming, LLC ("Derby City Gaming"), to offer new historical horse racing ("HHR") terminals, pari-mutuel pools, system components, and entertaining game themes.

On March 19, 2021, the KHRC unanimously approved a process for classifying administrative requests relating to pari-mutuel wagering on HHR. As such, the KHRC expressly authorized the KHRC's Executive Director to approve or deny administrative requests related to HHR pursuant to KRS 230.230.

Gaming Laboratories International ("GLI") and BMM Testlabs, the independent third-party testing laboratories that provide contractual services for the KHRC, have tested all applicable software components listed below against current Kentucky statutes and regulations. KHRC staff has reviewed the certification reports submitted by both labs and believes that the certified components comply with all relevant Kentucky statutes and regulations. These certification reports are available for all KHRC members upon request.

After a thorough review of the requests, supporting documentation, and performing a field review of necessary components, the KHRC staff recommended conditional approval of the administrative requests by Executive Director Marc A. Guilfoil. Subsequently, Executive Director Guilfoil granted conditional approvals for the following HHR components requested by Derby City Gaming.

Manufacturer	Component Type	Title	Certification Report
Konami Gaming, Inc.	Associated Component	KXP Run Time Component	MO-73-KON-19-110
Ainsworth Game Technology	Entertaining Game Theme	Dragons Heat Blazin Hot Multi play	MO-399-AWG-21-07
Ainsworth Game Technology	Entertaining Game Theme	Endless Fortune	MO-399-AWG-21-12
Ainsworth Game Technology	Entertaining Game Theme	Buena Suerte (dual screen)	MO-399-AWG-21-10
Ainsworth Game Technology	Entertaining Game Theme	Arabian Fire (dual screen)	MO-399-AWG-21-09
Ainsworth Game Technology	Entertaining Game Theme	Joy Joy Dragon	MO-399-AWG-21-11
International Game Technology	Entertaining Game Theme	Dragonfly	IGTU21371KY
International Game Technology	Entertaining Game Theme	Triple Fortune Dragon Gold Boost	IGTU21721KY
International Game Technology	Entertaining Game Theme	Solar Disc	IGTU22701KY
International Game Technology	Entertaining Game Theme	Temple of Fire	IGTU22711KY
Konami Gaming, Inc.	Entertaining Game Theme	Dragon Emblem Jackpots	MO-399-KON-21-08
Konami Gaming, Inc.	Entertaining Game Theme	Lucky O'Leary Jackpots	MO-399-KON-21-09
Ainsworth Game Technology	Entertaining Game Theme	Snake Oriental Fortune	MO-399-AWG-21-13
Ainsworth Game Technology	Entertaining Game Theme	Tiger Oriental Fortune	MO-399-AWG-21-14
SG Gaming, Inc.	Entertaining Game Theme	Zhen Chan	MO-399-BLY-21-09
SG Gaming, Inc.	Entertaining Game Theme	Fu Dao Le	MO-399-BLY-21-08
SG Gaming, Inc.	System Component	ArgOS HHR Operating System	MO-294-BLY-20-67

KHRC staff recommends ratification of the conditional approval provided by Executive Director Guilfoil for the administrative requests mentioned above.

COMMISSION ACTION

_____ APPROVE

_____ DENY

_____ DEFER



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

April 20, 2021

Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213

Re: Request to Approve Konami Gaming, Inc. Terminal Software

Dear Mr. Duggan:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the administrative historical horse racing ("HHR") request outlined in your letter dated April 16, 2021, is hereby approved. This approval is valid for the following associated component used to validate data on Konami Gaming, Inc. historical horse racing terminals.

<u>Software Type</u>	<u>Title</u>	<u>Version</u>
Associated Component	KGI_LLWRTVC031XX	Run Time (variable)

Please contact Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance, or myself if you have any questions.

With best regards,

Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission

cc: Waqas Ahmed



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

April 16th, 2021

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the “Commission”) to Approve Konami Gaming, Inc Terminal Software

Dear Mr. Guilfoil:

Derby City Gaming respectfully requests the Commission’s approval to use the following terminal software from Konami Gaming, Inc in conjunction with any approved game themes offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming, Oak Grove Racing and Gaming, and Newport Racing and Gaming locations. A description of the component can be found within the GLI certification letter:

- KXP Run Time Component KGI_LLWRTVC031XX¹

¹GLI Report for KGI_LLWRTVC031XX – MO-73-KON-19-110

Gaming Laboratories International (“GLI”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations.

Please let us know if you have any further questions or concerns.

Sincerely,

Patrick Duggan
Senior Director of Gaming Operations – Derby City Gaming

cc: Waqas Ahmed, KHRC
Justin Paul
Tim Bryant
David Boehm
Rick Williams



Andy Beshear
Governor

Ray Perry
Deputy Secretary

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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

April 26, 2021

Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213

Re: Request to Approve Ainsworth Game Technology Entertaining Game Themes and Pari-Mutuel Pools

Dear Mr. Duggan:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the administrative historical horse racing ("HHR") request outlined in your letter dated April 9, 2021, is hereby conditionally approved. This conditional approval remains subject to review and ratification by a quorum of the KHRC's appointed membership. Further, this approval is valid for pari-mutuel pools to operate the following Ainsworth Game Technology entertaining game themes ("EGT") and system component ("SC"), as outlined in your letter:

<u>Software Type</u>	<u>Title</u>	<u>Version</u>
EGT	Dragons Heat Blazin Hot Multiplay	2021.1.29.8
EGT	Endless Fortune	2021.1.6.8
EGT	Buena Suerte (dual screen)	2020.11.16.8
EGT	Arabian Fire (dual screen)	2020.12.21.8
EGT	Joy Joy Dragon	2020.10.6.8
SC	HHRGameInfo	9.0.2.55

Please contact Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance, or myself if you have any questions.

With best regards,

Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission

cc: Waqas Ahmed



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

April 9th, 2021

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the “Commission”) to Offer Five Additional Ainsworth Game Technology Entertaining Game Themes.

Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission’s approval of the following additional five (5) Ainsworth Game Technology entertaining game themes and two (2) new pari-mutuel wagering pools, to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming, Oak Grove Racing and Gaming, and Newport Racing and Gaming locations. The games would be operated on terminals from Ainsworth Game Technology and report all pari-mutuel wagering pools using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”).

- One new pari-mutuel pool to operate the following the entertaining game themes: **Dragons Heat Blazin Hot Multiplay v2021.1.29.8¹**.
- One new pari-mutuel pool to operate the following the entertaining game themes: **Endless Fortune v2021.1.6.8²**.
- One new entertaining game theme **Buena Suerte** (dual screen) **v2020.11.16.8³**, which operates off of previously-approved Buena Suerte (single screen) pari-mutuel wagering pool.

- One new entertaining game theme **Arabian Fire** (dual screen) v2020.12.21.8⁴, which operates off of previously-approved Arabian Fire (single screen) pari-mutuel wagering pool.
- One new entertaining game themes **Joy Joy Dragon** v2020.10.6.8⁵, which operates off of previously-approved Dragons Heat pari-mutuel wagering pool.
- Approval of HHRGameInfo versions up to and including the latest HHRGameInfo 9.0.2.55² which is required to utilize these themes.

¹GLI Report for Dragons Heat Blazin Hot Multiplay v2021.1.29.8 – MO-399-AWG-21-07

²GLI Report for Endless Fortune v2021.1.6.8 – MO-399-AWG-21-12

³GLI Report for Buena Suerte (dual screen) v2020.11.16.8 – MO-399-AWG-21-10

⁴GLI Report for Arabian Fire (dual screen) v2020.12.21.8 – MO-399-AWG-21-09

⁵GLI Report for Joy Joy Dragon v2020.10.6.8 – MO-399-AWG-21-11

Gaming Laboratories International (“GLI”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations.

For the reasons set forth herein, Churchill Downs respectfully requests the Commission’s approval of the entertaining game themes included in this letter. If approved, each property that would like to utilize the entertaining game themes will submit a final floor layout and pool commingling list to KHRC staff for review and approval prior to any changes.

Sincerely,



Patrick Duggan
Senior Director of Gaming Operations – Derby City Gaming

cc: Waqas Ahmed, KHRC
Justin Paul
Tim Bryant
David Boehm
Rick Williams



Andy Beshear
Governor

Public Protection Cabinet
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Kerry B. Harvey
Secretary

Ray Perry
Deputy Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

April 26, 2021

Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213

Re: Request to Approve International Game Technology Entertaining Game Themes, Pari-Mutuel Pool, and Software Updates

Dear Mr. Duggan:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the administrative historical horse racing ("HHR") request outlined in your letter dated April 9, 2021, is hereby conditionally approved. This conditional approval remains subject to review and ratification by a quorum of the KHRC's appointed membership. Further, this approval is valid for pari-mutuel pools to operate the following International Game Technology entertaining game themes ("EGT"), as outlined in your letter:

<u>Software Type</u>	<u>Title</u>	<u>Version</u>
EGT	Dragonfly	GAME020001MMJT01
EGT	Triple Fortune Dragon Gold Boost	GAME020009MMHT01
EGT	Solar Disc	GAME020001MNQT02
EGT	Temple of Fire	GI020001MMKT002

Please contact Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance, or myself if you have any questions.

With best regards,

Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission

cc: Waqas Ahmed



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

April 9th, 2021

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the “Commission”) to Offer Two Additional International Game Technology Entertaining Game Theme and Software Updates

Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission’s approval of the following additional two (2) International Game Technology (IGT) entertaining game themes and one (1) new pari-mutuel wagering pool, to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming, Oak Grove Racing and Gaming, and Newport Racing and Gaming locations. The games would be operated on terminals from IGT and report all pari-mutuel wagering pools through a technology vendor agreement using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”).

- One new entertaining game themes **Dragonfly GAME020001MMJT01¹**, which operates off of previously-approved Triple Fortune Dragon pari-mutuel wagering pool.
- One new pari-mutuel pool to operate the following the entertaining game theme: **Triple Fortune Dragon Gold Boost GAME020009MMHT01²**.

Additionally, we respectfully request the Commission’s approval to update the following software offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming, Oak Grove Racing and Gaming, and Newport Racing

and Gaming locations. These are updates to entertaining game theme software already approved for use on terminals by International Game Technology (IGT):

- Solar Disc GAME020001MNQT02
- Temple of Fire GI020001MMKT002

¹BMM Report for Dragonfly GAME020001MMJT01 – IGTU21371KY

²BMM Report for Triple Fortune Dragon Gold Boost GAME020009MMHT01 – IGTU21721KY

³BMM Report for Solar Disc GAME020001MNQT02 – IGTU22701KY

⁴BMM Report for Temple of Fire GI020001MMKT002 – IGTU22711KY

BMM Testlabs (“BMM”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations.

For the reasons set forth herein, Churchill Downs respectfully requests the Commission’s approval of the entertaining game themes included in this letter. If approved, each property that would like to utilize the entertaining game themes will submit a final floor layout and pool commingling list to KHRC staff for review and approval prior to any changes.

Sincerely,



Patrick Duggan
Senior Director of Gaming Operations – Derby City Gaming

cc: Waqas Ahmed, KHRC
Justin Paul
Tim Bryant
David Boehm
Rick Williams



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Building B
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Fax: (859) 246-2039

Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

April 26, 2021

Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213

Re: Request to Approve Konami Gaming, Inc Entertaining Game Themes and Pari-Mutuel Pool

Dear Mr. Duggan:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the administrative historical horse racing ("HHR") request outlined in your letter dated April 9, 2021, is hereby conditionally approved. This conditional approval remains subject to review and ratification by a quorum of the KHRC's appointed membership. This approval is valid for one new pari-mutuel pool to operate the following Konami Gaming, Inc. entertaining game themes ("EGT"):

<u>Software Type</u>	<u>Title</u>	<u>Version</u>
EGT	Dragon Emblem Jackpots	KGI_M865DSH012HR00
EGT	Lucky O'Leary Jackpots	KGI_M864DSH012HR00

Please contact Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance, or myself if you have any questions.

With best regards,

Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission

cc: Waqas Ahmed



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

April 9th, 2021

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the “Commission”) to Offer Two Konami Gaming, Inc Entertaining Game Themes

Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission’s approval of the following two (2) Konami Gaming, Inc (Konami) entertaining game themes and one (1) new pari-mutuel wagering pools to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming, Oak Grove Racing and Gaming, and Newport Racing and Gaming locations. The games would be operated on terminals from Konami, and report all pari-mutuel wagering pools through a technology vendor agreement using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”).

- One new pari-mutuel pool to operate the following the entertaining game themes: **Dragon Emblem Jackpots KGI_M865DSH012HR00¹** and **Lucky O’Leary Jackpots KGI_M864DSH012HR00¹**

¹GLI Report for Dragon Emblem Jackpots and Lucky O’Leary Jackpots - MO-399-KON-21-08, MO-399-KON-21-09

Gaming Laboratories International (“GLI”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested

historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations.

For the reasons set forth herein, Churchill Downs respectfully requests the Commission's approval of the entertaining game themes included in this letter. If approved, each property that would like to utilize the entertaining game themes will submit a final floor layout and pool commingling list to KHRC staff for review and approval prior to any changes.

Sincerely,



Patrick Duggan
Senior Director of Gaming Operations – Derby City Gaming

cc: Waqas Ahmed, KHRC
Justin Paul
Tim Bryant
David Boehm
Rick Williams



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

May 20, 2021

Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213

Re: Request to Approve Ainsworth Game Technology Entertaining Game Themes, Pari-Mutuel Pools and System Component

Dear Mr. Duggan:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the administrative historical horse racing ("HHR") request outlined in your letter dated May 13, 2021, is hereby conditionally approved. This conditional approval remains subject to review and ratification by a quorum of the KHRC's appointed membership. Further this approval is valid for pari-mutuel pools to operate the following Ainsworth Game Technology entertaining game themes ("EGT"), as outlined in your letter:

<u>Software Type</u>	<u>Title</u>	<u>Version</u>
EGT	Snake Oriental Fortune	2021.3.23.8
EGT	Tiger Oriental Fortune	2021.3.18.8

Please contact Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance, or myself if you have any questions.

With best regards,

Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

May 13th, 2021

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the “Commission”) to Offer Two Additional Ainsworth Game Technology Entertaining Game Themes and Pools.

Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission’s approval of the following additional two (2) Ainsworth Game Technology entertaining game themes and two (2) new pari-mutuel wagering pools to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming, Oak Grove Racing and Gaming, and Newport Racing and Gaming locations. The games would be operated on terminals from Ainsworth Game Technology and report all pari-mutuel wagering pools using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”).

- One new pari-mutuel pool to operate the following the entertaining game theme: **Snake Oriental Fortune v2021.3.23.8¹**.
- One new pari-mutuel pool to operate the following the entertaining game theme: **Tiger Oriental Fortune v2021.3.18.8²**.
- Approval of HHRGameInfo versions up to and including the latest HHRGameInfo 9.0.2.57² which is required to utilize these themes.

¹GLI Report for Snake Oriental Fortune v2021.3.23.8– MO-399-AWG-21-13

²GLI Report for Tiger Oriental Fortune v2021.3.18.8– MO-399-AWG-21-14

Gaming Laboratories International (“GLI”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations.

For the reasons set forth herein, Churchill Downs respectfully requests the Commission’s approval of the entertaining game themes included in this letter. If approved, each property that would like to utilize the entertaining game themes will submit a final floor layout and pool commingling list to KHRC staff for review and approval prior to any changes.

Sincerely,



Patrick Duggan
Senior Director of Gaming Operations – Derby City Gaming

cc: Waqas Ahmed, KHRC
Justin Paul
Tim Bryant
David Boehm
Rick Williams



Andy Beshear
Governor

Ray Perry
Deputy Secretary

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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

May 20, 2021

Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213

Re: Request to Approve SG Gaming, Inc. Entertaining Game Themes, Pari-Mutuel Pool, System Components and Terminal

Dear Mr. Duggan:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the administrative historical horse racing ("HHR") request outlined in your letter dated May 13, 2021, is hereby conditionally approved. This conditional approval remains subject to review and ratification by a quorum of the KHRC's appointed membership. Further this approval is valid for pari-mutuel pools to operate the following SG Gaming, Inc. entertaining game themes ("EGT"), system components and terminal, as outlined in your letter:

<u>Type</u>	<u>Title</u>	<u>Version/Reference</u>
EGT	Fu Dao Le	A47F-000-1000
EGT	Zhen Chan	A436-000-1000
System Component	ArgOS Historical Horse Racing Operating System	AOS0000245C
System Component	ArgOS BIOS	ABIP04X0211A
System Component	ArgOS Windows 4X Component	AWI04X0000E
System Component	ArgOS Jurisdictional Program	JRUSKYHR0A
System Component	Installer	AIN0000000I
Terminal	Player Wagering Terminal (SG-1)	PA-394-BAL-15-01-399

Please contact Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance, or myself if you have any questions.

With best regards,

Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

May 13th, 2021

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Bldg. B
Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the “Commission”) to Offer Two Additional Scientific Game Corporation Entertaining Game Themes and Pools.

Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission’s approval of the following additional two (2) Scientific Game Corporation entertaining game themes and one (1) new pari-mutuel wagering pools to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming, Oak Grove Racing and Gaming, and Newport Racing and Gaming locations. The games would be operated on terminals from Scientific Game Corporation and report all pari-mutuel wagering pools using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”).

- One new pari-mutuel pool to operate the following the entertaining game themes: **Zhen Chan A436-000-1000¹** and **Fu Dao Le A47F-000-1000²**.

In addition, we request approval to use the following terminal software from Scientific Game Corporation in conjunction with any approved game themes. A description of the components can be found within the GLI certification letter.

- ArgOS Historical Horse Racing Operating System Components³
 - ArgOS HHR Operating System (AOS0000245C)
 - ArgOS BIOS (ABIP04X0211A)

- ArgOS Windows 4X Component (AWI04X0000E)
- ArgOS Jurisdictional Program (JRUSKYHR0A)
- Installer (AIN0000000I)

¹GLI Report for Zhen Chan A436-000-1000 – MO-399-BLY-21-09

²GLI Report for Fu Dao Le A47F-000-1000 – MO-399-BLY-21-08

³GLI Report for ArgOS HHR Operating System – MO-294-BLY-20-67

Gaming Laboratories International (“GLI”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations.

For the reasons set forth herein, Churchill Downs respectfully requests the Commission’s approval of the entertaining game themes included in this letter. If approved, each property that would like to utilize the entertaining game themes will submit a final floor layout and pool commingling list to KHRC staff for review and approval prior to any changes.

Sincerely,



Patrick Duggan
Senior Director of Gaming Operations – Derby City Gaming

cc: Waqas Ahmed, KHRC
Justin Paul
Tim Bryant
David Boehm
Rick Williams



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

June 11, 2021

To: Kentucky Horse Racing Commission
From: Waqas Ahmed Director of Pari-Mutuel Wagering and Compliance
Re: Ratification of Executive Director Conditional Approval of Kentucky Downs' Request for Pari-Mutuel Pools and Entertaining Game Themes

On April 13, 2021, the Kentucky Horse Racing Commission ("KHRC") staff received an administrative request by Kentucky Downs, LLC ("Kentucky Downs") to offer new historical horse racing ("HHR") pari-mutuel pools and entertaining game themes.

On March 19, 2021, the KHRC unanimously approved a process for classifying administrative requests relating to pari-mutuel wagering on HHR. As such, the KHRC expressly authorized the KHRC's Executive Director to approve or deny administrative requests related to HHR pursuant to KRS 230.230.

Gaming Laboratories International ("GLI"), one of the independent third-party testing laboratories that provides contractual services for the KHRC, has tested all applicable software components listed below against current Kentucky statutes and regulations. KHRC staff has reviewed the certification reports submitted by GLI and believes that the certified components comply with all relevant Kentucky statutes and regulations. These certification reports are available for all KHRC members upon request.

After a thorough review of the requests, supporting documentation, and performing a field review of necessary components, the KHRC staff recommended conditional approval of the administrative request by Executive Director Marc A. Guilfoil. Subsequently, Executive Director Guilfoil granted conditional approvals for the following HHR components requested by Kentucky Downs.

Manufacturer	Component Type	Title	Certification Report
Exacta Systems, LLC	Entertaining Game Theme	Banking It	MO-568-ENR-21-01-399
Exacta Systems, LLC	Entertaining Game Theme	Gryphon's Glory	MO-568-ENR-21-01-399
Exacta Systems, LLC	Entertaining Game Theme	Heatwave	MO-568-ENR-21-01-399
Exacta Systems, LLC	Entertaining Game Theme	Temperature Rising	MO-568-ENR-21-01-399
Exacta Systems, LLC	Entertaining Game Theme	Scarlet Lotus	MO-568-ENR-21-01-399
Exacta Systems, LLC	Entertaining Game Theme	Space Chickens: Eggsplorers	MO-568-ENR-21-01-399
Exacta Systems, LLC	Pari-Mutuel Pool	TRM3020	MO-568-ENR-21-01-399

KHRC staff recommends ratification of the conditional approval provided by Executive Director Guilfoil for the administrative request mentioned above.

COMMISSION ACTION

_____ APPROVE

_____ DENY

_____ DEFER



Andy Beshear
Governor

Ray Perry
Deputy Secretary

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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

April 20, 2021

Valerie Strafe
Kentucky Downs, LLC
5629 Nashville Road
Franklin, KY 42134

Re: Conditional Approval to Deploy New Exacta Systems, LLC Entertaining Game Themes and Pari-Mutuel Pools

Dear Ms. Strafe:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the request outlined in your letter dated April 13, 2021, is hereby conditionally approved. Please note that this approval pertains to the five entertaining game themes and three pari-mutuel pools described in GLI certification letter MO-568-ENR-21-01-399.

Please note that this is a conditional approval and will be ratified by a quorum of the Commission's appointed membership.

With best regards,

Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission

cc: Waqas Ahmed

April 13, 2021

Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511

Dear Mr. Guilfoil,

Enclosed you will find the specifications for one new EXACTA pari-mutuel pools. EXACTA has informed us that the Gaming Laboratories International (GLI) has tested and confirmed that the submitted EXACTA pari-mutuel pool and game themes comply with the requirements set forth in the Kentucky regulations. GLI letter 399MO-568-ENR-21-01-399.

The "Pool Split/Seed Pool/Minimum Pay" section provided in each specification contains confidential and proprietary information, "which if openly disclosed would permit an unfair commercial advantage to competitors." KRS § 61.878(1)(C)(1). As such, all pages in the "Pool Split/Seed Pool/Minimum Pay" sections have been designated as "Confidential."

At this opportunity, we would also like to request for permission to incorporate to our game library these new themes:

- Banking it
- Gryphon's Glory
- Heatwave
- Temperature Rising
- Scarlet Lotus
- Space Chickens: Eggsplorers

Once the KHRC Commission has granted approval, we will coordinate with Mr. Waqas Ahmed the rest of the requirements before putting them online.

Best regards,



Valeria Strafe
VP Of Operations



Andy Beshear
Governor

Ray Perry
Deputy Secretary

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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

June 11, 2021

To: Kentucky Horse Racing Commission
From: Waqas Ahmed Director of Pari-Mutuel Wagering and Compliance
Re: Ratification of Executive Director Conditional Approval of Keeneland Red Mile's
Request for Pari-Mutuel Pools and Entertaining Game Themes

On May 28, 2021, the Kentucky Horse Racing Commission ("KHRC") staff received an administrative request by KRM Wagering, LLC ("Keeneland Red Mile") to offer new historical horse racing ("HHR") pari-mutuel pools and entertaining game themes.

On March 19, 2021, the KHRC unanimously approved a process for classifying administrative requests relating to pari-mutuel wagering on HHR. As such, the KHRC expressly authorized the KHRC's Executive Director to approve or deny administrative requests related to HHR pursuant to KRS 230.230.

Gaming Laboratories International ("GLI"), one of the independent third-party testing laboratories that provides contractual services for the KHRC, has tested all applicable software components listed below against current Kentucky statutes and regulations. KHRC staff has reviewed the certification reports submitted by GLI and believes that the certified components comply with all relevant Kentucky statutes and regulations. These certification reports are available for all KHRC members upon request.

After a thorough review of the requests, supporting documentation, and performing a field review of necessary components, the KHRC staff recommended conditional approval of the administrative request by Executive Director Marc A. Guilfoil. Subsequently, Executive Director Guilfoil granted conditional approvals for the following HHR components requested by Keeneland Red Mile.

Manufacturer	Component Type	Title	Certification Report
PariMax Holdings, LLC	System Component	PariMax Pari-Mutuel Wagering System	SY-399-AMT-21-01 SY-430-AMT-21-01
PariMax Holdings, LLC	Entertaining Game Theme	Titans of Hades	SY-430-AMT-21-01
PariMax Holdings, LLC	Entertaining Game Theme	Destiny of the Divine	SY-399-AMT-21-01

KHRC staff recommends ratification of the conditional approval provided by Executive Director Guilfoil for the administrative request mentioned above.

COMMISSION ACTION

_____ APPROVE

_____ DENY

_____ DEFER



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
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Fax: (859) 246-2039

Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

June 11, 2021

Shannon Cobb
KRM Wagering, LLC ("KRM")
1200 Red Mile Road
Lexington, KY 40504

Re: Requests to Offer Amtote PariMax Pari-Mutuel Wagering System Versions 3.30-3.31

Dear Ms. Cobb:

Pursuant to the authority granted to the Executive Director by the Kentucky Horse Racing Commission ("KHRC"), the administrative historical horse racing ("HHR") request outlined in your letter dated May 28, 2021, is hereby conditionally approved. This conditional approval remains subject to review and ratification by a quorum of the KHRC's appointed membership. Further, this approval is valid for pari-mutuel pools to operate the following Amtote PariMax entertaining game themes and system components ("SC"):

<u>Software Type</u>	<u>Title</u>	<u>Version</u>
SC	PariMax Pari-Mutuel Wagering System	3.30, 3.31
EGT	Titans of Hades	3.30
EGT	Destiny of the Divine	3.31

Similar to previous approvals, the configurable options in previous PariMax Pari-Mutuel Wagering System versions shall not be implemented without prior written approval of the Kentucky Horse Racing Commission. Please let me know if you have any questions.

With best regards,
Marc A Guilfoil

Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission

cc: Waqas Ahmed



KRM Wagering, LLC

May 28, 2021

Mr. Marc Guilfoil, Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Pkwy, Building B
Lexington, KY 40511

Re: Approval request for Parimax release versions 3.30 and 3.31

Dear Mr. Guilfoil:

KRM Wagering would like to request approval for Parimax releases 3.30 and 3.31 at the upcoming June commission meeting. These releases have the following features:

Release 3.30:

- A new game theme, Titans of Hades, on the existing and previously approved profile WP-P3P5_PLUS.P2P5_PLUS_OG;
- Bug fixes on previously approved themes O'Grand's Golden Bounty and Let's Get Spinning.

Release 3.31:

- A new game theme, Destiny of the Divine Tiger, on the existing and previously approved profile WP-P3P5_PLUS.P2P5_PLUS_OG;
- Backend process enhancements;
- Additional functionality for SAS Advanced Funding Transfer (AFT);
- Introducing terminal self-updating functionality

I have attached the release notes and will forward the individual game specs for each of the above in separate emails, due to file size. It is my understanding that you have received GLI reports on these items. Please let me know if additional information is needed regarding this request.

Sincerely,

Shannon M. Cobb
Chief Operating and Financial Officer

Cc: Waqas Ahmed
Vince Gabbert
Joe Costa



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
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Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

TO: KENTUCKY HORSE RACING COMMISSION

FROM: Barbara Borden, Chief State Steward

DATE: June 1, 2021

RE: KENTUCKY DOWNS' PROPOSED 2021 RACE MEET OFFICIALS AND DATE PREFERENCE SYSTEM

By letter sent May 26, 2021, Tyler Picklesimer, Racing Secretary at Kentucky Downs, requests approval of the attached Roster of Racing Officials and Date Preference System for their 2021 race meet. Please be advised all Stewards are accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied



May 18, 2021

Marc Guilfoil, Executive Director
Kentucky Horse Racing Commission
4063 Ironworks Parkway, Building B
Lexington, KY 40511

Mr. Guilfoil,

Kentucky Downs is nominating the following racing officials for approval by the Kentucky Horse Racing Commission for the 2021 Kentucky Downs race meet. All nominees are in good standing and have previous experience.

Executive Staff

Ron Winchell – Managing Partner
Marc Falcone – Managing Partner
Ted Nicholson – Senior Vice President of Racing

Racing Officials

Hal Wiggins* – Steward
Tyler B. Picklesimer * - Racing Secretary
Tiffany Bourque – Assistant Racing Secretary
Rick Albright – Stakes Coordinator/Placing Judge
Steve Penrod – Paddock Judge and Timer
Baley Hare – Paddock Judge and Timer
Stuart Slagle – Placing Judge/Claims Clerk
Jeff Ewalt* - Placing Judge
Melinda Vest – Horse Identifier
Darrell Foster and Javier Torres – Clerk of Scales
Frank Smothers – Jockey Room Custodian
Scott Jordan – Starter
Beth Bungert – Stall Superintendent
Art Teal – Paddock Blacksmith
Lee Lockwood – Outrider
John Wells – Outrider
Greg Blasi – Outrider
Susie Perry – Horsemens Bookkeeper
Jeff Halterman – Photo Finish Operator – American Teletimer
TBA – Security Director
Chris Sandefur – Mutuels Manager
Raymond Lehr – Track Superintendent

Respectfully submitted,

Tyler B. Picklesimer
Racing Secretar



Date Preference System

The following date system of preference is used at Kentucky Downs:

Foal certificates are no longer required to be on file in the racing office to enter or start at Kentucky Downs; however, foal certificates (2017 and older) must be on file to obtain a preference date and to have a horse digitally tattooed. Foals and 2018 (and older) must assign their Digital Foal Certificates to Kentucky Downs in order to obtain a preference date.

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses into over-filled races.

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot; however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Kentucky Downs (who fulfill the criteria below) will be assigned a preference date. A horse can have only one date at any particular time. Dates assigned will be of two types:

E-Date

This date will correspond to: (a) the date on which a horse's foal papers and name card are submitted to the Identifier (foal certificates must be on file by 09/01/2021 to receive the earliest date.); (b) the day on which a horse is first entered if his papers are not on file with the Identifier. To qualify for the latter E-Date, a horse must have been excluded from a race that appears on the overnight or he must have been entered in a condition book race that failed to fill through insufficient entries.

R-Date

This date will correspond to the day of a horse's most recent race at Kentucky Downs. An R-Date will also be assigned to a horse that scratches.

3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers are removed from the Identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Kentucky Downs. Additionally, horses racing at Kentucky Downs without foal papers on site will not retain their R-Date.
4. Preference dates are good for any type of race or distance (other than maiden dates).
5. Maiden dates will be kept separately and will not have preference in winners' races.
6. No E-Date will be assigned to the second choice of an un-split same-owner entry.
7. A horse that has been placed on a list (Veterinarian, Steward, Starter, or Paddock Judge) will lose his date and be assigned an R-Date corresponding to the day he is removed from the list.
8. Horses on the Also-Eligible list shall be permitted to draw into races and will be assigned post positions in the order of their dates, earliest dates first.
9. A horse that is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain his previous date.



-
10. The draw of stake and handicap races is not subject to date preference.
 11. Preference dates are transferred through change in ownership and changes in trainer only after the proper transfer procedures have been completed through the Stewards' Office and delivered to the Racing Secretary's Office.
 12. Date preference rules will not supersede KHRC rules regarding preference, eligibility, or scratches.



Andy Beshear
Governor

Ray Perry
Deputy Secretary

Public Protection Cabinet
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Building B
Lexington, KY 40511
Telephone: (859) 246-2040
Fax: (859) 246-2039

Kerry B. Harvey
Secretary

Marc Guilfoil
Executive Director

Jonathan Rabinowitz
Chairman

TO: KENTUCKY HORSE RACING COMMISSION

FROM: Barbara Borden, Chief State Steward

DATE: June 3, 2021

**RE: CHURCHILL DOWNS' PROPOSED 2021 SEPTEMBER RACE MEET
OFFICIALS AND DATE PREFERENCE SYSTEM**

By letter sent June 3, 2021, Ben Huffman, Racing Secretary at Churchill Downs, requests approval of the attached Rosters of Racing Officials and Date Preference System for their September race meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION ACTION:

_____ Approved

_____ Deferred

_____ Denied



CHURCHILL DOWNS

Home of the Kentucky Derby®

June 3, 2021

Mr. Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Lexington, KY 40511

Dear Mr. Guilfoil,

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials for the 2021 Churchill Downs September Meet, along with the date preference rules, which will be used during this meet, for approval by the Kentucky Horse Racing Commission.

Respectfully submitted,

D. Ben Huffman
Racing Secretary

Enclosure

CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2021 SEPTEMBER MEET

ASSOCIATION STEWARD:	Tyler Picklesimer
RACING SECRETARY:	Ben Huffman
ASST. RACING SECRETARY/STAKES COORDINATOR	Dan Bork
HORSE IDENTIFIER:	Melinda Vest
CLERK OF SALES:	Javier Torres
JOCKEY ROOM CUSTODIAN:	Charlie Woods
CLAIMS CLERK:	Beth Bungert
PROGRAM COORDINATOR:	Tiffany Bourque
HORSEMEN'S BOOKKEEPER:	Carol Renn
STARTER:	Scott Jordan
OUTRIDERS:	Greg Blasi Lee Lockwood Shane Hoodenpyle
SR. DIRECTOR SECURITY AND SAFETY	TBD
DIRECTOR OF MUTUELS:	Matt Bonar
TIMER:	American Teletimer
CLOCKER:	Peter Vestal
ENTRY CLERKS, PADDOCK AND PLACING JUDGES:	Steve Obrekaitis Steve Penrod Stuart Slagle Jorie Gorski Baley Hare
ANNOUNCER:	Travis Stone
STALL MANAGER:	Steve Hargrave

DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

Foal Certificates and digital foal certificates no longer are required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses in over-filled races.

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:
3. **FOR A ZERO DATE** foal papers and digital foal papers must be turned in to the identifier by Thursday, September 16, 2021.

E-Date

This date will correspond to:

- a) The day on which a horse's foal papers or digital foal papers are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting). An unnamed horse will not receive an E-date.
- b) The day on which a horse is first entered if his papers or digital foal papers are not on file with the identifier.

To qualify for the latter E-date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

R-Date

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

4. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another race track will forfeit any date they may have at Churchill Downs.
5. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
6. A horse that runs in a race, which has been taken off the turf will retain its turf date. A scratched horse will receive an R-date from the date scratched.
7. When a race is taken off the turf, main track only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the turf, main track only (MTO) horses will retain their previous date and will not be permitted to start.
8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.

9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
10. A horse which is a scheduled starter in a cancelled race will not receive an R-Date for that race but will retain its previous date.
11. The draw of stakes and handicap race is not subject to date preference.
12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's office.
13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
14. Winners will be preferred in all non maiden races.

CONDITION PREFERENCE

Horses are preferred by their available conditions in all allowance races beginning with Graded Stakes winners (Grade I-II-III), providing the horse has finished 1st, 2nd, 3rd or 4th for \$40,000 or more since starting for less than \$40,000. In allowance/optional claiming races a horse's preference cannot exceed the condition of the race. Starter races for less than \$25,000 will not be preferred.

WINNERS ARE PREFERRED IN ALL NON-MAIDEN RACES.

Also-Eligibles

If there are any scratches in a race with also-eligibles, the also-eligible horses will be permitted to move into the race in the order listed on the overnight.

810 KAR 4:010. HORSES

The stewards may at any time require presentation of a horse's registration certificate, virtual certificate, or racing permit or other proof of ownership.

Upon claim, sale or any other transfer of ownership, the horse's registration certificate or racing permit shall be given to the new owner, and any virtual certificate shall be transferred to the new owner electronically. The new owner shall report the change in ownership to the stewards.

2021 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
21-0035	4/14/2021	4/10/2021	George A. Sharp and Caio Caramori	Filed protest regarding gender of claimed horse		Protest denied		Per 810 KAR 4:050 Section 1 subsection (17)
21-0036	4/14/2021	4/10/2021	Edward J. Kenneally	failed to report correct gender		Fined	\$ 500	Paid
21-0037	4/16/2021	4/3/2021	Joshua A. Gibson	Physical and Emotional impairment and formal ejection from Keeneland		Suspended pending appearance before the Board of Stewards and evaluation by a professional for addictive and substance abuse disorders		Current suspension
21-0038	4/16/2021	4/11/2021	Joel Rosario	Careless riding		Suspended 3 days April 24, April 27 and April 28, 2021		Suspension served
21-0039	4/16/2021	4/14/2021	James Graham	Careless riding		Suspended 3 days April 22 through April 24, 2021 (inclusive)		Suspension served
21-0040	4/21/2021	4/18/2021	John Velazquez	Careless riding		Suspended 3 days April 24, April 27 and April 28, 2021		Suspension served
21-0041	4/22/2021	4/18/2021	Tyler S. Gaffalione	Careless riding		Suspended 3 days May 6 through May 8, 2021 (inclusive)		APPEALED
21-0042	5/12/2021	4/14/2021	Ignacio Correas IV	Tranexamic Acid #E424092	C	Fined	\$ 500	Paid
21-0043	5/12/2021	4/14/2021	Silvestre Blousson (owner)	Tranexamic Acid #E424092	C	Joy Epifora (ARG) disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed

2021 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
21-0044	5/12/2021	4/17/2021	Ignacio Correas IV	Tranexamic Acid #E417799	C	Fined	\$ 500	Paid
21-0045	5/12/2021	4/17/2021	Ignacio Correas IV and Jeffrey Bloom (owners)	Tranexamic Acid #E417799	C	Fantasio (ARG) disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
21-0046	5/15/2021	3/16/2021	Joseph W. Morgan, DVM	Failure to submit Veterinary Report of Horses Treated for HUSH OF A STORM		Fined	\$ 500	Paid
21-0047	5/15/2021	3/16/2021	William E. Morey	Failed to report treatment as required by 810 KAR 8:040		Warning letter		Warning issued
21-0048	5/15/2021	5/15/2021	Larry W. Baker	License type change		Rescinded Owner license without prejudice and issued Jockey Agent license		Currently licensed
21-0049	5/31/2021	5/25/2021	Timothy E. Hamm	Violated claiming regulation by transferring ownership within 30 days		Fined	\$ 1,000	Not Paid
21-0050	6/5/2021	6/3/2021	Florent Geroux	Careless riding		Suspended 3 days June 6, June 10 and June 11, 2021 (inclusive)		Suspension served
21-0051	6/10/2021	5/23/2021	Scott A. Blasi	Disorderly conduct (physical altercation)		Fined and suspended 7 days, June 20 through June 26, 2021 (suspension conditionally stayed)	\$ 500	Paid

2021 TB RULINGS LIST BY CATEGORY (Through June 11, 2021)

MEDICATION VIOLATIONS

<u>Medication Class</u>	<u>Total</u>	<u>Fines</u>	<u>Suspensions</u>	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	0	0	0	0	0	
Class B	6	6	6	3	5	Levamisole -APPEALED (other 3 horses DQ'd in 2020), 3-Hydroxyliclocaine
Class C	6	6	0	6	0	Betamethasone, Dexamethasone, Phenylbutazone, Tranexamic Acid
Class D	0	0	0	0	0	
NSAIDS	0	0	0	0	0	
Multiple NSAIDS	0	0	0	0	0	
TCO2	<u>0</u>	0	0	0	0	
Subtotal	12	\$7,000				

NON-MEDICATION VIOLATIONS

Jockey Violations

Careless Riding	9	0	9	0	1	Routine riding offenses (1 under appeal)
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations	1	1	0	0	0	Inadvertently struck rival with crop
Subtotal	<u>10</u>	\$250				

Other Licensee Violations

Misc. violations by licensees	8	7	0	0	0	Violated claiming regulation, Failure to report correct gender, Failure to report veterinary treatment
Disorderly conduct and formal ejections	4	2	2	0	0	Destruction of property, entered restricted area, physical and emotional impairment, Physical altercation
Suspended for non payment of fines	0	0	0	0	0	
Subtotal	<u>12</u>	\$9,000				

Other Rulings Issued	17	0	0	0	0	The remaining 17 rulings that have been issued in 2021 are for information only and include purse redistributions, penalty applied after withdrawal of appeal, licensee restored to good standing, written protest denied, license rescinded without prejudice
TOTAL 2021 RULINGS	<u><u>51</u></u>	<u>\$16,250</u>				

2021 STANDARD BRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	PAID	Suspension (days)	COMMENTS
J2021-001	1/22/2021	10/11/2020	Ervin M. Miller	Medication /Drug Violation - Naproxen	C	\$500		paid		Disqualified to last place, purse return ordered
J2021-002	1/22/2021	11/23/2020	Hollis A. Kendrick	Medication /Drug Violation - Dexamethasone	C	\$500		paid		Disqualified to last place, purse return ordered

KHRC Veterinary Report

Keeneland Spring Meet 2021

Total Starts	1095

Post Race Samples	352
tCO ₂	203

Veterinary Scratches	23

Pre-Race	15
On-Track	8
Soundness related	3
Gate/paddock Injury	5

KHRC Veterinary List	42

Scratches	23
Race Injuries/post race lame/test barn lame	18
EIPH	1

Claimed horses	75

Voided Claims	7

Ambulance Runs	6

Racing Fatalities	0

Training Fatalities	1

Musculo-skeletal	1

Occurrence of Thoroughbred race-related fatalities in Kentucky 2007 to present

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2007	Musculoskeletal	2	1	5	2	4	3	4	2	2	3	4	5	37	23,180	1.60
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.04
	TOTAL	2	1	5	2	4	3	4	2	2	4	4	5	38		1.64
2008	Musculoskeletal	3	0	4	2	3	2	2	2	3	2	4	8	35	22,278	1.57
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	3	0	4	2	3	2	2	2	3	2	4	8	35		1.57
2009	Musculoskeletal	3	5	1	2	4	1	2	4	3	3	2	3	33	20,142	1.64
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	3	5	1	2	4	1	2	4	3	3	2	3	33		1.64
2010	Musculoskeletal	2	1	2	0	0	2	4	2	1	3	7	1	25	18,167	1.38
	Non-musculoskeletal	0	0	1	1	0	0	0	0	0	0	0	0	2		0.11
	TOTAL	2	1	3	1	0	2	4	2	1	3	7	1	27		1.49
2011	Musculoskeletal	2	2	1	1	2	5	1	3	2	5	3	1	28	18,258	1.53
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.05
	TOTAL	2	2	1	1	2	5	1	3	3	5	3	1	29		1.59
2012	Musculoskeletal	0	0	1	2	9	2	0	2	0	3	5	3	27	17,513	1.54
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.06
	TOTAL	0	0	1	2	9	2	0	2	0	4	5	3	28		1.60

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2013	Musculoskeletal	2	2	0	0	1	2	2	5	3	1	1	3	22	16,242	1.35
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.06
	TOTAL	2	2	0	0	1	2	1	5	4	1	1	3	23		1.42
2014	Musculoskeletal	2	2	0	0	3	0	1	1	1	3	3	0	16	14,460	1.11
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	2	2	0	0	3	0	1	1	1	3	3	0	16		1.11
2015	Musculoskeletal	3	1	1	0	3	2	1	1	3	3	2	1	21	15,514	1.35
	Non-musculoskeletal	0	0	0	0	0	1	0	1	0	0	0	0	2		0.13
	TOTAL	3	1	1	0	3	3	1	2	3	3	3	1	23		1.48
2016	Musculoskeletal	0	2	0	4	4	3	1	0	1	2	5	1	23	16,495	1.39
	Non-musculoskeletal	0	0	0	0	0	2	1	0	0	0	0	0	3		0.18
	TOTAL	0	2	0	4	4	5	2	0	1	2	5	1	26		1.58
2017	Musculoskeletal	1	2	1	2	1	3	0	1	2	3	2	0	18	15,032	1.20
	Non-musculoskeletal	0	0	0	0	0	2	0	0	0	0	0	0	2		0.13
	TOTAL	1	2	1	2	1	5	0	1	2	3	2	0	20		1.33
2018	Musculoskeletal	2	1	0	4	3	1	6	0	7	1	3	4	32	15,049	2.13
	Non-musculoskeletal	0	0	1	0	1	0	0	1	0	0	1	0	4		0.27
	TOTAL	2	1	1	4	4	1	6	1	7	1	4	4	36		2.39
2019	Musculoskeletal	0	1	1	4	1	0	0	1	1	5	2	3	19	15,456	1.23
	Non-musculoskeletal	0	0	0	0	0	2	0	1	0	0	0	0	3		0.19
	TOTAL	0	1	1	4	1	2	0	2	1	5	2	3	22		1.42

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2020	Musculoskeletal	1	0	1	0	1	0	0	3	4	1	4	1	16	13,872	1.15
	Non-musculoskeletal	0	0	3	0	0	0	0	0	0	0	0	0	3		0.22
	TOTAL	1	0	4	0	1	0	0	3	4	1	4	1	19		1.37

2021	Musculoskeletal	2	1	0	0									3	4068	0.74
	Non-musculoskeletal	0	0	0	0									0		0
	TOTAL	2	1	0	0									3		0.74

Kentucky Pari-Mutuel Excise Tax and BIF by Source, FYTD 2021
April-2021

Racetrack/ADW	Thoroughbred Development Fund		Standardbred Development Fund		QH/Other Development Fund		Equine Industry Program		Equine Drug Program		Higher Education Program		Backside Improvement *		ADW Host Track Allocation		ADW Purse Allocation		Kentucky General Fund		Total Excise Tax FYTD	
	% of Fund		% of Fund		% of Fund		% of Fund		% of Fund		% of Fund		% of Fund		% of Fund		% of Fund		% of Fund		% of Fund	
	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total	FYTD	Total
Live	\$ 195,748	0.9%	\$ 10,233	0.1%			\$ 53,838	7.4%	\$ 26,918	6.7%	\$ 26,918	6.7%	\$ 130,499	100.0%			\$ 92,167	0.4%	\$ 536,320	0.9%		
Churchill Downs	\$ 87,909	0.4%					\$ 23,442	3.2%	\$ 11,721	2.9%	\$ 11,721	2.9%	\$ 58,607	44.9%			\$ 41,025	0.2%	\$ 234,425	0.4%		
Ellis Park	\$ 7,507	0.0%					\$ 2,001	0.3%	\$ 1,001	0.2%	\$ 1,001	0.2%	\$ 5,005	3.8%			\$ 3,503	0.0%	\$ 20,020	0.0%		
Keeneland	\$ 92,823	0.4%					\$ 24,754	3.4%	\$ 12,376	3.1%	\$ 12,376	3.1%	\$ 61,882	47.4%			\$ 43,318	0.2%	\$ 247,529	0.4%		
Kentucky Downs	\$ 2,492	0.0%					\$ 665	0.1%	\$ 332	0.1%	\$ 332	0.1%	\$ 1,662	1.3%			\$ 1,163	0.0%	\$ 6,646	0.0%		
Oak Grove			\$ 782	0.0%			\$ 125	0.0%	\$ 62	0.0%	\$ 62	0.0%					\$ 62	0.0%	\$ 1,093	0.0%		
The Red Mile			\$ 9,451	0.1%			\$ 1,513	0.2%	\$ 756	0.2%	\$ 756	0.2%					\$ 756	0.0%	\$ 13,232	0.0%		
Turfway Park	\$ 5,016	0.0%					\$ 1,338	0.2%	\$ 669	0.2%	\$ 669	0.2%	\$ 3,343	2.6%			\$ 2,340	0.0%	\$ 13,375	0.0%		
Simulcast	\$ 1,099,238	4.9%	\$ 33,650	0.4%	\$ 6,965	100%	\$ 28,493	3.9%	\$ 56,996	14.1%	\$ 56,996	14.1%					\$ 427,445	1.7%	\$ 1,709,783	3.0%		
Churchill Downs	\$ 182,621	0.8%	\$ 2,357	0.0%	\$ 183	2.6%	\$ 4,628	0.6%	\$ 9,259	2.3%	\$ 9,259	2.3%					\$ 69,436	0.3%	\$ 277,743	0.5%		
Ellis Park	\$ 175,227	0.8%	\$ 16,213	0.2%	\$ 645	9.3%	\$ 4,802	0.7%	\$ 9,604	2.4%	\$ 9,604	2.4%					\$ 72,031	0.3%	\$ 288,127	0.5%		
Keeneland	\$ 501,455	2.2%			\$ 1,013	14.5%	\$ 12,562	1.7%	\$ 25,124	6.2%	\$ 25,124	6.2%					\$ 188,425	0.8%	\$ 753,702	1.3%		
Kentucky Downs	\$ 166,258	0.7%	\$ 14,282	0.2%	\$ 4,243	60.9%	\$ 4,618	0.6%	\$ 9,241	2.3%	\$ 9,241	2.3%					\$ 69,293	0.3%	\$ 277,176	0.5%		
Oak Grove	\$ -	0.0%	\$ -																			
The Red Mile																						
Turfway Park	\$ 73,677	0.3%	\$ 798	0.0%	\$ 882	12.7%	\$ 1,883	0.3%	\$ 3,768	0.9%	\$ 3,768	0.9%					\$ 28,259	0.1%	\$ 113,035	0.2%		
ADW															\$ 740,869	100.0%	\$ 740,869	100.0%	\$ 261,487	1.1%	\$ 1,743,225	3.0%
Amwest															\$ 2,388	0.3%	\$ 2,388	0.3%	\$ 844	0.0%	\$ 5,620	0.0%
eBet															\$ 1,916	0.3%	\$ 1,916	0.3%	\$ 676	0.0%	\$ 4,509	0.0%
Lien Games															\$ 754	0.1%	\$ 754	0.1%	\$ 265	0.0%	\$ 1,773	0.0%
NYRA															\$ 23,963	3.2%	\$ 23,963	3.2%	\$ 8,459	0.0%	\$ 56,386	0.1%
TVG															\$ 242,724	32.8%	\$ 242,724	32.8%	\$ 85,667	0.3%	\$ 571,115	1.0%
TwinSpires															\$ 388,064	52.4%	\$ 388,064	52.4%	\$ 136,964	0.6%	\$ 913,092	1.6%
Watch and Wager															\$ 749	0.1%	\$ 749	0.1%	\$ 264	0.0%	\$ 1,761	0.0%
XpressBet															\$ 80,311	10.8%	\$ 80,311	10.8%	\$ 28,347	0.1%	\$ 188,969	0.3%
HHR	\$ 21,307,744	94.3%	\$ 7,462,558	99.4%			\$ 650,000	88.8%	\$ 320,000	79.2%	\$ 320,000	79.2%							\$ 23,749,024	96.8%	\$ 53,809,326	93.1%
Derby City Gaming	\$ 8,630,395	38.2%					\$ 250,499	34.2%	\$ 123,323	30.5%	\$ 123,323	30.5%							\$ 8,133,251	33.2%	\$ 17,260,792	29.9%
Ellis Park	\$ 1,311,858	5.8%					\$ 46,370	6.3%	\$ 22,828	5.7%	\$ 22,828	5.7%							\$ 1,219,830	5.0%	\$ 2,623,715	4.5%
Keeneland/Red Mile	\$ 1,634,167	7.2%	\$ 2,178,890	29.0%			\$ 106,214	14.5%	\$ 52,290	12.9%	\$ 52,290	12.9%							\$ 2,512,818	10.2%	\$ 6,536,669	11.3%
Kentucky Downs	\$ 8,873,028	39.3%					\$ 246,917	33.7%	\$ 121,559	30.1%	\$ 121,559	30.1%							\$ 8,382,993	34.2%	\$ 17,746,056	30.7%
Newport Racing	\$ 858,297	3.8%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%							\$ 858,297	3.5%	\$ 1,716,594	3.0%
Oak Grove	\$ -	0.0%	\$ 5,283,668	70.4%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%							\$ 2,641,834	10.8%	\$ 7,925,501	13.7%
Grand Total	\$ 22,602,729	100.0%	\$ 7,506,441	100.0%	\$ 6,965	100%	\$ 732,331	100.0%	\$ 403,914	100.0%	\$ 403,914	100.0%	\$ 130,499	100.0%	\$ 740,869	100.0%	\$ 740,869	100.0%	\$ 24,530,123	100.0%	\$ 57,798,653	100.0%

* The Backside Improvement Fund is funded by 0.5% of Live Handle, separately from the Excise Tax, for Live Thoroughbred Racing conducted by associations per KRS 230.3615.



Kentucky Live and Simulcast Wagering Report

April 2021

Live Racing

	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	20	0		206	189	9.0%
On Track Handle	\$ 17,319,469	\$ -		\$ 26,918,448	\$ 37,964,470	-29.1%
Less: Return to the Public	\$ 13,942,310	\$ -		\$ 21,628,235	\$ 30,512,770	-29.1%
Less: Breakage	\$ 131,081	\$ -		\$ 187,450	\$ 236,944	-20.9%
Gross Commission	<u>\$ 3,246,078</u>	<u>\$ -</u>		<u>\$ 5,102,763</u>	<u>\$ 7,214,755</u>	<u>-29.3%</u>
TB Development Fund	\$ 129,896	\$ -		\$ 195,748	\$ 275,110	-28.8%
SB Development Fund	\$ -	\$ -		\$ 10,233	\$ 16,039	-36.2%
Equine Industry Program	\$ 34,639	\$ -		\$ 53,837	\$ 75,929	-29.1%
Equine Drug Research	\$ 17,319	\$ -		\$ 26,918	\$ 37,964	-29.1%
Higher Education Fund	\$ 17,319	\$ -		\$ 26,918	\$ 37,964	-29.1%
Ky General Fund	\$ 60,618	\$ -		\$ 92,168	\$ 129,668	-28.9%
Total Kentucky Excise Tax (1.5%) (Note 1)	<u>\$ 259,792</u>	<u>\$ -</u>		<u>\$ 405,823</u>	<u>\$ 572,675</u>	<u>-29.1%</u>
Backside Improvement Fund (Note 1)	\$ 86,597	\$ -		\$ 130,499	\$ 183,407	-28.8%
Net Commission	\$ 2,899,688	\$ -		\$ 4,566,441	\$ 6,458,674	-29.3%
Off Track Handle From:						
Other Kentucky Tracks	\$ 1,774,250	\$ -		\$ 7,906,534	\$ 7,755,013	2.0%
Kentucky ADW's	\$ 24,194,904	\$ -		\$ 94,002,752	\$ 33,097,361	184.0%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ 202,961,985	\$ -		\$ 917,013,496	\$ 439,124,178	108.8%
All Sources Handle	<u>\$ 246,250,608</u>	<u>\$ -</u>		<u>\$ 1,045,841,229</u>	<u>\$ 517,941,022</u>	<u>101.9%</u>
Average Daily Handle - On Track	\$ 865,973			\$ 130,672	\$ 200,870	-34.9%
Average Daily Handle - All Sources	\$ 12,312,530			\$ 5,076,899	\$ 2,740,429	85.3%

Simulcast

Handle by Breed						
Thoroughbred	\$ 7,954,341	\$ -		\$ 55,730,900	\$ 73,033,141	-23.7%
Standardbred	\$ 1,966,327	\$ -		\$ 18,590,394	\$ 16,322,510	13.9%
Qtr/Arabian	\$ 92,555	\$ 11,726	689.3%	\$ 494,387	\$ 438,119	12.8%
Total Handle	<u>\$ 10,013,223</u>	<u>\$ 11,726</u>	<u>85293.3%</u>	<u>\$ 74,815,681</u>	<u>\$ 89,793,771</u>	<u>-16.7%</u>
TB Development Fund	\$ 156,702	\$ -		\$ 1,099,238	\$ 1,447,871	-24.1%
SB Development Fund	\$ 3,433	\$ -		\$ 33,652	\$ 35,078	-4.1%
QH Development Fund	\$ 1,109	\$ -		\$ 6,963	\$ 6,970	-0.1%
Equine Industry Program*	\$ 4,031	\$ -		\$ 28,496	\$ 37,248	-23.5%
Equine Drug Research	\$ 8,062	\$ -		\$ 56,993	\$ 74,496	-23.5%
Higher Education Fund	\$ 8,062	\$ -		\$ 56,993	\$ 74,496	-23.5%
Ky General Fund	\$ 60,466	\$ -		\$ 427,445	\$ 558,720	-23.5%
Total Kentucky Excise Tax	<u>\$ 241,866</u>	<u>\$ -</u>		<u>\$ 1,709,779</u>	<u>\$ 2,234,878</u>	<u>-23.5%</u>

Note 1: The Backside Improvement Fund is funded by 0.5% of Live Handle, separately from the Excise Tax, for Live Thoroughbred Racing conducted by associations per KRS 230.3615.

* Rate is .05% for Simulcast only

Monthly Track Report - Bluegrass Downs

April 2021

Live Racing

	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		0	6	-100.0%
On Track Handle	\$ -	\$ -		\$ -	\$ 5,930	-100.0%
Less: Return to the Public	\$ -	\$ -		\$ -	\$ 4,659	-100.0%
Less: Breakage	\$ -	\$ -		\$ -	\$ 43	-100.0%
Gross Commission	\$ -	\$ -		\$ -	\$ 1,228	-100.0%
SB Development Fund	\$ -	\$ -		\$ -	\$ 74	-100.0%
Equine Industry Program	\$ -	\$ -		\$ -	\$ 12	-100.0%
Equine Drug Research	\$ -	\$ -		\$ -	\$ 6	-100.0%
Higher Education Fund	\$ -	\$ -		\$ -	\$ 6	-100.0%
Ky General Fund	\$ -	\$ -		\$ -	\$ 6	-100.0%
Total Kentucky Excise Tax (1.75%)	\$ -	\$ -		\$ -	\$ 104	-100.0%
Net Commission	\$ -	\$ -		\$ -	\$ 1,124	-100.0%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ -	\$ -	
All Sources Handle	\$ -	\$ -		\$ -	\$ 5,930	-100.0%
Average Daily Handle - On Track					\$ 988	-100.0%
Average Daily Handle - All Sources					\$ 988	-100.0%

Simulcast

Handle by Breed						
Thoroughbred	\$ -	\$ -		\$ -	\$ 639,580	-100.0%
Standardbred	\$ -	\$ -		\$ -	\$ 24,049	-100.0%
Qtr/Arabian	\$ -	\$ -		\$ -	\$ 1,243	-100.0%
Total Handle	\$ -	\$ -		\$ -	\$ 664,872	-100.0%
TB Development Fund						
SB Development Fund						
QH Development Fund						ONLY TAXED ON LIVE RACING (per KRS 138.510)
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax	_____	_____		_____	_____	_____

Monthly Track Report - Churchill Downs

April 2021

Live Racing						
	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	5	0		43	36	19.4%
On Track Handle	\$ 8,095,196	\$ -		\$ 11,721,249	\$ 10,816,547	8.4%
Less: Return to the Public	\$ 6,472,524	\$ -		\$ 9,370,153	\$ 8,629,523	8.6%
Less: Breakage	\$ 57,978	\$ -		\$ 82,180	\$ 69,730	17.9%
Gross Commission	<u>\$ 1,564,694</u>	<u>\$ -</u>		<u>\$ 2,268,915</u>	<u>\$ 2,117,294</u>	<u>7.2%</u>
TB Development Fund	\$ 60,714	\$ -		\$ 87,909	\$ 81,124	8.4%
Equine Industry Program	\$ 16,190	\$ -		\$ 23,442	\$ 21,633	8.4%
Equine Drug Research	\$ 8,095	\$ -		\$ 11,721	\$ 10,817	8.4%
Higher Education Fund	\$ 8,095	\$ -		\$ 11,721	\$ 10,817	8.4%
Ky General Fund	\$ 28,333	\$ -		\$ 41,024	\$ 37,858	8.4%
Total Kentucky Excise Tax (1.5%) (Note 1)	<u>\$ 121,428</u>	<u>\$ -</u>		<u>\$ 175,819</u>	<u>\$ 162,248</u>	<u>8.4%</u>
Backside Improvement Fund (Note 1)	\$ 40,476	\$ -		\$ 58,606	\$ 54,083	8.4%
Net Commission	\$ 1,402,790	\$ -		\$ 2,034,490	\$ 1,900,963	7.0%
Off Track Handle From:						
Other Kentucky Tracks	\$ 687,397	\$ -		\$ 3,390,601	\$ 2,633,990	28.7%
Kentucky ADW's	\$ 11,248,841	\$ -		\$ 46,799,627	\$ 12,854,448	264.1%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ 61,538,945	\$ -		\$ 363,502,135	\$ 152,611,763	138.2%
All Sources Handle	<u>\$ 81,570,379</u>	<u>\$ -</u>		<u>\$ 425,413,612</u>	<u>\$ 178,916,748</u>	<u>137.8%</u>
Average Daily Handle - On Track	\$ 1,619,039			\$ 272,587	\$ 300,460	-9.3%
Average Daily Handle - All Sources	\$ 16,314,076			\$ 9,893,340	\$ 4,969,910	99.1%

Simulcast						
Handle by Breed						
Thoroughbred	\$ 1,807,252	\$ -		\$ 9,131,064	\$ 19,914,812	-54.1%
Standardbred	\$ 10,200	\$ -		\$ 117,874	\$ 120,009	-1.8%
Qtr/Arabian	\$ 648	\$ -		\$ 9,141	\$ 89,972	-89.8%
Total Handle	<u>\$ 1,818,100</u>	<u>\$ -</u>		<u>\$ 9,258,080</u>	<u>\$ 20,124,792</u>	<u>-54.0%</u>
TB Development Fund	\$ 36,145	\$ -		\$ 182,621	\$ 398,296	-54.1%
SB Development Fund	\$ 204	\$ -		\$ 2,357	\$ 2,400	-1.8%
QH Development Fund	\$ 13	\$ -		\$ 183	\$ 1,799	-89.8%
Equine Industry Program*	\$ 909	\$ -		\$ 4,629	\$ 10,062	-54.0%
Equine Drug Research	\$ 1,818	\$ -		\$ 9,258	\$ 20,125	-54.0%
Higher Education Fund	\$ 1,818	\$ -		\$ 9,258	\$ 20,125	-54.0%
Ky General Fund	\$ 13,636	\$ -		\$ 69,436	\$ 150,936	-54.0%
Total Kentucky Excise Tax (3%)	<u>\$ 54,543</u>	<u>\$ -</u>		<u>\$ 277,742</u>	<u>\$ 603,744</u>	<u>-54.0%</u>

Note 1: The Backside Improvement Fund is funded by 0.5% of Live Handle, separately from the Excise Tax, for Live Thoroughbred Racing conducted by associations per KRS 230.3615.

* Rate is .05% for Simulcast only

Monthly Track Report - Ellis Park

April 2021

Live Racing

	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		25	26	-3.8%
On Track Handle	\$ -	\$ -		\$ 1,000,965	\$ 3,945,581	-74.6%
Less: Return to the Public	\$ -	\$ -		\$ 796,412	\$ 3,137,478	-74.6%
Less: Breakage	\$ -	\$ -		\$ 8,359	\$ 30,803	-72.9%
Gross Commission	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 196,195</u>	<u>\$ 777,300</u>	-74.8%
TB Development Fund	\$ -	\$ -		\$ 7,507	\$ 29,592	-74.6%
Equine Industry Program	\$ -	\$ -		\$ 2,002	\$ 7,891	-74.6%
Equine Drug Research	\$ -	\$ -		\$ 1,001	\$ 3,946	-74.6%
Higher Education Fund	\$ -	\$ -		\$ 1,001	\$ 3,946	-74.6%
Ky General Fund	\$ -	\$ -		\$ 3,503	\$ 13,810	-74.6%
Total Kentucky Excise Tax (1.5%) (Note 1)	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 15,014</u>	<u>\$ 59,184</u>	-74.6%
Backside Improvement Fund (Note 1)	\$ -	\$ -		\$ 5,005	\$ 19,728	-74.6%
Net Commission	\$ -	\$ -		\$ 176,175	\$ 698,389	-74.8%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ 532,769	\$ 1,357,160	-60.7%
Kentucky ADW's	\$ -	\$ -		\$ 4,074,802	\$ 2,641,942	54.2%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ 42,409,100	\$ 37,917,649	11.8%
All Sources Handle	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 48,017,636</u>	<u>\$ 45,862,332</u>	4.7%
Average Daily Handle - On Track				\$ 40,039	\$ 151,753	-73.6%
Average Daily Handle - All Sources				\$ 1,920,705	\$ 1,763,936	8.9%

Simulcast

Handle by Breed						
Thoroughbred	\$ 1,073,040	\$ -		\$ 8,761,418	\$ 9,841,876	-11.0%
Standardbred	\$ 62,621	\$ -		\$ 810,606	\$ 837,951	-3.3%
Qtr/Arabian	\$ 4,390	\$ -		\$ 32,220	\$ 796	3947.3%
Total Handle	<u>\$ 1,140,051</u>	<u>\$ -</u>		<u>\$ 9,604,244</u>	<u>\$ 10,680,623</u>	-10.1%
TB Development Fund	\$ 21,461	\$ -		\$ 175,228	\$ 196,838	-11.0%
SB Development Fund	\$ 1,252	\$ -		\$ 16,212	\$ 16,759	-3.3%
QH Development Fund	\$ 88	\$ -		\$ 644	\$ 16	3947.3%
Equine Industry Program*	\$ 570	\$ -		\$ 4,802	\$ 5,340	-10.1%
Equine Drug Research	\$ 1,140	\$ -		\$ 9,604	\$ 10,681	-10.1%
Higher Education Fund	\$ 1,140	\$ -		\$ 9,604	\$ 10,681	-10.1%
Ky General Fund	\$ 8,550	\$ -		\$ 72,032	\$ 80,105	-10.1%
Total Kentucky Excise Tax (3%)	<u>\$ 34,202</u>	<u>\$ -</u>		<u>\$ 288,127</u>	<u>\$ 320,419</u>	-10.1%

Note 1: The Backside Improvement Fund is funded by 0.5% of Live Handle, separately from the Excise Tax, for Live Thoroughbred Racing conducted by associations per KRS 230.3615.

* Rate is .05% for Simulcast only

Monthly Track Report - Keeneland

April 2021

Live Racing

	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	15	0		37	17	117.6%
On Track Handle	\$ 9,224,273	\$ -		\$ 12,376,473	\$ 17,320,852	-28.5%
Less: Return to the Public	\$ 7,469,786	\$ -		\$ 10,019,608	\$ 14,044,361	-28.7%
Less: Breakage	\$ 73,103	\$ -		\$ 108,172	\$ 108,172	0.0%
Gross Commission	<u>\$ 1,681,384</u>	<u>\$ -</u>		<u>\$ 2,248,693</u>	<u>\$ 3,168,320</u>	-29.0%
TB Development Fund	\$ 69,182	\$ -		\$ 92,824	\$ 129,906	-28.5%
Equine Industry Program	\$ 18,449	\$ -		\$ 24,753	\$ 34,642	-28.5%
Equine Drug Research	\$ 9,224	\$ -		\$ 12,376	\$ 17,321	-28.5%
Higher Education Fund	\$ 9,224	\$ -		\$ 12,376	\$ 17,321	-28.5%
Ky General Fund	\$ 32,285	\$ -		\$ 43,318	\$ 60,623	-28.5%
Total Kentucky Excise Tax (1.5%) (Note 1)	<u>\$ 138,364</u>	<u>\$ -</u>		<u>\$ 185,647</u>	<u>\$ 259,813</u>	-28.5%
Backside Improvement Fund (Note 1)	\$ 46,121	\$ -		\$ 61,882	\$ 86,604	-28.5%
Net Commission	\$ 1,496,899	\$ -		\$ 2,001,163	\$ 2,821,903	-29.1%
Off Track Handle From:						
Other Kentucky Tracks	\$ 1,086,853	\$ -		\$ 2,128,636	\$ 1,361,340	56.4%
Kentucky ADW's	\$ 12,946,063	\$ -		\$ 30,194,471	\$ 10,108,626	198.7%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ 141,423,040	\$ -		\$ 331,509,689	\$ 131,417,098	152.3%
All Sources Handle	<u>\$ 164,680,229</u>	<u>\$ -</u>		<u>\$ 376,209,269</u>	<u>\$ 160,207,916</u>	134.8%
Average Daily Handle - On Track	\$ 614,952			\$ 334,499	\$ 1,018,874	-67.2%
Average Daily Handle - All Sources	\$ 10,978,682			\$ 10,167,818	\$ 9,423,995	7.9%

Simulcast

Handle by Breed						
Thoroughbred	\$ 2,974,828	\$ -		\$ 25,072,791	\$ 24,105,210	4.0%
Standardbred						
Qtr/Arabian	\$ 2,676	\$ -		\$ 50,574	\$ 53,259	-5.0%
Total Handle	<u>\$ 2,977,504</u>	<u>\$ -</u>		<u>\$ 25,123,365</u>	<u>\$ 24,158,469</u>	4.0%
TB Development Fund	\$ 59,497	\$ -		\$ 501,456	\$ 482,104	4.0%
SB Development Fund						
QH Development Fund	\$ 54	\$ -		\$ 1,011	\$ 1,065	-5.0%
Equine Industry Program*	\$ 1,489	\$ -		\$ 12,562	\$ 12,079	4.0%
Equine Drug Research	\$ 2,978	\$ -		\$ 25,123	\$ 24,158	4.0%
Higher Education Fund	\$ 2,978	\$ -		\$ 25,123	\$ 24,158	4.0%
Ky General Fund	\$ 22,331	\$ -		\$ 188,425	\$ 181,189	4.0%
Total Kentucky Excise Tax (3%)	<u>\$ 89,325</u>	<u>\$ -</u>		<u>\$ 753,701</u>	<u>\$ 724,754</u>	4.0%

Note 1: The Backside Improvement Fund is funded by 0.5% of Live Handle, separately from the Excise Tax, for Live Thoroughbred Racing conducted by associations per KRS 230.3615.

* Rate is .05% for Simulcast only

Monthly Track Report - Kentucky Downs

April 2021

Live Racing

	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		6	5	20.0%
On Track Handle	\$ -	\$ -		\$ 332,301	\$ 1,634,072	-79.7%
Less: Return to the Public	\$ -	\$ -		\$ 273,475	\$ 1,342,661	-79.6%
Less: Breakage	\$ -	\$ -		\$ 1,706	\$ 9,723	-82.5%
Gross Commission	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 57,120</u>	<u>\$ 281,688</u>	-79.7%
TB Development Fund	\$ -	\$ -		\$ 2,492	\$ 12,256	-79.7%
Equine Industry Program	\$ -	\$ -		\$ 665	\$ 3,268	-79.7%
Equine Drug Research	\$ -	\$ -		\$ 332	\$ 1,634	-79.7%
Higher Education Fund	\$ -	\$ -		\$ 332	\$ 1,634	-79.7%
Ky General Fund	\$ -	\$ -		\$ 1,163	\$ 5,719	-79.7%
Total Kentucky Excise Tax (1.5%) (Note 1)	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 4,985</u>	<u>\$ 24,511</u>	-79.7%
Backside Improvement Fund (Note 1)	\$ -	\$ -		\$ 1,662	\$ 8,170	-79.7%
Net Commission	\$ -	\$ -		\$ 50,474	\$ 249,006	-79.7%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ 271,022	\$ 639,152	-57.6%
Kentucky ADW's	\$ -	\$ -		\$ 3,451,557	\$ 1,843,305	87.2%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ 55,773,563	\$ 37,123,080	50.2%
All Sources Handle	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 59,828,443</u>	<u>\$ 41,239,609</u>	45.1%
Average Daily Handle - On Track				\$ 55,384	\$ 326,814	-83.1%
Average Daily Handle - All Sources				\$ 9,971,407	\$ 8,247,922	20.9%

Simulcast

Handle by Breed						
Thoroughbred	\$ 1,268,164	\$ -		\$ 8,312,871	\$ 7,929,952	4.8%
Standardbred	\$ 82,671	\$ -		\$ 714,191	\$ 533,416	33.9%
Qtr/Arabian	\$ 35,877	\$ -		\$ 212,113	\$ 163,410	29.8%
Total Handle	<u>\$ 1,386,712</u>	<u>\$ -</u>		<u>\$ 9,239,175</u>	<u>\$ 8,626,777</u>	7.1%
TB Development Fund	\$ 25,363	\$ -		\$ 166,257	\$ 158,599	4.8%
SB Development Fund	\$ 1,653	\$ -		\$ 14,284	\$ 10,668	33.9%
QH Development Fund	\$ 718	\$ -		\$ 4,242	\$ 3,268	29.8%
Equine Industry Program*	\$ 693	\$ -		\$ 4,620	\$ 4,313	7.1%
Equine Drug Research	\$ 1,387	\$ -		\$ 9,239	\$ 8,627	7.1%
Higher Education Fund	\$ 1,387	\$ -		\$ 9,239	\$ 8,627	7.1%
Ky General Fund	\$ 10,400	\$ -		\$ 69,294	\$ 64,701	7.1%
Total Kentucky Excise Tax (3%)	<u>\$ 41,601</u>	<u>\$ -</u>		<u>\$ 277,175</u>	<u>\$ 258,803</u>	7.1%

Note 1: The Backside Improvement Fund is funded by 0.5% of Live Handle, separately from the Excise Tax, for Live Thoroughbred Racing conducted by associations per KRS 230.3615.

* Rate is .05% for Simulcast only

Monthly Track Report - Oak Grove

April 2021

Live Racing

	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		14	11	27.3%
On Track Handle	\$ -	\$ -		\$ 62,569	\$ 42,408	47.5%
Less: Return to the Public	\$ -	\$ -		\$ 48,692	\$ 33,069	47.2%
Less: Breakage	\$ -	\$ -		\$ 479	\$ 369	29.8%
Gross Commission	\$ -	\$ -		\$ 13,398	\$ 8,970	49.4%
SB Development Fund	\$ -	\$ -		\$ 782	\$ 530	47.5%
Equine Industry Program	\$ -	\$ -		\$ 125	\$ 85	47.5%
Equine Drug Research	\$ -	\$ -		\$ 63	\$ 42	47.5%
Higher Education Fund	\$ -	\$ -		\$ 63	\$ 42	47.5%
Ky General Fund	\$ -	\$ -		\$ 63	\$ 42	47.5%
Total Kentucky Excise Tax (1.75%)	\$ -	\$ -		\$ 1,095	\$ 742	47.5%
Net Commission	\$ -	\$ -		\$ 12,303	\$ 8,228	49.5%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ 34,003	\$ 3,108	994.0%
Kentucky ADW's	\$ -	\$ -		\$ 33,396	\$ 6,596	406.3%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ 1,084,726	\$ 20,383	5221.7%
All Sources Handle	\$ -	\$ -		\$ 1,214,694	\$ 72,495	1575.6%
Average Daily Handle - On Track				\$ 4,469	\$ 3,855	15.9%
Average Daily Handle - All Sources				\$ 86,764	\$ 6,590	1216.5%

Simulcast

Handle by Breed						
Thoroughbred	\$ 119,257	\$ -		\$ 769,022	\$ -	
Standardbred	\$ 27,608	\$ -		\$ 140,772	\$ -	
Qtr/Arabian	\$ 7,303	\$ -		\$ 21,346	\$ -	
Total Handle	\$ 154,168	\$ -		\$ 931,139	\$ -	
TB Development Fund						
SB Development Fund						
QH Development Fund						ONLY TAXED ON LIVE RACING (per KRS 138.510)
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax						

Monthly Track Report - The Red Mile

April 2021

Live Racing

	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		30	35	-14.3%
On Track Handle	\$ -	\$ -		\$ 756,104	\$ 1,234,783	-38.8%
Less: Return to the Public	\$ -	\$ -		\$ 584,448	\$ 951,977	-38.6%
Less: Breakage	\$ -	\$ -		\$ (2,879)	\$ 1,215	-337.0%
Gross Commission	\$ -	\$ -		\$ 174,535	\$ 281,590	-38.0%
SB Development Fund	\$ -	\$ -		\$ 9,451	\$ 15,435	-38.8%
Equine Industry Program	\$ -	\$ -		\$ 1,512	\$ 2,470	-38.8%
Equine Drug Research	\$ -	\$ -		\$ 756	\$ 1,235	-38.8%
Higher Education Fund	\$ -	\$ -		\$ 756	\$ 1,235	-38.8%
Ky General Fund	\$ -	\$ -		\$ 756	\$ 1,235	-38.8%
Total Kentucky Excise Tax (1.75%)	\$ -	\$ -		\$ 13,232	\$ 21,609	-38.8%
Net Commission	\$ -	\$ -		\$ 161,303	\$ 259,982	-38.0%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ 37,080	\$ 49,755	-25.5%
Kentucky ADW's	\$ -	\$ -		\$ 211,311	\$ 168,660	25.3%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ 9,989,466	\$ 6,343,091	57.5%
All Sources Handle	\$ -	\$ -		\$ 10,993,960	\$ 7,796,289	41.0%
Average Daily Handle - On Track				\$ 25,203	\$ 35,280	-28.6%
Average Daily Handle - All Sources				\$ 366,465	\$ 222,751	64.5%

Simulcast

Handle by Breed						
Thoroughbred	\$ -	\$ -		\$ -	\$ -	
Standardbred	\$ 1,767,058	\$ -		\$ 16,767,017	\$ 14,544,559	15.3%
Qtr/Arabian	\$ 29,807	\$ 11,726	154.2%	\$ 124,905	\$ 88,395	41.3%
Total Handle	\$ 1,796,864	\$ 11,726	15223.8%	\$ 16,891,923	\$ 14,632,954	15.4%
TB Development Fund						
SB Development Fund						
QH Development Fund						ONLY TAXED ON LIVE RACING (per KRS 138.510)
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax						

Monthly Track Report - Turfway Park

April 2021

Live Racing						
	Apr-21	Apr-20	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		51	53	-3.8%
On Track Handle	\$ -	\$ -		\$ 668,788	\$ 2,964,297	-77.4%
Less: Return to the Public	\$ -	\$ -		\$ 535,447	\$ 2,369,042	-77.4%
Less: Breakage	\$ -	\$ -		\$ 4,155	\$ 16,890	-75.4%
Gross Commission	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 129,187</u>	<u>\$ 578,366</u>	-77.7%
TB Development Fund	\$ -	\$ -		\$ 5,016	\$ 22,232	-77.4%
Equine Industry Program	\$ -	\$ -		\$ 1,338	\$ 5,929	-77.4%
Equine Drug Research	\$ -	\$ -		\$ 669	\$ 2,964	-77.4%
Higher Education Fund	\$ -	\$ -		\$ 669	\$ 2,964	-77.4%
Ky General Fund	\$ -	\$ -		\$ 2,341	\$ 10,375	-77.4%
Total Kentucky Excise Tax (1.5%) (Note 1)	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 10,032</u>	<u>\$ 44,464</u>	-77.4%
Backside Improvement Fund (Note 1)	\$ -	\$ -		\$ 3,344	\$ 14,821	-77.4%
Net Commission	\$ -	\$ -		\$ 115,811	\$ 519,080	-77.7%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ 1,392,320	\$ 1,710,508	-18.6%
Kentucky ADW's	\$ -	\$ -		\$ 8,482,040	\$ 5,473,784	55.0%
Other Sources (non-taxable) (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ 103,468,913	\$ 73,691,114	40.4%
All Sources Handle	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 114,012,061</u>	<u>\$ 83,839,703</u>	36.0%
Average Daily Handle - On Track				\$ 13,113	\$ 55,930	-76.6%
Average Daily Handle - All Sources				\$ 2,235,531	\$ 1,581,881	41.3%
Simulcast						
Handle by Breed						
Thoroughbred	\$ 711,801	\$ -		\$ 3,683,734	\$ 10,601,711	-65.3%
Standardbred	\$ 16,170	\$ -		\$ 39,934	\$ 262,528	-84.8%
Qtr/Arabian	\$ 11,855	\$ -		\$ 44,087	\$ 41,046	7.4%
Total Handle	<u>\$ 739,825</u>	<u>\$ -</u>		<u>\$ 3,767,755</u>	<u>\$ 10,905,284</u>	-65.5%
TB Development Fund	\$ 14,236	\$ -		\$ 73,675	\$ 212,034	-65.3%
SB Development Fund	\$ 323	\$ -		\$ 799	\$ 5,251	-84.8%
QH Development Fund	\$ 237	\$ -		\$ 882	\$ 821	7.4%
Equine Industry Program*	\$ 370	\$ -		\$ 1,884	\$ 5,453	-65.5%
Equine Drug Research	\$ 740	\$ -		\$ 3,768	\$ 10,905	-65.5%
Higher Education Fund	\$ 740	\$ -		\$ 3,768	\$ 10,905	-65.5%
Ky General Fund	\$ 5,549	\$ -		\$ 28,258	\$ 81,790	-65.5%
Total Kentucky Excise Tax (3%)	<u>\$ 22,195</u>	<u>\$ -</u>		<u>\$ 113,033</u>	<u>\$ 327,159</u>	-65.5%

Note 1: The Backside Improvement Fund is funded by 0.5% of Live Handle, separately from the Excise Tax, for Live Thoroughbred Racing conducted by associations per KRS 230.3615.

* Rate is .05% for Simulcast only

Kentucky Resident Advance Deposit Wagering Report April, 2021

	Apr-21	Apr-20	% Change	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	\$ 24,194,904	\$ -		\$ 103,222,052	\$ 33,097,254	211.9%
Total Out-of State Handle	\$ 25,403,644	\$ 26,291,331	-3.4%	\$ 245,421,784	\$ 166,220,700	47.6%
Total Handle (TH)	\$ 49,598,549	\$ 26,291,331	88.6%	\$ 348,643,836	\$ 199,317,955	74.9%
Host Track (42.5% Allocation)	\$ 105,397	\$ 55,869	88.6%	\$ 740,868	\$ 423,551	74.9%
Purse (42.5% Allocation)	\$ 105,397	\$ 55,869	88.6%	\$ 740,868	\$ 423,551	74.9%
KY General Fund (15% Allocation)	\$ 37,199	\$ 19,718	88.6%	\$ 261,483	\$ 149,488	74.9%
Total KY Excise Tax (.5% of TH)	\$ 247,993	\$ 131,457	88.6%	\$ 1,743,219	\$ 996,590	74.9%



Kentucky Resident Advance Deposit Wagering Report for April 2021

AmWest Entertainment	<u>% of Total</u>	<u>Apr-21</u>	<u>Apr-20</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	0.15%	\$ 36,317	\$ -		0.13%	\$ 133,822	\$ 103,003	29.9%
Total Out-of State Handle	0.27%	\$ 68,409	\$ 62,411	9.6%	0.40%	\$ 989,828	\$ 534,912	85.0%
Total Handle (TH)	0.21%	\$ 104,726	\$ 62,411	67.8%	0.32%	\$ 1,123,651	\$ 637,915	76.1%
Host Track (42.5% Allocation)		\$ 223	\$ 133	67.8%		\$ 2,388	\$ 1,356	76.1%
Purse (42.5% Allocation)		\$ 223	\$ 133	67.8%		\$ 2,388	\$ 1,356	76.1%
KY General Fund (15% Allocation)		\$ 79	\$ 47	67.8%		\$ 843	\$ 478	76.1%
Total KY Excise Tax (.5% of TH)		\$ 524	\$ 312	67.8%		\$ 5,618	\$ 3,190	76.1%

eBet	<u>% of Total</u>	<u>Apr-21</u>	<u>Apr-20</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	0.06%	\$ 15,517	\$ -		0.07%	\$ 73,348	\$ 28,341	158.8%
Total Out-of State Handle	0.40%	\$ 101,941	\$ 76,356	33.5%	0.34%	\$ 827,884	\$ 579,129	43.0%
Total Handle (TH)	0.24%	\$ 117,457	\$ 76,356	53.8%	0.26%	\$ 901,232	\$ 607,470	48.4%
Host Track (42.5% Allocation)		\$ 250	\$ 162	53.8%		\$ 1,915	\$ 1,291	48.4%
Purse (42.5% Allocation)		\$ 250	\$ 162	53.8%		\$ 1,915	\$ 1,291	48.4%
KY General Fund (15% Allocation)		\$ 88	\$ 57	53.8%		\$ 676	\$ 456	48.4%
Total KY Excise Tax (.5% of TH)		\$ 587	\$ 382	53.8%		\$ 4,506	\$ 3,037	48.4%

Lien Games	<u>% of Total</u>	<u>Apr-21</u>	<u>Apr-20</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	0.07%	\$ 16,979	\$ -		0.03%	\$ 30,790	\$ 5,929	419.3%
Total Out-of State Handle	0.19%	\$ 48,455	\$ 21,769	122.6%	0.13%	\$ 323,220	\$ 142,007	127.6%
Total Handle (TH)	0.13%	\$ 65,434	\$ 21,769	200.6%	0.10%	\$ 354,010	\$ 147,936	139.3%
Host Track (42.5% Allocation)		\$ 139	\$ 46	200.6%		\$ 752	\$ 314	139.3%
Purse (42.5% Allocation)		\$ 139	\$ 46	200.6%		\$ 752	\$ 314	139.3%
KY General Fund (15% Allocation)		\$ 49	\$ 16	200.6%		\$ 266	\$ 111	139.3%
Total KY Excise Tax (.5% of TH)		\$ 327	\$ 109	200.6%		\$ 1,770	\$ 740	139.3%

Kentucky Resident Advance Deposit Wagering Report for April 2021 (Cont)

NYRabets	<u>% of Total</u>	<u>Apr-21</u>	<u>Apr-20</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	2.22%	\$ 537,037	\$ -		2.65%	\$ 2,731,118	\$ 585,245	366.7%
Total Out-of State Handle	2.67%	\$ 678,002	\$ 676,696	0.2%	3.48%	\$ 8,546,152	\$ 3,770,079	126.7%
Total Handle (TH)	2.45%	\$ 1,215,039	\$ 676,696	79.6%	3.23%	\$ 11,277,269	\$ 4,355,324	158.9%
Host Track (42.5% Allocation)		\$ 2,582	\$ 1,438	79.6%		\$ 23,964	\$ 9,255	158.9%
Purse (42.5% Allocation)		\$ 2,582	\$ 1,438	79.6%		\$ 23,964	\$ 9,255	158.9%
KY General Fund (15% Allocation)		\$ 911	\$ 508	79.6%		\$ 8,458	\$ 3,266	158.9%
Total KY Excise Tax (.5% of TH)		\$ 6,075	\$ 3,383	79.6%		\$ 56,386	\$ 21,777	158.9%

TVG	<u>% of Total</u>	<u>Apr-21</u>	<u>Apr-20</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	28.57%	\$ 6,913,451	\$ -		27.66%	\$ 28,547,292	\$ 9,129,040	212.7%
Total Out-of State Handle	35.09%	\$ 8,914,332	\$ 9,546,175	-6.6%	34.91%	\$ 85,675,725	\$ 57,773,217	48.3%
Total Handle (TH)	31.91%	\$ 15,827,783	\$ 9,546,175	65.8%	32.76%	\$ 114,223,017	\$ 66,902,257	70.7%
Host Track (42.5% Allocation)		\$ 33,634	\$ 20,286	65.8%		\$ 242,724	\$ 142,167	70.7%
Purse (42.5% Allocation)		\$ 33,634	\$ 20,286	65.8%		\$ 242,724	\$ 142,167	70.7%
KY General Fund (15% Allocation)		\$ 11,871	\$ 7,160	65.8%		\$ 85,667	\$ 50,177	70.7%
Total KY Excise Tax (.5% of TH)		\$ 79,139	\$ 47,731	65.8%		\$ 571,115	\$ 334,511	70.7%

TwinSpire	<u>% of Total</u>	<u>Apr-21</u>	<u>Apr-20</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	60.39%	\$ 14,611,850	\$ -		59.57%	\$ 61,487,511	\$ 19,038,841	223.0%
Total Out-of State Handle	50.26%	\$ 12,768,263	\$ 13,131,720	-2.8%	49.36%	\$ 121,130,521	\$ 83,512,683	45.0%
Total Handle (TH)	55.20%	\$ 27,380,113	\$ 13,131,720	108.5%	52.38%	\$ 182,618,032	\$ 102,551,525	78.1%
Host Track (42.5% Allocation)		\$ 58,183	\$ 27,905	108.5%		\$ 388,063	\$ 217,922	78.1%
Purse (42.5% Allocation)		\$ 58,183	\$ 27,905	108.5%		\$ 388,063	\$ 217,922	78.1%
KY General Fund (15% Allocation)		\$ 20,535	\$ 9,849	108.5%		\$ 136,964	\$ 76,914	78.1%
Total KY Excise Tax (.5% of TH)		\$ 136,901	\$ 65,659	108.5%		\$ 913,090	\$ 512,758	78.1%

Kentucky Resident Advance Deposit Wagering Report for April 2021 (Cont)

Watch and Wager	% of Total	Apr-21	Apr-20	% Change	% of Total	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	0.05%	\$ 11,420	\$ -		0.05%	\$ 51,179	\$ 44,860	14.1%
Total Out-of State Handle	0.18%	\$ 45,106	\$ 42,661	5.7%	0.12%	\$ 301,351	\$ 277,473	8.6%
Total Handle (TH)	0.11%	\$ 56,526	\$ 42,661	32.5%	0.10%	\$ 352,530	\$ 322,333	9.4%
Host Track (42.5% Allocation)		\$ 120	\$ 91	32.5%		\$ 749	\$ 685	9.4%
Purse (42.5% Allocation)		\$ 120	\$ 91	32.5%		\$ 749	\$ 685	9.4%
KY General Fund (15% Allocation)		\$ 42	\$ 32	32.5%		\$ 264	\$ 242	9.4%
Total KY Excise Tax (.5% of TH)		\$ 283	\$ 213	32.5%		\$ 1,763	\$ 1,612	9.4%

Xpressbet	% of Total	Apr-21	Apr-20	% Change	% of Total	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	8.48%	\$ 2,052,333	\$ -		9.85%	\$ 10,166,993	\$ 4,161,995	144.3%
Total Out-of State Handle	10.94%	\$ 2,779,138	\$ 2,733,543	1.7%	11.26%	\$ 27,627,103	\$ 19,631,201	40.7%
Total Handle (TH)	9.74%	\$ 4,831,472	\$ 2,733,543	76.7%	10.84%	\$ 37,794,096	\$ 23,793,196	58.8%
Host Track (42.5% Allocation)		\$ 10,267	\$ 5,809	76.7%		\$ 80,312	\$ 50,561	58.8%
Purse (42.5% Allocation)		\$ 10,267	\$ 5,809	76.7%		\$ 80,312	\$ 50,561	58.8%
KY General Fund (15% Allocation)		\$ 3,624	\$ 2,050	76.7%		\$ 28,346	\$ 17,845	58.8%
Total KY Excise Tax (.5% of TH)		\$ 24,157	\$ 13,668	76.7%		\$ 188,970	\$ 118,966	58.8%

Kentucky Historical Horse Racing Report for April, 2021

	April -21	April - 20	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 531,750,855	\$ -		\$ 3,587,288,015	\$ 2,047,363,706	75.2%
Less: Returned to Public	\$ 484,804,898	\$ -		\$ 3,269,542,606	\$ 1,873,282,154	74.5%
Less: Breakage	\$ 85,278	\$ -		\$ 786,454	\$ 861,761	
Less: Change in Pools (Note 6)	\$ 782,420	\$ -		\$ 6,332,556	\$ 1,968,890	
Gross Commission	<u>\$ 46,078,258</u>	<u>\$ -</u>		<u>\$ 310,626,399</u>	<u>\$ 171,250,902</u>	81.4%
TB Development Fund (Note 1)	\$ 2,996,193	\$ -		\$ 21,307,743	\$ 14,113,006	51.0%
SB Development Fund (Note 2)	\$ 1,322,585	\$ -		\$ 7,462,556	\$ 1,656,296	350.6%
Equine Industry Program (Note 3)	\$ -	\$ -		\$ 650,000	\$ 650,000	0.0%
Equine Drug Research (Note 4)	\$ -	\$ -		\$ 320,000	\$ 320,000	0.0%
Higher Education Fund (Note 4)	\$ -	\$ -		\$ 320,000	\$ 320,000	0.0%
Kentucky General Fund (Note 5)	\$ 3,657,485	\$ -		\$ 23,749,021	\$ 13,651,154	74.0%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 7,976,263</u>	<u>\$ -</u>		<u>\$ 53,809,320</u>	<u>\$ 30,710,456</u>	75.2%
% of Gross Commission	17.3%			17.3%	17.9%	
Net Commission + Breakage	\$ 38,187,273			\$ 257,603,533	\$ 141,402,207	82.2%
% of Total Handle	7.18%			7.18%	6.91%	
Number of HHR Terminals	4,187			3,688	2,993	23.2%
Average Daily Handle	\$ 17,725,028			\$ 11,800,290	\$ 7,904,879	49.3%
Handle/Day/Terminal	\$ 4,233			\$ 3,200	\$ 2,642	21.1%
Gross Commission / Day /Terminal	\$ 367			\$ 277	\$ 221	25.4%
	April -21	March - 21	% Change	Since Inception		
Total Handle	\$ 531,750,855	\$ 531,566,088	0.0%	Total Handle		\$ 11,622,928,125
Total Kentucky Excise Tax	\$ 7,976,263	\$ 7,973,491	0.0%	Total Kentucky Excise Tax		\$ 174,343,922
Average Daily Handle	\$ 17,725,028	\$ 17,147,293	3.4%	Total KY General Fund		\$ 71,622,142

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP, NR and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM and 1.0% of TH at OG.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Note 6: Represents the net change in all wagering pools.

Derby City Gaming Historical Horse Racing Report for April, 2021

	April -21	April - 20	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 165,132,219	\$ -		\$ 1,150,719,277	\$ 866,815,033	32.8%
Less: Returned to Public	\$ 150,141,727	\$ -		\$ 1,046,431,796	\$ 787,735,371	32.8%
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Less: Change in Pools (Note 6)	\$ 270,150	\$ -		\$ 1,494,523	\$ 301,384	
Gross Commission	<u>\$ 14,720,343</u>	<u>\$ -</u>		<u>\$ 102,792,957</u>	<u>\$ 78,778,278</u>	30.5%
TB Development Fund (Note 1)	\$ 1,238,492	\$ -		\$ 8,630,395	\$ 6,501,113	32.8%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ -	\$ -		\$ 250,499	\$ 271,057	-7.6%
Equine Drug Research (Note 4)	\$ -	\$ -		\$ 123,322	\$ 133,443	-7.6%
Higher Education Fund (Note 4)	\$ -	\$ -		\$ 123,322	\$ 133,443	-7.6%
Kentucky General Fund (Note 5)	\$ 1,238,492	\$ -		\$ 8,133,251	\$ 5,963,169	36.4%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 2,476,983</u>	<u>\$ -</u>		<u>\$ 17,260,789</u>	<u>\$ 13,002,225</u>	32.8%
% of Gross Commission	16.8%			16.8%	16.5%	
Net Commission + Breakage	\$ 12,243,359			\$ 85,532,168	\$ 65,776,052	30.0%
% of Total Handle	7.41%			7.43%	7.59%	
Number of HHR Terminals	913			744	1,002	-25.7%
Average Daily Handle	\$ 5,504,407			\$ 3,785,261	\$ 3,346,776	13.1%
Handle/Day/Terminal	\$ 6,029			\$ 4,146	\$ 3,297	25.7%
Gross Commission / Day /Terminal	\$ 537			\$ 370	\$ 300	23.6%
	April -21	March - 21	% Change	<u>Since Inception (September 10, 2018)</u>		
Total Handle	\$ 165,132,219	\$ 167,883,000	-1.64%	Total Handle	\$ 2,775,102,608	
Total Kentucky Excise Tax	\$ 2,476,983	\$ 2,518,245	-1.64%	Total Kentucky Excise Tax	\$ 41,626,539	
Average Daily Handle	\$ 5,504,407	\$ 5,415,581	1.64%	Total KY General Fund	\$ 19,673,283	

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP, NR and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM and 1.0% of TH at OG.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Note 6: Represents the net change in all wagering pools.

Ellis Park Historical Horse Racing Report for April, 2021

	April -21	April - 20	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 18,906,479	\$ -		\$ 174,914,288	\$ 86,961,352	101.1%
Less: Returned to Public	\$ 17,240,112	\$ -		\$ 159,563,616	\$ 79,755,473	100.1%
Less: Breakage	\$ 2,256	\$ -		\$ 20,515	\$ 7,345	
Less: Change in Pools (Note 6)	\$ 48,557	\$ -		\$ 233,786	\$ (12,882)	
Gross Commission	<u>\$ 1,615,553</u>	<u>\$ -</u>		<u>\$ 15,096,372</u>	<u>\$ 7,211,416</u>	109.3%
TB Development Fund (Note 1)	\$ 141,799	\$ -		\$ 1,311,857	\$ 652,210	101.1%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ -	\$ -		\$ 46,371	\$ 28,250	64.1%
Equine Drug Research (Note 4)	\$ -	\$ -		\$ 22,829	\$ 13,908	64.1%
Higher Education Fund (Note 4)	\$ -	\$ -		\$ 22,829	\$ 13,908	64.1%
Kentucky General Fund (Note 5)	\$ 141,799	\$ -		\$ 1,219,830	\$ 596,145	104.6%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 283,597</u>	<u>\$ -</u>		<u>\$ 2,623,714</u>	<u>\$ 1,304,420</u>	101.1%
% of Gross Commission	17.6%			17.4%	18.1%	
Net Commission + Breakage	\$ 1,334,212			\$ 12,493,173	\$ 5,914,340	111.2%
% of Total Handle	7.06%			7.14%	6.80%	
Number of HHR Terminals	244			195	300	-34.9%
Average Daily Handle	\$ 630,216			\$ 575,376	\$ 335,758	71.4%
Handle/Day/Terminal	\$ 2,583			\$ 2,358	\$ 1,119	110.7%
Gross Commission / Day /Terminal	\$ 221			\$ 204	\$ 93	119.3%
	April -21	March - 21	% Change	Since Inception (August 31, 2012)		
Total Handle	\$ 18,906,479	\$ 20,476,966	-7.7%	Total Handle	\$ 709,655,037	
Total Kentucky Excise Tax	\$ 283,597	\$ 307,154	-7.7%	Total Kentucky Excise Tax	\$ 10,644,826	
Average Daily Handle	\$ 630,216	\$ 660,547	-4.6%	Total KY General Fund	\$ 4,311,185	

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP, NR and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM and 1.0% of TH at OG.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Note 6: Represents the net change in all wagering pools.

Kentucky Downs Historical Horse Racing Report for April, 2021

	April -21	April - 20	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 165,760,094	\$ -		\$ 1,183,070,317	\$ 762,328,217	55.2%
Less: Returned to Public	\$ 151,524,412	\$ -		\$ 1,081,299,577	\$ 701,971,517	54.0%
Less: Breakage	\$ 17,378	\$ -		\$ 201,178	\$ 153,487	
Less: Change in Pools (Note 6)	\$ 109,309	\$ -		\$ 706,125	\$ 159,999	
Gross Commission	<u>\$ 14,108,996</u>	<u>\$ -</u>		<u>\$ 100,863,437</u>	<u>\$ 60,043,214</u>	68.0%
TB Development Fund (Note 1)	\$ 1,243,201	\$ -		\$ 8,873,027	\$ 5,717,462	55.2%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ -	\$ -		\$ 246,916	\$ 257,689	-4.2%
Equine Drug Research (Note 4)	\$ -	\$ -		\$ 121,559	\$ 126,862	-4.2%
Higher Education Fund (Note 4)	\$ -	\$ -		\$ 121,559	\$ 126,862	-4.2%
Kentucky General Fund (Note 5)	\$ 1,243,201	\$ -		\$ 8,382,993	\$ 5,206,048	61.0%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 2,486,401</u>	<u>\$ -</u>		<u>\$ 17,746,055</u>	<u>\$ 11,434,923</u>	55.2%
% of Gross Commission	17.6%			17.6%	19.0%	
Net Commission + Breakage	\$ 11,639,972			\$ 83,318,561	\$ 48,761,778	70.9%
% of Total Handle	7.02%			7.04%	6.40%	
Number of HHR Terminals	1,050			873	753	15.9%
Average Daily Handle	\$ 5,525,336			\$ 3,891,679	\$ 2,943,352	32.2%
Handle/Day/Terminal	\$ 5,262			\$ 3,706	\$ 3,909	-5.2%
Gross Commission / Day /Terminal	\$ 448			\$ 316	\$ 308	2.6%
	April -21	March - 21	% Change	Since Inception (September 1, 2011)		
Total Handle	\$ 165,760,094	\$ 168,568,944	-1.7%	Total Handle	\$ 5,654,269,253	
Total Kentucky Excise Tax	\$ 2,486,401	\$ 2,528,534	-1.7%	Total Kentucky Excise Tax	\$ 84,814,039	
Average Daily Handle	\$ 5,525,336	\$ 5,437,708	1.6%	Total KY General Fund	\$ 34,265,900	

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP, NR and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM and 1.0% of TH at OG.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Note 6: Represents the net change in all wagering pools.

KRM Historical Horse Racing Report for April, 2021

	April -21	April - 20	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 60,421,632	\$ -		\$ 435,777,776	\$ 331,259,103	31.6%
Less: Returned to Public	\$ 55,334,390	\$ -		\$ 399,029,544	\$ 303,819,792	31.3%
Less: Breakage	\$ 65,644	\$ -		\$ 564,761	\$ 700,929	
Less: Change in Pools (Note 6)	\$ 124,397	\$ -		\$ 1,616,322	\$ 1,520,389	
Gross Commission	<u>\$ 4,897,202</u>	<u>\$ -</u>		<u>\$ 34,567,150</u>	<u>\$ 25,217,995</u>	37.1%
TB Development Fund (Note 1)	\$ 226,581	\$ -		\$ 1,634,167	\$ 1,242,222	31.6%
SB Development Fund (Note 2)	\$ 302,108	\$ -		\$ 2,178,889	\$ 1,656,296	31.6%
Equine Industry Program (Note 3)	\$ -	\$ -		\$ 106,214	\$ 93,004	14.2%
Equine Drug Research (Note 4)	\$ -	\$ -		\$ 52,290	\$ 45,787	14.2%
Higher Education Fund (Note 4)	\$ -	\$ -		\$ 52,290	\$ 45,787	14.2%
Kentucky General Fund (Note 5)	\$ 377,635	\$ -		\$ 2,512,817	\$ 1,885,792	33.2%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 906,324</u>	<u>\$ -</u>		<u>\$ 6,536,667</u>	<u>\$ 4,968,887</u>	31.6%
% of Gross Commission	18.5%			18.9%	19.7%	
Net Commission + Breakage	\$ 4,056,521			\$ 28,595,244	\$ 20,950,037	36.5%
% of Total Handle	6.71%			6.56%	6.32%	
Number of HHR Terminals	495			455	938	-51.5%
Average Daily Handle	\$ 2,014,054			\$ 1,534,429	\$ 1,283,950	19.5%
Handle/Day/Terminal	\$ 4,069			\$ 3,100	\$ 1,369	126.5%
Gross Commission / Day /Terminal	\$ 330			\$ 246	\$ 104	136.0%
	April -21	March - 21	% Change	Since Inception (September 8, 2015)		
Total Handle	\$ 60,421,632	\$ 55,869,535	8.1%	Total Handle	\$ 1,841,094,869	
Total Kentucky Excise Tax	\$ 906,324	\$ 838,043	8.1%	Total Kentucky Excise Tax	\$ 27,616,423	
Average Daily Handle	\$ 2,014,054	\$ 1,802,243	11.8%	Total KY General Fund	\$ 9,871,643	

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP, NR and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM and 1.0% of TH at OG.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Note 6: Represents the net change in all wagering pools.

Newport Racing and Gaming Historical Horse Racing Report for April, 2021

	April -21	April - 20	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 19,482,750	\$ -		\$ 114,439,682	\$ -	
Less: Returned to Public	\$ 17,579,546	\$ -		\$ 103,088,642	\$ -	
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Less: Change in Pools (Note 6)	\$ 90,133	\$ -		\$ 566,601	\$ -	
Gross Commission	<u>\$ 1,813,071</u>	<u>\$ -</u>		<u>\$ 10,784,440</u>	<u>\$ -</u>	
TB Development Fund (Note 1)	\$ 146,121	\$ -		\$ 858,298	\$ -	
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research (Note 4)	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund (Note 4)	\$ -	\$ -		\$ -	\$ -	
Kentucky General Fund (Note 5)	\$ 146,121	\$ -		\$ 858,298	\$ -	
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 292,241</u>	<u>\$ -</u>		<u>\$ 1,716,595</u>	<u>\$ -</u>	
% of Gross Commission	16.1%			15.9%		
Net Commission + Breakage	\$ 1,520,830			\$ 9,067,845		
% of Total Handle	7.81%			7.92%		
<hr/>						
Number of HHR Terminals	500			456		
Average Daily Handle	\$ 649,425			\$ 628,789		
Handle/Day/Terminal	\$ 1,299			\$ 1,258		
Gross Commission / Day /Terminal	\$ 121			\$ 119		
<hr/>						
	April -21	March - 21	% Change	Since Inception (September 30, 2020)		
Total Handle	\$ 19,482,750	\$ 21,679,110	-10.1%	Total Handle	\$	114,439,682
Total Kentucky Excise Tax	\$ 292,241	\$ 325,187	-10.1%	Total Kentucky Excise Tax	\$	1,716,595
Average Daily Handle	\$ 649,425	\$ 699,326	-7.1%	Total KY General Fund	\$	858,298

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP, NR and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM and 1.0% of TH at OG.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Note 6: Represents the net change in all wagering pools.

Oak Grove Historical Horse Racing Report for April, 2021

	April -21	April - 20	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 102,047,679	\$ -		\$ 528,366,675	\$ -	
Less: Returned to Public	\$ 92,984,711	\$ -		\$ 480,129,432	\$ -	
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Less: Change in Pools (Note 6)	\$ 139,874	\$ -		\$ 1,715,199	\$ -	
Gross Commission	<u>\$ 8,923,094</u>	<u>\$ -</u>		<u>\$ 46,522,045</u>	<u>\$ -</u>	
TB Development Fund (Note 1)	\$ -	\$ -		\$ -	\$ -	
SB Development Fund (Note 2)	\$ 1,020,477	\$ -		\$ 5,283,667	\$ -	
Equine Industry Program (Note 3)	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research (Note 4)	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund (Note 4)	\$ -	\$ -		\$ -	\$ -	
Kentucky General Fund (Note 5)	\$ 510,238	\$ -		\$ 2,641,833	\$ -	
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 1,530,715</u>	<u>\$ -</u>		<u>\$ 7,925,500</u>	<u>\$ -</u>	
% of Gross Commission	17.2%			17.0%		
Net Commission + Breakage	\$ 7,392,378			\$ 38,596,545		
% of Total Handle	7.24%			7.30%		
<hr/>						
Number of HHR Terminals	985			964		
Average Daily Handle	\$ 3,401,589			\$ 2,337,906		
Handle/Day/Terminal	\$ 3,453			\$ 2,374		
Gross Commission / Day /Terminal	\$ 302			\$ 209		
<hr/>						
	April -21	March - 21	% Change	Since Inception (September 16, 2020)		
Total Handle	\$ 102,047,679	\$ 97,088,533	5.1%	Total Handle	\$	528,366,675
Total Kentucky Excise Tax	\$ 1,530,715	\$ 1,456,328	5.1%	Total Kentucky Excise Tax	\$	7,925,500
Average Daily Handle	\$ 3,401,589	\$ 3,131,888	8.6%	Total KY General Fund	\$	2,641,833

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP, NR and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM and 1.0% of TH at OG.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Note 6: Represents the net change in all wagering pools.